

Advanced Lectures in Mathematics (ALM)

- ALM 1: Superstring Theory
- ALM 2: Asymptotic Theory in Probability and Statistics with Applications
- ALM 3: Computational Conformal Geometry
- ALM 4: Variational Principles for Discrete Surfaces
- ALM 6: Geometry, Analysis and Topology of Discrete Groups
- ALM 7: Handbook of Geometric Analysis, No. 1
- ALM 8: Recent Developments in Algebra and Related Areas
- ALM 9: Automorphic Forms and the Langlands Program
- ALM 10: Trends in Partial Differential Equations
- ALM 11: Recent Advances in Geometric Analysis
- ALM 12: Cohomology of Groups and Algebraic K-theory
- ALM 13: Handbook of Geometric Analysis, No. 2
- ALM 14: Handbook of Geometric Analysis, No. 3
- ALM 15: An Introduction to Groups and Lattices: Finite Groups and Positive Definite Rational Lattices
- ALM 16: Transformation Groups and Moduli Spaces of Curves
- ALM 17: Geometry and Analysis, No. 1
- ALM 18: Geometry and Analysis, No. 2


Advanced Lectures in Mathematics
Volume XVII

Geometry and Analysis

No. 1

Editor: Lizhen Ji

 International Press
www.intlpress.com

 高等教育出版社
HIGHER EDUCATION PRESS

Advanced Lectures in Mathematics, Volume XVII
Geometry and Analysis, No. 1

Volume Editor: Lizhen Ji, University of Michigan, Ann Arbor

2010 *Mathematics Subject Classification.* 58-06.

Copyright © 2011 by International Press, Somerville, Massachusetts, U.S.A., and by Higher Education Press, Beijing, China.

This work is published and sold in China exclusively by Higher Education Press of China.

All rights reserved. Individual readers of this publication, and non-profit libraries acting for them, are permitted to make fair use of the material, such as to copy a chapter for use in teaching or research. Permission is granted to quote brief passages from this publication in reviews, provided the customary acknowledgement of the source is given. Republication, systematic copying, or mass reproduction of any material in this publication is permitted only under license from International Press. Excluded from these provisions is material in articles to which the author holds the copyright. (If the author holds copyright, notice of this will be given with article.) In such cases, requests for permission to use or reprint should be addressed directly to the author.

ISBN: 978-1-57146-224-4

Printed in the United States of America.

15 14 13 12 11 1 2 3 4 5 6 7 8 9

ADVANCED LECTURES IN MATHEMATICS

Executive Editors

Shing-Tung Yau
Harvard University

Lizhen Ji
University of Michigan, Ann Arbor

Kefeng Liu
University of California at Los Angeles
Zhejiang University
Hangzhou, China

Editorial Board

Chongqing Cheng
Nanjing University
Nanjing, China

Zhong-Ci Shi
Institute of Computational Mathematics
Chinese Academy of Sciences (CAS)
Beijing, China

Zhouping Xin
The Chinese University of Hong Kong
Hong Kong, China

Weiping Zhang
Nankai University
Tianjin, China

Xiping Zhu
Zhongshan University
Guangzhou, China

Tatsien Li
Fudan University
Shanghai, China

Zhiying Wen
Tsinghua University
Beijing, China

Lo Yang
Institute of Mathematics
Chinese Academy of Sciences (CAS)
Beijing, China

Xiangyu Zhou
Institute of Mathematics
Chinese Academy of Sciences (CAS)
Beijing, China

to Shing-Tung Yau
in honor of his sixtieth birthday



Group photo of the conference “ Geometric Analysis: Present and Future 2008”



Shing-Tung Yau and his students



Fields Medal 1982



Crafoord Prize 1994



Wolf Prize 2010



Shing-Tung Yau and Dennis Sullivan



Shing-Tung Yau and Shimon Peres (the president of Israel)



Shing-Tung Yau and Eugenio Calabi



Shing-Tung Yau, Edward Witten and Isadore Singer



Richard Hamilton, Shing-Tung Yau and his wife



Shing-Tung Yau and Tristan Hubsch

Preface

To celebrate the 60th birthday of Professor Shing-Tung Yau, a conference titled *Geometric Analysis: Present and Future* was held at Harvard University from August 27 to September 1, 2008.

The purpose of this conference is to summarize what has been achieved in the fields around geometric analysis in the past, to discuss the most recent results and to map out directions for the future. The title *Geometric Analysis* was interpreted very broadly and reflected the wide range of interests of Yau. It was also reflected in the topics of many talks at the conference.

There were 47 distinguished speakers and they are:

1. Robert Bartnik, Monash University, Australia.
2. Robert Bryant, Mathematical Sciences Research Institute, Berkeley, USA.
3. Jean-Pierre Bourguignon, Institut Hautes Etudes Scientifiques, France.
4. Luis Caffarelli, University of Texas, Austin, USA.
5. Demetrios Christodoulou, ETH, Switzerland.
6. Fan Chung, University of California, San Diego, USA.
7. David Gieseker, University of California, Los Angeles, USA.
8. Brian Greene, Columbia University, USA.
9. Pengfei Guan, McGill University, Canada.
10. Victor Guillemin, Massachusetts Institute of Technology, USA.
11. Richard Hamilton, Columbia University, USA.
12. Nigel Hitchin, Oxford University, UK.
13. Jiaxing Hong, Fudan University, China.
14. Tristan Hubsch, Howard University, USA.
15. Gerhard Huisken, Albert-Einstein-Institut, Germany.
16. Blaine Lawson, State University of New York at Stony Brook, USA.
17. Jun Li, Stanford University, USA.
18. Peter Li, University of California, Irvine, USA.
19. Bong Lian, Brandeis University, USA.
20. Chang-Shou Lin, Taiwan University, China.

21. Fang-Hua Lin, New York University, USA.
22. Kefeng Liu, University of California, Los Angeles, USA.
23. Melissa Liu, Columbia University, USA.
24. Gregory Margulis, Yale University, USA.
25. Alina Marian, University of Illinois at Chicago, USA.
26. Williams Meeks, University of Massachusetts Amherst, USA.
27. Yoichi Miyaoka, University of Tokyo, Japan.
28. Duong Phong, Columbia University, USA.
29. Wilfried Schmid, Harvard University, USA.
30. Richard Schoen, Stanford University, USA.
31. Leon Simon, Stanford University, USA.
32. Isadore Singer, Massachusetts Institute of Technology, USA.
33. Yum-Tong Siu, Harvard University, USA.
34. Joel Smoller, University of Michigan, USA.
35. James Sparks, Oxford University, UK.
36. Andrew Strominger, Harvard University, USA.
37. Cliff Taubes, Harvard University, USA.
38. Chuu-Lian Terng, University of California, Irvine, USA.
39. Karen Uhlenbeck, University of Texas, Austin, USA.
40. Cumrun Vafa, Harvard University, USA.
41. Chin-Lung Wang, Taiwan University, China.
42. Xujia Wang, Australian National University, Australia.
43. Ben Weinkove, University of California, San Diego, USA.
44. Edward Witten, Institute for Advanced Study, Princeton, USA.
45. Zhouping Xin, The Chinese University of Hong Kong, China. USA.
46. Eric Zaslow, Northwestern University, USA.
47. Xi-Ping Zhu, Sun Yat-Sen University, China.

There was also an open problem session in the evening of August 27, and a lunch forum *Women in Mathematics* on August 28. The conference banquet was held at the American Academy of Arts and Sciences in the evening of August 29.

The conference was a great success. We would like to thank all the speakers for their excellent talks and active participation in the conference. We would also like to take this opportunity to thank the following sponsors of this conference:

1. The National Science Foundation, USA.
2. The Chinese University of Hong Kong, China.
3. Department of Mathematics, The Chinese University of Hong Kong, China.

4. Department of Mathematics, Harvard University, USA.
5. Morningside Center of Mathematics, Chinese Academy of Sciences, China.
6. Journal of Differential Geometry, Lehigh University, USA.
7. Pacific Institute for the Mathematical Sciences.
8. Department of Mathematics, Massachusetts Institute of Technology, USA.
9. Department of Mathematics, Brandeis University.
10. Pure and Applied Mathematics Quarterly, USA.
11. Center of Mathematical Sciences, Zhejiang University, China.
12. Peter Viem Kwok, CITIC Resources Holdings Limited, Hong Kong, China.

The organizers of this conference consisted of the following:

1. Lizhen Ji, University of Michigan, USA.
2. Ka-Sing Lau, The Chinese University of Hong Kong, China.
3. Peter Li, University of California, Irvine, USA.
4. Kefeng Liu, University of California, Los Angeles, USA.
5. Wilfried Schmid, Harvard University, USA.
6. Rick Schoen, Stanford University, USA.
7. I.M. Singer, Massachusetts Institute of Technology, USA.
8. Clifford Taubes, Harvard University, USA.
9. Cumrun Vafa, Harvard University, USA.
10. Zhouping Xin, The Chinese University of Hong Kong, China.

Certainly, on behalf of the organizers, we would also like to thank the staff members of the mathematics department, Harvard University, for their generous help with this big and intense conference.

The current set of two volumes *Geometry and Analysis* is the proceedings of the conference and mainly consists of contributions of the speakers. Together with the following three volumes of *Handbook of Geometric Analysis* prepared in conjunction with the conference:

1. *Handbook of geometric analysis. Volume 1.* Edited by Lizhen Ji, Peter Li, Richard Schoen and Leon Simon. Advanced Lectures in Mathematics (ALM), 7. International Press, Somerville, MA; Higher Education Press, Beijing, 2008. xii+676 pp.
2. *Handbook of geometric analysis. Volume 2.* Edited by Lizhen Ji, Peter Li, Richard Schoen and Leon Simon. Advanced Lectures in Mathematics (ALM), 13. International Press, Somerville, MA; Higher Education Press, Beijing, 2010. x+432 pp.
3. *Handbook of geometric analysis. Volume 3.* Edited by Lizhen Ji, Peter Li, Richard Schoen and Leon Simon. Advanced Lectures in Mathematics (ALM), 14. International Press, Somerville, MA; Higher Education Press, Beijing, 2010. x+472 pp.

We hope that they give a global perspective on the current status of geometric analysis and will be helpful in training the next generation of mathematicians. We would like to thank the authors of all these papers for their substantial contributions, and the referees for their generous help in the reviewing process.

These two volumes of *Geometry and Analysis* consist of 4 parts:

1. Summaries and commentaries on the work of Yau, which contains 20 short articles commenting on different aspects of Yau's work, lists of his papers and books, and a recent CV of him.
2. Differential geometry and differential equations, which contains 14 papers.
3. Mathematical physics, algebraic geometry and other topics, which also contains 14 papers.
4. Appendices, which contains a biography of Yau, and two survey papers by Yau on geometric analysis and Calabi-Yau manifolds.

Though geometric analysis has a long history, the decisive contributions of Yau since 1970s have made it an indispensable tool in many subjects such as differential geometry, topology, algebraic geometry, mathematical physics, etc, and hence have established it as one of the most important fields of modern mathematics. Yau's impacts are clearly visible in the papers of these two volumes, and we hope that these two volumes of *Geometry and Analysis* and the three volumes of the *Handbook of Geometric Analysis* will pay a proper tribute to him in a modest way.

According to the Chinese tradition, a person is one year old when he is born, and hence Yau turned 60 already in 2008. The number 60 and hence the age 60 is special in many cultures, especially in the Chinese culture. It is the smallest common multiple of 10 and 12, two important periods in the Chinese astronomy. Therefore, it is a new starting point (or a new cycle). A quick look at Yau's list of publications in Part 1 shows that Yau has not only maintained but increased his incredible output both in terms of quality and quantity.

On behalf of his friends, students and colleagues, we wish him continuing success and many productive, energetic years to come!

Lizhen Ji
March 2010

Contents

Part 1 Summary of and Commentaries on the Work of Shing-Tung Yau

Curriculum Vitae of Shing-Tung Yau

A Brief Overview of the Work of Shing-Tung Yau

<i>Lizhen Ji</i>	7
1 Introduction	7
2 A summary of some major works of Yau	10
3 Topics Yau has worked on	12
4 Basics on Kähler-Einstein metrics and Calabi conjectures	14
5 Some applications of Kähler-Einstein metrics and Calabi-Yau manifolds	16
6 Harmonic maps	17
7 Rigidity of Kähler manifolds	20
8 Super-rigidity of spaces of nonpositive curvature	26
9 Survey papers by Yau	27
10 Open problems by Yau	30
11 Books written and co-written by Yau	34
12 Books edited and co-edited by Yau	36
13 Ph.D. students of Yau	47
14 Partial list of papers and books of Yau	50
References	50

Yau's Work on Filtering Problem

<i>Wen-Lin Chiou, Jie Huang and Lizhen Ji</i>	79
1 Filtering problem	79
2 Yau's two methods in solving nonlinear filtering problem	80
2.1 Direct method	81
2.2 Algorithm for real time solution without memory	82
References	85

From Continues to Discrete — Yau's Work on Graph Theory

<i>Fan Chung</i>	87
------------------------	----

Yau's Work on Moduli, Periods, and Mirror Maps for Calabi-Yau Manifolds

<i>Charles F. Doran</i>	93
1 Construction of Calabi-Yau threefolds	94

2	Picard-Fuchs equations and the mirror map	95
3	Arithmetic properties of mirror maps	97
4	Periods and moduli of complex Tori and K3 surfaces	99
	References.....	101
Review on Yau's Work on the Coupled Einstein Equations and the Wave Dynamics in the Kerr Geometry		
	<i>Felix Finster</i>	103
1	Coupling the Einstein equations to non-abelian gauge fields and Dirac spinors.....	103
2	The dynamics of linear waves in the Kerr geometry	104
	References.....	104
The Work of Witten and Yau on the AdS/CFT Correspondence		
	<i>Gregory J. Galloway</i>	107
1	Introduction	107
2	The Witten-Yau results on AdS/CFT	108
3	Further developments	110
	References.....	111
Yau's Work on Heat Kernels		
	<i>Alexander Grigor'yan</i>	113
1	The notion of the heat kernel	113
2	Estimating heat kernels	113
3	Some applications of the heat kernel estimates	115
	References.....	116
Yau's Contributions to Engineering Fields		
	<i>Xianfeng David Gu</i>	119
1	Introduction	119
2	Computational conformal geometry	119
2.1	Conformal structure	120
2.2	Harmonic map	121
2.3	Surface ricci flow.....	121
2.4	Conformal mappings.....	122
2.5	Quasi-conformal mappings	123
2.6	Teichmüller space.....	124
3	Geometric acquisition	125
4	Computer graphics.....	125
5	Geometric modeling.....	126
6	Medical imaging	127
7	Computer vision	128
8	Wireless sensor network.....	128
9	Summary	130
	References.....	130
The SYZ Proposal		
	<i>Naichung Conan Leung</i>	133

1	Pre-SYZ	133
2	The birth of SYZ	134
3	The growing up of SYZ	135
3.1	Special Lagrangian geometry	136
3.2	Special Lagrangian fibrations	136
3.3	Affine geometry	137
3.4	SYZ transformation	138
4	Future of SYZ	138
	References	139
Yau-Zaslow Formula		
	<i>Naichung Conan Leung</i>	141
Yau's Work on Function Theory: Harmonic Functions, Eigenvalues and the Heat Equation		
	<i>Peter Li</i>	145
A Vision of Yau on Mirror Symmetry		
	<i>Bong Lian</i>	151
1	Enumerative geometry	152
2	Geometry of Calabi-Yau manifolds and their moduli spaces	154
	References	155
Yau's Work on Group Actions		
	<i>Kefeng Liu</i>	157
Cheng and Yau's Work on the Monge-Ampère Equation and Affine Geometry		
	<i>John Loftin, Xu-Jia Wang and Deane Yang</i>	163
1	Introduction	163
2	The Monge-Ampère equation	163
3	Cheng and Yau's work on the Dirichlet problem	164
4	Subsequent work on the Monge-Ampère equation	165
5	Affine Spheres	165
6	Hyperbolic affine spheres and real Monge-Ampère equations	166
7	Affine manifolds	167
8	Maximal hypersurfaces in Minkowski space	168
9	The Minkowski problem	168
10	Convex geometry without smoothness assumptions	168
10.1	Support function	168
10.2	Invariance properties of the support function	169
10.3	Minkowski sum	169
10.4	Mixed volume	169
10.5	Surface area measure	170
10.6	Invariance properties of the surface area measure	170
10.7	The Minkowski problem	170
10.8	The Brunn-Minkowski inequality	171
10.9	Uniqueness in the Minkowski problem	171

10.10	Variational approach to the Minkowski problem.....	171
11	Convex geometry with smoothness assumptions.....	172
11.1	The inverse Gauss map.....	172
11.2	The inverse second fundamental form.....	172
11.3	The curvature function.....	172
11.4	The surface area measure.....	173
11.5	The Minkowski problem.....	173
11.6	The Minkowski problem as a PDE.....	174
12	Cheng and Yau's regularity theorem for the Minkowski problem..	175
12.1	Statement.....	175
12.2	Sketch of Proof.....	175
13	Generalizations of the Minkowski problem.....	176
	References.....	177

Yau's Work on Minimal Surfaces and 3-manifolds

<i>Feng Luo</i>	181
-----------------------	-----

The Work of Schoen and Yau on Manifolds with Positive Scalar Curvature

<i>William Minicozzi II</i>	185	
0	Introduction.....	185
1	Topological restrictions on manifolds with positive scalar curvature.....	185
1.1	Stable minimal surfaces and scalar curvature.....	186
1.2	Inductively extending this to higher dimensions.....	187
1.3	Preserving positive scalar curvature under surgery.....	188
2	Locally conformally flat manifolds.....	188
2.1	The new invariants.....	189
2.2	A positive mass theorem.....	189
	References.....	190

Yau's Contributions to Algebraic Geometry

<i>Andrey Todorov</i>	191	
1	Introduction.....	191
1.1	Yau's program-plenary talk at ICM 1982.....	191
2	Monge-Ampère equation and applications to algebraic geometry..	192
2.1	Solution of the Calabi conjecture.....	192
2.2	Existence of canonical metrics on Zariski open sets.....	194
3	Stable vector bundles over Kähler manifolds.....	195
3.1	Donaldson-Uhlenbeck-Yau theorem.....	195
3.2	Applications to Kodaira's classification of surfaces.....	196
4	Moduli spaces.....	196
4.1	Existence of Kähler-Einstein metrics on domain of holomorphy and Teichmüller spaces.....	196
4.2	Moduli spaces of K3 surfaces.....	196
4.3	Moduli spaces of CY manifolds.....	197

4.4	Generalization of Shwarz lemma by Yau and Baily-Borel compactification	197
5	Contributions of Yau to string theory	197
5.1	Mirror symmetry and SYZ conjecture	197
5.2	Large radius limit	198
5.3	String theory and number theory	199
5.4	Rational curves on algebraic K3 surfaces	199
6	Rigidity	199
6.1	Yau’s conjecture about rigidity of some complex manifolds ..	199
6.2	Geometric proof of margulis’ superrigidity	199
6.3	Geometric proof of Kazhdan theorem about Galois conjugation of Shimura varieties	200
	References	200
Yau’s Work on Positive Mass Theorems		
	<i>Mu-Tao Wang</i>	205
Yau’s Conjecture on Kähler-Einstein Metric and Stability		
	<i>Xiaowei Wang</i>	211
On Yau’s Pioneer Contribution on the Frankel Conjecture and Related Questions		
	<i>Fangyang Zheng</i>	215
Yau’s Work on Inequalities Between Chern Numbers and Uniformization of Complex Manifolds		
	<i>Kang Zuo</i>	221
Part 2 Differential Geometry and Differential Equations		
Geometry of Complete Gradient Shrinking Ricci Solitons		
	<i>Huai-Dong Cao</i>	227
1	Gradient shrinking Ricci solitons	227
2	Classification of 3-dimensional gradient shrinking solitons	232
3	Geometry of complete gradient solitons	237
	References	244
The Formation of Black Holes in General Relativity		
	<i>Demetrios Christodoulou</i>	247
PageRank as a Discrete Green’s Function		
	<i>Fan Chung</i>	285
1	Introduction	285
2	Preliminaries	286
3	Dirichlet eigenvalues	289
4	Connections between PageRank and discrete Green’s function ...	290
5	Relating the Cheeger constant to the PageRank	293
6	Relating the PageRank of a graph to that of its subgraphs	295

7	The PageRank and the hitting time.....	300
	References.....	302
A Geodesic Equation in the Space of Sasakian Metrics		
	<i>Pengfei Guan and Xi Zhang</i>	303
Some Inverse Spectral Results for the Two-dimensional Schrödinger Operator		
	<i>V. Guillemin and A. Uribe</i>	319
1	Introduction.....	319
2	The Weyl calculus.....	321
3	Some bracket identities.....	323
4	The quantum Birkhoff canonical form.....	324
	References.....	328
Li-Yau Estimates and their Harnack Inequalities		
	<i>Richard S. Hamilton</i>	329
1	The heat equation.....	329
2	The Dirichlet problem for the heat equation.....	333
3	The heat equation in the plane.....	337
4	The castaway.....	339
5	Endangered species equation.....	343
6	The migration equation.....	346
7	Motion of a curve by its curvature.....	350
8	Motion of a surface by its mean curvature.....	357
9	Motion of a surface by its Gauss curvature.....	360
	References.....	361
Plurisubharmonicity in a General Geometric Context		
	<i>F. Reese Harvey and H. Blaine Lawson, Jr.</i>	363
1	Introduction.....	363
2	Geometrically defined plurisubharmonic functions.....	367
3	More general plurisubharmonic functions defined by an elliptic cone \mathcal{P}^+	371
4	\mathcal{P}^+ -plurisubharmonic distributions.....	374
5	Upper-semi-continuous \mathcal{P}^+ -plurisubharmonic functions.....	375
6	Some classical facts that extend to \mathcal{P}^+ -plurisubharmonic functions.....	378
7	The Dirichlet problem – uniqueness.....	380
8	The Dirichlet problem – existence.....	382
9	\mathcal{P}^+ -convex domains.....	385
10	Topological restrictions on \mathcal{P}^+ -convex domains.....	386
11	\mathcal{P}_+ -free submanifolds.....	388
12	\mathcal{P}^+ -convex boundaries.....	389
	References.....	400
Poisson Modules and Generalized Geometry		
	<i>Nigel Hitchin</i>	403

1	Introduction	403
2	Poisson modules	404
2.1	Definitions	404
2.2	A construction	405
3	The Serre construction	406
3.1	The algebraic approach	406
3.2	The analytical approach	407
3.3	The second section	409
4	Generalized geometry	409
4.1	Basic features	409
4.2	Generalized Dolbeault operators	410
4.3	The canonical bundle	411
5	A generalized construction	412
5.1	The problem	412
5.2	Generalized complex submanifolds	413
5.3	The construction	414
6	An application	415
	References	416

Uniqueness of Solutions to Mean Field Equations of Liouville Type in Two-dimension

	<i>Chang-shou Lin</i>	419
1	Introduction	419
2	Uniqueness in \mathbb{R}^2	423
3	Uniqueness in bounded domains of \mathbb{R}^2	427
4	Onofri inequality and its generalization	432
5	Mean field equation and Green functions on torus	435
6	Generalized Liouville system	439
	References	443

Monotonicity and Holomorphic Functions

	<i>Lei Ni</i>	447
--	-------------------------	-----

Decay of Solutions to the Cauchy Problem in the Kerr Geometry for Various Physical Systems: Stability of Black Holes

	<i>J. A. Smoller</i>	459
1	Introduction	459
2	Main	460
	References	472

The Calabi-Yau Equation, Symplectic Forms and Almost Complex Structures

	<i>Valentino Tosatti and Ben Weinkove</i>	475
1	Background - Yau's Theorem	475
2	Donaldson's conjecture and applications	478
3	Estimates for the Calabi-Yau equation	483
4	Methods	485
5	A monotonicity formula	488

References.....	492
Understanding Weil-Petersson Curvature	
<i>Scott A. Wolpert</i>	495
1 Introduction.....	495
2 Basics of Teichmüller theory.....	497
3 WP intrinsic geometry.....	501
4 Methods.....	505
5 Applications of curvature.....	507
5.1 The work of Liu, Sun and Yau.....	507
5.2 The model metric $4dr^2 + r^6d\theta^2$	509
5.3 Projection and distance to a stratum.....	511
References.....	512
Examples of Positively Curved Complete Kahler Manifolds	
<i>Hung-Hsi Wu and Fangyang Zheng</i>	517
1 Introduction.....	517
2 The <i>ABCD</i> functions.....	521
3 Characterization by the ξ function.....	525
4 Some examples.....	529
5 Characterization by surface of revolution.....	534
6 Correlation between volume growth and curvature Decay.....	537
References.....	540