

Hirzebruch χ_y -genera modulo 8 of fiber bundles for odd integers y

CARMEN ROVI AND SHOJI YOKURA*

Abstract: I. Hambleton, A. Korzeniewski and A. Ranicki have proved that the signature of a fiber bundle $F \hookrightarrow E \rightarrow B$ of closed, connected, compatibly oriented PL manifolds is always multiplicative mod 4, i.e. $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{4}$. In this paper, we consider the Hirzebruch χ_y -genera for odd integers y for a smooth fiber bundle $F \hookrightarrow E \rightarrow B$ such that E, F and B are compact complex algebraic manifolds (in the complex analytic topology, not in the Zariski topology). In particular, if $y = 1$, then χ_1 is the signature σ . We show that the Hirzebruch χ_y -genera of such a fiber bundle are always multiplicative mod 4, i.e. $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{4}$. We also investigate multiplicativity mod 8, and show that if $y \equiv 3 \pmod{4}$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}$ and that in the case when $y \equiv 1 \pmod{4}$ the Hirzebruch χ_y -genera of such a fiber bundle is multiplicative mod 8 if and only if the signature is multiplicative mod 8, and that the non-multiplicativity modulo 8 is identified with an Arf-Kervaire invariant.

1. Introduction

The Hirzebruch χ_y -genus $\chi_y(X)$ of a compact complex algebraic manifold X was introduced by F. Hirzebruch [14] (also see [15]) in order to extend his famous Hirzebruch-Riemann-Roch theorem to the parametrized setting. If $y = -1, 0, 1$, then these χ_y -genera are respectively

- $\chi_{-1}(X) = \chi(X)$ the *Euler-Poincaré characteristic*,
- $\chi_0(X) = \tau(X)$ the *Todd genus*,
- $\chi_1(X) = \sigma(X)$ the *signature*,

which are very important invariants in geometry and topology, and even in mathematical physics.

The Euler-Poincaré characteristic is multiplicative for *any* topological fiber bundle $F \hookrightarrow E \rightarrow B$, i.e. $\chi(E) = \chi(F)\chi(B)$ holds. The signature is

Received 18 April 2017.

*Partially supported by JSPS KAKENHI Grant Number 16H03936.

in general not multiplicative for fiber bundles. S. S. Chern, F. Hirzebruch and J.-P. Serre [11] proved that the signature is multiplicative for a fiber bundle under a certain monodromy condition, i.e. if the fundamental group $\pi_1(B)$ of the base space B acts trivially on the cohomology group $H^*(F; \mathbb{R})$ of the fiber space F . Later M. Atiyah [2], F. Hirzebruch [13] and K. Kodaira [16] gave the first examples of fiber bundles with non-multiplicative signatures.

I. Hambleton, A. Korzeniewski and A. Ranicki [12] showed that for a PL fiber bundle $F \hookrightarrow E \rightarrow B$ of closed, connected, compatibly oriented PL manifolds

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{4}.$$

In [22, 23] C. Rovi has shown that for a fiber bundle $F \hookrightarrow E \rightarrow B$ in the manifold context, if the action of $\pi_1(B)$ on $H^m(F, \mathbb{Z})/torsion \otimes \mathbb{Z}_4$ is trivial (where $\dim_{\mathbb{R}} F = 2m$),

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{8}.$$

In [22, 23] C. Rovi has also shown that the non-multiplicativity of the signature modulo 8 of a fiber bundle is detected by the \mathbb{Z}_2 -valued Arf-Kervaire invariant of a certain quadratic form associated to the fiber bundle as above.

In [25] S. Yokura has studied some explicit formulae of the Hirzebruch χ_y -genera for complex fiber bundles $F \hookrightarrow E \rightarrow B$ where F, E, B are compact complex algebraic manifolds, and he has observed that $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{4}$ just like the above result of Hambleton-Korzeniewski-Ranicki.

In this paper, we consider such mod 4 and mod 8 multiplicativity formulae of Hirzebruch χ_y -genera for a fiber bundle $F \hookrightarrow E \rightarrow B$ of compact complex algebraic manifolds. A smooth proper map between compact complex algebraic manifolds is a locally trivial topological fibration (by Ehresmann's fibration theorem), thus becomes such a fiber bundle, and conceivably such fiber bundles arise in this way.

The main result of this paper is stated in Theorem 1.1, which gives an overview of the non-multiplicative behaviour of χ_y genera modulo 8 of a fiber bundle for odd values of y . It is interesting to note that when $y \equiv 1 \pmod{4}$ the χ_y -genera adopt the same non-multiplicativity behaviour as the signature, and therefore the obstruction for multiplicativity in this case (when $y \equiv 1 \pmod{4}$) is detected by the Arf-Kervaire invariant of a certain quadratic form associated to the fiber bundle, which is described in Theorem 1.1 below.

Theorem 1.1. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are compact complex algebraic manifolds.*

(a) *If $y \equiv 3 \pmod{4}$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}$.*

(b) If $y \equiv 1 \pmod 4$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 8 \iff \sigma(E) \equiv \sigma(F)\sigma(B) \pmod 8$. Moreover

$$\chi_y(E) - \chi_y(F)\chi_y(B) \equiv 4\text{Arf}(W, \mu, h) \pmod 8.$$

where (W, μ, h) is a certain \mathbb{Z}_2 -valued quadratic form associated to the fiber bundle. (For details see Theorem 4.4 below.)

The proof of Theorem 1.1 will be subdivided into shorter statements. In particular, (a) and the first part of (b) is proved in Theorem 4.1. The second part of (b), i.e. the identification of the obstruction to multiplicativity with the Arf-Kervaire invariant is shown in Theorem 4.4.

There is another result which follows from [22, Theorem 3.5] and Theorem 1.1:

Theorem 1.2. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are compact complex algebraic manifolds with $\dim_{\mathbb{R}} F = 2m$. If the action of $\pi_1(B)$ on $H^m(F, \mathbb{Z})/\text{torsion} \otimes \mathbb{Z}_4$ is trivial, then for any odd integer y*

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 8.$$

Remark 1.3. From Theorem 1.2 we can immediately observe that if B is simply connected, such as smooth complex rational varieties and smooth Fano varieties, then for any odd integer y

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 8.$$

However, in this case the usual equality does hold:

$$\chi_y(E) = \chi_y(F)\chi_y(B) \in \mathbb{Z}.$$

This is because in this case the action of $\pi_1(B)$ is automatically trivial on $H^*(F, \mathbb{Z})$, from which $\chi_y(E) = \chi_y(F)\chi_y(B)$ follows, as explained in [18, Remark 4.6] (also see [7, 8, 9]).

2. Hirzebruch χ_y -genera

First, we recall the definition of the Hirzebruch χ_y -genus [14].

Let X be a compact complex algebraic manifold. The χ_y -genus of X is defined by

$$\chi_y(X) := \sum_{p \geq 0} \chi(X, \Lambda^p T^* X) y^p = \sum_{p \geq 0} \left(\sum_{i \geq 0} (-1)^i \dim_{\mathbb{C}} H^i(X, \Lambda^p T^* X) \right) y^p.$$

Thus, the χ_y -genus is the generating function of the Euler-Poincaré characteristic $\chi(X, \Lambda^p T^* X)$ of the sheaf $\Lambda^p T^* X$, which shall be simply denoted by $\chi^p(X)$:

$$\chi_y(X) = \sum_{p \geq 0} \chi^p(X) y^p.$$

Since $\Lambda^p T^* X = 0$ for $p > \dim_{\mathbb{C}} X$, $\chi_y(X)$ is a polynomial of degree at most $\dim_{\mathbb{C}} X$. Note that for an ordinary product of spaces χ_y is multiplicative, i.e. $\chi_y(X \times Y) = \chi_y(X)\chi_y(Y)$.

Then we have the following “generalized Hirzebruch-Riemann-Roch theorem” (abbr., gHRR):

$$\chi_y(X) = \int_X T_y(TX) \cap [X] \in \mathbb{Q}[y],$$

where $T_y(TX)$ is the generalized Todd class of the tangent bundle of X . $T_y(TX)$, which we will denote simply by $T_y(X)$, is defined as follows:

$$T_y(X) := \prod_{i=1}^{\dim X} \left(\frac{\alpha_i(1+y)}{1 - e^{-\alpha_i(1+y)}} - \alpha_i y \right),$$

where α_i are the Chern roots of the tangent bundle TX . That is, writing $c(X)$ as the total Chern class of TX ,

$$c(X) = \prod_{i=1}^{\dim X} (1 + \alpha_i).$$

Note that the normalized power series

$$Q_y(\alpha) := \frac{\alpha(1+y)}{1 - e^{-\alpha(1+y)}} - \alpha y \in \mathbb{Q}[y][[\alpha]].$$

specializes to

$$Q_{-1}(\alpha) = 1 + \alpha, \quad Q_0(\alpha) = \frac{\alpha}{1 - e^{-\alpha}}, \quad Q_1(\alpha) = \frac{\alpha}{\tanh \alpha}$$

Therefore $T_y(X)$ unifies the following important characteristic cohomology classes of X :

$$c(X) = \prod_{i=1}^{\dim X} (1 + \alpha_i), \quad td(X) = \prod_{i=1}^{\dim X} \frac{\alpha_i}{1 - e^{-\alpha_i}}, \quad L(X) = \prod_{i=1}^{\dim X} \frac{\alpha}{\tanh \alpha},$$

which are respectively the *Chern class*, *Todd class* and *L-class*. $T_y(X)$ can be considered as a parameterized Todd class $td(X)$ by y . We call this parameterized Todd class $T_y(X)$ *the Hirzebruch class of X*.

For the distinguished three values $-1, 0, 1$ of y , by the definition we have the following:

- the Euler-Poincaré characteristic:
 $\chi(X) = \chi_{-1}(X) = \chi^0(X) - \chi^1(X) + \chi^2(X) - \dots + (-1)^n \chi^n(X)$,
- the Todd genus:
 $\tau(X) = \chi_0(X) = \chi^0(X)$,
- the signature:
 $\sigma(X) = \chi_1(X) = \chi^0(X) + \chi^1(X) + \chi^2(X) + \dots + \chi^n(X)$.

As noted by Hirzebruch in [14, §15.5] the following duality formula holds

$$(2.1) \quad \chi^p(X) = (-1)^n \chi^{n-p}(X).$$

This duality formula will play an important role in this paper.

3. Multiplicativity mod 4

If we let

$$\chi^{\text{odd}}(X) = \chi^1(X) + \chi^3(X) + \chi^5(X) \cdots \quad \text{the odd part,}$$

$$\chi^{\text{even}}(X) = \chi^0(X) + \chi^2(X) + \chi^4(X) \cdots \quad \text{the even part,}$$

then we get the following

$$\chi(X) = \chi^{\text{even}}(X) - \chi^{\text{odd}}(X), \quad \sigma(X) = \chi^{\text{even}}(X) + \chi^{\text{odd}}(X),$$

from which we have

$$(3.1) \quad \sigma(X) + \chi(X) = 2\chi^{\text{even}}(X), \quad \sigma(X) - \chi(X) = 2\chi^{\text{odd}}(X),$$

Thus, from either first or second formula of (3.1) we get the following:

Corollary 3.2 (mod 2 formula). *For any fiber bundle $F \hookrightarrow E \rightarrow B$ with F, E, B compact complex algebraic manifolds, we have*

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{2}.$$

Proof. Indeed, using the first formula of (3.1), we have $\sigma(E) + \chi(E) - (\sigma(F \times B) + \chi(F \times B)) \equiv 0 \pmod 2$, which becomes $\sigma(E) - \sigma(F)\sigma(B) \equiv 0 \pmod 2$, since $\sigma(F \times B) = \sigma(F)\sigma(B)$ and $\chi(E) = \chi(F \times B)$. Thus we get the result. \square

In [25], using the duality formula (2.1), we obtained $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod 4$ for any fiber bundle $F \hookrightarrow E \rightarrow B$ with F, E, B compact complex algebraic manifolds. For the sake of the reader we make a quick review of its proof. (Here we note that if $\dim_{\mathbb{C}} E$ is odd, then either $\dim_{\mathbb{C}} F$ or $\dim_{\mathbb{C}} B$ is odd, thus $\sigma(E) = 0$ and $\sigma(F)\sigma(B) = 0$, so $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod 4$ holds.)

Let $\dim_{\mathbb{C}} X = 2n$. Then, using the duality formula (2.1) we get the following:

$$\chi_y(X) = \sum_{i=0}^{n-1} \chi^i(X) y^i (1 + y^{2n-2i}) + \chi^n(X) y^n.$$

Thus, we have

$$\chi(X) = \chi_{-1}(X) = \sum_{i=0}^{n-1} (-1)^i 2\chi^i(X) + (-1)^n \chi^n(X),$$

$$\sigma(X) = \chi_1(X) = \sum_{i=0}^{n-1} 2\chi^i(X) + \chi^n(X).$$

$$(3.3) \quad \sigma(X) + \chi(X) = 2 \sum_{i=0}^{n-1} (1 + (-1)^i) \chi^i(X) + (1 + (-1)^n) \chi^n(X),$$

$$(3.4) \quad \sigma(X) - \chi(X) = 2 \sum_{i=0}^{n-1} (1 + (-1)^{i+1}) \chi^i(X) + (1 + (-1)^{n+1}) \chi^n(X).$$

In the case when $n = 2k$, (3.4) implies that

$$(3.5) \quad \sigma(X) - \chi(X) = 4 \sum_{j=1}^k \chi^{2j-1}(X),$$

which implies the following:

Corollary 3.6. *For any fiber bundle $F \hookrightarrow E \rightarrow B$ such that $\dim_{\mathbb{C}} E = 2n$ with an even integer n , we have*

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod 4.$$

The proof is just like the above case of mod 2 formula.

Next, we consider the case when $n = 2k + 1$. (3.3) implies that

$$(3.7) \quad \sigma(X) + \chi(X) = 4 \sum_{j=0}^k \chi^{2j}(X),$$

which implies the following

Corollary 3.8. *For any fiber bundle $F \hookrightarrow E \rightarrow B$ such that $\dim_{\mathbb{C}} E = 2n$ with an odd integer n ,*

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{4}.$$

Now we will discuss multiplicativity mod 4 of χ_y -genera of a fiber bundle $F \hookrightarrow E \rightarrow B$ with F, E, B compact complex algebraic manifolds. The following congruence is crucial for both the mod 4 result and for the mod 8 result.

Proposition 3.9.

$$\chi_y(X) \equiv \frac{\sigma(X)}{2}(1 + y) + \frac{\chi(X)}{2}(1 - y) \pmod{1 - y^2},$$

where considering mod $1 - y^2$ means “letting $y^2 = 1$ in the polynomial $\chi_y(X)$ ”.

Proof.

$$\begin{aligned} \chi_y(X) &= \sum \chi^i(X)y^i \\ &\equiv \chi^0(X) + \chi^1(X)y + \chi^2(X) + \chi^3(X)y + \chi^4(X) \\ &\quad + \chi^5(X)y + \dots \pmod{1 - y^2} \\ &= \chi^{\text{even}}(X) + \chi^{\text{odd}}(X)y \pmod{1 - y^2} \\ &= \frac{\sigma(X) + \chi(X)}{2} + \frac{\sigma(X) - \chi(X)}{2}y \pmod{1 - y^2} \quad (\text{using (3.1)}) \\ &= \frac{\sigma(X)}{2}(1 + y) + \frac{\chi(X)}{2}(1 - y) \pmod{1 - y^2}. \quad \square \end{aligned}$$

Remark 3.10. The polynomial $1 - y^2$ is zero at $y = -1, 1$, for which we have the special values $\chi_{-1}(X) = \chi(X)$ and $\chi_1(X) = \sigma(X)$.

Now we are ready to prove the following

Theorem 3.11. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are compact complex algebraic manifolds. Let y be an odd integer, then*

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{4}.$$

Proof. From Proposition 3.9, we can see that for any fiber bundle $F \hookrightarrow E \rightarrow B$ we have

$$\chi_y(E) - \chi_y(F)\chi_y(B) \equiv \frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(1 + y) \pmod{1 - y^2}.$$

We have shown before that $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{4}$, i.e. $\sigma(E) - \sigma(F)\sigma(B)$ is divisible by 4, thus $\frac{\sigma(E) - \sigma(F)\sigma(B)}{2}$ is even. Since y is odd, $1 + y$ is even, thus $\frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(1 + y) \equiv 0 \pmod{4}$, and $1 - y^2 = (1 - y)(1 + y) \equiv 0 \pmod{4}$. Thus we have $\chi_y(E) - \chi_y(F)\chi_y(B) \equiv 0 \pmod{4}$, i.e. for *any* odd integer y we have

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{4}.$$

□

4. Multiplicativity mod 8

We will now investigate multiplicativity modulo 8 and prove the main results of this note mentioned in the introduction.

Theorem 4.1. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are compact complex algebraic manifolds. Let y be an odd integer, then*

- (1) *If $y \equiv 3 \pmod{4}$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}$.*
- (2) *If $y \equiv 1 \pmod{4}$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8} \iff \sigma(E) \equiv \sigma(F)\sigma(B) \pmod{8}$.*

Proof. Again using Proposition 3.9, we can see that for any fiber bundle $F \hookrightarrow E \rightarrow B$ we have

$$\chi_y(E) - \chi_y(F)\chi_y(B) \equiv \frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(1 + y) \pmod{1 - y^2}.$$

- (1) First, we observe that if y is odd, then in fact we have $1 - y^2 \equiv 0 \pmod{8}$. Indeed, let $y = 2k + 1$. Then $1 - y^2 = (1 - y)(1 + y) = -2k(2k + 2) = -2 \cdot 2 \cdot k(k + 1)$ is divisible by $2 \cdot 2 \cdot 2 = 8$ because $k(k + 1)$ is always even. Let $y \equiv 3 \pmod{4}$, i.e. $1 + y$ is divisible by 4. Then we have $\chi_y(E) - \chi_y(F)\chi_y(B) \equiv \frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(1 + y) \equiv 0 \pmod{8}$. Therefore, we have

$$y \equiv 3 \pmod{4} \implies \chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}.$$

- (2) Let $y \equiv 1 \pmod 4$, i.e. let $y = 4k + 1$. Then $1 + y = 4k + 2 = 2(2k + 1)$. Thus

$$\chi_y(E) - \chi_y(F)\chi_y(B) \equiv \frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(1 + y) \equiv 0 \pmod 8$$

if and only if

$$\frac{\sigma(E) - \sigma(F)\sigma(B)}{2} \equiv 0 \pmod 4.$$

I.e.,

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 8 \iff \sigma(E) \equiv \sigma(F)\sigma(B) \pmod 8$$

□

Remark 4.2. If we consider $\chi_y(X)$ modulo $y - y^3$, i.e., by letting $y^3 = y$ in $\chi_y(X)$, then we have

$$\chi_y(X) \equiv \tau(X)(1 - y^2) + \frac{\chi(X)}{2}(y^2 - y) + \frac{\sigma(X)}{2}(y^2 + y) \pmod{y - y^3}.$$

In this case, we have the following formula:

$$\begin{aligned} \chi_y(E) - \chi_y(F)\chi_y(B) &\equiv (\tau(E) - \tau(F)\tau(B))(1 - y^2) \\ &\quad + \frac{\sigma(E) - \sigma(F)\sigma(B)}{2}(y^2 + y) \pmod{y - y^3}. \end{aligned}$$

Note that $y - y^3$ has zeros at $y = 0, -1, 1$ and we have $\chi_0(X) = \tau(X)$, $\chi_{-1}(X) = \chi(X)$, $\chi_1(X) = \sigma(X)$.

In Theorem 4.1 (2) we have shown that when $y \equiv 1 \pmod 4$, the χ_y -genera of a fiber bundle have the same multiplicativity behaviour as the signature modulo 8. This means that we can use the results about the multiplicativity of signature modulo 8 from [22, 23] to prove statements for χ_y -genera with $y \equiv 1 \pmod 4$. The first of these statements involves the definition of the Arf invariant of a certain \mathbb{Z}_2 -quadratic form associated to the fiber bundle. For the convenience of the reader, we recall here some relevant definitions and give precise references of where some necessary proofs can be found.

We start by defining a non-singular quadratic form over \mathbb{Z}_2 and the Arf invariant. Let V be a \mathbb{Z}_2 -vector space and λ a non-singular symmetric bilinear form

$$\lambda : V \otimes V \rightarrow \mathbb{Z}_2,$$

and let $h : V \rightarrow \mathbb{Z}_2$ be a \mathbb{Z}_2 -valued quadratic enhancement of this bilinear form which satisfies the following property,

$$h(x + y) = h(x) + h(y) + \lambda(x, y) \in \mathbb{Z}_2.$$

The Arf invariant was first defined in [1] as follows,

Definition 4.3. With a symplectic basis $\{e_1, \dots, e_k, \bar{e}_1, \dots, \bar{e}_k\}$ for V , the Arf invariant is defined as

$$\text{Arf}(h) = \sum_{j=1}^k h(e_j)h(\bar{e}_j) \in \mathbb{Z}_2.$$

A *characteristic element* of a symmetric form (V, λ) is an element $v \in V$ such that for any $u \in V$ one has:

$$\lambda(u, u) = \lambda(u, v) \in \mathbb{Z}_2.$$

For example, the Wu class $v_{2k}(M) \in H^{2k}(M; \mathbb{Z}_2)$ of a $4k$ -dimensional manifold M is a characteristic element of the intersection form.

A *sublagrangian subspace* of a symmetric form (V, λ) is a subspace L such that $\lambda(L, L) = 0$.

A *Lagrangian subspace* of a symmetric form (V, λ) is a subspace L such that $\lambda(L, L) = 0$ and $\dim L = \frac{1}{2} \dim V$.

The relation between the signature modulo 8 of a manifold and the Arf invariant was investigated in [22, Proposition 2.4.5 and Theorem 4.3.5.]. This relation is intricately connected to the \mathbb{Z}_8 -valued Brown-Kervaire invariant defined in [5] and Morita’s theorem [20, Theorem 1.1]. Morita’s theorem requires the use of the *Pontryagin squares* \mathcal{P}_2 . A good reference for this is [21, Chapter 2]. The relation states that when the signature of a $4k$ -dimensional manifold is divisible by 4, then modulo 8 this signature can be expressed as 4 times the Arf-Kervaire invariant of an associated \mathbb{Z}_2 -valued quadratic form. The details about how to construct this associated quadratic form are given in [22, Proposition 2.4.5 and Theorem 4.3.5.] and the construction for the case of a fiber bundle is given in Theorem 4.4.

Theorem 4.4. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are smooth compact complex algebraic varieties. If $y \equiv 1 \pmod{4}$, then*

$$\chi_y(E) - \chi_y(F)\chi_y(B) \equiv 4\text{Arf}(W, \mu, h) \pmod{8}.$$

where $(W, \mu, h) = (L^\perp/L, [\lambda \oplus -\lambda], [\mathcal{P}_2 \oplus -\mathcal{P}'_2] / 2)$ with

- $L = \langle v_{2k} \rangle \subset L^\perp$, with $v_{2k} = v_{2k}(E) \oplus v_{2k}(F \times B) \in H^{2k}(E; \mathbb{Z}_2) \oplus H^{2k}(F \times B; \mathbb{Z}_2)$ the Wu class of $E \sqcup F \times B$,
- $L^\perp = \{(x, x') \in H^{2k}(E; \mathbb{Z}_2) \oplus H^{2k}(F \times B; \mathbb{Z}_2) \mid \lambda(x, x) = \lambda'(x', x') \in \mathbb{Z}_2\}$,
- \mathcal{P}_2 and \mathcal{P}'_2 are the Pontryagin squares of E and $F \times B$ respectively.

Proof. This theorem is a direct consequence of [22, Theorem 6.2.1] and Theorem 4.1. □

The other statement which follows from the work on the signature modulo 8 in [22] and [23] is as follows:

Theorem 4.5. *Let $F \hookrightarrow E \rightarrow B$ be a fiber bundle such that F, E, B are smooth compact complex algebraic varieties with $\dim_{\mathbb{R}} F = 2m$. If the action of $\pi_1(B)$ on $H^m(F, \mathbb{Z})/\text{torsion} \otimes \mathbb{Z}_4$ is trivial, then for any odd integer y*

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}.$$

Proof. In [22, Theorem 6.3.1] it is shown that if the action of $\pi_1(B)$ on $H^m(F, \mathbb{Z})/\text{torsion} \otimes \mathbb{Z}_4$ is trivial, then

$$\sigma(E) \equiv \sigma(F)\sigma(B) \pmod{8}.$$

For a more succinct proof of this result see [23, Theorem 3.5]. Combining this with Theorem 4.1 (2) we obtain

$$\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod{8}.$$

□

5. Concluding remarks

Remark 5.1. As to the congruence formulae for χ_y , above we consider the case when y is an odd integer. When it comes to the case when y is an even integer, we have not found any interesting congruence formula.

Remark 5.2. In [9] Cappell, Libgober, Maxim and Shaneson obtain the following Atiyah-Meyer type formula:

$$\chi_y(E) = \int_B ch^*(\chi_y(\pi)) \cup \tilde{T}_y^*(TB),$$

where ch^* is the Chern character, $\chi_y(\pi)$ is the K -theory χ_y -characteristic of the bundle projection map $\pi : E \rightarrow B$ and $\tilde{T}_y^*(TB)$ is the unnormalized Hirzebruch class. For more detailed explanation of these see [9]. Since

$ch^0(\chi_y(\pi)) = \chi_y(F)$, as explained in [9], the right-hand-side of the above Atiyah-Meyer type formula is

$$\int_B ch^*(\chi_y(\pi)) \cup \tilde{T}_y^*(TB) = \chi_y(F)\chi_y(B) + \text{correction terms,}$$

where the correction terms measure the deviation from multiplicativity. Hence it follows from our results above that

- (1) for any odd integer y the expression given by the correction terms is divisible by 4,
- (2) if $y \equiv 3 \pmod 4$, the expression given by the correction terms is divisible by 8,
- (3) if $y \equiv 1 \pmod 4$, the expression given by the correction terms is divisible by 8 if and only if $\sigma(E) \equiv \sigma(F)\sigma(B) \pmod 8$.

It remains to be seen if one could get the above results directly from the above Atiyah-Meyer type formula.

Remark 5.3. Even if X is singular, we can define $\chi_y(X)$ (using the same symbol) using the mixed Hodge structure (e.g. see [4, 7, 9, 18]). We define $\chi_y(X) := \sum_{i,p \geq 0} (-1)^i \dim_{\mathbb{C}} Gr_{\mathcal{F}}^p(H_c^i(X, \mathbb{C}))(-y)^p$, where \mathcal{F} is the Hodge filtration of the mixed Hodge structure of X . In this case, we can consider the above congruences even for fiber bundles $F \hookrightarrow E \rightarrow B$ with F, E, B being possibly singular. In this case we have the following results, mod 4 and mod 8 being replaced by respectively mod 2 and mod 4:

- (1) For any odd integer y , $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 2$.
- (2) If $y \equiv 3 \pmod 4$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 4$.
- (3) If $y \equiv 1 \pmod 4$, then $\chi_y(E) \equiv \chi_y(F)\chi_y(B) \pmod 4 \iff \sigma^H(E) \equiv \sigma^H(F)\sigma^H(B) \pmod 4$. Here $\sigma^H(X) := \chi_1(X)$ is called the Hodge-signature of X , which is equal to the usual signature when X is nonsingular and compact.

However, if the duality formula (2.1) in §2 still holds even in the singular case¹, then the same results as in the smooth case hold, i.e., in the above formulas mod 2 and mod 4 are changed back to mod 4 and mod 8 respectively. We will deal with the singular case and the intersection homology χ_y -genus $I\chi_y(X)$ in a different paper.

¹A projective simplicial toric variety as discussed in [19] (cf. [17], [24]) is such a variety (pointed out by L. Maxim and J. Schürmann).

Remark 5.4. It seems that all these multiplicativity mod 4 and mod 8 properties for genera of compact complex algebraic manifolds are obtained by taking degrees of appropriate characteristic class formulae for the pushforward under the bundle projection map of (homology) characteristic classes of the total space. This is indeed the case for the usual multiplicativity and for the correction terms (e.g., see works of Banagl, Cappell, Libgober, Maxim, Schürmann, Shaneson [3, 10, 7, 9, 18] etc.). Thus, it is also reasonable to generalize such multiplicativity formulae of characteristic homology classes to the singular setting (e.g., by making use of intersection homology). We would like to deal with this problem as well in a different paper.

Remark 5.5. In this paper, the congruence formula (3.9) of two integral polynomials is a key. For $a(y), b(y) \in \mathbb{Z}[y]$, the congruence $a(y) \equiv 0 \pmod{b(y)}$ of course means that $\exists c(y) \in \mathbb{Z}[y]$ such that $a(y) = b(y)c(y)$. Then for any integer $n \in \mathbb{Z}$ we have $a(n) = b(n)c(n)$, i.e. $a(n) \equiv 0 \pmod{b(n)}$. (In our case, we consider only odd integers n , though.) Namely, we have

$$a(y) \equiv 0 \pmod{b(y)} \text{ (in } \mathbb{Z}[y]) \implies \forall n \in \mathbb{Z}, a(n) \equiv 0 \pmod{b(n)} \text{ (in } \mathbb{Z}).$$

It should be noted that the converse of this implication does not necessarily hold. A counterexample is given by Fermat's little theorem. Indeed, let p be a prime number and $a(y) = y^p - y, b(y) = p$. Fermat's Little Theorem says that for any integer $n \in \mathbb{Z}$ $n^p \equiv n \pmod{p}$, i.e. for $\forall n \in \mathbb{Z}$ $a(n) = n^p - n \equiv 0 \pmod{p}$. However clearly $a(y) = y^p - y \not\equiv 0 \pmod{p}$ (in $\mathbb{Z}[y]$). The case when $p = 5$ is pointed out in [6], where L.F. Cáceres and J. A. Vélez-Marulanda consider some special cases when this converse does hold.

Acknowledgements

We would like to thank Sylvain Cappell, Laurentiu Maxim, Andrew Ranicki and Jörg Schürmann for useful comments. We also would like to thank the referee for his/her thorough reading of the paper and useful comments and suggestions.

We both had opportunities to give talks at "Workshop on Stratified Spaces: Perspectives from Analysis, Geometry and Topology, August 22 - 26", in "Focus Program on Topology, Stratified Spaces and Particle Physics, August 8 - 26, 2016, The Fields Institute for Research in Mathematical Sciences". We would like to thank the organizers (M. Banagl, E. Bierstone, S. Cappell, L. Maxim and T. Weigand) of the workshop and the staff of The Fields Institute for the wonderful organization and for a wonderful atmosphere to work.

References

- [1] C. ARF, *Untersuchungen über quadratische Formen in Körpern der Charakteristik 2. I*, Journal für die Reine und Angewandte Mathematik, **183** (1941), 148–167.
- [2] M. F. ATIYAH, *The signature of fiber-bundles*, in “Global Analysis (Papers in Honor of K. Kodaira)”, Univ. Tokyo Press, Tokyo (1969), 73–84.
- [3] M. BANAGL, S. CAPPELL and J. SHANESON, *Computing twisted signatures and L-classes of stratified spaces*, Math. Ann. **326** (2003), 589–623.
- [4] J.-P. BRASSELET, J. SCHÜRMAN and S. YOKURA, *Hirzebruch classes and motivic Chern classes for singular spaces*, Journal of Topology and Analysis, **2**, No. 1 (2010), 1–55.
- [5] E. H. BROWN, JR., *Generalizations of the Kervaire invariant*, Ann. of Math. **95** (2) (1972), 68–383.
- [6] L. F. CÁCERES and J. A. VÉLEZ-MARULANDA, *Some Divisibility Properties in Ring of Polynomials over a UFD*, [arXiv:0806.1398v1](https://arxiv.org/abs/0806.1398v1) [math.AG].
- [7] S. E. CAPPELL, L. MAXIM and J. SHANESON, *Hodge genera of algebraic varieties, I*, Comm. Pure Appl. Math. **61** (2008), no. 3, 422–449.
- [8] S. E. CAPPELL, A. LIBGOBER, L. MAXIM and J. SHANESON, *Hodge genera and characteristic classes of complex algebraic varieties*, Electron. Res. Announc. Amer. Math. Sci. **15** (2008), 1–7.
- [9] S. E. CAPPELL, A. LIBGOBER, L. MAXIM and J. SHANESON, *Hodge genera of algebraic varieties, II*, Math. Ann. **345** (2009), 925–97.
- [10] S. CAPPELL and J. SHANESON, *Stratifiable maps and topological invariants*, J. Amer. Math. Soc., **4** (1991), 521–551.
- [11] S. S. CHERN, F. HIRZEBRUCH, J.-P. SERRE, *On the index of a fibered manifold*, Proc. Amer. Math. Soc. **8** (1957), 587–596.
- [12] I. HAMBLETON, A. KOREZENIEWSKI and A. RANICKI, *The signature of a fiber bundle is multiplicative mod 4*, Geometry & Topology, **11** (2007), 251–314.
- [13] F. HIRZEBRUCH, *The signature of ramified coverings*, in “Global Analysis (Papers in Honor of K. Kodaira)”, Univ. Tokyo Press, Tokyo (1969), 253–265.
- [14] F. HIRZEBRUCH, *Topological Methods in Algebraic Geometry*, 3rd ed. (1st German ed. 1956), Springer-Verlag (1966).

- [15] F. HIRZEBRUCH, T. BERGER and R. JUNG, *Manifolds and Modular Forms*, Vieweg (1992).
- [16] K. KODAIRA, *A certain type of irregular algebraic surfaces*, J. Analyse Math. **19** (1967), 207–215.
- [17] L. MAXIM, M. SAITO and J. SCHÜRMAN, *Symmetric products of mixed Hodge modules*, J. Math. Pures Appl. **96** (2011), 462–483.
- [18] L. MAXIM and J. SCHÜRMAN, *Hodge-theoretic Atiyah-Meyer formulae and the stratified multiplicative property*, in “Singularities I: Algebraic and Analytic Aspects”, Contemp. Math. **474** (2008), 145–167.
- [19] L. MAXIM and J. SCHÜRMAN, *Characteristic classes of singular toric varieties*, Comm. Pure Appl. Math. **68** (2015), 2177–2236.
- [20] S. MORITA, *On the Pontrjagin square and the signature*, J. Fac. Sci. Univ. Tokyo Sect. IA Math. **18** (1971), 405–414.
- [21] R.E. MOSHER and M.C. TANGORA, *Cohomology operations and applications in homotopy theory*, in “Harper & Row Publishers” (1968).
- [22] C. ROVI, *The Signature Modulo 8 of fiber Bundles*, available at <http://arxiv.org/pdf/1507.08328v1.pdf>, Ph.D Thesis, Univ. of Edinburgh, UK (2015).
- [23] C. Rovi, *The non-multiplicativity of the signature modulo 8 of a fiber bundle is the Arf-Kervaire invariant*, Algebraic & Geometric Topology, **18**, Issue 3, (2018), 1281–1322.
- [24] J. SCHÜRMAN, *Characteristic classes of mixed Hodge modules*, in “Topology of Stratified Spaces”, MSRI Publications, Vol. **8** (2011), 419–470.
- [25] S. YOKURA, *Hirzebruch χ_y -genera of complex algebraic fiber bundles — the multiplicativity of the signature modulo 4 —*, in “Schubert Varieties, Equivariant Cohomology and Characteristic Classes: IMPANGA 15”, EMS Series of Congress Reports, EMS Publishing House, (2018), 315–330.

Carmen Rovi
Department of Mathematics
Indiana University Bloomington
Rawles Hall, 831 E 3rd St
Bloomington
IN 47405
E-mail: crovi@indiana.edu

Shoji Yokura

Department of Mathematics and Computer Science

Graduate School of Science and Engineering

Kagoshima University

21-35 Korimoto 1-Chome

Kagoshima 890-0065

Japan

E-mail: yokura@sci.kagoshima-u.ac.jp