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## On the Growth of the Homology of a Free Loop Space

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**Abstract:** We prove that for a wide class of spaces  $X$  the homology of the free loop space  $H_*(X^{S^1}; \mathbb{Q})$  has a very strong exponential growth. We call this convergence, controlled exponential growth, and we prove the good behavior of the controlled exponential growth with respect to fibrations.

**Keywords:** Rational homotopy, free loop space homology

### 1 Introduction

In this paper we are concerned with the growth of the homology  $H_*(X^{S^1}; \mathbb{Q})$  of a free loop space on a simply connected space,  $X$ . Gromov conjectured in [11] that this vector space grows exponentially for almost all cases when  $X$  is a closed manifold. This would have an important consequence in Riemannian geometry, due to a theorem of Gromov, improved by Ballmann and Ziller:

**Theorem.** ([11], [2]). *Let  $N_g(t)$  denote the number of geometrically distinct closed geodesics of length  $\leq t$  on a simply connected closed Riemannian manifold  $(M, g)$ . Then, for generic metrics  $g$ , there are constants  $K > 0$  and  $\beta > 0$  such that for  $k$  sufficiently large,*

$$N_g(k) \geq K \cdot \max_{\ell \leq \beta k} \dim H_\ell(M^{S^1}; \mathbb{Q}).$$

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On the other hand it is known ([4],[9]) that, if  $X$  is any finite simply connected CW complex with non-trivial rational homology then, for the classical loop space  $\Omega X$ , either  $H_*(\Omega X; \mathbb{Q})$  grows polynomially, or else it grows exponentially. Since  $X^{S^1}$  fibres as  $\Omega X \rightarrow X^{S^1} \rightarrow X$ , in the first case  $H_*(X^{S^1}; \mathbb{Q})$  also grows at most polynomially. In [17] Vigué-Poirrier conjectures that in the second case,  $H_*(X^{S^1}; \mathbb{Q})$  should also grow exponentially, a conjecture which would give Gromov's conjecture as a special case.

The Vigué-Poirrier conjecture has been proved for a finite wedge of spheres [17], for a non-trivial connected sum of closed manifolds [15] and in the case  $X$  is coformal [14].

Here we shall establish this conjecture for a wide class of new spaces, and with a stronger conclusion.

For it, recall that a graded vector space  $\{V_i\}_{i \geq 0}$  of finite type grows exponentially if the sequence  $r_k = \sum_{i \leq k} \dim V_i$  grows exponentially; i.e., if there are constants  $1 < C_1 < C_2 < \infty$  such that for some  $K$ ,

$$C_1^k \leq r_k \leq C_2^k, \quad k \geq K.$$

We set

$$\log \text{index } V = \limsup_i \frac{\log \dim V_i}{i}.$$

In particular, for any path connected topological space  $X$  we write  $\log \text{index } \pi_*(X) = \log \text{index } \pi_{\geq 2}(X) \otimes \mathbb{Q}$ . It is straightforward to check that if a graded space  $V$  has exponential growth then  $0 < \log \text{index } V < \infty$ .

Associated with  $V$  is the Hilbert series  $V(z) = \sum_i \dim V_i z^i$ , and by definition the radius of convergence  $\rho_V$  of  $V(z)$  is given by  $\rho_V = e^{-\log \text{index } V}$ . Thus if  $V$  has exponential growth then  $0 < \rho_V < 1$ . Note as well that  $z \mapsto V(z)$  may be regarded as a function from  $[0, \infty]$  to  $[0, \infty]$ .

Here we shall consider a stronger condition than exponential growth

**Definition.** A graded vector space  $V = \{V_i\}_{i \geq 0}$  of finite type has *controlled exponential growth* if  $0 < \log \text{index } V < \infty$ , and for each  $\lambda > 1$  there is an infinite

sequence  $n_1 < n_2 < \dots$  such that  $n_{i+1} < \lambda n_i$ ,  $i \geq 0$ , and  $\dim V_{n_i} = e^{\alpha_I n_i}$  with  $\alpha_i \rightarrow \log \text{index } V$ .

As we shall observe in formula (4) below, for any simply connected space  $X$  with rational homology of finite type,

$$\log \text{index } H_*(X^{S^1}) \leq \log \text{index } \pi_*(X) = \log \text{index } H_*(\Omega X; \mathbb{Q}).$$

This motivates the following:

**Definition:** Let  $X$  be a simply connected space with rational homology of finite type, and such that  $\log \text{index } H_*(\Omega X; \mathbb{Q}) \in (0, \infty)$ . Then,  $X^{S^1}$  has *good exponential growth* if  $H_*(X^{S^1}; \mathbb{Q})$  has controlled exponential growth and

$$\log \text{index } H_*(X^{S^1}; \mathbb{Q}) = \log \text{index } H_*(\Omega X; \mathbb{Q}).$$

**Theorem 1.** Let  $X$  be a simply connected wedge of spheres of finite type such that  $\log \text{index } H_*(\Omega X; \mathbb{Q}) \in (0, \infty)$ . Then,  $X^{S^1}$  has good exponential growth.

**Remark:** In section three we prove an even stronger version (Theorem 1') of Theorem 1.

**Theorem 2.** Let  $F \rightarrow Y \rightarrow X$  be a fibration between simply connected spaces with rational homology of finite type. If  $\log \text{index } \pi_*(F) < \log \text{index } \pi_*(Y)$  and  $Y^{S^1}$  has good exponential growth, then  $X^{S^1}$  has good exponential growth and

$$\log \text{index } H_*(X^{S^1}) = \log \text{index } H_*(Y^{S^1}).$$

**Theorem 3.** Let  $Y \rightarrow X \rightarrow B$  be a fibration between simply connected spaces with rational homology of finite type. If  $\log \text{index } \pi_*(B) < \log \text{index } \pi_*(X)$ , then  $Y^{S^1}$  has good exponential growth if and only if  $X^{S^1}$  does. In this case  $H_*(Y^{S^1}; \mathbb{Q})$  and  $H_*(X^{S^1}; \mathbb{Q})$  have the same log index.

If  $X$  is a simply connected space then  $\pi_*(\Omega X) \otimes \mathbb{Q}$  equipped with the Samelson product is a graded Lie algebra, the *homotopy Lie algebra* of  $X$ . Recall that an element  $x$  in a graded Lie algebra,  $E = E_{\geq 1}$  of finite type is *inert* if

- (i) the ideal  $I$  it generates is free as a graded Lie algebra, and
- (ii) the  $U(E/I)$ -module  $I/[I, I]$  is free on the single element  $\bar{x}$  represented by  $x$ .

**Theorem 4.** *Let  $X$  be a simply connected space with rational homology of finite type and homotopy Lie algebra  $L_X$ . If  $L_X$  contains an inert element  $x$  and if the quotient Lie algebra  $L_X/(x)$  is finitely generated where  $(x)$  is the ideal generated by  $x$ , then  $X^{S^1}$  has good exponential growth.*

Finally, at the end of the paper we give a number of examples to which these theorems apply.

## 2 Growth and log index of Sullivan algebras

A *Sullivan algebra* ([7], §12 for the definition and assertions below) is a differential algebra of the form  $(\wedge W, d)$  in which  $\wedge W$  is the free graded commutative algebra generated by the graded vector space  $W = W^{\geq 1}$ , and  $W$  is the increasing union of subspaces  $W(k)$ ,  $k \geq 0$ , with  $d : W(0) \rightarrow 0$  and  $d : W(k) \rightarrow \wedge W(k-1)$ ,  $k \geq 1$ . It is *minimal* if  $d : W \rightarrow \wedge^2 W$ , and it has *finite type* if each  $W^k$  is finite dimensional. A *Sullivan extension* is an inclusion  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$  of Sullivan algebras, the quotient  $(\wedge W, \bar{d}) = lk \otimes_{\wedge V} (\wedge V \otimes \wedge W, d)$  is called the *fibre* of the extension.

Every connected commutative differential graded algebra  $(A, d)$  admits a quasi-isomorphism  $(\wedge W, d) \xrightarrow{\sim} (A, d)$  from a minimal Sullivan algebra;  $(\wedge W, d)$  is uniquely determined up to isomorphism and is called the *minimal Sullivan model* of  $(A, d)$ . In particular any Sullivan algebra is isomorphic to one of the form  $(\wedge W, d) \otimes \wedge(U \oplus dU)$  in which  $(\wedge W, d)$  is its minimal model and  $d : U^k \xrightarrow{\cong} (dU)^{k+1}$ ,  $k \geq 1$ . Finally, if  $(\wedge W, d)$  is a minimal Sullivan model, the graded vector space  $(\wedge W)^+ / (\wedge W)^+(\wedge W)^+$  will be denoted by  $\pi^*(\wedge W, d)$ ; this is isomorphic with  $W$ . Then, for any connected commutative differential graded algebra  $(A, d)$ , we set  $\pi^*(A, d) = \pi^*(\wedge W, d)$ , where  $(\wedge W, d)$  is the minimal model of  $(A, d)$ .

Let  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$  be a Sullivan extension of finite type. A basis

$v_1, \dots, v_r$  of  $V^1$  determines the decomposition of  $d$  given by

$$d\Phi = \bar{d}\Phi + \sum v_i \theta_i(\Phi) + \Omega, \quad \Phi \in \wedge V \otimes \wedge W,$$

where  $\bar{d}\Phi \in \wedge W$ , each  $\theta_i\Phi$  is in  $\wedge V^{\geq 2} \otimes \wedge W$  and  $\Omega \in \wedge^{\geq 2} V^1 \cdot (\wedge V \otimes \wedge W)$ . The  $\theta_i$  are then derivations of degree zero in  $\wedge V \otimes \wedge W$ .

**Definition.** The Sullivan algebra  $(\wedge V, d)$  is  $\pi_1$ -bounded if for some  $p$ ,

$$\theta_i^p : V \rightarrow \wedge^{\geq 2} V, \quad 1 \leq i \leq r.$$

The extension  $\wedge V \rightarrow \wedge V \otimes \wedge W$  is  $\pi_1$ -bounded if for some  $p$ ,

$$\theta_i^p : V \oplus W \rightarrow \wedge^{\geq 2}(V \oplus W), \quad 1 \leq i \leq r.$$

**Lemma 1.** Let  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$  be a Sullivan extension of finite type, and suppose the extension is  $\pi_1$ -bounded. If  $\dim V < \infty$  then  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth if and only if  $H(\wedge W, \bar{d})$  does. Moreover, in this case these graded vector spaces have the same log index.

*Proof:* Write  $(\wedge V, d) = (\wedge(v_1, \dots, v_n), d)$  with  $d(v_i) \in \wedge(v_1, \dots, v_{i-1})$ . By considering inductively the extension  $(\wedge v_i \otimes (\wedge(v_{i+1}, \dots, v_n) \otimes \wedge W), d)$  we reduce to the case  $\wedge V = \wedge v$ . Extend  $(\wedge v \otimes \wedge W, d)$  to  $(\wedge v \otimes \wedge W \otimes \wedge \bar{v}, d)$  by setting  $d\bar{v} = v$ . Filtering by degree in  $\wedge v$  yields a spectral sequence converging to  $H(\wedge v \otimes \wedge W, d)$  from  $\wedge v \otimes H(\wedge W, \bar{d})$ . Similarly, if  $\deg v \geq 2$  there is a spectral sequence converging from  $H(\wedge v \otimes \wedge W, d) \otimes \wedge \bar{v}$  to  $H(\wedge v \otimes \wedge W \otimes \bar{v}, d) \cong H(\wedge W, \bar{d})$ . It follows that

$$(1) \quad \dim H^i(\wedge V \otimes \wedge W, d) \leq \sum_{j \leq i} \dim H^j(\wedge W, \bar{d}), \quad i \geq 0$$

and, if  $\deg v \geq 2$ ,

$$\dim H^i(\wedge W, \bar{d}) \leq \sum_{j \leq i} \dim H^j(\wedge v \otimes \wedge W, d), \quad i \geq 0.$$

The lemma is immediate if  $\deg v \geq 2$ .

If  $\deg v = 1$  we write  $d(1 \otimes \Phi) = 1 \otimes \bar{d}\Phi + v \otimes \theta\Phi$ ,  $\Phi \in \wedge W$ . Then  $\theta$  is a derivation of degree zero in  $\wedge W$ , and  $\theta\bar{d} = \bar{d}\theta$ . In particular, if  $z$  is a  $\bar{d}$ -cycle in

$\wedge W$  and  $\theta z = \bar{d}\Phi$  then  $d(1 \otimes z + v \otimes \Phi) = 0$ , and if  $z$  is not a  $\bar{d}$ -boundary then  $1 \otimes z + v \otimes \Phi$  is not a  $d$ -boundary. Thus  $\ker H(\theta)$  injects into  $H(\wedge v \otimes \wedge W)$ .

But since  $\wedge v \rightarrow \wedge v \otimes \wedge W$  is  $\pi_1$ -bounded, for some  $p$ ,  $\theta^p : W \rightarrow \wedge^{\geq 2} W$ . Thus  $\theta^{ps} : \wedge^s W \rightarrow \wedge^{s+1} W$ ,  $s \geq 1$ , and so

$$\theta^{p \frac{k(k+1)}{2}} : (\wedge W)^k \rightarrow (\wedge^{\geq k+1} W)^k = 0.$$

It follows that for  $k > 0$ ,

$$\frac{2}{pk(k+1)} \dim H^k(\wedge W, \bar{d}) \leq \dim [\ker H(\theta)]^k \leq \dim H^k(\wedge v \otimes \wedge W).$$

The lemma follows from this and formula (1) above.  $\square$

**Proposition 1.** *Let  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$  be a  $\pi_1$ -bounded Sullivan extension of finite type. Suppose that*

$$\log \text{index } H(\wedge W, \bar{d}) < \log \text{index } H(\wedge V \otimes \wedge W, d) < \infty,$$

$$\log \text{index } H(\wedge V, d) \leq \log \text{index } H(\wedge V \otimes \wedge W, d),$$

and that  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth. Then

$$\log \text{index } H(\wedge V, d) = \log \text{index } H(\wedge V \otimes \wedge W, d)$$

and  $H(\wedge V, d)$  has controlled exponential growth.

*proof :* Write  $V = U \oplus Y$  so that  $\dim U < \infty$ ,  $U^1 = V^1$ , and  $\wedge U$  is preserved by  $d$ . Apply Lemma 1 to the Sullivan extensions

$$(\wedge U, d) \rightarrow (\wedge U \otimes \wedge Y, d) \quad \text{and} \quad (\wedge U, d) \rightarrow (\wedge U \otimes \wedge(Y \oplus W), d)$$

to conclude that it is sufficient to prove the proposition for the extension

$$(\mathbb{k} \otimes_{\wedge U} \wedge V, d) \rightarrow \mathbb{k} \otimes_{\wedge U} (\wedge V \otimes \wedge W, d),$$

and that this extension does satisfy the hypotheses of the proposition. Thus, we restrict to the case  $V^1 = 0$ .

Filtering by the subspaces  $(\wedge V)^{\geq p} \otimes \wedge W$  yields a spectral sequence converging from  $H(\wedge V, d) \otimes H(\wedge W, \bar{d})$  to  $H(\wedge V \otimes \wedge W, d)$ . Setting  $b_i^V = \dim H^i(\wedge V, d)$ ,  $b_i^W = \dim H^i(\wedge W, \bar{d})$  and  $b_i^{V \oplus W} = \dim H^i(\wedge V \otimes \wedge W, d)$ , we have

$$(2) \quad b_k^{V \oplus W} \leq \sum_{i=0}^k b_i^V b_{k-i}^W, \quad k \geq 0.$$

In particular  $\log \text{index } H(\wedge V \otimes \wedge W, d) \leq \max\{\log \text{index } H(\wedge V, d), \log \text{index } H(\wedge W, \bar{d})\}$  and so our hypotheses imply

$$\log \text{index } H(\wedge V \otimes \wedge W, d) = \log \text{index } H(\wedge V, d).$$

Next, denote  $\log \text{index } H(\wedge W, \bar{d})$  and  $\log \text{index } H(\wedge V, d)$  respectively by  $\beta$  and  $\alpha$ . Then,  $\beta < \alpha$ . By definition of log index, given any  $\varepsilon > 0$  there is a  $C = C(\varepsilon)$  such that for all  $k \geq 0$ ,

$$(3) \quad b_k^V \leq C e^{(\alpha+\varepsilon)k} \quad \text{and} \quad b_k^W \leq C e^{(\beta+\varepsilon)k}.$$

Now, fix  $\lambda > 1$  and choose  $\gamma, \varepsilon > 0$  so that  $\gamma + \varepsilon < \frac{\lambda-1}{\lambda}(\alpha - \beta)$ .

**Lemma 2.** *For any integer  $k > 0$  and any integer  $m > 0$  such that  $\lambda m \leq k$  it follows that*

$$\sum_{i=k-m}^k b_{k-i}^V b_i^W \leq (m+1)C^2 e^{(\alpha-\gamma)k}.$$

*Proof:* From (3) we have

$$\sum_{i=k-m}^k b_{k-i}^V b_i^W \leq \sum_{i=k-m}^k C^2 e^{(\beta+\varepsilon)i} e^{(\alpha+\varepsilon)(k-i)}.$$

Now

$$\begin{aligned} (\beta + \varepsilon)i + (\alpha + \varepsilon)(k - i) &= \alpha k + (\beta - \alpha)i + \varepsilon k \\ &\leq \alpha k + (\beta - \alpha)(k - m) + \varepsilon k \\ &= k [\alpha + (\beta - \alpha)(1 - \frac{m}{k}) + \varepsilon] \\ &\leq k [\alpha + (\beta - \alpha)(1 - \frac{m}{\lambda m}) + \varepsilon] \\ &= k [\alpha + (\beta - \alpha)(1 - \frac{1}{\lambda}) + \varepsilon] \end{aligned}$$

But we have chosen  $\gamma$  and  $\varepsilon$  so that

$$\gamma + \varepsilon \leq \frac{\lambda - 1}{\lambda}(\alpha - \beta).$$

It follows that

$$\left(\frac{\lambda - 1}{\lambda}\right)(\beta - \alpha) \leq -\gamma - \varepsilon$$

and so

$$k \left[ \alpha + (\beta - \alpha) \left(1 - \frac{1}{\lambda}\right) + \varepsilon \right] \leq k [\alpha - \gamma - \varepsilon + \varepsilon] = (\alpha - \gamma)k.$$

□

**Lemma 3.** *Let  $k > 0$  and  $m$  be integers such that  $\lambda m \leq k < \lambda(m+1)$ . If*

$$b_i^V \leq e^{(\alpha-\delta)i} \quad m+1 \leq i \leq k,$$

then,

$$\sum_{i=0}^k b_{k-i}^V b_i^W \leq C e^{\alpha k} \left[ (m+1) C e^{-\gamma k} + k e^{-(\delta/\lambda)k} \right].$$

*Proof:* We first observe that  $(m+1) > k/\lambda$  since  $k < \lambda(m+1)$ . Thus, for any  $\delta > 0$ ,

$$\begin{aligned} \sum_{i=0}^{k-m-1} b_{k-i}^V b_i^W &\leq \sum_{i=0}^{k-m-1} C e^{(\beta+\varepsilon)i} e^{(\alpha-\delta)(k-i)} < \sum_{i=0}^{k-m-1} C e^{\alpha i} e^{(\alpha-\delta)(k-i)} \\ &= C e^{\alpha k} \sum_{i=0}^{k-m-1} e^{-\delta(k-i)} < k C e^{\alpha k} e^{-\delta(m+1)} < k C e^{\alpha k} e^{-(\delta/\lambda)k}. \end{aligned}$$

The lemma now follows from Lemma 2. □

We return to the proof of the proposition. Fix  $\mu > 1$  and choose  $\lambda$  so that  $1 < \lambda^2 < \mu$ . Since  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth and (as observed above) its log index is  $\alpha$ , there is an infinite sequence  $q_1 < q_2 < \dots$  such that  $q_{j+1} < \lambda q_j$  and

$$\frac{\log b_{q_j}^{V \oplus W}}{q_j} \rightarrow \alpha.$$

Write  $b_{q_j}^{V \oplus W} = e^{(\alpha + \varepsilon_j)q_j}$ . Then,  $\varepsilon_j \rightarrow 0$ .

Next, we extend any integer  $p_1$  to an infinite sequence  $p_1 < p_2 < \dots$  as follows. Assuming  $p_1 < \dots < p_{i-1}$  are constructed, note that for some  $j = j(i)$ ,  $q_j$  must satisfy  $\lambda p_{i-1} < q_j < \lambda^2 p_{i-1}$ . Then choose  $p_i$  so that  $\lambda p_{i-1} \leq p_i \leq q_j$  and

$$\frac{\log b_{p_i}^V}{p_i} \geq \frac{\log b_s^V}{s} \quad \text{for } \lambda p_{i-1} \leq s \leq q_j.$$

Clearly,  $p_i \geq \lambda p_{i-1} > p_{i-1}$  and  $p_i < \lambda^2 p_{i-1} < \mu p_{i-1}$ .

Write

$$b_{p_i}^V = e^{(\alpha - \delta_i)p_i},$$

and apply Lemma 3 with  $k = q_j$  and  $m$  the largest integer such that  $\lambda m \leq q_j$ . This, together with (2) yields

$$e^{(\alpha + \varepsilon_j)q_j} = b_{q_j}^{V \oplus W} \leq \sum_{i=0}^{q_j} b_{q_j-i}^V b_i^W \leq C e^{\alpha q_j} \left[ C(m+1)e^{-\gamma q_j} + q_j e^{(-\delta_i/\lambda)q_j} \right].$$

By hypothesis,  $\log \text{index } H(\wedge V, d) = \alpha$  and so  $\limsup_i (-\delta_i) \leq 0$ . On the other hand, if  $-\delta_i < -\tau < 0$  for infinitely many  $i$  we may choose  $\sigma$  so that  $-\gamma < -\sigma$ , and  $-\delta_i/\lambda < -\sigma$  for those  $i$ . Then, the inequality above reduces to

$$\varepsilon_j \leq \frac{\log(C^2(m+1) + Cq_j)}{q_j} - \sigma$$

and this must hold for infinitely many  $j = j(i)$ , which is impossible because  $\varepsilon_j \rightarrow 0$ . It follows that  $\delta_i \rightarrow 0$  and so  $H(\wedge V, d)$  has controlled exponential growth.  $\square$

**Lemma 4.** *Let  $W = W^{>0}$  be a graded vector space of finite type and let  $L = L_{>0}$  be a graded Lie algebra of finite type. Then,*

$$\log \text{index } \wedge W = \log \text{index } W \quad \text{and} \quad \log \text{index } UL = \log \text{index } L.$$

*In particular, if  $(\wedge W, d)$  is a Sullivan algebra then  $\log \text{index } H(\wedge W, d) \leq \log \text{index } W$ .*

*Proof:* The first equality follows from the second: let  $L$  be the abelian Lie algebra defined by  $L_k \cong W^k$ , and note that  $(UL)_k \cong (\wedge W)^k$ . For the second,

let  $\mu = \log \text{index } L$ . Then, for any  $h > 0$ , there is an integer  $n(h)$  such that  $\dim(UL_{>n(h)})_k \leq e^{(\mu+h)k}$  ([9], Lemma 1). Now  $UL \cong \wedge L_{\leq n(h)} \otimes UL_{>n(h)}$ . The first factor grows polynomially, and so we have

$$\log \text{index } UL = \log \text{index } UL_{>n(h)} \leq \mu + h.$$

Since this holds for any  $h > 0$ ,  $\log \text{index } UL \leq \mu = \log \text{index } L$ .  $\square$

**Proposition 2.** *Let  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$  be a  $\pi_1$ -bounded Sullivan extension of finite type in which*

$$\log \text{index } V < \log \text{index } H(\wedge W, \bar{d}) < \infty.$$

*Then,  $\log \text{index } H(\wedge V \otimes \wedge W, d) = \log \text{index } H(\wedge W, \bar{d})$ , and  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth if and only if  $H(\wedge W, \bar{d})$  does.*

*Proof:* As in Proposition 1 we may assume  $V^1 = 0$ . Then, from the spectral sequence converging from  $H(\wedge V, d) \otimes H(\wedge W, \bar{d})$  to  $H(\wedge V \otimes \wedge W, d)$ , we deduce  $\log \text{index } H(\wedge V \otimes \wedge W, d) \leq \log \text{index } H(\wedge W, \bar{d})$ . Next, recall from ([7], Lemma 12.5) that there is a Sullivan extension  $(\wedge V, d) \rightarrow (\wedge V \otimes \wedge \bar{V}, d)$  with  $H^+(\wedge V \otimes \wedge \bar{V}, d) = 0$  and  $\bar{V}^k \cong V^{k+1}$ ,  $k \geq 1$ . This gives the Sullivan extension

$$(\wedge V \otimes \wedge W, d) \rightarrow (\wedge V \otimes \wedge W, d) \otimes_{\wedge V} (\wedge V \otimes \wedge \bar{V}, d) = (\wedge V \otimes \wedge W \otimes \wedge \bar{V}, d).$$

Since  $d : \bar{V} \rightarrow \wedge V \otimes \wedge \bar{V}$  and  $\wedge V$  is simply connected it follows that the spectral sequence for this extension converges from  $H(\wedge V \otimes \wedge W, d) \otimes H(\wedge \bar{V}, \bar{d})$  to  $H(\wedge V \otimes \wedge W \otimes \wedge \bar{V}, d) \cong H(\wedge W, \bar{d})$ . It follows that  $\log \text{index } H(\wedge W, \bar{d}) \leq \log \text{index } H(\wedge V \otimes \wedge W, d)$ .

Finally, the argument of Proposition 1, interchanging the roles of  $H(\wedge V, d)$  and  $H(\wedge W, \bar{d})$ , shows that if  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth then  $H(\wedge W, \bar{d})$  has also controlled exponential growth. The same argument, applied to the Sullivan extension  $(\wedge V \otimes \wedge W, d) \rightarrow (\wedge V \otimes \wedge W \otimes \wedge \bar{V}, d)$  shows that  $H(\wedge V \otimes \wedge W, d)$  has controlled exponential growth if  $H(\wedge W, \bar{d})$  does.  $\square$

### 3 Growth and log index of free loop spaces

Let  $X$  be a simply connected space with rational homology of finite type. Then, the free loop space  $X^{S^1}$  is the total space of a fibration

$$X \leftarrow X^{S^1} \leftarrow \Omega X.$$

In particular, from Lemma 4 we deduce that

$$(4) \quad \log \text{index } H_*(X^{S^1}; \mathbb{Q}) \leq \log \text{index } \pi_*(X) = \log \text{index } H_*(\Omega X; \mathbb{Q}).$$

Now suppose that  $(\wedge W, d)$  is a Sullivan model for  $X$ . Then, in [19], Vigué-Poirrier and Sullivan showed that the fibration above corresponds to a Sullivan extension

$$(\wedge W, d) \rightarrow (\wedge W \otimes \wedge \bar{W}, d) \rightarrow (\wedge \bar{W}, 0)$$

where  $\bar{W}^k = W^{k+1}$  (the identification being denoted by  $\bar{w} \leftrightarrow w$ ,  $w \in W$ ) and the differential in  $\wedge W \otimes \wedge \bar{W}$  is defined as follows: let  $\delta$  be the derivation in  $\wedge W \otimes \wedge \bar{W}$  given by  $\delta w = \bar{w}$  and  $\delta \bar{w} = 0$  and set  $d\bar{w} = -\delta dw$ . In particular  $(\wedge W \otimes \wedge \bar{W}, d)$  is a Sullivan model for  $X^{S^1}$  (minimal if  $(\wedge W, d)$  is) and the morphism  $H(\wedge W \otimes \wedge \bar{W}, d) \rightarrow \wedge \bar{W}$  is dual to the morphism  $H_*(\Omega X; \mathbb{Q}) \rightarrow H_*(X^{S^1}; \mathbb{Q})$ .

We now turn our attention to Theorem 1. The first step in the proof is an analysis of the case of a Sullivan algebra  $(\wedge W, d)$ , 1-connected and of finite type, in which  $d : W \rightarrow \wedge^2 W$ . In this case ([7], §24, Example 7),  $(\wedge W, d) = \mathcal{C}^*(L)$  where  $L$  is the homotopy Lie algebra of  $(\wedge W, d)$ . Moreover, in  $(\wedge W \otimes \wedge \bar{W}, d)$  we have  $d : \wedge \bar{W} \rightarrow W \otimes \wedge \bar{W}$ , and so ([7], §23(e)),  $(\wedge W \otimes \wedge \bar{W}, d) = \mathcal{C}^*(L; \wedge \bar{W})$  for a representation of  $L$  in  $\wedge \bar{W}$ .

On the other hand,  $W$  is the dual of  $sL$ , where  $(sL)_q = L_{q-1}$  ([7], §22(e)). It follows that  $\bar{W}$  is the dual of  $L$  and so  $\wedge^k \bar{W}$  is dual to  $\wedge^k L$  via a pairing described for  $\wedge^k W$  and  $\wedge^k sL$  in ([7], §21(e)). Thus  $(\wedge W \otimes \wedge \bar{W}, d)$  is dual to  $C_*(L, \wedge L)$  and a straightforward computation shows that the representation of  $L$  in  $\wedge L$  is just the adjoint representation. But the Poincaré Birkoff Witt isomorphism ([7], Prop. 21.2) commutes with the adjoint representations of  $L$  in  $UL$  and in  $\wedge L$ , and so  $(\wedge W \otimes \wedge \bar{W}, d)$  is dual to  $C_*(L; UL)$ . This identifies the morphism  $H_*(\Omega X; \mathbb{Q}) \rightarrow H_*(X^{S^1}; \mathbb{Q})$  with the morphism  $UL \rightarrow \text{Tor}_0^{UL}(\mathbb{Q}, UL)$ , whose image is  $\text{Tor}_0^{UL}(\mathbb{Q}, UL)$ .

**Theorem 1'.** *Let  $X$  be a simply connected wedge of spheres of finite type such that  $\log \text{index } H_*(\Omega X; \mathbb{Q}) = \alpha \in (0, \infty)$ . Then, the image  $\text{Im}$  of  $H_*(\Omega X; \mathbb{Q})$  in  $H_*(X^{S^1}; \mathbb{Q})$  satisfies*

$$\log \text{index } \text{Im} = \log \text{index } H_*(X^{S^1}; \mathbb{Q}) = \log \text{index } H_*(\Omega X; \mathbb{Q}).$$

Moreover for some  $d$  and any  $\varepsilon > 0$  there is a  $K' = K'(\varepsilon)$  such that for  $n \geq K'$

$$e^{(\alpha-\varepsilon)n} \leq \sum_{i=n}^{n+d} \dim (\text{Im})_i \leq \sum_{i=n}^{n+d} e^{(\alpha+\varepsilon)n}.$$

In particular,  $\text{Im}$  has controlled exponential growth.

**Corollary.** *With  $X$  as in Theorem 1', given  $\varepsilon > 0$ , there is a  $K'' = K''(\varepsilon)$  such that, for  $n \geq K''$ ,*

$$e^{(\alpha-\varepsilon)n} \leq \sum_{i=n}^{n+d} \dim H_i(X^{S^1}; \mathbb{Q}) \leq e^{(\alpha+\varepsilon)n}.$$

In particular,  $H_*(X^{S^1}; \mathbb{Q})$  has controlled exponential growth.

*Proof of Theorem 1':* In this case ([7], Theorem 24.5),  $L$  is a free Lie algebra,  $UL$  is a tensor algebra  $TU$ , and the differential in the Sullivan model  $(\wedge W, d)$  maps  $W$  to  $\wedge^2 W$ . In view of the discussion preceding the statement of Theorem 1' we may identify  $\text{Im} \cong \text{Tor}_0^{TU}(\mathbb{Q}, TU)$ .

Let  $v_i$  be a basis of  $U$  and let  $r \geq 1$  be an integer. In the tensor algebra  $TU$ , the subspace  $T^r U$  spanned by the monomials of length  $r$  admits an automorphism  $\sigma$  defined by

$$\sigma(v_{i_1} \otimes \cdots \otimes v_{i_r}) = (-1)^{|v_{i_1}| |v_{i_2} \otimes \cdots \otimes v_{i_r}|} v_{i_2} \otimes \cdots \otimes v_{i_r} \otimes v_{i_1}.$$

Note that  $\sigma$  preserves degrees and that  $\sigma^r = id$ . Moreover, denoting the adjoint representation of  $TU$  in itself by  $\Phi \otimes \Psi \mapsto \Phi \circ \Psi$  we have that

$$v_{i_1} \circ (v_{i_2} \otimes \cdots \otimes v_{i_r}) = (id - \sigma)(v_{i_1} \otimes \cdots \otimes v_{i_r}).$$

It follows that

$$(5) \quad \sum_{j=0}^{r-1} \sigma^j [v_{i_1} \circ (v_{i_2} \otimes \cdots \otimes v_{i_r})] = \sum_{j=0}^{r-1} \sigma^j (v_{i_1} \otimes \cdots \otimes v_{i_r}) - \sum_{j=1}^r \sigma^j (v_{i_1} \otimes \cdots \otimes v_{i_r}) = 0.$$

The isomorphisms  $\sigma^i$  ( $0 \leq i < r$ ) give an action of the cyclic group of order  $r$  on the set of monomials of length  $r$ , so that each orbit has at most  $r$  elements. Let  $W(\tau)$  be the linear span of the monomials in an orbit  $\tau$ , so that

$$T^r U = \bigoplus_{\tau} W(\tau)$$

and for each degree  $k$ ,

$$(T^r U)_k = \bigoplus_{\tau} W_k(\tau).$$

Write  $I = \sum_{j=0}^{r-1} \sigma^j$  and fix a single monomial  $w(\tau) = x_1 \otimes \cdots \otimes x_r$  in each  $W_k(\tau)$ . If  $I(w(\tau)) = 0$  then for some  $\ell < r$ ,  $\sigma^\ell(w(\tau)) = -w(\tau)$ . This shows that

$$w(\tau) = (x_1 \otimes \cdots \otimes x_\ell) \otimes \cdots \otimes (x_1 \otimes \cdots \otimes x_\ell).$$

Since  $\sigma^\ell(w(\tau)) = -w(\tau)$ ,  $x_1 \otimes \cdots \otimes x_\ell$  has odd degree and the number of tensorands  $(x_1 \otimes \cdots \otimes x_\ell)$  is even. Therefore,  $r$  and  $k$  are even and  $w(\tau)$  is the square of a monomial in  $T^{r/2} U$ .

Let  $\mathcal{U}_k^{(r)}$  be the linear span of the  $w(\tau)$  that are not in the kernel of  $I$ . Since  $I((TU)_+ \circ TU) = 0$  it follows that

$$(6) \quad \mathcal{U}_k^{(r)} \cap ((TU)_+ \circ TU) = 0.$$

Moreover, since each orbit has at most  $r$  monomials, there are at least  $\frac{m(k, r)}{r}$  orbits where  $m(k, r) = \dim(T^r U)_k$ . Since the number of  $w(\tau)$  in  $\ker I$  is  $\leq m(k/2, r/2)$ , it follows that  $(T^r U)_k \cap [(TU)_+ \circ TU]$  has codimension at least  $\frac{m(k, r)}{r} - m(k/2, r/2)$ . Hence,

$$\dim \left[ \mathrm{Tor}_0^{TU}(\mathbb{Q}, TU) \right]_k \geq \sum_{r \geq 1} \left( \frac{m(k, r)}{r} - m(k/2, r/2) \right).$$

On the other hand, since  $U = U_{>0}$ ,  $(T^r U)_k = 0$  for  $r > k$ . Thus,

$$\sum_r \frac{m(k, r)}{r} = \sum_{r \leq k} \frac{m(k, r)}{r} \geq \frac{1}{k} \sum_{r \leq k} m(k, r) = \frac{1}{k} \sum_{r \leq k} \dim(T^r U)_k = \frac{1}{k} \dim(TU)_k.$$

From ([9], Theorem 4) we deduce that there is a positive integer  $d$  such that, for each  $\varepsilon > 0$ , there is an integer  $K$  for which

$$e^{(\alpha-\varepsilon)n} \leq \sum_{i=n}^{n+d} \dim(TU)_i \leq e^{(\alpha+\varepsilon)n}, \quad n \geq K.$$

Therefore, for  $n \geq K$ ,

$$\frac{e^{(\alpha-\varepsilon)n}}{n+d} - e^{\frac{(\alpha+\varepsilon)n}{2}} \leq \sum_{i=n}^{n+d} \dim \left[ \mathrm{Tor}_0^{TU}(\mathbb{Q}, TU) \right]_i \leq \sum_{i=n}^{n+d} \dim(TU)_i \leq e^{(\alpha+\varepsilon)n}.$$

It follows that, given  $\varepsilon > 0$ , there is a  $K'$  such that, for  $n \geq K'$ ,

$$e^{(\alpha-\varepsilon)n} \leq \sum_{i=n}^{n+d} \dim \mathrm{Tor}_0^{TU}(\mathbb{Q}, TU) \leq e^{(\alpha+\varepsilon)n}.$$

□

We next consider a general map  $Y \rightarrow X$  of simply connected spaces in which  $Y$  and  $X$  have rational homology of finite type. There is then ([7], Proposition 15.5) a Sullivan extension

$$(\wedge V, d) \rightarrow (\wedge V \otimes \wedge W, d)$$

in which  $(\wedge V, d)$  is a minimal Sullivan model for  $X$ , the fibre  $(\wedge W, \bar{d})$  is a minimal Sullivan model for the homotopy fibre  $F$  of  $\varphi$ , and  $(\wedge V \otimes \wedge W, d)$  is a Sullivan model for  $Y$ . This yields the Sullivan extension

$$(\wedge V \otimes \wedge \bar{V}, d) \rightarrow (\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d)$$

from a Sullivan model of  $X^{S^1}$  to a Sullivan model of  $Y^{S^1}$  with fibre a Sullivan model of  $F^{S^1}$ .

**Lemma 5.** *This Sullivan extension is  $\pi_1$ -bounded.*

*Proof:* Let  $\bar{v}_1, \dots, \bar{v}_s$  be a basis for  $\bar{V}^1$  and let  $\theta_1, \dots, \theta_s$  be the corresponding derivations in  $\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}$ . From the definition of the differential it follows that each  $\theta_i$  vanishes in  $V$  and  $W$  and that each  $\theta_i$  maps  $\bar{V}$  into  $\wedge V$  and  $\bar{W}$  into  $\wedge V \otimes \wedge W$ . Thus  $\theta_i^2$  vanishes in  $V, W, \bar{V}$  and  $\bar{W}$ . □

**Proposition 3.** *Let  $X$  be a simply connected space with rational homology of finite type and denote by  $X(k)$  its  $k$ -connected cover. Then,  $X^{S^1}$  has good exponential growth if and only if  $X(k)^{S^1}$  does.*

*Proof:* We may suppose  $X$  is a CW complex, in which case we have a fibration  $X(k) \rightarrow X \rightarrow Z$  in which  $\pi_*(Z) = \{\pi_i(X)\}_{i \leq k}$ . In particular  $\pi_*(Z) \otimes \mathbb{Q}$  is finite dimensional. This ([7], Proposition 15.5), gives rise to a  $\pi_1$ -bounded Sullivan extension

$$(\wedge V^{\leq k} \otimes \wedge \bar{V}^{< k}, d) \rightarrow (\wedge V^{\leq k} \otimes \wedge \bar{V}^{< k} \otimes \wedge V^{> k} \otimes \wedge \bar{V}^{\geq k}, d),$$

in which  $H(\wedge V^{> k} \otimes \wedge \bar{V}^{\geq k}, \bar{d}) \cong H^*(X(k)^{S^1}; \mathbb{Q})$ . The proposition follows from Lemma 1.  $\square$

*Proof of Theorem 2:* The map  $\varphi$  induces a map  $\varphi(2) : Y(2) \rightarrow X(2)$  between the 2-connected covers of  $Y$  and  $X$ . Denote its homotopy fibre by  $F'$ . Clearly,  $\log \text{index } \pi_*(F') = \log \text{index } \pi_*(F)$  and  $\log \text{index } H_*(\Omega Y(2); \mathbb{Q}) = \log \text{index } \pi_*(Y(2)) = \log \text{index } \pi_*(Y) = \log \text{index } H_*(\Omega Y; \mathbb{Q})$ . By Proposition 3,  $H_*(Y^{S^1}; \mathbb{Q})$  (respectively  $H_*(X^{S^1}; \mathbb{Q})$ ) has good exponential growth if and only if  $H_*(Y(2)^{S^1}; \mathbb{Q})$  (respectively  $H_*(X(2)^{S^1}; \mathbb{Q})$ ) does. Thus, we lose no generality in assuming that  $Y$  and  $X$  are 2-connected, in which case  $F$  is simply connected.

Next, note that, since  $\log \text{index } \pi_*(-) \otimes \mathbb{Q} = \log \text{index } H_*(\Omega -; \mathbb{Q})$  (cf (4)), the long exact homotopy sequence for  $F \rightarrow Y \rightarrow X$  gives

$$\log \text{index } H_*(\Omega Y; \mathbb{Q}) = \log \text{index } H_*(\Omega X; \mathbb{Q}).$$

It is thus sufficient to show that  $H_*(X^{S^1}; \mathbb{Q})$  has good exponential growth and that

$$\log \text{index } H_*(X^{S^1}; \mathbb{Q}) = \log \text{index } H_*(Y^{S^1}; \mathbb{Q}).$$

But, as described above, the map  $\varphi : Y \rightarrow X$  determines a  $\pi_1$ -bounded Sullivan extension of finite type,

$$(\wedge V \otimes \wedge \bar{V}, d) \rightarrow (\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d).$$

Now according to formula (4),

$$\log \text{index } H_*(F^{S^1}; \mathbb{Q}) \leq \log \text{index } \pi_*(F^{S^1}) = \log \text{index } H_*(\Omega F; \mathbb{Q}).$$

Thus, by hypothesis,

$$\log \text{index } H^*(\wedge W \otimes \wedge \bar{W}, d) < \log \text{index } H^*(\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d).$$

On the other hand, also by hypothesis,

$$\begin{aligned} \log \text{index } H(\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}) &= \log \text{index } H_*(Y^{S^1}; \mathbb{Q}) = \log \text{index } \pi_*(Y) \\ &= \log \text{index } \pi_*(X) = \log \text{index } V \\ &\geq \log \text{index } H(\wedge V \otimes \wedge \bar{V}, d). \end{aligned}$$

Since  $H_*(\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d)$  has good exponential growth, also by hypothesis, the theorem follows from Proposition 1.  $\square$

*Proof of Theorem 3:* As in Theorem 2 we lose no generality in assuming  $B$  is 2-connected. The fibration  $Y^{S^1} \rightarrow X^{S^1} \rightarrow B^{S^1}$  yields a Sullivan extension of the form

$$(\wedge V \otimes \wedge \bar{V}, d) \rightarrow (\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d)$$

in which the fibre is a Sullivan model for  $Y^{S^1}$ , and  $\log \text{index } W > \log \text{index } V$ . If  $Y^{S^1}$  has good exponential growth then

$$\begin{aligned} \log \text{index } H(\wedge W \otimes \wedge \bar{W}, \bar{d}) &= \log \text{index } H_*(Y^{S^1}; \mathbb{Q}) = \log \text{index } \pi_*(Y) \\ &= \log \text{index } W > \log \text{index } V. \end{aligned}$$

In this case it follows at once from Proposition 2 that  $X^{S^1}$  has good exponential growth.

Conversely, if  $X^{S^1}$  has good exponential growth, then,

$$\begin{aligned} \log \text{index } \pi_*(X) &= \log \text{index } W = \log \text{index } H(\wedge V \otimes \wedge \bar{V} \otimes \wedge W \otimes \wedge \bar{W}, d) \\ &\leq \max \{ \log \text{index } H(\wedge V \otimes \wedge \bar{V}, d), \log \text{index } H(\wedge W \otimes \wedge \bar{W}, \bar{d}) \}. \end{aligned}$$

But  $\log \text{index } V < \log \text{index } W$  and so Lemma 4 gives

$$\log \text{index } W \leq \log \text{index } H(\wedge W \otimes \wedge \bar{W}, \bar{d}) \leq \log \text{index } W.$$

It now follows from Proposition 2 (with  $\wedge V \otimes \wedge \bar{V}$  and  $\wedge W \otimes \wedge \bar{W}$  playing the roles of  $\wedge V$  and  $\wedge W$  respectively) that  $H(\wedge W \otimes \wedge \bar{W}, \bar{d})$  has controlled exponential growth and so  $Y^{S^1}$  has good exponential growth.  $\square$

We now turn our attention to Theorem 4.

**Lemma 6.** *The ideal  $I$  generated by an inert element  $x$  in a graded Lie algebra  $E = E_{\geq 1}$  satisfies*

$$\log \text{index } UE/I < \log \text{index } UI,$$

*if  $E/I$  is finitely generated.*

*Proof:* Since  $E/I$  is finitely generated, it follows from [1] that the Hilbert series  $U(E/I)(z)$  satisfies  $\lim_{z \rightarrow \rho} U(E/I)(z) = \infty$ , where  $\rho$  is the radius of convergence. Moreover, since  $I$  is a free Lie algebra,  $UI \cong T(I/[I, I])$ . Recall now that  $x$  is an inert element, so that  $I/[I, I] \cong U(E/I) \cdot \bar{x}$ . Thus it is sufficient to show that  $\log \text{index } W < \log \text{index } TW$  for any graded vector space  $W = W_{\geq 1}$  of finite type where the Hilbert series  $W(z)$  has a radius of convergence  $\rho$  and satisfies  $\lim_{z \rightarrow \rho} W(z) = \infty$ .

But the Hilbert series  $(TW)(z)$  is just  $\frac{1}{1-W(z)}$ . Thus, for some unique  $r < \rho$ , we have  $W(r) = 1$  and clearly  $r$  is the radius of convergence of  $TW(z)$ . Since the respective log indexes are  $-\log \rho$  and  $-\log r$  the lemma follows.  $\square$

*Proof of Theorem 4:* Let  $I \subset L_X$  be the ideal generated by  $x$ . Since  $I$  is a free graded Lie algebra we can find a wedge of spheres  $Y$  and a map  $\varphi : Y \rightarrow X$  such that  $\pi_*(\Omega Y) : L_Y \xrightarrow{\cong} I$ . For the homotopy fibre  $F$  of  $\varphi$  we then have

$$(L_F)_k \cong (L_X/I)_{k+1}.$$

Since  $x$  is inert and  $L_X/I$  is finitely generated, by Lemma 6,

$$\log \text{index } U(L_X/I) < \log \text{index } UI \leq \log \text{index } UL_X.$$

Recall that a graded Lie algebra and its enveloping algebra have the same log index (Lemma 2). This gives

$$\log \text{index } H_*(\Omega F; \mathbb{Q}) < \log \text{index } H_*(\Omega Y; \mathbb{Q}).$$

Now, by Theorem 1,  $Y$  satisfies the hypotheses of Theorem 2, Theorem 4 follows.

$\square$

## 4 Examples

### Example 1. Deleted manifolds.

Let  $M$  be a closed simply connected manifold whose rational cohomology algebra is not generated by a single class, and set  $X = M - \{a\}$  for some point  $a \in M$ . Then according to [12],  $L_X$  contains an inert element  $x$  and  $L_X/I \cong L_M$ , where  $I$  is the ideal generated by  $x$ . Thus, by Theorem 4, if  $L_M$  is finitely generated then  $X^{S^1}$  has good exponential growth.

### Example 2. Strongly separated manifolds.

Let  $N$  be a hypersurface in a closed simply connected manifold  $M$ , separating  $M$  into two manifolds  $M_0$  and  $M_1$  with boundary  $N$ . Suppose  $\omega_0 \in H^*(M_0; \mathbb{Q})$  and  $\omega_1 \in H^*(M_1; \mathbb{Q})$  are cohomology classes of odd degrees which restrict to zero in  $H^*(N; \mathbb{Q})$  and for which there are maps  $\varphi_0 : S^{m_0} \rightarrow M_0 \setminus N$  and  $\varphi_1 : S^{m_1} \rightarrow M_1 \setminus N$  with  $0 \neq H^*(\varphi_i)\omega_i$ ,  $i = 0, 1$ .

Then, the map  $S^{m_0} \vee S^{m_1} \rightarrow M$  admits a rational retraction and so  $L_M$  contains a free Lie algebra on two generators and  $H_*(M^{S^1}; \mathbb{Q})$  grows exponentially.

In fact, let  $S$  be the simplicial set of singular simplices in  $M$  whose images are either in  $M_0$  or  $M_1$ , and denote by  $A_{PL}(-)$  the Sullivan functor ([7], §12b, [18]) from simplicial sets to commutative graded differential algebras over  $\mathbb{Q}$ . Then, a minimal Sullivan model for  $A_{PL}(S)$  is also a minimal Sullivan model for  $M$ . Moreover,  $\omega_0$  and  $\omega_1$  are represented by cycles  $z_0$  and  $z_1$  in  $A_{PL}(S)$  such that  $z_i$  vanishes on the simplices of  $M_{1-i}$ . In particular  $z_0 \wedge z_1 = 0$ .

The resulting morphism  $H^*(S^{m_0} \vee S^{m_1}; \mathbb{Q}) \rightarrow A_{PL}(S)$  determines a morphism  $\varphi : (\wedge W, d) \rightarrow (\wedge V, d)$  from the minimal model of  $S^{m_0} \vee S^{m_1}$  to the minimal Sullivan model of  $M$ , and hence a continuous map  $M_{\mathbb{Q}} \rightarrow S_{\mathbb{Q}}^{m_0} \vee S_{\mathbb{Q}}^{m_1}$  between the rationalizations ([7], §17c). Evidently,  $\varphi_0$  and  $\varphi_1$  define a reverse inclusion. This exhibits  $(S_{\mathbb{Q}}^{m_0} \vee S_{\mathbb{Q}}^{m_1})^{S^1}$  as a retract of  $M_{\mathbb{Q}}^{S^1}$  and so Theorem 1 implies that  $H_*(M^{S^1}; \mathbb{Q})$  grows exponentially. This example generalizes the case of connected sums [15]  $\square$

**Example 3.** Let  $X$  be the configuration space  $F(M, 2)$  of ordered pairs of

distinct points in a 2-connected closed manifold  $M$  whose cohomology algebra is not generated by a single element, and such that  $L_M$  is finitely generated.

Here [3], there is a fibration

$$M - \{ pt \} \rightarrow F(M, 2) \rightarrow M,$$

which we write as  $Y \rightarrow X \rightarrow M$  to simplify notation. As observed in Example 1,  $\log \text{index } L_Y > \log \text{index } L_M$ . Thus, by Theorem 3,  $X^{S^1}$  also has good exponential growth.

□

#### **Example 4. High skeleta of finite Postnikov towers.**

A simply connected space  $Y$  is rationally a finite Postnikov tower if  $\dim \pi_*(Y) \otimes \mathbb{Q}$  is finite. In [13] it is shown that for such a space there is some  $N$  such that, for  $n \geq N$ , the homotopy fibre  $F$  of the inclusion of the  $n$ -skeleton  $Y(n) \rightarrow Y$  is rationally a wedge of spheres. If  $F$  is rationally a single sphere then it follows from the Gysin sequence that the Betti numbers,  $\dim H_k(Y; \mathbb{Q})$ , are bounded. Thus, if these Betti numbers are unbounded, there must be at least two spheres in the fibre, and so  $F^{S^1}$  has good exponential growth. Since  $\log \text{index } H_*(\Omega Y; \mathbb{Q}) \neq 0$ , Theorem 3 asserts that  $Y(n)^{S^1}$  has good exponential growth.

In particular, if  $Y$  is rationally a finite product of Eilenberg MacLane spaces and dimension of  $\pi_{2*}(Y) \otimes \mathbb{Q} \geq 2$ , then  $Y(n)^{S^1}$  has good exponential growth. □

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