

## Well-posedness of Initial Value Problem for Fourth Order Nonlinear Schrödinger Equation

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**Abstract:** In this paper, we study the well-posedness of initial value problem for fourth order nonlinear Schrödinger equation. By exploiting the Strichartz estimates, Kato's smoothing effect and the maximal function estimates for the linear Schrödinger operator, we establish the local and global well-posedness of initial value problem for fourth order nonlinear Schrödinger in homogeneous and nonhomogeneous Besov spaces. Moreover, the scattering result for small initial data is also obtained.

**Keywords:** Nonlinear Schrödinger equation, Initial value problem, Well-posedness, Besov spaces.

### 1. INTRODUCTION

It is well known that the nonlinear Schrödinger (NLS) equation models a wide range of physical phenomena including self-focusing of optical beams in nonlinear media, the modulation of monochromatic waves, propagation of Langmuir waves in plasmas, etc. The nonlinear Schrödinger equation plays an important role in many areas of applied physics, such as nonrelativistic quantum mechanics, laser beam propagation, Bose-Einstein condensates, and so on (see [23]). The initial value problem (IVP) or the initial-boundary value problem (IBVP) of the nonlinear Schrödinger equations on  $\mathbb{R}^n$  have been extensively studied in the last two decades (e.g., see [3]-[6], [1], [8], [11], [13], [18], [20]-[22]).

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This paper concerns with the initial value problem for the fourth order nonlinear Schrödinger equation

$$iu_t + a\partial_x^2 u + b\partial_x^4 u = \pm \partial_x u^k, \quad x \in \mathbb{R}, t \in \mathbb{R} \quad (1.1)$$

$$u(x, 0) = u_0(x), \quad x \in \mathbb{R} \quad (1.2)$$

where  $a, b \in \mathbb{R}$ ,  $k \in \mathbb{N}$ ,  $u = u(x, t)$  is a complex valued functions of  $(x, t)$ ,  $u_0(x)$  is the given complex value function.

(1.1) plays an important role in the nonlinear fiber optics [7]. Karpman [10] employed (1.1) describing the resonant radiation of solitons. This class of nonlinear Schrödinger equations has been widely applied in applied science such as deep water wave dynamics, plasma physics, optical communications and so on [2]. A large amount of interesting works has been devoted to the study of Cauchy problem to dispersive equations, such as [5-6, 8, 22] and references cited therein. Hao, Hsiao and Wang [5] studied the IVP for one-dimensional fourth order nonlinear Schrödinger equation

$$iu_t = \partial_x^4 u + P((\partial_x^\alpha u)_{|\alpha| \leq 2}, (\partial_x^\alpha \bar{u})_{|\alpha| \leq 2}). \quad (1.3)$$

They investigated the local smoothing effects and established local well-posedness. Hao, Hsiao and Wang [6] again studied the local smoothing effects and well-posedness of the IVP for (1.3) in multi-dimensional spaces and obtained local well-posedness. Segata [22] investigated the IVP for fourth order nonlinear Schrödinger equation which describes the motion of the vortex filament

$$iu_t + \partial_x^2 u + \nu \partial_x^4 u = F(u, \bar{u}, \partial_x u, \partial_x \bar{u}, \partial_x^2 u, \partial_x^2 \bar{u}) \quad (1.4)$$

and established the IVP for (1.4) is locally well-posed in the space  $H^s(\mathbb{R})$  ( $s \geq \frac{1}{2}$ ) under the conditions  $\nu < 0$  and  $\mu - \frac{\nu}{2} = 0$ . Here  $F(u, \bar{u}, \partial_x u, \partial_x \bar{u}, \partial_x^2 u, \partial_x^2 \bar{u})$  is given by

$$F = -\frac{1}{2}|u|^2 u - \frac{3\mu}{4}|u|^4 u + (-2\mu + \frac{\nu}{2})(\partial_x u)^2 \bar{u} \\ - (4\mu + \nu)|\partial_x u|^2 u + u^2 \partial_x^2 \bar{u} + (-2\mu + \nu)|\partial_x^2 u|^2 \partial_x^2 u.$$

Huo and Jia [8] improved Segata's results.

In this paper, we will investigate the well-posedness on the IVP (1.1)-(1.2) in homogeneous and nonhomogeneous Besov spaces. More precisely speaking, we will prove that the IVP (1.1)-(1.2) has a unique solution in  $C([-T, T]; \dot{B}_{2,q}^{s_k})$  and  $C([-T, T]; B_{2,q}^s)$  for some  $T > 0$ . We will also show that the IVP (1.1)-(1.2) admits a unique global solution in the space  $C(\mathbb{R}; \dot{B}_{2,q}^{s_k})$  and  $C(\mathbb{R}; B_{2,q}^s)$  provided certain

norm of the initial data is suitably small. Here  $s_k = \frac{1}{2} - \frac{3}{k-1}, s > s_k, k \geq 5$  and  $1 \leq q \leq \infty$ . By employing the method in the present paper initiated by Planchon in [19]-[21] and developed by Molinet and Ribaud in [16]-[17], and the Strichartz estimates, Kató's smoothing effect and the maximal function estimates for the linear Schrödinger operator, we establish well-posedness on the IVP (1.1)-(1.2) in homogeneous and nonhomogeneous Besov spaces. Noticing that  $s_k$  is the critical value obtained from a similar scaling argument as that in [14]. Thus by similar considerations as in [14] we can expect that the lowest index  $s_k$  for Besov spaces that we obtain is optimal, namely, if  $s < s_k$  then it is reasonable to conjecture that well-posedness does not hold in either  $\dot{B}_{2,q}^s(\mathbb{R})$  or  $B_{2,q}^s(\mathbb{R})$  for any  $1 \leq q \leq \infty$ .

The paper is organized as follows. In Section 2, we state some notations and give some preliminaries. Section 3 is devoted to establishing the estimates for nonlinear terms. Section 4 is devoted to establishing the local and global well-posedness in homogeneous and nonhomogeneous Besov spaces. Finally, in Section 5 we obtain the scattering result for small initial data.

## 2. PRELIMINARIES

In this section, we give some preliminaries.

**2.1. Notations.** Throughout this paper, we will use the following notations:

The Fourier transform of  $f$  will be denoted by

$$\hat{f}(\xi) = \int_{\mathbb{R}} e^{-ix\xi} f(x) dx.$$

$L^p(1 \leq p \leq \infty)$  denotes the usual space of all  $L^p(\mathbb{R})$ -functions on  $\mathbb{R}$  with norm  $\|f\|_{L^p}$ .  $H^s$  denotes  $s$  order sobolev space on  $\mathbb{R}$  with norm  $\|f\|_{H^s} = \|(I - \Delta)^{\frac{s}{2}} f\|_{L^2} = \|(1 + |\xi|^2)^{\frac{s}{2}} \hat{f}\|_{L^2}$ , where  $s$  is a real number and  $I$  is unitary operator. The Riesz potential of order  $s$  is denoted by

$$D_x^s = (|\xi|^s \hat{f}(\xi))^\vee.$$

We will use the space-time Lebesgue spaces  $L_x^p L_t^q$  and  $L_t^q L_x^p$  respectively equipped with the norms

$$\|f\|_{L_x^p L_t^q} = \left( \int_{\mathbb{R}} \|f(x, \cdot)\|_{L_t^q}^p dx \right)^{\frac{1}{p}}$$

and

$$\|f\|_{L_t^q L_x^p} = \left( \int_{\mathbb{R}} \|f(\cdot, t)\|_{L^p}^q dt \right)^{\frac{1}{q}}.$$

Sometimes we will need the local in time versions of those spaces. We denote them by  $L_x^p L_T^q$  and  $L_T^q L_x^p$  and they are respectively equipped with the norms

$$\begin{aligned} \|f(x, t)\|_{L_x^p L_T^q} &= \| \|f(x, \cdot)\|_{L^q([-T, T])} \|_{L^p(\mathbb{R})} \\ \|f(x, t)\|_{L_T^q L_x^p} &= \| \|f(\cdot, t)\|_{L^p} \|_{L^q([-T, T])}. \end{aligned}$$

Now we recall the definition of the homogeneous and nonhomogeneous Besov spaces. Throughout this paper, let  $\varphi \in \mathcal{S}(\mathbb{R})$  such that  $\hat{\varphi}$  is supported by the set  $\{\xi : \frac{1}{2} \leq |\xi| \leq 2\}$  and

$$\sum_{j \in \mathbb{Z}} \hat{\varphi}(2^{-j}\xi) = 1, \quad \xi \neq 0.$$

Define  $\psi$  by

$$\hat{\psi} = 1 - \sum_{j \geq 1} \hat{\varphi}(2^{-j}\xi)$$

and note that  $\psi \in \mathcal{D}(\mathbb{R})$ ,  $\hat{\psi}$  is supported by the ball  $\{\xi \mid |\xi| \leq 2\}$  and  $\hat{\psi} = 1$  for  $|\xi| \leq 1$ . We denote now by  $\Delta_j$  and  $S_j$  the convolution operators whose symbols are respectively given by  $\hat{\varphi}(2^{-j}\xi)$  and  $\hat{\psi}(2^{-j}\xi)$ . Also we define the operator  $\tilde{\Delta}_j$  by

$$\tilde{\Delta}_j = \Delta_{j-1} + \Delta_j + \Delta_{j+1},$$

which satisfies

$$\tilde{\Delta}_j \circ \Delta_j = \Delta_j.$$

For  $1 \leq p \leq \infty, 1 \leq q \leq \infty$ , the homogeneous Besov space  $\dot{B}_{p,q}^s(\mathbb{R})$  is defined by

$$\dot{B}_{p,q}^s(\mathbb{R}) = \{u \in \mathcal{S}'(\mathbb{R}) \mid \|2^{js} \|\Delta_j u\|_{L^p}\|_{l^q(\mathbb{Z})} < \infty\}$$

and the nonhomogeneous Besov space  $B_{p,q}^s(\mathbb{R})$  is defined by

$$B_{p,q}^s(\mathbb{R}) = \{u \in \mathcal{S}'(\mathbb{R}) \mid \|S_0 u\|_{L^p} + \|2^{js} \|\Delta_j u\|_{L^p}\|_{l^q(\mathbb{N})} < \infty\}.$$

It is well known that  $\dot{B}_{2,2}^s(\mathbb{R}) = \dot{H}^s(\mathbb{R})$  and  $B_{2,2}^s(\mathbb{R}) = H^s(\mathbb{R})$ .

Also it is well known that for  $-\frac{1}{2} < s < \frac{1}{2}$ , the two following convergences hold

$$f = \lim_{r \rightarrow +\infty} \sum_{j=-r}^r \Delta_j(f) = \sum_{j=-\infty}^{+\infty} \Delta_j(f)$$

and

$$S_k(f) = \lim_{r \rightarrow +\infty} \sum_{j=-r}^k \Delta_j(f) = \sum_{j=-\infty}^k \Delta_j(f).$$

Firstly, we consider the initial value problem for the linear schrödinger equation

$$iu_t + a\partial_x^2 u + b\partial_x^4 u = f(x, t), \tag{2.1}$$

$$u(x, 0) = u_0. \tag{2.2}$$

(2.1)-(2.2) can be rewritten as

$$u(x, t) = S(t)u_0 - i \int_0^t S(t - \tau)f(x, \tau)d\tau. \tag{2.3}$$

Here

$$S(t)u_0 = e^{i(a\partial_x^2 + b\partial_x^4)t}u_0 = \mathcal{F}_\xi^{-1}(e^{i(-a\xi^2 + b\xi^4)t}\hat{u}_0). \tag{2.4}$$

**2.2. Preliminaries. Lemma 2.1** Let  $u_0 \in \mathbb{S}(\mathbb{R})$ ,  $ab \leq 0 (b \neq 0)$ , then we have

$$\|D_x^{\frac{3}{2}}S(t)u_0\|_{L_x^\infty L_t^2} \leq C\|u_0\|_{L^2}. \tag{2.5}$$

$$\|S(t)u_0\|_{L_x^4 L_t^\infty} \leq C\|D_x^{\frac{1}{4}}u_0\|_{L^2}. \tag{2.6}$$

**Proof.** (2.5) can be derived from Theorem 4.1 in [12], for which we omit the details. Making use of Theorem 2.5 in [12], we may obtain (2.6), here we omit the proof.  $\square$

**Lemma 2.2** Let T be a linear operator defined on space-time functions  $g(x, t)$  by

$$Tg(t) = \int_{-\infty}^{+\infty} K(t, \tau)g(\tau)d\tau,$$

such that

$$\|Tg\|_{L_x^{p_1} L_t^{q_1}} \leq C\|g\|_{L_x^{p_2} L_t^{q_2}}.$$

Here

$$\min(p_1, q_1) > \max(p_2, q_2). \tag{2.7}$$

Then

$$\left\| \int_0^t K(t, \tau)g(\tau)d\tau \right\|_{L_x^{p_1} L_t^{q_1}} \leq C\|g\|_{L_x^{p_2} L_t^{q_2}}.$$

For the proof of Lemma 2.2, see Lemma 2 in [17].

**Lemma 2.3** Assume that  $u_0 \in \mathcal{S}(\mathbb{R})$ ,  $ab \leq 0$  ( $b \neq 0$ ),  $f \in \mathcal{S}(\mathbb{R}^2)$  and let  $(p_1, q_1), (p_2, q_2) \in [4, +\infty) \times [2, +\infty)$  such that

$$\frac{1}{p_i} + \frac{1}{2q_i} \leq \frac{1}{4}. \tag{2.8}$$

then for all  $j \in \mathbb{Z}$ , we have

$$2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|S(t)\Delta_j u_0\|_{L_x^{p_i} L_t^{q_i}} \leq C \|\Delta_j u_0\|_{L^2} \tag{2.9}$$

and

$$2^{j(\frac{1}{p_1} + \frac{4}{q_1} - \frac{1}{2})} \|\Delta_j \int_0^t S(t-\tau) \partial_x f(\tau) d\tau\|_{L_x^{p_1} L_t^{q_1}} \leq C 2^{j(\frac{3}{2} - \frac{1}{p_2} - \frac{4}{q_2})} \|\Delta_j f\|_{L_x^{p_2'} L_t^{q_2'}}. \tag{2.10}$$

**Proof.** From (2.5) and Bernstein inequality, we get

$$\|S(t)\tilde{\Delta}_j u_0\|_{L_x^\infty L_t^2} \leq C 2^{-\frac{3}{2}j} \|\Delta_j u_0\|_{L^2}. \tag{2.11}$$

Similarly, using Bernstein inequality and (2.6) yields

$$\|S(t)\tilde{\Delta}_j u_0\|_{L_x^4 L_t^\infty} \leq C 2^{\frac{1}{4}j} \|\Delta_j u_0\|_{L^2}. \tag{2.12}$$

We consider the operator  $T = S(t)\tilde{\Delta}_j$  and apply Riesz-Thorin theorem, for  $\theta \in [0, 1]$ , we obtain

$$\|S(t)\tilde{\Delta}_j u_0\|_{L_x^{\frac{4}{1-\theta}} L_t^{\frac{2}{\theta}}} \leq C 2^{\frac{1-7\theta}{4}j} \|\Delta_j u_0\|_{L^2}.$$

Note that  $\tilde{\Delta}_j \circ \Delta_j = \Delta_j$ , from the above inequality we deduce

$$\|S(t)\Delta_j u_0\|_{L_x^{\frac{4}{1-\theta}} L_t^{\frac{2}{\theta}}} \leq C 2^{\frac{1-7\theta}{4}j} \|\Delta_j u_0\|_{L^2}.$$

It follows from Sobolev embedding theorem and Bernstein inequality that

$$\begin{aligned} \|S(t)\Delta_j u_0\|_{L_x^{p_i} L_t^{q_i}} &\leq C \|D_x^\alpha S(t)\Delta_j u_0\|_{L_x^{\frac{4}{1-\theta}} L_t^{\frac{2}{\theta}}} \\ &\leq C 2^{\frac{1-7\theta}{4}j} \|D_x^\alpha \Delta_j u_0\|_{L^2} \\ &\leq C 2^{\frac{1-7\theta}{4}j} 2^{\alpha j} \|\Delta_j u_0\|_{L^2}, \end{aligned}$$

where

$$0 \leq \alpha < \frac{1-\theta}{4}$$

and where  $(p_i, q_i)$  is given by

$$\begin{cases} \frac{1}{p_i} = \frac{1-\theta}{4} - \alpha \Leftrightarrow \alpha = \frac{1}{4} - \frac{1}{p_i} - \frac{1}{2q_i} \\ \frac{1}{q_i} = \frac{\theta}{2} \Leftrightarrow \theta = \frac{2}{q_i}. \end{cases} \tag{2.13}$$

(2.9) follows from

$$\frac{1 - 7\theta}{4} + \alpha = \frac{1}{2} - \frac{1}{p_i} - \frac{4}{q_i}$$

and  $0 \leq \alpha < \frac{1-\theta}{4}$  with  $0 \leq \theta \leq 1$  if and only if  $\frac{1}{p_i} + \frac{1}{2q_i} \leq \frac{1}{4}$  with  $p_i < +\infty$ . This has completed the proof of (2.9).

From (2.9) yields

$$2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\partial_x^{\frac{1}{2}} S(t) \Delta_j u_0\|_{L_x^{p_i} L_t^{q_i}} \leq C 2^{j\frac{1}{2}} \|\Delta_j u_0\|_{L^2}. \tag{2.14}$$

And by duality, we obtain

$$\left\| \int_{-\infty}^{+\infty} \partial_x^{\frac{1}{2}} S(-\tau) \Delta_j f(\tau) d\tau \right\|_{L^2} \leq C 2^{j(\frac{1}{2} - \frac{1}{p_i} - \frac{4}{q_i})} 2^{j\frac{1}{2}} \|\Delta_j f\|_{L_x^{p_i'} L_t^{q_i'}}. \tag{2.15}$$

Choosing  $g \in L_x^{p_1'} L_t^{q_1'}$  with  $\|g\|_{L_x^{p_1'} L_t^{q_1'}} \leq 1$ , using Hölder inequality and (2.15), we deduce

$$\begin{aligned} & \left| \left\langle \int_{-\infty}^{+\infty} \partial_x S(t - \tau) \Delta_j f(x, \tau) d\tau, g(x, t) \right\rangle \right| \\ &= \left| \int_{-\infty}^{+\infty} \left( \int_{\mathbb{R}} \partial_x^{\frac{1}{2}} S(-\tau) \Delta_j f(x, \tau) d\tau \right) \left( \int_{\mathbb{R}} \overline{\partial_x^{\frac{1}{2}} S(-t) \tilde{\Delta}_j g(x, t)} dt \right) dx \right| \\ &\leq \left\| \int_{\mathbb{R}} \partial_x^{\frac{1}{2}} S(-\tau) \Delta_j f(x, \tau) d\tau \right\|_{L^2} \left\| \int_{\mathbb{R}} \overline{\partial_x^{\frac{1}{2}} S(-t) \tilde{\Delta}_j g(x, t)} dt \right\|_{L^2} \\ &\leq C 2^{j(\frac{1}{2} - \frac{1}{p_2} - \frac{4}{q_2})} 2^{j\frac{1}{2}} \|\Delta_j f\|_{L_x^{p_2'} L_t^{q_2'}} 2^{j(\frac{1}{2} - \frac{1}{p_1} - \frac{4}{q_1})} 2^{j\frac{1}{2}} \|g\|_{L_x^{p_1'} L_t^{q_1'}}. \end{aligned}$$

It follows from the above inequality that

$$2^{j(\frac{1}{p_1} + \frac{4}{q_1} - \frac{1}{2})} \|\Delta_j \int_{-\infty}^{+\infty} S(t - \tau) \partial_x f(\tau) d\tau\|_{L_x^{p_1} L_t^{q_1}} \leq C 2^{j(\frac{3}{2} - \frac{1}{p_2} - \frac{4}{q_2})} \|\Delta_j f\|_{L_x^{p_2'} L_t^{q_2'}}.$$

Since (2.9) implies  $\max(p_2', q_2') < 2 \leq \min(p_1, q_1)$ , using Lemma 2.2, we obtain (2.10). The Lemma is proved.  $\square$

**Lemma 2.4** Assume that  $u_0 \in \mathbb{S}(\mathbb{R}), ab \leq 0 (b \neq 0), f \in \mathbb{S}(\mathbb{R}^2)$  and let  $(p, q)$  satisfy

$$\frac{1}{p} + \frac{1}{2q} \leq \frac{1}{4} \tag{2.16}$$

with  $p < +\infty$ . Then for all  $j \in \mathbb{Z}$ , we have

$$\|S(t) \Delta_j u_0\|_{L_t^\infty L_x^2} \leq C \|u_0\|_{L^2}. \tag{2.17}$$

$$\left\| \int_0^t \partial_x S(t - \tau) \Delta_j f(x, \tau) d\tau \right\|_{L_t^\infty L_x^2} \leq C 2^j 2^{j(\frac{1}{2} - \frac{1}{p} - \frac{4}{q})} \|\Delta_j f\|_{L_x^{p'} L_t^{q'}}. \tag{2.18}$$

**Proof.** Since the group  $S(t)$  is unitary in  $H^s(\mathbb{R})$ , by Bernstein inequality, we know that (2.17) holds.

Using (2.9), we obtain

$$2^{-j(\frac{1}{2}-\frac{1}{p}-\frac{4}{q})}\|\partial_x S(t)\Delta_j u_0\|_{L_x^p L_t^q} \leq C2^j\|\Delta_j u_0\|_{L^2} \tag{2.19}$$

with  $\frac{1}{p} + \frac{1}{2q} \leq \frac{1}{4}$  and  $p < +\infty$ .

It follows from duality method that

$$\left\| \int_{-\infty}^{+\infty} \partial_x S(-\tau)\Delta_j f(x, \tau)d\tau \right\|_{L^2} \leq C2^j 2^{j(\frac{1}{2}-\frac{1}{p}-\frac{4}{q})}\|\Delta_j f\|_{L_x^{p'} L_t^{q'}}.$$

Since  $S(t)$  is unitary group in  $L^2(\mathbb{R})$ , which commutes with space derivatives, we get

$$\sup_{t \in \mathbb{R}} \left\| \int_{-\infty}^{+\infty} \partial_x S(t - \tau)\Delta_j f(x, \tau)d\tau \right\|_{L^2} \leq C2^j 2^{j(\frac{1}{2}-\frac{1}{p}-\frac{4}{q})}\|\Delta_j f\|_{L_x^{p'} L_t^{q'}}.$$

For any fixed  $t$ , substituting  $f(\tau)$  by the function  $\chi_{R_+}(\tau)\chi_{\{\tau \leq t\}}(\tau)f(\tau)$  in the above inequality, we have completed the proof of (2.18).  $\square$

Recall that we want to solve (1.1), (1.2) for initial data in the homogeneous Besov space  $\dot{B}_{2,q}^{s_k}$  and in the nonhomogeneous Besov space  $B_{2,q}^s$ , where  $s \geq s_k = \frac{1}{2} - \frac{3}{k-1}$ ,  $k \geq 5$  and  $1 \leq q \leq \infty$ .

Define function space

$$E_q = \{u \in \mathcal{S}'(\mathbb{R}^2) \mid \|u\|_{E_q} = N_{1,q}(u) + N_{2,q}(u) < +\infty\}. \tag{2.20}$$

Here

$$N_{i,q}(u) = \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})}\|\Delta_j u\|_{L_x^{p_i} L_t^{q_i}}\|l_q(\mathbb{Z}), \quad i = 1, 2 \tag{2.21}$$

and

$$(p_1, q_1) = \left(\frac{4(k-1)}{3}, 2(k-1)\right), \quad (p_2, q_2) = (8, 4). \tag{2.22}$$

Sometime we also employ  $E_{q,T}$  to denote the local in time version of  $E_q$ . Where  $N_{i,q}(u)$  replaced respectively with

$$N_{i,q,T}(u) = \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})}\|\Delta_j u\|_{L_x^{p_i} L_T^{q_i}}\|l_q(\mathbb{Z}), i = 1, 2.$$

A direct computation, we obtain the following Lemma.

**Lemma 2.5** Let  $(p_i, q_i), i = 1, 2$ , be defined by (2.22) and set  $(p, q) = (8, 4)$ . Then the following conclusion hold

$$\frac{1}{p_i} + \frac{1}{2q_i} \leq \frac{1}{4}, \quad p_i < +\infty \tag{2.23}$$

$$\frac{k-1}{p_1} + \frac{1}{p_2} = \frac{1}{p'}. \tag{2.24}$$

$$\frac{k-1}{q_1} + \frac{1}{q_2} = \frac{1}{q'}. \tag{2.25}$$

$$\frac{1}{2} - \frac{1}{p_1} - \frac{4}{q_1} > s_k \tag{2.26}$$

and

$$-\frac{1}{2} + \frac{1}{p_2} + \frac{4}{q_2} + (k-1)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1}) > 0. \tag{2.27}$$

**Lemma 2.6** Assume that  $u_0 \in \dot{B}_{2,q}^{s_k}, q \in [1, +\infty]$  and  $ab \leq 0 (b \neq 0)$ , then we have

$$\|S(t)u_0\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} + \|S(t)u_0\|_{E_q} \leq C\|u_0\|_{\dot{B}_{2,q}^{s_k}}. \tag{2.28}$$

**Proof.** Using (2.17), we obtain

$$\begin{aligned} \|S(t)u_0\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} &= \sup_{t \in \mathbb{R}} [\sum_{j \in \mathbb{Z}} (2^{js_k} \|\Delta_j S(t)u_0\|_{L^2})^q]^{\frac{1}{q}} \\ &\leq C [\sum_{j \in \mathbb{Z}} (2^{js_k} \|\Delta_j u_0\|_{L^2})^q]^{\frac{1}{q}} \\ &= C\|u_0\|_{\dot{B}_{2,q}^{s_k}}. \end{aligned} \tag{2.29}$$

Thanks to (2.9), for  $i = 1, 2$ , we deduce

$$\begin{aligned} N_{i,q}(S(t)u_0) &= \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j S(t)u_0\|_{L_x^{p_i} L_t^{q_i}}\|_{l^q(\mathbb{Z})} \\ &\leq C \|2^{js_k} \|\Delta_j u_0\|_{L^2}\|_{l^q(\mathbb{Z})} \\ &= C\|u_0\|_{\dot{B}_{2,q}^{s_k}}. \end{aligned} \tag{2.30}$$

Combining (2.29), (2.30) and (2.20) yields (2.28). We have proved Lemma 2.6.  $\square$

3. NONLINEAR ESTIMATES

**Lemma 3.1** Suppose that  $u \in E_q, q \in [1, +\infty]$  and  $ab \leq 0 (b \neq 0)$ . Then the following estimates hold

$$\| \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau \|_{L_t^\infty \dot{B}_{2,q}^{s_k}} \leq C \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}. \tag{3.1}$$

$$\| \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau \|_{E_q} \leq C \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}. \tag{3.2}$$

**Proof.** Firstly, we establish the following estimate

$$\| \Delta_j(u^k) \|_{L_x^{\frac{8}{3}} L_t^{\frac{4}{3}}} \leq C 2^{-j(s_k + \frac{3}{8})} \gamma_j, \quad \forall j \in \mathbb{Z}. \tag{3.3}$$

where  $\gamma_j (j \in \mathbb{R})$  satisfies

$$\| \gamma_j \|_{l^q(\mathbb{Z})} = \left( \sum_{j \in \mathbb{Z}} |\gamma_j|^q \right)^{\frac{1}{q}} \leq \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}. \tag{3.4}$$

For any fixed  $t$ , rewrite  $\Delta_j(u^k(t))$  as

$$\begin{aligned} \Delta_j(u^k(t)) &= \Delta_j \left[ \lim_{r \rightarrow +\infty} (S_r(u))^k \right] = \Delta_j \left[ \sum_{r \in \mathbb{Z}} (S_{r+1}(u))^k - (S_r(u))^k \right] \\ &= \Delta_j \left\{ \sum_{r \in \mathbb{Z}} [S_{r+1}(u) - S_r(u)] \sum_{p=0}^{k-1} (S_{r+1}(u))^p (S_r(u))^{k-p-1} \right\} \\ &= \Delta_j \left[ \sum_{r \in \mathbb{Z}} \Delta_{r+1} u \sum_{p=0}^{k-1} (S_{r+1}(u))^p (S_r(u))^{k-p-1} \right]. \end{aligned}$$

Since the term  $\Delta_{r+1} u \sum_{p=0}^{k-1} (S_{r+1}(u))^p (S_r(u))^{k-p-1}$  is localized in frequencies in a ball  $|\xi| \leq 2^{k+r}$ , we deduce that

$$\Delta_j(u^k) = \Delta_j \left[ \sum_{r=j-k}^{+\infty} \Delta_{r+1} u \sum_{p=0}^{k-1} (S_{r+1}(u))^p (S_r(u))^{k-p-1} \right]. \tag{3.5}$$

Noting that the operators  $\Delta_j$  are uniformly bounded in  $L^p(\mathbb{R})(p \in [1, +\infty])$ , using Minkowski and Hölder inequality, we obtain

$$\begin{aligned} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}} &\leq C \left\| \sum_{r=j-k}^{+\infty} \Delta_{r+1} u \sum_{p=0}^{k-1} (S_{r+1}(u))^p (S_r(u))^{k-p-1} \right\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}} \\ &\leq C \sum_{r=j-k}^{+\infty} \|\Delta_{r+1} u\|_{L_x^{p_2} L_t^{q_2}} \sum_{p=0}^{k-1} \|S_{r+1}(u)\|_{L_x^{p_1} L_t^{q_1}}^p \|S_r(u)\|_{L_x^{p_1} L_t^{q_1}}^{k-p-1}, \end{aligned} \tag{3.6}$$

where we have used the following equality

$$\begin{aligned} \frac{1}{p_2} + \frac{p}{p_1} + \frac{k-p-1}{p_1} &= \frac{1}{p_2} + \frac{k-1}{p_1} = \frac{7}{8}, \\ \frac{1}{q_2} + \frac{p}{q_1} + \frac{k-p-1}{q_1} &= \frac{1}{q_2} + \frac{k-1}{q_1} = \frac{3}{4}. \end{aligned}$$

Let

$$\alpha_j = 2^{js_k} 2^{j(\frac{1}{p_2} + \frac{4}{q_2} - \frac{1}{2})} \|\Delta_j u\|_{L_x^{p_2} L_t^{q_2}}, \quad \tilde{\alpha}_j = 2^{js_k} 2^{j(\frac{1}{p_1} + \frac{4}{q_1} - \frac{1}{2})} \|\Delta_j u\|_{L_x^{p_1} L_t^{q_1}}, \quad j \in \mathbb{Z},$$

then we have

$$\|\Delta_j u\|_{L_x^{p_2} L_t^{q_2}} = 2^{-js_k} 2^{-j(\frac{1}{p_2} + \frac{4}{q_2} - \frac{1}{2})} \alpha_j, \quad j \in \mathbb{Z}$$

and

$$\|\Delta_j u\|_{L_x^{p_1} L_t^{q_1}} = 2^{-js_k} 2^{-j(\frac{1}{p_1} + \frac{4}{q_1} - \frac{1}{2})} \tilde{\alpha}_j, \quad j \in \mathbb{Z}. \tag{3.7}$$

From  $u \in E_q$ , we get

$$\|u\|_{N_{1,q}} = \|\tilde{\alpha}_j\|_{l^q(\mathbb{Z})}, \quad \|u\|_{N_{2,q}} = \|\alpha_j\|_{l^q(\mathbb{Z})}, \quad \|u\|_{N_{1,\infty}} = \|\tilde{\alpha}_j\|_{l^\infty(\mathbb{Z})}. \tag{3.8}$$

Let

$$\beta_r = \sum_{j=-\infty}^r 2^{(r-j)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \tilde{\alpha}_j = \sum_{j=-\infty}^{+\infty} 2^{(r-j)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \tilde{\alpha}_j \chi_{\{r-j \geq 0\}}$$

with

$$\chi_{\{r-j \geq 0\}} = 1(r \geq j), \quad \chi_{\{r-j < 0\}} = 0(r < j).$$

Notice that  $S_r(u) = \sum_{j=-\infty}^r \Delta_j u$ , we obtain

$$\|S_r(u)\|_{L_x^{p_1} L_t^{q_1}} \leq \sum_{j=-\infty}^r \|\Delta_j u\|_{L_x^{p_1} L_t^{q_1}}$$

$$= \sum_{j=-\infty}^r 2^{-j(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \tilde{\alpha}_j = 2^{-r(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \beta_r. \tag{3.9}$$

Since  $s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1} < 0 (k \geq 5)$ , using Young inequality, we deduce

$$\|\beta_r\|_{l^q(\mathbb{Z})} \leq C \|\tilde{\alpha}_j\|_{l^q(\mathbb{Z})} = C \|u\|_{N_{1,q}}, \tag{3.10}$$

$$\|\beta_r\|_{l^\infty(\mathbb{Z})} \leq C \|\tilde{\alpha}_j\|_{l^\infty(\mathbb{Z})} = C \|u\|_{N_{1,\infty}}. \tag{3.11}$$

Combining (3.7) and (3.9) yields

$$\begin{aligned} & \|\Delta_{r+1}(u)\|_{L_x^{p_2} L_t^{q_2}} \sum_{p=0}^{k-1} \|S_{r+1}(u)\|_{L_x^{p_1} L_t^{q_1}}^p \|S_r(u)\|_{L_x^{p_1} L_t^{q_1}}^{k-p-1} \\ & \leq 2^{-(r+1)(s_k - \frac{1}{2} + \frac{1}{p_2} + \frac{4}{q_2})} \alpha_{r+1} \sum_{p=0}^{k-1} 2^{-p(r+1)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \\ & \quad \beta_{r+1}^p 2^{-(k-p-1)r(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \beta_r^{k-p-1} \\ & = 2^{-(r+1)(s_k - \frac{1}{2} + \frac{1}{p_2} + \frac{4}{q_2})} 2^{-(k-1)r(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \\ & \quad \alpha_{r+1} \sum_{p=0}^{k-1} 2^{-p(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \beta_{r+1}^p \beta_r^{k-p-1} \\ & \leq 2^{-(r+1)(s_k - \frac{1}{2} + \frac{1}{p_2} + \frac{4}{q_2})} 2^{-(k-1)(r+1)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1})} \alpha_{r+1} \sum_{p=0}^{k-1} \beta_{r+1}^p \beta_r^{k-p-1} \\ & \leq C 2^{-(r+1)(s_k + \frac{3}{8})} \alpha_{r+1} (\beta_{r+1}^{k-1} + \beta_r^{k-1}), \end{aligned} \tag{3.12}$$

In last inequality, we use

$$(s_k - \frac{1}{2} + \frac{1}{p_2} + \frac{4}{q_2}) + (k-1)(s_k - \frac{1}{2} + \frac{1}{p_1} + \frac{4}{q_1}) = s_k + \frac{3}{8}.$$

It follows from (3.6) and (3.12) that

$$\begin{aligned} \|\Delta_j u^k\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}} & \leq C \sum_{r=j-k}^{+\infty} \|\Delta_{r+1} u\|_{L_x^{p_2} L_t^{q_2}} \sum_{p=0}^{k-1} \|S_{r+1}(u)\|_{L_x^{p_1} L_t^{q_1}}^p \|S_r(u)\|_{L_x^{p_1} L_t^{q_1}}^{k-p-1} \\ & \leq C \sum_{r=j-k}^{+\infty} 2^{-(r+1)(s_k + \frac{3}{8})} \alpha_{r+1} (\beta_{r+1}^{k-1} + \beta_r^{k-1}) \\ & = C \sum_{r=j}^{+\infty} 2^{-(r-k+1)(s_k + \frac{3}{8})} \alpha_{r-k+1} (\beta_{r-k+1}^{k-1} + \beta_{r-k}^{k-1}) \\ & = C \sum_{r=j}^{+\infty} 2^{-r(s_k + \frac{3}{8})} \alpha_{r-k+1} (\beta_{r-k+1}^{k-1} + \beta_{r-k}^{k-1}). \end{aligned}$$

Thus

$$\begin{aligned} & \left[ \sum_{j \in \mathbb{Z}} (2^{j(s_k + \frac{3}{8})} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}})^q \right]^{\frac{1}{q}} \\ & \leq C \left[ \sum_{j \in \mathbb{Z}} \left( \sum_{r=j}^{+\infty} 2^{(j-r)(s_k + \frac{3}{8})} \alpha_{r-k+1} (\beta_{r-k+1}^{k-1} + \beta_{r-k}^{k-1}) \right)^q \right]^{\frac{1}{q}} \\ & \leq C \left[ \sum_{j \in \mathbb{Z}} \left( \sum_{r \in \mathbb{Z}} 2^{j(s_k + \frac{3}{8})} \chi_{\{j-r \leq 0\}} \alpha_{r-k+1} (\beta_{r-k+1}^{k-1} + \beta_{r-k}^{k-1}) \right)^q \right]^{\frac{1}{q}}. \end{aligned}$$

Since  $s_k + \frac{3}{8} > 0$  ( $k \geq 5$ ), using Hölder inequality, (3.8) and (3.11) gives

$$\begin{aligned} \left[ \sum_{j \in \mathbb{Z}} (2^{j(s_k + \frac{3}{8})} \|\Delta_j u\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}})^q \right]^{\frac{1}{q}} & \leq C \left[ \sum_{r \in \mathbb{Z}} \alpha_{r-k+1}^q (\beta_{r-k+1}^{k-1} + \beta_{r-k}^{k-1})^q \right]^{\frac{1}{q}} \\ & \leq C \|u\|_{N_{2,q}} \|u\|_{N_{1,\infty}}^{k-1} \leq C \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}. \end{aligned}$$

This gives the proof of (3.3) and (3.4).

In what follows, we prove (3.1). For  $p = 8$  and  $q = 4$ ,  $j \in \mathbb{Z}$ , using (2.18) and (3.3), we obtain

$$\begin{aligned} \|\Delta_j \int_0^t S(t-\tau) \partial_x(u^k(\tau)) d\tau\|_{L_t^\infty L_x^2} & = \|\partial_x \int_0^t S(t-\tau) \Delta_j(u^k)(\tau) d\tau\|_{L_t^\infty L_x^2} \\ & \leq C 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}} \leq C 2^{-js_k} \gamma_j. \end{aligned} \quad (3.13)$$

From (3.13) and (3.4) yields

$$\begin{aligned} & \left\| \int_0^t S(t-\tau) \partial_x(u^k(\tau)) d\tau \right\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} \\ & = \sup_{t \in \mathbb{R}} \left[ \sum_{j \in \mathbb{Z}} (2^{js_k} \|\Delta_j \int_0^t S(t-\tau) \partial_x(u^k(\tau)) d\tau\|_{L_x^2})^q \right]^{\frac{1}{q}} \\ & \leq \left[ \sum_{j \in \mathbb{Z}} (2^{js_k} \|\Delta_j \int_0^t S(t-\tau) \partial_x(u^k(\tau)) d\tau\|_{L_t^\infty L_x^2})^q \right]^{\frac{1}{q}} \\ & \leq C \left( \sum_{j \in \mathbb{Z}} |\gamma_j|^q \right)^{\frac{1}{q}} \\ & \leq C \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}. \end{aligned}$$

Using (2.10) and (3.3), for  $i = 1, 2$  and  $j \in \mathbb{Z}$ , we get

$$\begin{aligned}
 & 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau\|_{L_x^{p_i} L_t^{q_i}} \\
 & \leq C 2^{j(\frac{3}{2} - \frac{1}{p_2} - \frac{4}{q_2})} \|\Delta_j u^k\|_{L_x^{p_2'} L_t^{q_2'}} \\
 & = C 2^{j\frac{3}{8}} \|\Delta_j u^k\|_{L_x^{\frac{8}{3}} L_t^{\frac{4}{3}}} \\
 & \leq C 2^{-js_k} \gamma_j.
 \end{aligned} \tag{3.14}$$

By (2.24), (2.25), (3.4) and (3.14), we obtain

$$\begin{aligned}
 & \left\| \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau \right\|_{E_q} \\
 & = \sum_{i=1}^2 \left\| \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau \right\|_{N_{i,q}} \\
 & = \sum_{i=1}^2 \left[ \sum_{j \in \mathbb{Z}} (2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})}) \|\Delta_j \int_0^t S(t - \tau) \partial_x u^k(\tau) d\tau\|_{L_x^{p_i} L_t^{q_i}} \right]^{\frac{1}{q}} \\
 & \leq C \left( \sum_{j \in \mathbb{Z}} |\gamma_j|^q \right)^{\frac{1}{q}} \leq C \|u\|_{E_q} \|u\|_{E_\infty}^{k-1}.
 \end{aligned}$$

This proves Lemma 3.1. □

**Lemma 3.2** Assume that  $u_1, u_2 \in E_q$  and  $ab \leq 0 (b \neq 0)$ , where  $1 \leq q \leq \infty$ . Then the following estimates hold

$$\left\| \int_0^t S(t - \tau) \partial_x (u_1^k - u_2^k)(\tau) d\tau \right\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} \leq C \|u_1 - u_2\|_{E_q} (\|u_1\|_{E_\infty}^{k-1} + \|u_2\|_{E_\infty}^{k-1}). \tag{3.15}$$

$$\left\| \int_0^t S(t - \tau) \partial_x (u_1^k - u_2^k)(\tau) d\tau \right\|_{E_q} \leq C \|u_1 - u_2\|_{E_q} (\|u_1\|_{E_\infty}^{k-1} + \|u_2\|_{E_\infty}^{k-1}). \tag{3.16}$$

**Proof.** From (3.5), we obtain

$$\begin{aligned}
 \Delta_j (u_1^k - u_2^k) & = \Delta_j \left[ \sum_{r=j-k}^\infty \Delta_{r+1} (u_1 - u_2) \sum_{p=0}^{k-1} (S_{r+1}(u_1))^p (S_r(u_2))^{k-1-p} + \right. \\
 & \sum_{r=j-k}^\infty \Delta_{r+1}(u_2) S_{r+1}(u_1 - u_2) \sum_{r_1+r_2+r_3=k-2} (S_{r+1}(u_1))^{r_1} + (S_{r+1}(u_2))^{r_2} (S_r(u_1))^{r_3} \\
 & \left. + \sum_{r=j-k}^\infty \Delta_{r+1}(u_2) S_r(u_1 - u_2) \sum_{r_1+r_2+r_3=k-2} (S_{r+1}(u_2))^{r_1} (S_r(u_1))^{r_2} (S_r(u_2))^{r_3} \right].
 \end{aligned}$$

Similar to the proof of Lemma 3.1, we know that (3.15) and (3.16) hold. The Lemma is proved. □

4. MAIN RESULTS

In order to prove our main results, in what follows, we introduce four Lemmas.

**Lemma 4.1** Assume that  $ab \leq 0 (b \neq 0), q \in [1, +\infty], m \in [4, +\infty]$  and  $n \in [2, +\infty]$  with  $\frac{1}{m} + \frac{1}{2n} \leq \frac{1}{4}$ . Let  $u$  be a solution of (1.1)- (1.2) with  $\|u\|_{E_{q,T}} < \infty$ . Then the sequence

$$\alpha_j = 2^{js_k} 2^{j(\frac{1}{m} + \frac{4}{n} - \frac{1}{2})} \|\Delta_j u\|_{L_x^m L_t^n} \tag{4.1}$$

belongs to  $l^q(\mathbb{Z})$  and the estimate holds

$$\|\alpha_j\|_{l^q(\mathbb{Z})} \leq C(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \tag{4.2}$$

**Proof.** Since  $u$  is a solution of (1.1)- (1.2), we have

$$\Delta_j u = \Delta_j(S(t)u_0) \pm \Delta_j\left(\int_0^t S(t-\tau)\partial_x u^k(\tau)d\tau\right), \forall j \in \mathbb{Z}. \tag{4.3}$$

By (2.9), (3.2) and  $E_{q,T} \hookrightarrow E_{\infty,T}$ , we know that (4.2) holds. This proves Lemma 4.1.  $\square$

**Lemma 4.2** Assume that  $ab \leq 0 (b \neq 0)$ . Let  $u$  be a solution of the problem (1.1)-(1.2), then we have

$$\begin{aligned} \|u\|_{L_{T,x}^r} &\leq C(r)T^\nu(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k), \\ r &\in \left(\frac{k-1}{3}, \frac{5}{3}(k-1)\right) \cap \left(\frac{7(k-1)}{2k-5}, +\infty\right) \cap (4, +\infty). \end{aligned} \tag{4.4}$$

Here  $\nu = \nu(r) > 0$ .

**Proof.** It suffices to show that

$$\sum_{j=-\infty}^0 \|\Delta_j u\|_{L_{T,x}^r} + \sum_{j=1}^{\infty} \|\Delta_j u\|_{L_{T,x}^r} \leq C(r)T^\nu(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \tag{4.5}$$

We first consider the high frequencies. Let  $r > 4$ , then there always exists  $q_1 \geq r$ , such that  $q_1 \in [2, +\infty]$  with  $\frac{1}{r} + \frac{1}{2q_1} \leq \frac{1}{4}$  and  $s_k - \frac{1}{2} + \frac{1}{r} + \frac{4}{q_1} > 0$ .

Indeed, we can clearly find such a  $q_1$  as long as the set  $(\frac{3}{4(k-1)} - \frac{1}{4r}, \min(\frac{1}{r}, \frac{1}{2} - \frac{2}{r}))$  is nonempty. The conditions

$$\frac{3}{4(k-1)} - \frac{1}{4r} < \frac{1}{r} \Leftrightarrow r < \frac{5}{3}(k-1)$$

and

$$\frac{3}{4(k-1)} - \frac{1}{4r} < \frac{1}{2} - \frac{2}{r} \Leftrightarrow r > \frac{7(k-1)}{2k-5}.$$

Using Lemma 4.1 and Hölder inequality, we get

$$\sum_{j=1}^{\infty} \|\Delta_j u\|_{L_x^r L_T^{q_1}} = \|2^{-j(s_k - \frac{1}{2} + \frac{1}{r} + \frac{4}{q_1})} \alpha_j\|_{l^1(j \geq 1)} \leq C(r)(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \quad (4.6)$$

Using Hölder inequality and (4.6), we obtain

$$\sum_{j=1}^{\infty} \|\Delta_j u\|_{L_{Tx}^r} \leq T^\nu \sum_{j=1}^{\infty} \|\Delta_j u\|_{L_x^r L_T^{q_1}} \leq C(r)T^\nu(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \quad (4.7)$$

Here  $\nu = \frac{1}{r} - \frac{1}{q} > 0$ .

Now we consider the low frequencies term. Let  $r \in [4, \frac{5}{3}(k-1))$ , set  $q_2 = \infty$ , then we get  $\frac{1}{r} + \frac{1}{\infty} \leq \frac{1}{4}$  and  $s_k - \frac{1}{2} + \frac{1}{r} + \frac{4}{\infty} < 0$  as long as  $r \geq 4$  and  $r > \frac{k-1}{3}$ . From Lemma 4.1 again gives

$$\sum_{j=-\infty}^0 \|\Delta_j u\|_{L_x^r L_T^{q_2}} = \|2^{-j(s_k - \frac{1}{2} + \frac{1}{r} + \frac{4}{q_2})} \alpha_j\|_{l^1(j \leq 0)} \leq C(r)(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \quad (4.8)$$

By Hölder inequality yields

$$\sum_{j=-\infty}^0 \|\Delta_j u\|_{L_{Tx}^r} \leq T^\nu \sum_{j=-\infty}^0 \|\Delta_j u\|_{L_x^r L_T^{q_2}} \leq C(r)T^\nu(\|u_0\|_{\dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}}^k). \quad (4.9)$$

Here  $\nu = \frac{1}{r} > 0$ .

Thus, Combining (4.7) and (4.9) gives the proof of (4.5). The proof of Lemma 4.2 is completed.  $\square$

**Lemma 4.3** Assume that  $u_0 \in \dot{B}_{2,q}^{s_k}$  and  $ab \leq 0 (b \neq 0)$ , where  $1 \leq q < \infty$ . Then for any  $\varepsilon > 0$ , there exists  $T = T(\|u_0\|_{\dot{B}_{2,q}^{s_k}}, \varepsilon)$  small enough such that

$$\|S(t)u_0\|_{E_{q,T}} \leq \varepsilon. \quad (4.10)$$

**Proof.** From Lemma 2.6, for any  $T$  and  $u_0 \in \dot{B}_{2,q}^{s_k}$ , we get

$$\|S(t)u_0\|_{N_{i,q,T}} \leq C\|u_0\|_{\dot{B}_{2,q}^{s_k}}. \quad i = 1, 2.$$

Since  $Z(\mathbb{R})$  is dense in  $\dot{B}_{2,q}^{s_k}$ , there exists  $v_0 \in Z(\mathbb{R})$  such that for any  $\varepsilon > 0$

$$\|u_0 - v_0\|_{\dot{B}_{2,q}^{s_k}} \leq \frac{\varepsilon}{4C}.$$

Using Lemma 2.3 yields

$$\begin{aligned} \|S(t)v_0\|_{N_{i,q,T}} &= \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j S(t)v_0\|_{L_x^{p_i} L_T^{q_i}} \|l^q(\mathbb{Z})\| \\ &\leq CT^{\frac{1}{q_i}} \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j S(t)v_0\|_{L_x^{p_i} L_T^\infty} \|l^q(\mathbb{Z})\| \\ &\leq CT^{\frac{1}{q_i}} \|2^{js_k} 2^{j\frac{4}{q_i}} \|\Delta_j v_0\|_{L^2} \|l^q(\mathbb{Z})\| \\ &= CT^{\frac{1}{q_i}} \|v_0\|_{\dot{B}_{2,q}^{s_k + \frac{4}{q_i}}}. \end{aligned}$$

Since  $v_0 \in Z(\mathbb{R}) \subset \dot{B}_{2,q}^{s_k + \frac{4}{q_i}}$ , then we obtain

$$\|S(t)v_0\|_{N_{i,q,T}} \leq CT^{\frac{1}{q_i}}.$$

Choosing  $T > 0$  small enough such that  $CT^{\frac{1}{q_i}} \leq \frac{\varepsilon}{4}$ ,  $i = 1, 2$ . So we get

$$\begin{aligned} \|S(t)u_0\|_{E_{q,T}} &= \|S(t)u_0\|_{N_{1,q,T}} + \|S(t)u_0\|_{N_{2,q,T}} \\ &\leq \|S(t)(u_0 - v_0)\|_{N_{1,q,T}} + \|S(t)v_0\|_{N_{1,q,T}} + \\ &\quad \|S(t)(u_0 - v_0)\|_{N_{2,q,T}} + \|S(t)v_0\|_{N_{2,q,T}} \\ &\leq \frac{\varepsilon}{4} + \frac{\varepsilon}{4} + \frac{\varepsilon}{4} + \frac{\varepsilon}{4} \leq \varepsilon. \end{aligned}$$

This proves Lemma 4.3.  $\square$

**Lemma 4.4** Assume that  $u_0 \in B_{2,q}^s$ ,  $1 \leq q \leq \infty$ ,  $ab \leq 0$  ( $b \neq 0$ ) and  $s > s_k$ , then for  $s > s' > s_k$ , there exists  $\eta_i > 0$  ( $i = 1, 2$ ) such that

$$\|S(t)u_0\|_{N_{i,q,T}} \leq CT^{\eta_i} \|u_0\|_{B_{2,q}^{s'}}, \quad i = 1, 2. \quad (4.11)$$

**Proof.** For  $q_i \in [2, \infty]$ , choosing  $r_i > q_i$ , such that  $s' - s_k \geq \frac{4}{q_i} - \frac{4}{r_i}$ .

Using Hölder inequality, Lemma 2.3 and  $B_{2,q}^{s'} \hookrightarrow \dot{B}_{2,q}^{s_k + \frac{4}{q_i} - \frac{4}{r_i}}$ , we obtain

$$\begin{aligned} \|S(t)u_0\|_{N_{i,q,T}} &= \|2^{js_k} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j S(t)u_0\|_{L_x^{p_i} L_T^{q_i}} \|l^q(\mathbb{Z})\| \\ &= 2T^{\frac{1}{q_i} - \frac{1}{r_i}} \|2^{js_k} 2^{j(\frac{4}{q_i} - \frac{4}{r_i})} 2^{j(\frac{1}{p_i} + \frac{4}{q_i} - \frac{1}{2})} \|\Delta_j S(t)u_0\|_{L_x^{p_i} L_T^{r_i}} \|l^q(\mathbb{Z})\| \\ &\leq CT^{\frac{1}{q_i} - \frac{1}{r_i}} \|2^{j(s_k + \frac{4}{q_i} - \frac{4}{r_i})} \|\Delta_j u_0\|_{L^2} \|l^q(\mathbb{Z})\| \\ &= CT^{\frac{1}{q_i} - \frac{1}{r_i}} \|u_0\|_{\dot{B}_{2,q}^{s_k + \frac{4}{q_i} - \frac{4}{r_i}}} \\ &\leq CT^{\frac{1}{q_i} - \frac{1}{r_i}} \|u_0\|_{B_{2,q}^{s'}}. \end{aligned}$$

Choosing  $\eta_i = \frac{1}{q_i} - \frac{1}{r_i}$ , then proof of Lemma 4.4 is finished.  $\square$

In what follows, we give main theorem.

**Theorem 4.1** Suppose that  $k \geq 5, k \in \mathbb{N}$  and  $ab \leq 0 (b \neq 0)$ , suppose furthermore that there exists  $\delta = \delta(k) > 0$  such that for any  $u_0 \in \dot{B}_{2,\infty}^{s_k}$  with  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$ . Then the IVP (1.1)-(1.2) has a unique solution  $u = u(x, t)$  on the domain  $\mathbb{R} \times \mathbb{R}$  and the solution satisfies the following properties

$$u \in C(\mathbb{R}; \dot{B}_{2,\infty}^{s_k}). \tag{4.12}$$

$$N_{1,\infty} + N_{2,\infty} \leq 4C\delta \tag{4.13}$$

and

$$u(t) \rightharpoonup u_0 \tag{4.14}$$

in  $\mathcal{S}'(\mathbb{R})$  as  $t \rightarrow 0$ .

Moreover, for any  $T < \infty$ ,

$$u \in L_{Tx}^r, r \in \begin{cases} (\frac{7(k-1)}{2k-5}, \frac{5(k-1)}{3}), & 5 \leq k \leq 13 \\ (\frac{k-1}{3}, \frac{5(k-1)}{3}), & k \geq 13 \end{cases} \tag{4.15}$$

and the mapping  $u_0 \rightarrow u$  is lipschitz continous from  $\{u_0 \in \dot{B}_{2,\infty}^{s_k} \mid \|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta\}$  into the space defined by (4.12)-(4.14).

**Proof.** For any fixed  $M > 0$ , we define the function space

$$X_M \triangleq \{u \mid u - S(t)u_0 \in C(\mathbb{R}; \dot{B}_{2,\infty}^{s_k}) \cap E_\infty, \|u - S(t)u_0\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|u\|_{E_\infty} \leq M\}$$

equipped with the metric

$$d(u_1, u_2) = \|u_1 - u_2\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|u_1 - u_2\|_{E_\infty}.$$

Obviously,  $(X_M, d)$  is a complete metric space.

For any  $u \in X_M$ , Lemma 2.6 and 3.1 gives

$$\begin{aligned} & \|\Phi(u) - S(t)u_0\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|\Phi(u)\|_{E_\infty} \\ & \leq \left\| \int_0^t S(t-\tau) \partial_x u^k(\tau) d\tau \right\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|S(t)u_0\|_{E_\infty} + \left\| \int_0^t S(t-\tau) \partial_x u^k(\tau) d\tau \right\|_{E_\infty} \\ & \leq C\|u\|_{E_\infty}^k + C\|u_0\|_{\dot{B}_{2,\infty}^{s_k}}. \end{aligned} \tag{4.16}$$

Choosing  $M = (4C)^{-\frac{1}{k-1}}$  and  $\delta = (4C)^{-\frac{k}{k-1}}$ , since  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$ , then from (4.16), we get

$$\|\Phi(u) - S(t)u_0\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|\Phi(u)\|_{E_\infty} \leq M.$$

So  $\Phi(u) \in X_M$ .

For  $u_1, u_2 \in X_M$ , using Lemma 3.2 gives

$$\begin{aligned} d(u_1, u_2) &= \|\Phi(u_1) - \Phi(u_2)\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \|\Phi(u_1) - \Phi(u_2)\|_{E_\infty} \\ &\leq \left\| \int_0^t S(t-\tau) \partial_x(u_1^k - u_2^k)(\tau) d\tau \right\|_{L_t^\infty \dot{B}_{2,\infty}^{s_k}} + \left\| \int_0^t S(t-\tau) \partial_x(u_1^k - u_2^k)(\tau) d\tau \right\|_{E_\infty} \\ &\leq C \|u_1 - u_2\|_{E_\infty} (\|u_1\|_{E_\infty}^{k-1} + \|u_2\|_{E_\infty}^{k-1}) \\ &\leq \frac{1}{2} \|u_1 - u_2\|_{E_\infty} \leq \frac{1}{2} d(u_1, u_2). \end{aligned}$$

So  $\Phi$  is a strict contractive mapping on  $X_M$ .

Using Banach fixed point theorem, there exists a unique  $u \in X_M$  satisfying (4.12) and (4.13).

In what follows, we prove (4.14) and (4.15). Since  $u - S(t)u_0 \in C(\mathbb{R}; \dot{B}_{2,\infty}^{s_k})$  and the continuity of the group  $S(t)$  in  $\mathcal{S}'(\mathbb{R})$  yields (4.14). Lemma 4.2 assure that (4.15) holds. The proof of theorem 4.1 is completed.  $\square$

**Theorem 4.2** Suppose that  $k \geq 5, k \in \mathbb{N}$  and  $ab \leq 0 (b \neq 0)$ , suppose furthermore that  $u_0 \in \dot{B}_{2,q}^{s_k}(\mathbb{R})$  and  $q \in [1, \infty)$ .

(1) Then there exists a positive constant  $T = T(\|u_0\|_{\dot{B}_{2,q}^{s_k}})$  such that the IVP (1.1)-(1.2) has a unique solution  $u = u(x, t)$  on the strip  $\mathbb{R} \times [-T, T]$  and the solution satisfies the following properties

$$u \in C([-T, T]; \dot{B}_{2,q}^{s_k}) \cap E_{q,T}. \tag{4.17}$$

Moreover, for all  $T < +\infty$

$$u \in L_{Tx}^r, \quad r \in \begin{cases} (\frac{7(k-1)}{2k-5}, \frac{5(k-1)}{3}), & 5 \leq k \leq 13 \\ (\frac{k-1}{3}, \frac{5(k-1)}{3}), & k \geq 13 \end{cases} \tag{4.18}$$

and the mapping  $u_0 \mapsto u(t)$  from  $\dot{B}_{2,q}^{s_k}(\mathbb{R})$  into the space defined by (4.17) is locally Lipschitz.

(2) If  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$  (given in the statement of theorem 4.1), then  $u$  is a global solution of IVP (1.1)-(1.2) and satisfies

$$u \in C(\mathbb{R}; \dot{B}_{2,q}^{s_k}) \cap L^\infty(\mathbb{R}; \dot{B}_{2,q}^{s_k}). \tag{4.19}$$

Moreover, for all  $T < +\infty$ , (4.18) also holds. The mapping  $u_0 \mapsto u(t)$  from  $\dot{B}_{2,q}^{s_k}(\mathbb{R})$  into the space defined by (4.19) is locally Lipschitz.

**Proof.** (1) For fixed  $M, T > 0$ , we define the metric space

$$X_T^M = \{u \in C([-T, T]; \dot{B}_{2,q}^{s_k}) \cap E_{q,T} \mid \|u - S(t)u_0\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} + \|u\|_{E_{q,T}} \leq M\},$$

$$d(u_1, u_2) = \|u_1 - u_2\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} + \|u_1 - u_2\|_{E_{q,T}}.$$

Obviously,  $(X_T^M, d)$  is a complete metric space.

For any  $u \in X_T^M$ , using Lemma 3.1, we get

$$\begin{aligned} \|\Phi(u) - S(t)u_0\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} &\leq \left\| \int_0^t S(t-\tau) \partial_x u^k(\tau) d\tau \right\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} \\ &\leq C \|u\|_{E_{q,T}} \|u\|_{E_{\infty,T}}^{k-1} \leq CM^k. \end{aligned} \tag{4.20}$$

From Lemma 2.6, 3.1 and 4.3, we obtain

$$\begin{aligned} \|\Phi(u)\|_{E_{q,T}} &= \|S(t)u_0 \pm i \int_0^t S(t-\tau) \partial_x u^k(\tau) d\tau\|_{E_{q,T}} \\ &\leq \|S(t)u_0\|_{E_{q,T}} + \left\| \int_0^t S(\tau) d\tau \right\|_{E_{q,T}} \\ &\leq \|S(t)u_0\|_{E_{q,T}} + C \|u\|_{E_{q,T}} \|u\|_{E_{\infty,T}}^{k-1} \\ &\leq \varepsilon + cM^k. \end{aligned} \tag{4.21}$$

Combining (4.20) and (4.21) yields

$$\|\Phi(u) - S(t)u_0\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} + \|\Phi(u)\|_{E_{q,T}} \leq \varepsilon + CM^k.$$

Now choosing  $M > 0$  small enough such that

$$CM^{k-1} \leq \frac{1}{4}.$$

Let  $0 < \varepsilon \leq \frac{1}{2}M$ , then we have

$$\|\Phi(u) - S(t)u_0\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} + \|\Phi(u)\|_{E_{q,T}} \leq M,$$

So  $\Phi$  maps  $X_T^M$  into  $X_T^M$ .

We now show that  $\Phi$  is a strict contractive map. For any  $u_1, u_2 \in X_T^M$ , it follows from Lemma 3.2 that

$$\begin{aligned} &\|\Phi(u_1) - \Phi(u_2)\|_{L_T^\infty \dot{B}_{2,q}^{s_k}} + \|\Phi(u_1) - \Phi(u_2)\|_{E_{q,T}} \\ &\leq C \|u_1 - u_2\|_{E_{q,T}} (\|u_1\|_{E_{\infty,T}}^{k-1} + \|u_2\|_{E_{\infty,T}}^{k-1}) \\ &\leq 2CM^{k-1} \|u_1 - u_2\|_{E_{q,T}} \\ &\leq \frac{1}{2} \|u_1 - u_2\|_{E_{q,T}}. \end{aligned}$$

From the contraction mapping principle, the IVP (1.1)-(1.2) has a unique solution  $u(x, t)$  on the strip  $\mathbb{R} \times [-T, T]$  and the solution satisfies the properties

(4.17) and (4.18). From the above proof, we know that the map  $u_0 \mapsto u(t)$  is lipschitz continuous. we have proved the first part in Theorem 4.2.

(2) Let  $u_0 \in \dot{B}_{2,q}^{s_k}(\mathbb{R}), q \in [1, \infty)$  with  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$ , (recalling that  $\dot{B}_{2,q}^{s_k} \hookrightarrow \dot{B}_{2,\infty}^{s_k}$ ). By virtue of Theorem 4.1,  $\{\omega \in E_\infty \mid \|\omega\|_{E_\infty} \leq 4C\delta\}$  is stable by  $\Phi$ .

For any fixed  $M > 0$ , we define metric space

$$X_M = \{u \in C(\mathbb{R}; \dot{B}_{2,q}^{s_k}) \cap E_q \mid \|u\|_{E_\infty} \leq M\}$$

equipped with the metric

$$d(u_1, u_2) = \|u_1 - u_2\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} + \|u_1 - u_2\|_{E_q}.$$

In what follows, we show that  $\Phi$  maps  $X_M$  into  $X_M$ . For  $u \in X_M$ , By Lemma 2.6 and 3.1, we obtain

$$\begin{aligned} \|\Phi(u)\|_{E_\infty} &\leq \|S(t)u_0\|_{E_\infty} + \left\| \int_0^t S(t-\tau) \partial_x^k(\tau) d\tau \right\|_{E_\infty} \\ &\leq \|u_0\|_{\dot{B}_{2,\infty}^{s_k}} + C\|u\|_{E_\infty}^k \\ &\leq C\delta + cM^k. \end{aligned}$$

Choosing  $M = (4C)^{-\frac{1}{k-1}}$  and  $\delta = (2C)^{-1}(4C)^{-\frac{1}{k-1}}$ , then from the above inequality, we get

$$\|\Phi(u)\|_{E_\infty} \leq M.$$

For  $u_1, u_2 \in X_M$ , it follows from Lemma 3.2 that

$$\begin{aligned} d(\Phi(u_1), \Phi(u_2)) &= \|\Phi(u_1) - \Phi(u_2)\|_{L_t^\infty \dot{B}_{2,q}^{s_k}} + \|\Phi(u_1) - \Phi(u_2)\|_{E_q} \\ &\leq C\|u_1 - u_2\|_{E_q} (\|u_1\|_{E_\infty}^{k-1} + \|u_2\|_{E_\infty}^{k-1}) \\ &\leq 2CM^{k-1}\|u_1 - u_2\|_{E_q} \\ &\leq \frac{1}{2}d(u_1, u_2). \end{aligned}$$

So  $\Phi$  is a strict contractive map on  $X_M$ .

From Banach fixed Theorem, the IVP (1.1)-(1.2) has a unique solution  $u(x, t)$  and the solution satisfies the properties (4.19). From the above proof, we know that the map  $u_0 \mapsto u(t)$  is lipschitz continuous. From Lemma 4.2, we know that (4.18) also holds. The Theorem 4.2 is proved.  $\square$

**Theorem 4.3** Assume that  $ab \leq 0 (b \neq 0), k \geq 5, k \in \mathbb{N}$  and  $s > s' > s_k$ , suppose further  $u_0 \in B_{2,q}^s$  and  $1 \leq q < \infty$ .

(1) Then there exists a positive constant  $T = T(\|u_0\|_{B_{2,q}^{s'}})$  such that the IVP (1.1)-(1.2) has a unique solution  $u = u(x, t)$  on the strip  $\mathbb{R} \times [-T, T]$  and the solution satisfies the following properties

$$u \in C([-T, T]; B_{2,q}^s) \cap E_{q,T} \tag{4.22}$$

and

$$D_x^{s-s_k} u \in L_{Tx}^r, r \in \begin{cases} (\frac{7(k-1)}{2k-5}, \frac{5(k-1)}{3}), & 5 \leq k \leq 13 \\ (\frac{k-1}{3}, \frac{5(k-1)}{3}), & k \geq 13 \end{cases} \tag{4.23}$$

and the mapping  $u_0 \mapsto u(t)$  from  $B_{2,q}^s(\mathbb{R})$  into the space defined by (4.22) is locally Lipschitz.

(2) If  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$  (given by Theorem 4.1), then  $u$  is a global solution and satisfies the properties

$$u \in L^\infty(\mathbb{R}; B_{2,q}^s). \tag{4.24}$$

**Proof.** For fixed  $M, T > 0$ , define metric space  $(X_T^M, d)$

$$X_T^M = \{u \in C([-T, T]; B_{2,q}^s) \cap E_{q,T} \cap L_{Tx}^k \mid \|u\|_{E_{q,T}} + \|u\|_{L_{Tx}^k} + \lambda(\|u\|_{L_T^\infty B_{2,q}^s} + \|u\|_{N_{2,q,T}^s}) \leq M\},$$

$$d(u_1, u_2) = \|u_1 - u_2\|_{E_{q,T}} + \|u_1 - u_2\|_{L_{Tx}^k} + \lambda(\|u_1 - u_2\|_{L_T^\infty B_{2,q}^s} + \|u_1 - u_2\|_{N_{2,q,T}^s}),$$

where

$$\lambda = \frac{\|S(t)u_0\|_{E_{q,T}}}{\|u_0\|_{B_{2,q}^s}}$$

and

$$\|u\|_{N_{2,q,T}^s} = \|2^{js} 2^{j(\frac{1}{p_2} + \frac{4}{q_2} - \frac{1}{2})} \Delta_j u\|_{L_x^{p_2} L_T^{q_2} l^q(\mathbb{Z})}.$$

For any  $u \in X_T^M$ , using Lemma 3.1, 4.4 and  $E_{q,T} \hookrightarrow E_{\infty,T}$ , for  $0 < T \leq 1$ , we obtain

$$\begin{aligned} \|\Phi(u)\|_{E_{q,T}} &\leq \|S(t)u_0\|_{E_{q,T}} + \left\| \int_0^t S(t-\tau) \partial_x u^k(\tau) d\tau \right\|_{E_{q,T}} \\ &\leq \|S(t)u_0\|_{E_{q,T}} + C \|u\|_{E_{q,T}} \|u\|_{E_{\infty,T}}^{k-1} \\ &\leq C(T^\eta \|u_0\|_{B_{2,q}^{s'}} + \|u\|_{E_{q,T}}^k) \\ &\leq C(T^\eta \|u_0\|_{B_{2,q}^{s'}} + M^k). \end{aligned} \tag{4.25}$$

From Lemma 4.2, for  $0 < T \leq 1$ , we get

$$\|\Phi(u)\|_{L_{Tx}^k} \leq C(T^\nu \|u_0\|_{B_{2,q}^{s'}} + \|u\|_{E_{q,T}}^k) \leq C(T^\nu \|u_0\|_{B_{2,q}^{s'}} + M^k). \tag{4.26}$$

For  $t \in \mathbb{R}$ ,  $S(t)$  is a unitary group in  $H^s(\mathbb{R})$ , using Young inequality, we obtain

$$\begin{aligned} \|S_0(\Phi(u))\|_{L_T^\infty L_x^2} &\leq \|S_0(S(t)u_0)\|_{L_T^\infty L_x^2} + \|S_0(\int_0^t S(t-\tau)\partial_x u^k(\tau)d\tau)\|_{L_T^\infty L_x^2} \\ &\leq \|S_0u_0\|_{L^2} + \sup_{t \in [-T, T]} \int_0^t \|\partial_x S(t-\tau)S_0u^k(\tau)\|_{L_x^2} d\tau \\ &\leq \|u_0\|_{B_{2,q}^s} + C \int_{-T}^T \|S_0(u^k(t))\|_{L^2} dt \\ &\leq \|u_0\|_{B_{2,q}^s} + C\|\psi_0\|_{L^2} \int_{-T}^T \|u^k(t)\|_{L^1} dt \\ &\leq \|u_0\|_{B_{2,q}^s} + C\|u\|_{L_{Tx}^k}^k. \end{aligned} \tag{4.27}$$

Using (4.27), analogous to the nonlinear estimate as those in Lemma 3.1, for  $0 < T \leq 1$ , we can establish

$$\begin{aligned} \|\Phi(u)\|_{L_T^\infty B_{2,q}^s} + \|\Phi(u)\|_{N_{2,q,T}^s} &\leq \|S_0(\Phi(u))\|_{L_T^\infty L_x^2} + \|\Phi(u)\|_{L_T^\infty \dot{B}_{2,q}^s} + \|\Phi(u)\|_{N_{2,q,T}^s} \\ &\leq C(\|u_0\|_{B_{2,q}^s} + \|u\|_{L_{Tx}^k}^k + \|u\|_{N_{1,\infty,T}}^{k-1} \|u\|_{N_{2,q,T}}) \\ &\leq C(\|u_0\|_{B_{2,q}^s} + \|u\|_{X_T^M}^k) \\ &\leq C(\|u_0\|_{B_{2,q}^s} + M^k). \end{aligned}$$

For  $0 < T \leq 1$ , Lemma 4.4 gives

$$\lambda \leq CT^\eta \frac{\|u_0\|_{B_{2,q}^{s'}}}{\|u_0\|_{B_{2,q}^s}} \leq CT^\eta \leq c,$$

Thus

$$\lambda(\|\Phi(u)\|_{L_T^\infty B_{2,q}^s} + \|\Phi(u)\|_{N_{2,q,T}^s}) \leq C(\lambda\|u_0\|_{B_{2,q}^s} + \lambda M^k) \leq C(T^\eta\|u_0\|_{B_{2,q}^{s'}} + M^k). \tag{4.28}$$

For  $0 < T \leq 1$  and any  $u \in X_T^M$ , combining (4.25), (4.26) and (4.28) yields

$$\|\Phi(u)\|_{X_T^M} \leq C(T^{\max\{\eta,\nu\}}\|u_0\|_{B_{2,q}^{s'}} + M^k). \tag{4.29}$$

Choosing  $M > 0$  and  $T > 0$  suitably small such that

$$CM^{k-1} \leq \frac{1}{4}$$

and

$$T^{\max\{\eta,\nu\}} \leq \frac{M}{4C\|u_0\|_{B_{2,q}^{s'}}},$$

hold, then we have

$$\|\Phi(u)\|_{X_T^M} \leq M.$$

This implies that  $\Phi$  maps  $X_T^M$  into  $X_T^M$ .

In what follows, we will show that  $\Phi$  is a strictly mapping on  $X_T^M$ . For any  $u_1, u_2 \in X_T^M$ , using the same way to obtain (4.29), we get

$$\|\Phi(u_1) - \Phi(u_2)\|_{X_T^M} \leq C(\|u_1\|_{X_T^M}^{k-1} + \|u_2\|_{X_T^M}^{k-1})\|u_1 - u_2\|_{X_T^M}.$$

If  $M$  satisfies  $CM^{k-1} \leq \frac{1}{4}$ , then we have

$$\|\Phi(u_1) - \Phi(u_2)\|_{X_T^M} \leq \frac{1}{2}\|u_1 - u_2\|_{X_T^M}.$$

From the contraction mapping principle, the IVP (1.1)-(1.2) has a unique solution  $u(x, t)$  on the strip  $\mathbb{R} \times [-T, T]$  and the solution satisfies the property (4.22). From the above proof, we know that the map  $u_0 \mapsto u(t)$  is lipschitz continuous. From  $L_T^\infty B_{2,q}^s \hookrightarrow L_T^\infty L_x^2$  and Lemma 4.2, (4.23) follows.

The proof of the second part of Theorem 4.3. By the same way to that of proof of Lemma 3.1 and Lemma 3.2, and from Theorem 4.1,  $\|u\|_{E_\infty}^{k-1} \leq (4C)^{-1}$  for  $\|u_0\|_{\dot{B}_{2,\infty}^{s_k}} \leq \delta$ , we can show that for any fixed  $\theta \in [0, s]$  and  $T > 0$

$$\begin{aligned} \|u\|_{L_T^\infty \dot{B}_{2,q}^\theta} + \|u\|_{\dot{N}_{2,q,T}^\theta} &\leq C\|u_0\|_{\dot{B}_{2,q}^\theta} + C\|u\|_{E_\infty}^{k-1}\|u\|_{\dot{N}_{2,q,T}^\theta} \\ &\leq C\|u_0\|_{\dot{B}_{2,q}^\theta} + \frac{1}{2}\|u\|_{\dot{N}_{2,q,T}^\theta} \\ &\leq 2C\|u_0\|_{\dot{B}_{2,q}^\theta} \leq 2C\|u_0\|_{B_{2,q}^\theta}. \end{aligned}$$

Taking  $\theta = 0$  and  $s$ , respectively, this proves that  $u$  can be extended for al time and  $u \in L^\infty(\mathbb{R}; B_{2,q}^s)$ . This proves Theorem 4.3.  $\square$

### 5. SCATTERING

In this section, we give the scattering result.

**Theorem 5.1** Assume that  $u_0 \in \dot{B}_{2,q}^{s_k}, q \in [1, \infty)$  and  $ab \leq 0 (b \neq 0)$ , and the initial value satisfy the small assumption of Theorem 4.1. Let  $u(x, t)$  be the solution of the IVP (1.1)- (1.2). Then there exists  $(u_0^-, u_0^+) \in \dot{B}_{2,q}^{s_k} \times \dot{B}_{2,q}^{s_k}$  such that

$$\lim_{t \rightarrow +\infty} \|u(t) - S(t)u_0^+\| = 0; \quad \lim_{t \rightarrow -\infty} \|u(t) - S(t)u_0^-\| = 0.$$

**Proof.** The proof of essentially follows from the proof of Theorem 2.2 in [15]. From the proof of the second part of Theorem 4.2. we obtain  $u \in C(\mathbb{R}; \dot{B}_{2,q}^{s_k})$  and

$$\|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}}\|_{l^q(\mathbb{Z})} < +\infty.$$

Therefore, for any fixed  $n \in \mathbb{N}$ , there exists  $j_n > 0$  such that

$$\|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_t^{\frac{4}{3}}} \|l^q(|j| \geq j_n)\| \leq \frac{1}{n} \tag{5.1}$$

and there exists  $T_n$  such that

$$\|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_{[T_n, +\infty)}^{\frac{4}{3}}} \|l^q(|j| \leq j_n)\| < \frac{1}{n}. \tag{5.2}$$

Now by taking  $u_{L,n}(t) = S(t - T_n)u(T_n) = S(t)u_{L,n}(0)$ , combining (5.1) and (5.2) yields

$$\begin{aligned} \sup_{t \geq T_n} \|u(t) - u_{L,n}(t)\|_{\dot{B}_{2,q}^{s_k}} &\leq c \|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_{[T_n, +\infty)}^{\frac{4}{3}}} \|l^q(\mathbb{Z})\| \\ &\leq \|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_{[T_n, +\infty)}^{\frac{4}{3}}} \|l^q(|j| \leq j_n)\| \\ &\quad + \|2^{js_k} 2^{j\frac{3}{8}} \|\Delta_j(u^k)\|_{L_x^{\frac{8}{7}} L_{[T_n, +\infty)}^{\frac{4}{3}}} \|l^q(|j| \geq j_n)\| \\ &\leq \frac{2C}{n}. \end{aligned} \tag{5.3}$$

Since  $S(t)$  is a unitary group in  $\dot{B}_{2,q}^{s_k}$ , for  $t \geq T_n$ ,  $m_1 \geq n$  and  $m_2 \geq n$ , we obtain

$$\|u_{L,m_1}(0) - u_{L,m_2}(0)\|_{\dot{B}_{2,q}^{s_k}} = \|u_{L,m_1}(t) - u_{L,m_2}(t)\|_{\dot{B}_{2,q}^{s_k}} \leq \frac{4C}{n}.$$

Thus,  $u_{L,n}(0)$  is a Cauchy sequence in  $\dot{B}_{2,q}^{s_k}$ . Let  $u_0^+$  be the limit of Cauchy sequence  $u_{L,n}(0)$ . From (5.3), we obtain

$$\lim_{t \rightarrow +\infty} \|u(t) - S(t)u_0^+\| = 0.$$

In the same way, we can show that

$$\lim_{t \rightarrow -\infty} \|u(t) - S(t)u_0^-\| = 0.$$

Then the proof of Theorem 5.1 is completed. □

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