# Compact Commutators of Riesz Transforms Associated to Schrödinger Operator

# Pengtao Li and Lizhong Peng

**Abstract:** In this paper, we consider the compactness of some commutators of Riesz transforms associated to Schrödinger operator  $L = -\triangle + V$  on  $R^n, n \geq 3$ , where V is non-zero, nonnegative and belongs to the reverse Hölder class  $B_q$  for  $q > \frac{n}{2}$ . We prove that if  $T_1 = (-\triangle + V)^{-1}V$ ,  $T_2 = (-\triangle + V)^{-1/2}V^{1/2}$  and  $T_3 = (-\triangle + V)^{-1/2}\nabla$ , then the commutators  $[b, T_j], (j = 1, 2, 3)$  are compact on  $L^p(R^n)$  when p ranges in an interval and  $b \in VMO(R^n)$ .

**Keywords:** Commutator, Compactness, VMO, Schrödinger operator, Riesz transform.

## Introduction

Throughout the paper, we assume that  $L = -\triangle + V$  be a Schrödinger operator on  $R^n, n \geq 3$  and V is a non-zero, nonnegative potential, and belongs to the reverse Hölder class  $B_q$  for q > n/2. Let  $T_j, j = 1, 2, 3$  be the Riesz transforms associated to Schrödinger operators, namely,  $T_1 = (-\triangle + V)^{-1}V, T_2 = (-\triangle + V)^{-1/2}V^{1/2}$  and  $T_3 = (-\triangle + V)^{-1/2}\nabla$ . The  $L^p$  boundedness of  $T_j, (j = 1, 2, 3)$  was widely studied in [3]. Recently, in [1], the authors got the  $L^p$  boundedness of the commutator of  $T_j, (j = 1, 2, 3)$  with the symbol  $b \in BMO(R^n)$ . In this paper, we will discuss the  $L^p$  compactness of the commutators  $[b, T_j] = bT_j - T_j b, (j = 1, 2, 3)$ 

Received February 13, 2008.

<sup>2000</sup> Mathematics Subject Classification. Primary 47B32, 47A75; Secondary 42c40, 94A40. This work was supported by the National Natural Science Foundation of China (Grants

No. 10990012, 10926179), the specialized Research Fund for the Doctoral Program of Higher Education (Grants No. 200800010009).

1,2,3), where  $b \in VMO(\mathbb{R}^n) = \overline{C_0^{\infty}(\mathbb{R}^n)}$ , the closure of  $C_0^{\infty}(\mathbb{R}^n)$  functions in BMO norm.

A nonnegative locally  $L^q$  integrable function V on  $\mathbb{R}^n$  is said to belong to  $B_q$ ,  $(1 < q < \infty)$ , if there exists a constant C > 0 such that the reverse Hölder inequality

$$\left(\frac{1}{|B|} \int_{B} V^{q}(x) dx\right)^{1/q} \le C\left(\frac{1}{|B|} \int_{B} V(x) dx\right) \tag{1}$$

holds for every ball B in  $\mathbb{R}^n$ .

By Hölder's inequality, we can get that  $B_{q_1} \subseteq B_{q_2}$ , for  $q_1 \geq q_2 > 1$ . One remarkable feature about the  $B_q$  class is that if  $V \in B_q$  for some q > 1 then there exists an  $\varepsilon > 0$  which depends only on the dimension n and the constant C in (1), such that  $V \in B_{q+\varepsilon}$ . It's also well known that if  $V \in B_q$ , q > 1 then V(x)dx is a doubling measure, namely for any r > 0,  $x \in R^n$  and some constant  $C_0 > 0$ , one has

$$\int_{B(x,2r)} V(y)dy \le C_0 \int_{B(x,r)} V(y)dy. \tag{2}$$

In [3], Z. Shen proved that if  $V \in B_n$  then  $T_3$  is a Calderón-Zygmund operator. According to the classical result of A.Uchiyama ([4]), for  $b \in VMO(\mathbb{R}^n)$ ,  $[b, T_3]$  is a compact operator on  $L^p$ ,  $(1 in the case. So we restrict ourselves to the case that <math>V \in B_q$ , (n/2 < q < n) when we consider the commutator  $[b, T_3]$ .

In the rest of this section, we will state some definitions and lemmas which will be used in the proofs of the main results.

**Definition 0.1.** For  $x \in \mathbb{R}^n$ , the function m(x, V) is defined by

$$\frac{1}{m(x,V)} = \sup_{r>0} \left\{ r : \frac{1}{r^{n-2}} \int_{B(x,r)} V(y) dy \le 1 \right\}.$$
 (3)

Clearly, for every  $x \in \mathbb{R}^n$ , if  $r = \frac{1}{m(x,V)}$ , then  $\frac{1}{r^{n-2}} \int_{B(x,r)} V(y) dy = 1$ .

The function m(x, V), as deeply studied in [3], plays an important role in estimating the kernel of  $T_i$ , (i = 1, 2, 3). We list some properties of m(x, V) here, and their proofs can be found in [3].

**Lemma 0.2.** Assume  $V \in B_q$  for q > n/2, there exist  $C > 0, c > 0, k_0 > 0$  such that, for any  $x, y \in R^n, 0 < r < R < \infty$ ,

- (1) (a)  $0 < m(x, V) < \infty$ ;
- (2) (b)  $m(x,V) \sim m(y,V)$ , if  $|x-y| \le \frac{c}{m(x,V)}$ ;
- (3) (c)  $\frac{1}{r^{n-2}} \int_{B(x,r)} V(y) dy \le C(\frac{R}{r})^{n/q-2} \frac{1}{R^{n-2}} \int_{B(x,R)} V(y) dy$ .

By (a) and (c) of Lemma 0.2 in [1], the authors got:

**Lemma 0.3.** ([1], Lemma 1) Suppose  $V \in B_q$  for some q > n/2 and let  $K > \log_2 C_0 + 1$ , where  $C_0$  is the constant in (2). Then for any  $x \in R^n$  and R > 0, we have

$$\frac{1}{\{1 + m(x, V)R\}^K} \int_{B(x,R)} V(y) dy \le CR^{n-2}.$$
 (4)

We also list some results concerning the  $L^p$  boundedness of  $T_j$ , (j = 1, 2, 3) and refer the reader to [3] for further details. We will adopt the notation 1/p' = 1 - 1/p for  $p \ge 1$  throughout the paper.

**Theorem 0.4.** Suppose  $V \in B_q$  and  $q \ge n/2$ , we have:

- (1) (i) ([3], Theorem 3.1, Page 526)  $\|(-\triangle + V)^{-1}Vf\|_p \le C_p\|f\|_p$  for  $q' \le p \le \infty$ .
- (2) (ii) ([3], Theorem 5.10, Page 542)  $\|(-\triangle+V)^{-1/2}V^{1/2}f\|_p \le C_p\|f\|_p$  for  $(2q)' \le p \le \infty$ .
- (3) (iii) ([3], Theorem 0.5, Page 514)  $\|(-\triangle+V)^{-1/2}\nabla f\|_p \le C_p \|f\|_p$  for  $p'_0 \le p < \infty$ ,

where  $1/p_0 = 1/q - 1/n$   $n/2 \le q < n$ .

In [1], using Theorem 0.4 and a pointwise estimation of the kernel of  $T_i$ , (i = 1, 2, 3), the authors got the  $L^p$  boundedness of commutator  $[b, T_i]$ , (i = 1, 2, 3), where  $b \in BMO(\mathbb{R}^n)$ .

**Theorem 0.5.** ([1], Theorem 1) (i) Suppose  $V \in B_q, q \ge n/2$ . If  $b \in BMO(\mathbb{R}^n)$ , then for  $q' \le p \le \infty$ ,

$$||[b, T_1]f||_p \le C_p ||b||_{BMO} ||f||_p.$$

(ii) Suppose  $V \in B_q, q \ge n/2$ . If  $b \in BMO(\mathbb{R}^n)$ , then for  $(2q)' \le p < \infty$ ,

$$||[b, T_2]f||_p \le C_p ||b||_{BMO} ||f||_p.$$

(iii) Suppose  $V \in B_q, n/2 \le q < n$ . If  $b \in BMO(\mathbb{R}^n)$ , then for  $(p_0)' \le p < \infty$  and  $1/p_1 = 1/q - 1/n$ ,

$$||[b, T_3]f||_p \le C_p ||b||_{BMO} ||f||_p.$$

Our proof of the compactness follows the well known Frechet-Kolmogorov theorem.

**Theorem 0.6.** (Frechet-Kolmogorov)A subset G of  $L^p(\mathbb{R}^n)$ ,  $1 \leq p < \infty$  is strongly precompact if and only if it satisfies:

- (c1)  $\sup_{f \in G} ||f||_p < \infty;$
- (c2) For any  $\varepsilon > 0$ , there exist a closed region  $K_{\varepsilon}$  and  $\delta_{\varepsilon} > 0$  such that  $||f||_{L^{p}(K_{\varepsilon}^{c})} < \varepsilon$  for any  $f \in G$ ;
  - (c3) For any  $f \in G$ ,  $\lim_{|z| \to 0} ||f(\cdot + z) f(\cdot)||_p = 0$ , uniformly.

Therefore, in order to prove the compactness of the commutators  $[b, T_i]$ , (i = 1, 2, 3), we only need to test the following three conditions for the commutator  $[b, T_i]$ , (i = 1, 2, 3):

- $(c1)' \sup_{\|f\|_p \le 1} \|[b, T_i]f\|_p \le C;$
- (c2)' For any  $\varepsilon > 0$ , there exists a ball B such that  $(\int_{B^c} |[b, T_i]f(x)|^p dx)^{1/p} < \varepsilon$ ,  $||f||_p \le 1$ ;
  - (c3)' For any  $\varepsilon > 0$ , there exists  $\delta > 0$  such that when  $|z| < \delta$ , we have

$$||[b, T_i]f(\cdot + z) - [b, T_i]f(\cdot)||_p < \varepsilon, \quad ||f||_p \le 1.$$

Remark 0.7. Because  $VMO(R^n)$  is the closure of  $C_0^{\infty}(R^n)$  in BMO norm, by density, we easily see that if  $[b, T_i]$  is a compact operator on  $L^p(R^n)$  for  $b \in C_0^{\infty}(R^n)$ , then for  $b \in VMO(R^n)$ ,  $[b, T_i]$  is also a compact operator on  $L^p(R^n)$ . So in what follows, we always assume  $b \in C_0^{\infty}(R^n)$ .

Remark 0.8. By Theorem 0.5, we know that the operators  $[b, T_i]$ , (i = 1, 2, 3) are bounded on  $L^p(\mathbb{R}^n)$  for some p > 1, so that each  $[b, T_i]$  satisfies condition (c1)' obviously.

1.  $L^p$  Boundedness of Maximal Operator of  $T_i$ , (i = 1, 2, 3)

In this section, we discuss the  $L^p$  boundedness of maximal operators of  $T_i$ , (i=1,2,3). We define the maximal operators of  $T_i$  as follows:

**Definition 1.1.** Suppose  $V \in B_q$  for q > n/2. Let  $T_1 = (-\triangle + V)^{-1}V, T_2 = (-\triangle + V)^{-1/2}V^{1/2}$  and  $T_3 = (-\triangle + V)^{-1/2}\nabla$  be the Riesz transforms associated

to Schrödinger operators. Then the maximal operator  $T_{i,Max}$  of  $T_i$ , (i = 1, 2, 3) is defined by:

$$T_{i,Max} = \sup_{r>0} \left| \int_{|x-y|>r} K_i(x,y) f(y) dy \right|, \quad (i=1,2,3).$$

**Lemma 1.2.** Suppose  $V \in B_q, q > n/2$ . Then the maximal operator of  $T_1$  is bounded on  $L^p(\mathbb{R}^n)$  for p > q'.

The proof of Lemma 1.2 needs the following lemma.

**Lemma 1.3.** ([1], Lemma 2) Suppose  $V \in B_q$  for some q > n/2. Then there exists  $\delta > 0$  such that for any integer l > 0, 0 < h < |x - y|/16,

$$|K_{1}(x,y)| \leq \frac{C_{l}}{\{1+m(x,V)|x-y|\}^{l}} \frac{1}{|x-y|^{n-2}} V(y),$$

$$|K_{1}(x+h,y) - K_{1}(x,y)| \leq \frac{C_{l}}{\{1+m(x,V)|x-y|\}^{l}} \frac{|h|^{\delta}}{|x-y|^{n-2+\delta}} V(y).$$
(6)

Proof of Lemma 1.2 We set  $T_{1,r}f(x) = \int_{|x-y|>r} K_1(x,y)f(y)dy$ , B = B(x,r/16) and divide f into  $f = f_1 + f_2$ , where  $f_1 = f\chi_{16B}$ , so we get

$$|T_{1,r}f(x)| = \frac{1}{|B|} \int_{B} |T_{1,r}f(x)| dy$$

$$\leq \frac{1}{|B|} \int_{B} |T_{1}f(y)| dy + \frac{1}{|B|} \int_{B} |T_{1}f_{1}(y)| dy + \frac{1}{|B|} \int_{B} |T_{1}f_{2}(y) - T_{1,r}f(x)| dy$$

$$\leq M(T_{1}f)(x) + \frac{1}{|B|^{1/q'}} ||T_{1}f_{1}||_{q'} + \frac{1}{|B|} \int_{B} |T_{1}f_{2}(y) - T_{1,r}f(x)| dy$$

$$\leq M(T_{1}f)(x) + (\frac{1}{|B|} \int_{16B} |f(y)|^{q'} dy)^{1/q'} + \frac{1}{|B|} \int_{B} |T_{1}f_{2}(y) - T_{1,r}f(x)| dy$$

$$\leq M(T_{1}f)(x) + C(M(|f|^{q'})(x))^{1/q'} + \frac{1}{|B|} \int_{B} |T_{1}f_{2}(y) - T_{1,r}f(x)| dy.$$

Clearly, we have

$$\frac{1}{|B|} \int_{B} |T_{1}f_{2}(y) - T_{1,r}f(x)| dy$$

$$= \frac{1}{|B|} \int_{B} |\int_{(16B)^{c}} K_{1}(y,\xi) f_{2}(\xi) d\xi - \int_{|x-\xi|>r} K_{1}(x,\xi) f(\xi) d\xi| dy$$

$$\leq \frac{1}{|B|} \int_{B} |\int_{|x-\xi|>r} |K_{1}(y,\xi) - K_{1}(x,\xi)| |f(\xi)| d\xi| dy.$$

Now, if we set  $I_y^1 = \int_{|x-\xi|>r} |K_1(y,\xi) - K_1(x,\xi)| |f(\xi)| d\xi$  and h = |y-x|, then by  $|y-x| < r/16 < \frac{1}{16}|x-\xi|$  for  $y \in B$  and (6) of Lemma 1.3, we have

$$\begin{split} I_y^1 &= \int_{|x-\xi| > r} |K_1(y,\xi) - K_1(x,\xi)| |f(\xi)| d\xi \\ &\leq C \sum_{k=0}^{\infty} \int_{2^k r < |x-\xi| \le 2^{k+1} r} \frac{C_l}{\{1+m(x,V)|x-\xi|\}^l} \frac{|y-x|^{\delta}}{|x-\xi|^{n-2+\delta}} V(\xi) |f(\xi)| d\xi \\ &\leq \sum_{k=0}^{\infty} \frac{C_l}{\{1+m(x,V)2^k r\}^l} \frac{r^{\delta}}{(2^k r)^{n-2+\delta}} \left( \int_{|x-\xi| \le 2^{k+1} r} V^q(\xi) d\xi \right)^{1/q} \left( \int_{|x-\xi| \le 2^{k+1} r} |f(\xi)|^{q'} d\xi \right)^{1/q'} \\ &\leq C \sum_{k=0}^{\infty} \frac{C_l(M(|f|^{q'})(x))^{1/q'}}{\{1+m(x,V)2^k r\}^l} \frac{r^{\delta}}{(2^k r)^{n-2+\delta}} (2^{k+1} r)^{n/q-n} (\int_{B(x,2^k r)} V(\xi) d\xi) (2^{k+1} r)^{n/q'} \\ &\leq C(M(|f|^{q'})(x))^{1/q'} \sum_{k=0}^{\infty} \frac{r^{\delta}}{(2^k r)^{n-2+\delta}} (2^k r)^{n-2} \\ &\leq C(M(|f|^{q'})(x))^{1/q'}. \end{split}$$

Here we have used Lemma 0.3 for  $R = 2^k r$ . Finally, we have

$$\frac{1}{|B|} \int_B I_y^1 dy \le C(M(|f|^{q'})(x))^{1/q'} \quad and \quad T_{1,Max} f(x) \le M(T_1 f)(x) + C(M(|f|^{q'})(x))^{1/q'}.$$

By use of (i) of Theorem 0.4, we have

$$||T_{1,Max}(f)||_p \le ||M(T_1f)||_p + C||(M(|f|^{q'}))^{1/q'}||_p \le C||f||_p$$
, for  $p > q' > 1$ .

This completes the proof of Lemma 1.2.

Similarly, for  $T_{2,Max}(f)$ , we have the following lemma.

**Lemma 1.4.** Suppose  $V \in B_q$ , q > n/2. The maximal operator of  $T_2$  is bounded on  $L^p(\mathbb{R}^n)$ , for p > (2q)'.

The proof of Lemma 1.4 needs the following lemma.

**Lemma 1.5.** ([1], Lemma 3) Suppose  $V \in B_q$  for some q > n/2. Then there exists  $\delta > 0$  such that for any integer k > 0, 0 < h < |x - y|/16,

$$|K_2(x,y)| \le \frac{C_l}{\{1+m(x,V)|x-y|\}^l} \frac{1}{|x-y|^{n-1}} V^{1/2}(y),$$
 (7)

$$|K_2(x+h,y)-K_2(x,y)| \le \frac{C_l}{\{1+m(x,V)|x-y|\}^l} \frac{|h|^{\delta}}{|x-y|^{n-1+\delta}} V^{1/2}(y).$$
 (8)

*Proof.* We set  $T_{2,r}f(x) = \int_{|x-y|>r} K_2(x,y)f(y)dy$  and  $B = B(x,\frac{r}{16})$ , and divide f into  $f_1 + f_2$ , where  $f_1 = f\chi_{16B}$ . Then we have

$$\begin{aligned} |T_{2,r}f(x)| &= \frac{1}{|B|} \int_{B} |T_{2,r}f(x)| dy \\ &\leq \frac{1}{|B|} \int_{B} |T_{2}f(y)| dy + \frac{1}{|B|} \int_{B} |T_{2}f_{1}(y)| dy + \frac{1}{|B|} \int_{B} |T_{2}f_{2}(y) - T_{2,r}f(x)| dy \\ &= I_{1} + I_{2} + I_{3}. \end{aligned}$$

Clearly,we have  $I_1 = \frac{1}{|B|} \int_B |T_2 f(y)| dy \leq M(T_2 f)(x)$ . By use of the  $L^p$  boundedness of  $T_2$ , we have

$$I_2 \le \frac{1}{|B|^{1/(2q)'}} ||T_2 f_1||_{(2q)'} \le C \frac{1}{|B|^{1/(2q)'}} ||f_1||_{(2q)'} \le C \left( M(|f|^{(2q)'})(x) \right)^{1/(2q)'}.$$

At last we estimate  $I_3$ ,

$$I_{3} = \frac{1}{|B|} \int_{B} \left| \int K_{2}(y,\xi) f_{2}(\xi) d\xi - \int_{|x-\xi|>r} K_{2}(x,\xi) f(\xi) d\xi \right| dy$$

$$\leq \frac{1}{|B|} \int_{B} \int_{|x-\xi|>r} |K_{2}(y,\xi) - K_{2}(x,\xi)| |f(\xi)| d\xi dy.$$

Write  $I_y^2 = \int_{|x-\xi|>r} |K_2(y,\xi) - K_2(x,\xi)| |f(\xi)| d\xi$ . Because  $y \in B$  implies  $h = |y-x| < 1/16r < |x-\xi|$ , by (8) of Lemma 1.5 and Hölder's inequality, we have

$$\begin{split} I_y^2 &\leq C \int_{|x-\xi|>r} \frac{1}{\{1+m(x,V)|x-\xi|\}^l} \frac{|y-x|^\delta}{|x-\xi|^{n-1+\delta}} V^{1/2}(\xi) |f(\xi)| d\xi \\ &\leq C \sum_{k=0}^\infty \int_{2^k r < |x-\xi| \leq 2^{k+1} r} \frac{1}{\{1+m(x,V)|x-\xi|\}^l} \frac{r^\delta}{|x-\xi|^{n-1+\delta}} V^{1/2}(\xi) |f(\xi)| d\xi \\ &\leq C \sum_{k=0}^\infty \frac{1}{\{1+m(x,V)2^k r\}^l} \frac{r^\delta}{(2^k r)^{n-1+\delta}} \left( \int_{|x-\xi| < 2^{k+1} r} V^{2q}(\xi) d\xi \right)^{1/2q} \times \\ & \left( \int_{|x-\xi| < 2^{k+1} r} |f(\xi)|^{(2q)'} d\xi \right)^{1/(2q)'}. \end{split}$$

Because of  $V \in B_q$ , by Lemma 0.3 and the double property of V(x)dx, we can get

$$\begin{split} I_{y}^{2} &\leq C \sum_{k=0}^{\infty} \frac{(2^{k+1}r)^{n/2q-n/2}}{\{1+m(x,V)2^{k}r\}^{l}} \frac{r^{\delta}}{(2^{k}r)^{n-1+\delta}} \left( \int_{|x-\xi|<2^{k+1}r} V(\xi) d\xi \right)^{1/2} \times \\ & \left( \int_{|x-\xi|<2^{k+1}r} |f(\xi)|^{(2q)'} d\xi \right)^{1/(2q)'} \\ &\leq C \sum_{k=0}^{\infty} \frac{r^{\delta}}{(2^{k}r)^{n-1+\delta}} (2^{k+1}r)^{n/2q-n/2} (2^{k}r)^{n/2-1} (2^{k+1}r)^{n/(2q)'} (M(|f|^{(2q)'})(x))^{1/(2q)'} \\ &\leq C \sum_{k=0}^{\infty} \frac{r^{\delta}}{(2^{k}r)^{n-1+\delta}} (2^{k}r)^{n-1} (M(|f|^{(2q)'})(x))^{1/(2q)'} \\ &\leq C (M(|f|^{(2q)'})(x))^{1/(2q)'}. \end{split}$$

Consequently  $I_3 \leq \frac{1}{|B|} \int_B I_y^2 dy \leq C(M(|f|^{(2q)'})(x))^{1/(2q)'}$ . Finally we have

$$T_{2,Max}(f)(x) \le M(T_2f)(x) + C(M(|f|^{(2q)'})(x))^{1/(2q)'}.$$

This completes the proof of Lemma 1.4.

It remains to handle the  $L^p$  boundedness of maximal operator  $T_{3,Max}$ . For this propose, we need the following lemma.

**Lemma 1.6.** ([1], Lemma 4) Suppose  $V \in B_q$  for some n/2 < q < n. Then there exists  $\delta > 0$  such that for any integer k > 0 and 0 < h < |x - y|/16,

$$|K_3(x,y)| \le \frac{C_l}{\{1+m(x,V)|x-y|\}^l} \frac{1}{|x-y|^{n-1}} \left( \int_{B(y,|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi + \frac{1}{|x-y|} \right), \tag{9}$$

$$|K_{3}(x+h,y) - K_{3}(x,y)| \le \frac{C_{l}}{\{1 + m(x,V)|x-y|\}^{l}} \frac{|h|^{\delta}}{|x-y|^{n-1+\delta}} \left( \int_{B(y,|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi + \frac{1}{|x-y|} \right).$$
(10)

**Lemma 1.7.** Suppose  $V \in B_q, n/2 < q < n$ . The maximal operator  $T_{3,Max}$  is bounded on  $L^p$ , for  $p'_1 \le p < \infty$ , where  $1/p_1 = 1/q - 1/n$ .

*Proof.* For any x, let  $T_{3,r}f(x) = \int_{|x-y|>r} K_3(x,y)f(y)dy$  and  $B = B(x,\frac{r}{16})$ , we divide f into  $f_1 + f_2$ , where  $f_1 = f\chi_{16B}$ , similarly, we have

$$|T_{3,r}f(x)| = \frac{1}{|B|} \int_{B} |T_{3,r}f(x)| dy$$

$$\leq \frac{1}{|B|} \int_{B} |T_{3}f(y)| dy + \frac{1}{|B|} \int_{B} |T_{3}f_{1}(y)| dy + \frac{1}{|B|} \int_{B} |T_{3}f_{2}(y) - T_{3,r}f(x)| dy$$

$$\leq M(T_{3}f)(x) + (M(|f|^{p'_{0}})(x))^{1/p'_{0}} + \frac{1}{|B|} \int_{B} |T_{3}f_{2}(y) - T_{3,r}f(x)| dy.$$

For the third term in the last inequality, we have

$$\frac{1}{|B|} \int_{B} |T_{3}f_{2}(y) - T_{3,r}f(x)| dy$$

$$\leq \frac{1}{|B|} \int_{B} \left| \int_{(16B)^{c}} K_{3}(y,\xi) f_{2}(\xi) d\xi - \int_{|x-\xi|>r} K_{3}(x,\xi) f(\xi) d\xi \right| dy$$

$$\leq \frac{1}{|B|} \int_{B} \int_{|x-\xi|>r} |K_{3}(y,\xi) - K_{3}(x,\xi)| |f(\xi)| d\xi dy.$$

Let  $I_{3,x} = \int_{|x-\xi|>r} |K_3(y,\xi) - K_3(x,\xi)| |f(\xi)| d\xi$ . Because  $y \in B, h = |y-x| < 1/16r < |x-\xi|$ , by use of (10) of Lemma 1.6 we have

$$\begin{split} I_{3,x} &= \int_{|x-\xi|>r} |K_3(y,\xi) - K_3(x,\xi)| |f(\xi)| d\xi \\ &\leq C \int_{|x-\xi|>r} \frac{C_l}{\{1+m(x,V)|x-\xi|\}^l} \frac{r^\delta}{|x-\xi|^{n-1+\delta}} \left[ \int_{B(\xi,|\xi-x|)} \frac{V(u)}{|\xi-u|^{n-1}} du \right] |f(\xi)| d\xi \\ &+ \int_{|x-\xi|>r} \frac{r^\delta}{|x-\xi|^{n+\delta}} |f(\xi)| d\xi \\ &= I_{3,r}^1 + I_{3,r}^2. \end{split}$$

For  $I_{3,x}^2$ , we have

$$\begin{split} I_{3,x}^2 &= \int_{|x-\xi|>r} \frac{r^{\delta}}{|x-\xi|^{n+\delta}} |f(\xi)| d\xi \\ &\leq C r^{\delta} \sum_{k=0}^{\infty} \int_{2^k r < |x-\xi| \le 2^{k+1} r} \frac{1}{(2^k r)^{n+\delta}} |f(\xi)| d\xi \\ &\leq C r^{\delta} \sum_{k=0}^{\infty} \frac{1}{(2^k r)^{\delta}} (M(|f|^{p_1'})(x))^{1/p_1'} \\ &\leq C (M(|f|^{p_1'})(x))^{1/p_1'}. \end{split}$$

Because  $|u - \xi| < |x - \xi|$  yields  $|x - u| \le |x - \xi| + |\xi - u| \le 2|x - \xi|$ , by (10) of Lemma 1.6 and the fractional integral for  $\frac{1}{p_1} = \frac{1}{q} - \frac{1}{n}$ , we have

$$\begin{split} I_{3,x}^1 &\leq C \sum_{k=0}^{\infty} \int_{2^k r < |x-\xi| \leq 2^{k+1} r} \frac{C_l |f(\xi)|}{\{1+m(x,V)|x-\xi|\}^l} \frac{r^{\delta}}{|x-\xi|^{n-1+\delta}} \times \\ & \left[ \int_{B(\xi,2^{k+1} r)} \frac{V(u)}{|\xi-u|^{n-1}} du \right] d\xi \\ &\leq C \sum_{k=0}^{\infty} \frac{C_l}{\{1+m(x,V)2^k r\}^l} \frac{r^{\delta}}{(2^k r)^{n-1+\delta}} \left\| \int \frac{V(u)\chi_{B(x,2^{k+1} r)}(u)}{|\xi-u|^{n-1}} du \right\|_{L^{p_1}(d\xi)} \times \\ & \left( \int_{B(x,2^{k+1} r)} |f(\xi)|^{p'_1} d\xi \right)^{1/p'_1} \\ &\leq C \sum_{k=0}^{\infty} \frac{(M(|f|^{p'_1})(x))^{1/p'_1}}{\{1+m(x,V)2^k r\}^l} \frac{r^{\delta}}{(2^k r)^{n-1+\delta}} \left( \int_{B(x,2^{k+1} r)} V^q(\xi) d\xi \right)^{1/q} (2^{k+1} r)^{n/p'_1} \\ &\leq C \sum_{k=0}^{\infty} \frac{r^{\delta}}{(2^k r)^{n-1+\delta}} (2^{k+1} r)^{n/q-n} (2^k + 1) r)^{n/p'_1} \frac{1}{\{1+m(x,V)2^k r\}^l} \left( \int_{B(x,2^{k+1} r)} V(\xi) d\xi \right) \\ &\leq C (M(|f|^{p'_1})(x))^{1/p'_1} \sum_{k=0}^{\infty} \frac{r^{\delta}}{(2^k r)^{n-1+\delta}} (2^k r)^{n/q-n+(n/p'_1)+n-2} \\ &\leq C (M(|f|^{p'_1})(x))^{1/p'_1}. \end{split}$$

Here we have used the fact that  $n/q - n + (n/p'_1) + n - 2 = n - 1$  and  $1/p_1 = 1/q - 1/n$ . Finally, in a similar manner to proving Lemma 1.4, we can get  $T_{3,Max}f(x) \leq M(T_3f)(x) + C(M(|f|p'_1)(x))^{1/p'_1}$ . This completes the proof of Lemma 1.7.

2. The compactness of  $[b, T_i], (i = 1, 2, 3)$ 

First of all, we discuss the compactness of  $[b, T_1]$  on  $L^p$ .

**Theorem 2.1.** Suppose  $V \in B_q$ , q > n/2. If  $T_1 = (-\triangle + V)^{-1}V$ , and  $b \in VMO(\mathbb{R}^n)$ , then  $[b, T_1]$  is a compact operator on  $L^p$  for q' .

*Proof.* According to Remark 0.8, we only need to prove that  $[b, T_1]$  satisfies the conditions (c2)' and (c3)'.

**Step I:** The Proof of (c2)'. According to Remark 0.7, we may assume that  $b \in C_0^{\infty}(\mathbb{R}^n)$  with supp  $b \subset B(0,\mathbb{R})$ , the ball of radius  $\mathbb{R}$  with center at origin.

For v > 0, set  $B^c = \{x \in \mathbb{R}^n : |x| > vR\}$ . Then have

$$\left(\int_{|x|>vR} |[b,T_1]f(x)|^p dx\right)^{1/p} \le \left(\int_{|x|>vR} \left(\int_{|y|< R} |K_1(x,y)||b(y)||f(y)| dy\right)^p dx\right)^{1/p}.$$

**Lemma 2.2.** For any  $x \in B^c$ , we have uniformly

$$I_x = \int_{|y| < R} |K_1(x, y)| |b(y)| |f(y)| dy \le C|x|^{n/q - n} ||f||_p R^{n/q' - n/p}.$$

*Proof of Lemma 2.2.* Because |x| > vR and |y| < R imply  $|x - y| > (1 - \frac{1}{v})|x|$  for v > 2, by use of (5) of Lemma 1.3, we have

$$\begin{split} I_{x} &\leq C_{l} \int_{|y| < R} \frac{1}{\{1 + m(x, V)|x - y|\}^{l}} \frac{1}{|x - y|^{n - 2}} V(y)|f(y)|dy \\ &\leq \frac{C_{l}}{\{1 + m(x, V)(1 - 1/v)|x|\}^{l}} \frac{1}{(1 - \frac{1}{v})^{n - 2}|x|^{n - 2}} \left( \int_{|y| < R} V^{q}(y)dy \right)^{1/q} \times \\ &\left( \int_{|y| < R} |f(y)|^{q'}|b(y)|^{q'}dy \right)^{1/q'} \\ &\leq \frac{C_{l}}{\{1 + m(x, V)(1 - 1/v)|x|\}^{l}} \frac{1}{(1 - \frac{1}{v})^{n - 2}|x|^{n - 2}} \left( \int_{|y| < R} V^{q}(y)dy \right)^{1/q} \times \\ &\left( \int_{|y| < R} |f(y)|^{p}dy \right)^{p} R^{n(\frac{1}{q'} - \frac{1}{p})}. \end{split}$$

In the last inequality, we have used p > q',  $||b||_{\infty} \le C$  and Hölder's inequality. Notice that for |x| > vR and |y| < R, we have  $|y| < \frac{1}{v}|x|$ . So if |y| < R, then  $|x - y| < (1 + \frac{1}{v})|x| < 2|x|$ . As a result we get

$$I_x \le \frac{C_K}{\{1 + m(x, V)(1 - 1/v)|x|\}^l} \frac{1}{(1 - \frac{1}{v})^{n-2}|x|^{n-2}} \left( \int_{B(x, 2|x|)} V^q(y) dy \right)^{1/q} ||f||_p R^{n(\frac{1}{q'} - \frac{1}{p})}.$$

For every  $y \in B(x,2|x|)$ ,  $2|x| = \frac{2}{1-\frac{1}{v}}(1-\frac{1}{v})|x| = (2+\frac{2}{v-1})(1-\frac{1}{v})|x| \le 3(1-\frac{1}{v})|x|$  and  $(\frac{1}{1-1/v})^{n-2} = (1+\frac{1}{v-1})^{n-2} \le C$  when  $v \ge 3$ . By  $V \in B_q$ , Lemma 0.3 and

the doubling property of V(x)dx, we have

$$\begin{split} I_{x} &\leq \frac{C_{l}}{\{1+m(x,V)(1-1/v)|x|\}^{l}} \frac{\|f\|_{p} R^{n(\frac{1}{q'}-\frac{1}{p})}}{(1-\frac{1}{v})^{n-2}|x|^{n-2}} |x|^{n/q-2} \left( \int_{B(x,2|x|)} V(y) dy \right) \\ &\leq \frac{C_{l}}{\{1+m(x,V)(1-1/v)|x|\}^{l}} \frac{\|f\|_{p} R^{n(\frac{1}{q'}-\frac{1}{p})}}{(1-\frac{1}{v})^{n-2}} |x|^{2+n/q-2n} \left( \int_{B(x,2|x|)} V(y) dy \right) \\ &\leq C \|f\|_{p} R^{n(\frac{1}{q'}-\frac{1}{p})} |x|^{2+n/q-2n} \frac{C_{l}}{\{1+m(x,V)(1-1/v)|x|\}^{l}} \left( \int_{B(x,3(1-1/v)|x|)} V(y) dy \right) \\ &\leq C \|f\|_{p} R^{n(\frac{1}{q'}-\frac{1}{p})} |x|^{2+n/q-2n} |x|^{n-2} \\ &\leq C \|x|^{n/q-n} \|f\|_{p} R^{n(\frac{1}{q'}-\frac{1}{p})}. \end{split}$$

This completes the proof of Lemma 2.2.

Now, by use of Lemma 2.2, we can complete the proof of condition (c2)'. In fact, for p > q', we have np - np/q - n + 1 = np/q' - n + 1,

$$\left( \int_{|x|>vR} |[b, T_1]f(x)|^p dx \right)^{1/p} \le C \|f\|_p R^{n/q'-n/p} \left( \int_{|x|>vR} |x|^{np/q-np} dx \right)^{1/p}$$

$$\le C \|f\|_p R^{n/q'-n/p} (vR)^{n/p-n/q'}$$

$$\le \frac{C}{v^{n/q'-n/p}}.$$

Since p > q', for every  $\varepsilon > 0$ , we can choose v large enough such that  $\frac{1}{v^{n/q'-n/p}} < \varepsilon$ .

**Step II:** The proof of (c3)'. We will prove: for every  $\varepsilon > 0$ , there exists  $\delta_{\varepsilon} > 0$  such that  $||[b, T_1]f(\cdot + z) - [b, T_1]f(\cdot)||_p < \varepsilon$  if  $|z| < \delta_{\varepsilon}$ .

For every x, we divide  $[b, T_1]f(x+z) - [b, T_1]f(x)$  into four parts as follows:

$$\begin{split} &[b,T_1]f(x+z) - [b,T_1]f(x) \\ &= \int K_1(x+z,y)[b(x+z) - b(y)]f(y)dy - \int K_1(x,y)[b(x) - b(y)]f(y)dy \\ &= \int_{|x-y| > a|z|} K_1(x,y)[b(x) - b(x+z)]f(y)dy \\ &+ \int_{|x-y| > a|z|} [K_1(x,y) - K_1(x+z,y)][b(x+z) - b(y)]f(y)dy \\ &+ \int_{|x-y| < a|z|} K_1(x,y)[b(x) - b(y)]f(y)dy \\ &- \int_{|x-y| < a|z|} K_1(x+z,y)[b(x+z) - b(y)]f(y)dy \\ &= I_{1,x} + I_{2,x} + I_{3,x} + I_{4,x}. \end{split}$$

This derives  $||[b, T_1]f(\cdot + z) - [b, T_1]f(\cdot)||_p \le \sum_{i=1}^4 ||I_{i,x}||_p$ .

Clearly, by Definition 1.1 and  $b \in C_0^{\infty}$ , we have  $|I_{1,x}| \leq |z|T_{1,Max}f(x)$ . So for p > q', by Lemma 1.2, we have  $||I_{1,x}||_p \leq |z|||T_{1,Max}f||_p \leq C|z|||f||_p$ .

For  $I_{2,x}$ , we write a > 16. By (6) of Lemma 1.3, Lemma 0.3 and  $||b||_{\infty} \leq C$ , we have

$$\begin{split} &|I_{2,x}| \leq \int_{|x-y|>a|z|} |k_1(x+z,y) - K_1(x,y)| |b(x+z) - b(y)| |f(y)| dy \\ &\leq C \sum_{k=0}^{\infty} \int_{2^k a|z| < |x-y| \leq 2^{k+1}a|z|} \frac{C_l}{\{1+m(x,V)|x-y|\}^l} \frac{|z|^{\delta}}{|x-y|^{n-2+\delta}} V(y) |f(y)| dy \\ &\leq C \sum_{k=0}^{\infty} \frac{|z|^{\delta}}{\{1+m(x,V)2^k a|z|\}^l} \frac{1}{(2^k a|z|)^{n-2+\delta}} \int_{|x-y| \leq 2^{k+1}a|z|} V(y) |f(y)| dy \\ &\leq C \sum_{k=0}^{\infty} \frac{|z|^{\delta} (2^k a|z|)^{-(n-2+\delta)}}{\{1+m(x,V)2^k a|z|\}^l} (\int_{|x-y| \leq 2^{k+1}a|z|} V^q(y) dy)^{1/q} (\int_{|x-y| \leq 2^{k+1}a|z|} |f(y)|^{q'} dy)^{1/q'} \\ &\leq C \sum_{k=0}^{\infty} \frac{|z|^{\delta} (2^{k+1}a|z|)^{n/q-n+n/q'}}{\{1+m(x,V)2^k a|z|\}^l} \frac{(M(|f|^{q'})(x))^{1/q'}}{(2^k a|z|)^{n-2+\delta}} (\int_{B(x,2^k a|z|)} V(y) dy) \\ &\leq C \sum_{k=0}^{\infty} \frac{|z|^{\delta}}{(2^k a|z|)^{n-2+\delta}} \frac{(M(|f|^{q'})(x))^{1/q'}}{\{1+m(x,V)2^k a|z|\}^l} \int_{B(x,2^k a|z|)} V(y) dy \\ &\leq C \sum_{k=0}^{\infty} |z|^{\delta} \frac{(2^k a|z|)^{n-2}}{(2^k a|z|)^{n-2+\delta}} (M(|f|^{q'})(x))^{1/q'} \\ &\leq C \frac{1}{a^{\delta}} (M(|f|^{q'})(x))^{1/q'}. \end{split}$$

So we have  $||I_{2,x}||_p \le C \frac{1}{a^{\delta}} ||(M(|f|^{q'}))^{1/q'}||_p \le C \frac{1}{a^{\delta}} ||f||_p$ , for p > q'.

For  $I_{3,x}$ , by use of (5) of Lemma 1.3 and  $b \in C_0^{\infty}$  we have

$$\begin{split} &|I_{3,x}| \leq \int_{|x-y| < a|z|} |K_1(x,y)||x-y||f(y)|dy \\ &\leq \int_{|x-y| < a|z|} \frac{C_l}{\{1+m(x,V)|x-y|\}^l} \frac{1}{|x-y|^{n-3}} V(y)|f(y)|dy \\ &\leq \sum_{j=-\infty}^0 \int_{2^{j-1}a|z| < |x-y| \leq 2^j a|z|} \frac{C_K}{\{1+m(x,V)|x-y|\}^l} \frac{1}{|x-y|^{n-3}} V(y)|f(y)|dy \\ &\leq \sum_{j=-\infty}^0 \frac{1}{(2^{j-1}a|z|)^{n-3}} \frac{C_l}{\{1+m(x,V)2^{j-1}a|z|\}^l} \int_{2^{j-1}a|z| < |x-y| \leq 2^j a|z|} V(y)|f(y)|dy. \end{split}$$

Notice that  $V \in B_q$ . So using Hölder's inequality and Lemma 0.3 we can get

$$\begin{split} |I_{3,x}| &\leq \sum_{j=-\infty}^{0} \frac{C_l(2^{j-1}a|z|)^{3-n}}{\{1+m(x,V)2^{j-1}a|z|\}^l} \left( \int_{|x-y|<2^{j}a|z|} V^q(y) dy \right)^{1/q} \times \\ & \left( \int_{|x-y|<2^{j}a|z|} |f(y)|^{q'} dy \right)^{1/q'} \\ &\leq \sum_{j=-\infty}^{0} \frac{1}{(2^{j-1}a|z|)^{n-3}} \frac{C_l(M(|f|^{q'})(x))^{1/q'}}{\{1+m(x,V)2^{j-1}a|z|\}^l} (2^{j}a|z|)^{n/q-n+n/q'} \left( \int_{B(x,2^{j}a|z|)} V(y) dy \right) \\ &\leq \sum_{j=-\infty}^{0} \frac{1}{(2^{j-1}a|z|)^{n-3}} (2^{j}a|z|)^{n-2} (M(|f|^{q'})(x))^{1/q'} \\ &\leq Ca|z|(M(|f|^{q'})(x))^{1/q'} \sum_{j=-\infty}^{0} 2^{j(n-2)} \\ &\leq Ca|z|(M(|f|^{q'})(x))^{1/q'}. \end{split}$$

Thus, we have  $||I_{3,x}||_p \le Ca|z|||(M(|f|^{q'}))^{1/q'}||_p \le Ca|z|||f||_p$  for p > q'.

Similarly we can estimate  $I_{4,x}$ . Because |x-y| < a|z|, we have |x+z-y| < (a+1)|z|. Notice that  $V \in B_q$ . So, by use of (5) of Lemma 1.3 and Hölder's inequality we have

$$\begin{split} &|I_{4,x}| \leq \int_{|x+z-y|<(a+1)|z|} |K_1(x+z,y)||b(x+z)-b(y)||f(y)|dy \\ &\leq \int_{|x+z-y|<(a+1)|z|} \frac{C_l}{\{1+m(x+z,V)|x+z-y|\}^l} \frac{1}{|x+z-y|^{n-3}} V(y)|f(y)|dy \\ &\leq \sum_{j=-\infty}^0 \int_{2^{j-1}(a+1)|z|\leq |x+z-y|<2^{j}(a+1)|z|} \frac{C_l|x+z-y|^{3-n}}{\{1+m(x+z,V)|x+z-y|\}^l} V(y)|f(y)|dy \\ &\leq \sum_{j=-\infty}^0 \frac{C_l(2^{j-1}(a+1)|z|)^{3-n}}{\{1+m(x+z,V)2^{j-1}(a+1)|z|\}^l} \int_{2^{j-1}(a+1)|z|\leq |x+z-y|<2^{j}(a+1)|z|} V(y)|f(y)|dy \\ &\leq \sum_{j=-\infty}^0 \frac{(M(|f|^{q'})(x))^{1/q'}}{\{1+m(x+z,V)2^{j-1}(a+1)|z|\}^{n/q'}} (\int_{B(x+z,2^{j}(a+1)|z|)} V^q(y)dy)^{1/q}. \end{split}$$

Then, by Hölder's inequality and Lemma 0.3 we get

$$|I_{4,x}| \leq \sum_{j=-\infty}^{0} \frac{(M(|f|^{q'})(x))^{1/q'}}{(2^{j-1}(a+1)|z|)^{n-3}} \frac{C_{l}(2^{j}(a+1)|z|)^{n/q-n+n/q'}}{\{1+m(x+z,V)2^{j-1}(a+1)|z|\}^{l}} \times \left(\int_{B(x+z,2^{j}(a+1)|z|)} V(y)dy\right)$$

$$\leq \sum_{j=-\infty}^{0} \frac{1}{(2^{j-1}(a+1)|z|)^{n-3}} (2^{j}(a+1)|z|)^{n-2} (M(|f|^{q'})(x))^{1/q'}$$

$$\leq C(a+1)|z|(M(|f|^{q'})(x))^{1/q'}.$$

We have  $||I_{4,x}||_p \le C(a+1)|z|||(M(|f|^{q'}))^{1/q'}||_p \le C(a+1)|z|||f||_p$  for p > q'.

Finally, we get

$$||[b, T_1]f(\cdot + z) - [b, T_1]f(\cdot)||_p$$

$$\leq \sum_{i=1}^4 ||I_{i,x}||_p$$

$$\leq C|z|||f||_p + C\frac{1}{a^{\delta}}||f||_p + Ca|z|||f||_p + C(a+1)|z|||f||_p.$$

Consequently, for every  $\varepsilon > 0$  we can choose a large enough such that  $\max\{\frac{1}{a^2}, \frac{1}{(a+1)^2}, \frac{1}{a^\delta}, \} < \varepsilon$ , and set |z| be small enough, say  $|z| < \min\{\frac{1}{a^2}, \frac{1}{(a+1)^2}\}$ . From this we can see that the  $\delta_{\varepsilon}$  in (c3)' is  $\max\{\frac{1}{a^2}, \frac{1}{(a+1)^2}, \frac{1}{a^\delta}\}$ . This completes the proof of Theorem 2.1.

By duality, we have the following corollary.

Corollary 2.3. Suppose  $V \in B_q$ , q > n/2. Let  $T_1^* = V(-\triangle + V)^{-1}$  be the dual operator of  $T_1$ . If  $b \in VMO(\mathbb{R}^n)$ , then have  $[b, T_1^*]$  is a compact operator on  $L^p(\mathbb{R}^n)$ , 1 .

In order to prove the compactness of the commutator  $[b, T_2]$ , we only need to prove the following lemma.

**Lemma 2.4.** Suppose  $b \in C_0^{\infty}(\mathbb{R}^n)$  with supp  $b=B(0,\mathbb{R})$ . Then for any  $x,|x| > v\mathbb{R}$  and p > (2q)' we have

$$A_x = \int_{|y| < R} |K_2(x, y)| |b(y)| |f(y)| dy \le C|x|^{n/2q - n} ||f||_p R^{n/(2q)' - n/p}.$$

*Proof.* By (7) of Lemma 1.5, the implication:  $|x| > vR, |y| < R \Longrightarrow |x - y| > (1 - \frac{1}{v})|x|$  for v > 2 and Hölder's inequality, we have

$$\begin{split} A_x &= \int_{|y| < R} |K_2(x,y)| |b(y)| |f(y)| dy \\ &\leq \int_{|y| < R} \frac{C_l}{\{1 + m(x,V)|x - y|\}^l} \frac{1}{|x - y|^{n - 1}} V^{1/2}(y) |f(y)| |b(y)| dy \\ &\leq \frac{C_l}{\{1 + m(x,V)(1 - \frac{1}{v})|x|\}^l} \frac{1}{(1 - \frac{1}{v})^{n - 1}|x|^{n - 1}} \int_{|y| < R} V^{1/2}(y) |f(y)| |b(y)| dy \\ &\leq \frac{C_l}{\{1 + m(x,V)(1 - \frac{1}{v})|x|\}^l} \frac{1}{(1 - \frac{1}{v})^{n - 1}|x|^{n - 1}} \left(\int_{|y| < R} V^q(y) dy\right)^{1/2q} \times \\ &\left(\int_{|y| < R} (|f(y)| |b(y)|)^{(2q)'} dy\right)^{1/(2q)'}. \end{split}$$

Because p > (2q)', using  $b \in L^{\infty}$  and Hölder's inequality again, we have

$$A_x \le \frac{C_l}{\{1 + m(x, V)(1 - \frac{1}{v})|x|\}^l} \frac{1}{(1 - \frac{1}{v})^{n-1}|x|^{n-1}} \left(\int_{|y| < R} V^q(y) dy\right)^{1/2q} ||f||_p R^{n(\frac{1}{(2q)'} - \frac{1}{p})}.$$

For every x, we have  $2|x| = \frac{2}{1-\frac{1}{v}}(1-\frac{1}{v})|x| = (2+\frac{2}{v-1})(1-\frac{1}{v})|x| \le 3(1-\frac{1}{v})|x|$  and  $(\frac{1}{1-1/v})^{n/2} = (1+\frac{1}{v-1})^{n/2} \le C$  when  $v \ge 3$ . So, by  $V \in B_q$ , Lemma 0.3 and the double property of V(x)dx we have

$$A_{x} \leq \frac{C_{l}|x|^{n/2q-n/2}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \frac{1}{(1-\frac{1}{v})^{n-1}|x|^{n-1}} \left(\int_{B(x,3|x|)} V(y)dy\right)^{1/2} ||f||_{p} R^{n(\frac{1}{(2q)'}-\frac{1}{p})}$$

$$\leq C||f||_{p} R^{n(\frac{1}{(2q)'}-\frac{1}{p})} \frac{1}{(1-\frac{1}{v})^{n-1}|x|^{n-1}} (1-\frac{1}{v})^{\frac{n}{2}-1}|x|^{\frac{n}{2}-1}|x|^{n/2q-n/2}$$

$$\leq C\frac{1}{(1-\frac{1}{v})^{n/2}} ||f||_{p} R^{n(\frac{1}{(2q)'}-\frac{1}{p})} |x|^{n/2q-n}$$

$$\leq C||f||_{p} R^{n(\frac{1}{(2q)'}-\frac{1}{p})} |x|^{n/2q-n}.$$

This completes the proof of Lemma 2.4.

**Theorem 2.5.** Suppose  $V \in B_q$ , q > n/2 and let  $T_2 = (-\triangle + V)^{-1/2}V^{1/2}$ . If  $b \in VMO(\mathbb{R}^n)$ , then commutator  $[b, T_2]$  is a compact operator on  $L^p$  for (2q)' .

*Proof.* The proof is similar to that of Theorem 2.1, we omit the details.  $\Box$ 

Corollary 2.6. Suppose  $V \in B_q$ , q > n/2 and let  $T_2^* = V^{/1/2}(-\triangle + V)^{-1/2}$  the dual operator of  $T_2$ . If  $b \in VMO(\mathbb{R}^n)$ , then the commutator  $[b, T_2^*]$  is a compact operator on  $L^p$  for 1 .

**Theorem 2.7.** Suppose  $V \in B_q$ , q > n/2 and let  $T_3 = (-\triangle + V)^{-1/2}\nabla$ . If  $b \in VMO(\mathbb{R}^n)$ , the commutator  $[b, T_3]$  is a compact operator on  $L^p$  for  $(p_1)' and <math>1/p_1 = 1/q - 1/n$ .

*Proof.* By Remark 0.7, we only need to prove that  $[b, T_3]$  satisfies the conditions (c2)' and (c3)'. We divide the proof into two steps.

**Step I:** The proof of (c2)'. Suppose the support set of b is B(0,R). For v>0 we have

$$I \le \left( \int_{|x| > vR} \left( \int_{|y| < R} |K_3(x, y)| |b(y)| |f(y)| dy \right)^p dx \right)^{1/p}.$$

By (9) of Lemma 1.6, we have

$$|K_3(x,y)| \le \frac{C_l}{\{1 + m(x,V)|x - y|\}^l} \frac{1}{|x - y|^{n-1}} \left( \int_{B(y,|x - y|)} \frac{V(\xi)}{|y - \xi|^{n-1}} d\xi \right) + \frac{1}{|x - y|^n}.$$

Then we divide I into  $I_1$  and  $I_2$ , where

$$I_1 \le \left( \int_{|x| > vR} \left( \int_{|y| < R} \frac{C_l |b(y)| |f(y)|}{\{1 + m(x, V) |x - y|\}^l} \frac{1}{|x - y|^{n - 1}} \left( \int_{B(y, |x - y|)} \frac{V(\xi)}{|y - \xi|^{n - 1}} d\xi \right) dy \right)^p dx \right)^{1/p},$$

$$I_2 = \left( \int_{|x| > vR} \left( \int_{|y| < R} \frac{1}{|x - y|^n} |b(y)| |f(y)| dy \right)^p dx \right)^{1/p}.$$

For  $I_2$ , because |x| > vR and |y| < R, one has  $|y| < \frac{1}{v}|x|$  and  $|x - y| \ge (1 - \frac{1}{v})|x|$  for v > 2. Notice that for  $b \in C_0^{\infty}(R^n)$  we have  $||b||_{\infty} \le C$ . Therefore, by Hölder's

inequality we have

$$\begin{split} I_2 &= \left( \int_{|x| > vR} \left( \int_{|y| < R} \frac{1}{|x - y|^n} |b(y)| |f(y)| dy \right)^p dx \right)^{1/p} \\ &\leq \left( \int_{|x| > vR} \frac{1}{(1 - \frac{1}{v})^{np} |x|^{np}} \left( \int_{|y| < R} |b(y)| |f(y)| dy \right)^p dx \right)^{1/p} \\ &\leq \left( \int_{|x| > vR} \frac{1}{(1 - \frac{1}{v})^{np} |x|^{np}} ||f||_p^p \left( \int_{|y| < R} |b(y)|^{p'} dx \right)^{p/p'} dx \right)^{1/p} \\ &\leq \frac{1}{(1 - \frac{1}{v})^n} ||f||_p R^{n/p'} \left( \int_{|x| > vR} \frac{|x|^{n-1}}{|x|^{np}} d|x| \right)^{1/p} \\ &\leq C (1 + \frac{1}{v - 1})^n ||f||_p R^{n/p'} \frac{1}{(vR)^{n-n/p}} \\ &\leq \frac{C}{v^{n/p'}} ||f||_p, \end{split}$$

where in the last inequality, we have used the fact that for v > 2,  $(1 + \frac{1}{v-1})^n < 2^n$ .

It remains to estimate  $I_1$ . For every |x| > vR, we write

$$I_{1,x} = \int_{|y| < R} \frac{C_K}{\{1 + m(x,V)|x - y|\}^K} \frac{1}{|x - y|^{n - 1}} \left( \int_{B(y,|x - y|)} \frac{V(\xi)}{|y - \xi|^{n - 1}} d\xi \right) |b(y)||f(y)|dy.$$

**Lemma 2.8.** For |x| > vR, Let  $I_{1,x}$  be the same as before. Then

$$|I_{1,x}| \le CR^{n(1/p_1'-1/p)}|x|^{-n/p_1'}||f||_p.$$

Proof of Lemma 2.8. Because |x| > vR and |y| < R yield  $|x - y| > (1 - \frac{1}{v})|x|$ , setting v > 2 we use the fractional integral for  $\frac{1}{p_1} = \frac{1}{q} - \frac{1}{n}$  to obtain

$$\begin{split} I_{1,x} &\leq \frac{C_{l}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \frac{1}{(1-\frac{1}{v})^{n-1}|x|^{n-1}} \times \\ & \int_{|y|< R} \left( \int_{B(y,(1+\frac{1}{v})|x|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi \right) |b(y)||f(y)|dy \\ &\leq \frac{C_{l}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \frac{1}{(1-\frac{1}{v})^{n-1}|x|^{n-1}} \times \\ & \int_{|y|< R} \left( \int_{R^{n}} \frac{V(\xi)\chi_{B(x,2(1+1/v)|x|)}}{|y-\xi|^{n-1}} d\xi \right) |b(y)||f(y)|dy \\ &\leq \frac{C_{l}|x|^{1-n}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \left\| \int_{R^{n}} \frac{V(\xi)\chi_{B(x,2(1+1/v)|x|)}}{|y-\xi|^{n-1}} d\xi \right\|_{L^{p_{1}}(dy)} \times \\ & \left( \int_{|y|< R} |b(y)|^{p'_{1}}|f(y)|^{p'_{1}}dy \right)^{1/p'_{1}} \\ &\leq C \frac{C_{l}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \frac{1}{|x|^{n-1}} \left( \int_{B(x,2(1+1/v)|x|)} V^{q}(\xi)d\xi \right)^{1/q} \|f\|_{p} R^{n(1-\frac{p'_{1}}{p})\frac{1}{p'_{1}}} \\ &\leq C \frac{C_{l}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l}} \frac{1}{|x|^{n-1}} \left( \int_{B(x,2|x|)} V^{q}(\xi)d\xi \right)^{1/q} \|f\|_{p} R^{n(1-\frac{p'_{1}}{p})\frac{1}{p'_{1}}}. \end{split}$$

In the last inequality, we have used the double property of V(x)dx and  $1 + \frac{1}{v} < 2$  for v > 2.

As before,  $2|x| = \frac{2}{1-\frac{1}{v}}(1-\frac{1}{v})|x| = (2+\frac{2}{v-1})(1-\frac{1}{v})|x| \le 3(1-\frac{1}{v})|x|$  for  $v \ge 3$  and  $(\frac{1}{1-1/v})^{n-2} = (1+\frac{1}{v-1})^{n-2} \le C$  for  $v \ge 3$ . By use of  $V \in B_q$ , Lemma 0.3 and the double property of V(x)dx, we have

$$I_{1,x} \leq \frac{C_{l} \|f\|_{p} R^{n(1/p'_{1}-1/p)}}{\{1+m(x,V)(1-\frac{1}{v})|x|\}^{l} |x|^{n-1}} [(1-\frac{1}{v})|x|]^{n/q-n} \left( \int_{B(x,3(1-\frac{1}{v})|x|)} V(\xi) d\xi \right)$$

$$\leq C[(1-\frac{1}{v})|x|]^{n/q-n} [(1-\frac{1}{v})|x|]^{n-2} \|f\|_{p} R^{n(1/p'_{1}-1/p)}$$

$$\leq C(1+\frac{1}{v-1})^{2-n/q} |x|^{n/q-2} \|f\|_{p} R^{n(1/p'_{1}-1/p)}$$

$$\leq C|x|^{n/q-2} \|f\|_{p} R^{n(1/p'_{1}-1/p)}.$$

In the last inequality, we have used the fact n/2 < q < n and 2 - n/q > 0 imply  $(1 + \frac{1}{v-1})^{2-n/q} \le C$  when v large enough. This completes the proof of Lemma 2.8.

Now we return to the proof of Step I of Theorem 2.7. By Lemma 2.8, we have

$$I_{1} \leq \left(\int_{|x|>vR} |I_{1,x}|^{p} dx\right)^{1/p} \leq CR^{n/p'_{1}-n/p} \|f\|_{p} \left(\int_{|x|>vR} \frac{1}{|x|^{np/p'_{1}-n+1}} d|x|\right)^{1/p}$$

$$\leq CR^{n/p'_{1}-n/p} \|f\|_{p} \frac{1}{(vR)^{n/p'_{1}-n/p}} \leq \frac{C}{v^{n/p'_{1}-n/p}} \|f\|_{p}.$$

Because  $p > p'_1$ , for every  $\varepsilon > 0$  we can choose v large enough so that  $\frac{C}{v^{n/p'_1 - n/p}} < \varepsilon$  and B = B(0, vR). This completes the proof of (c2)'.

**Step II:** The proof of (c3)'. For every x, we divide  $[b, T_3] f(x+z) - [b, T_3] f(x)$  into four parts. In fact, we have

$$[b, T_3] f(x+z) - [b, T_3] f(x)$$

$$= \int K_3(x+z, y) [b(x+z) - b(y)] f(y) dy - \int K_3(x, y) [b(x) - b(y)] f(y) dy$$

$$= B_{1,x} + B_{2,x} + B_{3,x} + B_{4,x},$$

where

$$B_{1,x} = \int_{|x-y|>a|z|} K_3(x,y)[b(x) - b(x+z)]f(y)dy$$

$$B_{2,x} = \int_{|x-y|>a|z|} [K_3(x,y) - K_1(x+z,y)][b(x+z) - b(y)]f(y)dy$$

$$B_{3,x} = \int_{|x-y|

$$B_{4,x} = \int_{|x-y|$$$$

Obviously  $||[b, T_3]f(\cdot + z) - [b, T_3]f(\cdot)||_p \le \sum_{i=1}^4 ||B_{i,x}||_p$ . In the following we estimate  $B_{i,x}$ , (i = 1, 2, 3, 4) separately.

For  $B_{1,x}$ , because  $b \in C_0^{\infty}$ , we have  $|b(x+z) - b(x)| \le C|z|$  and then

$$|B_{1,x}| = |\int_{|x-y|>a|z|} K_3(x,y)[b(x) - b(x+z)]f(y)dy| \le C|z|T_{3,Max}(f)(x).$$

So by Lemma 1.7, for  $p > p_1'$  and  $1/p_1 = 1/q - 1/n$  we have  $||B_{1,x}||_p \le C|z| ||T_{3,Max}f||_p \le C|z| ||f||_p$ .

For  $B_{2,x}$ , by (10) of Lemma 1.6 and letting a > 16, we have

$$|B_{2,x}| \leq \int_{|x-y|>a|z|} |K_3(x+z,y) - K_3(x,y)||b(x+z) - b(y)||f(y)|dy$$

$$\leq \int_{|x-y|>a|z|} \frac{C_l|b(x+z) - b(y)||f(y)|}{\{1+m(x,V)|x-y|\}^l} \frac{|z|^{\delta}}{|x-y|^{n-1+\delta}} \left[ \left( \int_{B(y,|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi \right) + \frac{1}{|x-y|} \right] dy$$

$$\leq B_{2,x}^1 + B_{2,x}^2.$$

For  $B_{2,x}^2$ , because  $||b||_{\infty} \leq C$ , we have

$$B_{2,x}^{2} = \int_{|x-y| \ge a|z|} \frac{|z|^{\delta}}{|x-y|^{n+\delta}} |f(y)| dy$$

$$\leq |z|^{\delta} \sum_{j=0}^{\infty} \int_{2^{j}a|z| \le |x-y| < 2^{j+1}a|z|} \frac{1}{|x-y|^{n+\delta}} |f(y)| dy$$

$$\leq C \sum_{j=0}^{\infty} \frac{|z|^{\delta}}{(2^{j}a|z|)^{n+\delta}} \int_{B(x,2^{j+1}a|z|)} |f(y)| dy$$

$$\leq C \sum_{j=0}^{\infty} \frac{|z|^{\delta}}{(2^{j}a|z|)^{\delta}} M(f)(x) \leq \frac{C}{a^{\delta}} M(f)(x).$$

For  $B_{2,x}^1$ , by Hölder's inequality and  $V \in B_q$ , we have

$$\begin{split} |B_{2,x}^1| & \leq \int_{2^j a|z| \leq |x-y| < 2^{j+1}a|z|} \frac{C_l|f(y)|}{\{1+m(x,V)2^j a|z|\}^l} \frac{|z|^\delta}{(2^j a|z|)^{n-1+\delta}} \times \\ & (\int_{B(x,2^{j+3}a|z|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi) dy \\ & \leq \sum_{j=0}^\infty \frac{C_l}{\{1+m(x,V)2^j a|z|\}^l} \frac{|z|^\delta}{(2^j a|z|)^{n-1+\delta}} \|\int_{R^n} \frac{V(\xi)\chi_{B(x,2^{j+3}a|z|)}}{|y-\xi|^{n-1}} d\xi \|_{p_1} \times \\ & (\int_{|x-y| < 2^{j+1}a|z|} |f(y)|^{p_1'} dy)^{1/p_1'} \\ & \leq C \sum_{j=0}^\infty \frac{C_l(2^{j+1}a|z|)^{n/p_1'} (M(|f|^{p_1'})(x))^{1/p_1'}}{\{1+m(x,V)2^j a|z|\}^l} \frac{|z|^\delta}{(2^j a|z|)^{n-1+\delta}} (\int_{B(x,2^{j+3}a|z|)} V^q(\xi) d\xi)^{1/q} \\ & \leq \sum_{j=0}^\infty \frac{C_l(M(|f|^{p_1'})(x))^{1/p_1'}}{\{1+m(x,V)2^j a|z|\}^l} \frac{|z|^\delta}{(2^j a|z|)^{n-1+\delta}} (2^{j+3}a|z|)^{n/q-n+n/p_1'} (\int_{B(x,2^{j+3}a|z|)} V(\xi) d\xi) \\ & \leq C \sum_{j=0}^\infty \frac{|z|^\delta}{(2^j a|z|)^{n-1+\delta}} (2^j a|z|)^{n/q-n+n/p_1'} \frac{C_l(M(|f|^{p_1'})(x))^{1/p_1'}}{\{1+m(x,V)2^j a|z|\}^l} \int_{B(x,2^j a|z|)} V(\xi) d\xi. \end{split}$$

Then by Lemma 0.3, we have

$$\begin{split} |B_{2,x}^1| &\leq C \sum_{j=0}^{\infty} \frac{|z|^{\delta}}{(2^j a|z|)^{n-1+\delta}} (2^j a|z|)^{n/q-n+n/p_1'} (2^j a|z|)^{n-2} (M(|f|^{p_1'})(x))^{1/p_1'} \\ &\leq C \sum_{j=0}^{\infty} |z|^{\delta} (2^j a|z|)^{n/q-n+n/p_1'-n+1-\delta+n-2} (M(|f|^{p_1'})(x))^{1/p_1'} \\ &\leq \frac{C}{a^{\delta}} (M(|f|^{p_1'})(x))^{1/p_1'} \sum_{j=0}^{\infty} \frac{1}{2^{j\delta}} \\ &\leq \frac{C}{a^{\delta}} (M(|f|^{p_1'})(x))^{1/p_1'}. \end{split}$$

In the above, we have used the fact: for  $p > p'_1$ ,  $n/q - n + n/p'_1 - n + 1 - \delta + n - 2 = -\delta$  because  $1/p_1 = 1/q - 1/n$ . Then we have

$$||B_{2,x}||_p \le \frac{C}{a^{\delta}} ||M(f)||_p + \frac{C}{a^{\delta}} ||(M(|f|^{p_1'}))^{1/p_1'}||_p \le \frac{C}{a^{\delta}} ||f||_p.$$

For  $B_{3,x}$ , by (9) of Lemma 1.6 we have

$$\begin{split} |B_{3,x}| &\leq \int_{|x-y| < a|z|} |K_3(x,y)| |b(x) - b(y)| |f(y)| dy \\ &\leq \int_{|x-y| < a|z|} \frac{C_l}{\{1 + m(x,V)|x-y|\}^l} \frac{|x-y|}{|x-y|^{n-1}} (\int_{B(y,|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi + \frac{1}{|x-y|}) |f(y)| dy \\ &\leq \int_{|x-y| < a|z|} \frac{C_l}{\{1 + m(x,V)|x-y|\}^l} \frac{1}{|x-y|^{n-2}} (\int_{B(y,|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi) |f(y)| dy \\ &+ \int_{|x-y| < a|z|} \frac{1}{|x-y|^{n-1}} |f(y)| dy \\ &= B_{3,x}^1 + B_{3,x}^2. \end{split}$$

Next, we estimate  $B^1_{3,x}$  and  $B^2_{3,x}$  separately. For  $B^2_{3,x}$ , we have

$$B_{3,x}^2 \le C \sum_{j=-\infty}^0 \frac{1}{(2^{j-1}a|z|)^{n-1}} \int_{B(x,2^ja|z|)\setminus B(x,2^{j-1}a|z|)} |f(y)|dy \le Ca|z|M(f)(x).$$

For  $B_{3,x}^1$ , because  $\xi \in B(y,|x-y|)$ , one has  $|x-\xi| \leq |x-y| + |y-\xi| \leq 2|x-y|$ , so by Hölder's inequality, we have

$$\begin{split} |B_{3,x}^1| &\leq C \sum_{j=-\infty}^0 \int_{B(x,2^ja|z|)\backslash B(x,2^{j-1}a|z|)} \frac{C_l|x-y|^{2-n}}{\{1+m(x,V)|x-y|\}^l} |f(y)| dy \times \\ & (\int_{B(x,2|x-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi) \\ &\leq C \sum_{j=-\infty}^0 \frac{C_l(2^{j-1}a|z|)^{2-n}}{\{1+m(x,V)2^{j-1}a|z|\}^l} \int_{B(x,2^ja|z|)\backslash B(x,2^{j-1}a|z|)} |f(y)| \times \\ & (\int_{B(x,2^{j+1}a|z|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi) dy \\ &\leq C \sum_{j=-\infty}^0 \frac{C_l(2^{j-1}a|z|)^{2-n}}{\{1+m(x,V)2^{j-1}a|z|\}^l} \|\int \frac{V(\xi)\chi_{B(x,2^{j+1}a|z|)}(\xi)}{|y-\xi|^{n-1}} d\xi \|_{L^{p_1}(dy)} \times \\ & (\int_{B(x,2^ja|z|)\backslash B(x,2^{j-1}a|z|)} |f(y)|^{p_1'} dy)^{1/p_1'}. \end{split}$$

Using  $V \in B_q$  and Lemma 0.3, we get

$$\begin{split} |B_{3,x}^{1}| &\leq \sum_{j=-\infty}^{0} \frac{C_{l}(M(|f|^{p'_{1}})(x))^{1/p'_{1}}}{\{1+m(x,V)2^{j-1}a|z|\}^{l}} \frac{(2^{j}a|z|)^{n/p'_{1}}}{(2^{j-1}a|z|)^{n-2}} \left( \int_{B(x,2^{j+1}a|z|)} V^{q}(\xi) d\xi \right)^{1/q} \\ &\leq \sum_{j=-\infty}^{0} \frac{C_{l}(M(|f|^{p'_{1}})(x))^{1/p'_{1}}}{\{1+m(x,V)2^{j-1}a|z|\}^{l}} \frac{(2^{j}a|z|)^{n/p'_{1}}}{(2^{j-1}a|z|)^{n-2}} (2^{j}a|z|)^{n/q-n} \left( \int_{B(x,2^{j+1}a|z|)} V(\xi) d\xi \right) \\ &\leq \sum_{j=-\infty}^{0} \frac{1}{(2^{j-1}a|z|)^{n-2}} (2^{j}a|z|)^{n/q-n+n/p'_{1}} (M(|f|^{p'_{1}})(x))^{1/p'_{1}} (2^{j-1}a|z|)^{n-2} \\ &\leq C(M(|f|^{p'_{1}})(x))^{1/p'_{1}} \sum_{j=-\infty}^{0} (2^{j}a|z|) \leq Ca|z| (M(|f|^{p'_{1}})(x))^{1/p'_{1}}. \end{split}$$

Finally we get  $||B_{3,x}||_p \le Ca|z| ||M(f)||_p + Ca|z| ||(M(|f|^{p'_1}))^{1/p'_1}||_p \le Ca|z| ||f||_p$ .

At last, we estimate  $B_{4,x}$ . Because |x-y| < a|z|, we have |x+z-y| < (a+1)|z|. Similarly, we get

$$|B_{4,x}| \leq \int_{|x-y| < a|z|} |K_3(x+z,y)| |b(x+z) - b(y)| |f(y)| dy$$

$$\leq \int_{|x+z-y| < (a+1)|z|} \frac{C_l}{\{1 + m(x+z,V)|x+z-y|\}^l} \frac{1}{|x+z-y|^{n-1}} \times \left( \int_{B(y,|x+z-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi + \frac{1}{|x+z-y|} \right) |x+z-y| |f(y)| dy$$

$$=: B_{4,x}^1 + B_{4,x}^2.$$

For  $B_{4,x}^2$ , we have

$$\begin{split} B_{4,x}^2 &= \int_{|x+z-y|<(a+1)|z|} \frac{1}{|x+z-y|^{n-1}} |f(y)| dy \\ &\leq C \sum_{j=-\infty}^0 \frac{1}{(2^{j-1}(a+1)|z|)^{n-1}} \int_{B(x+z,2^j(a+1)|z|)\backslash B(x+z,2^{j-1}(a+1)|z|)} |f(y)| dy \\ &\leq C(a+1)|z| M(f)(x+z). \end{split}$$

For  $B_{4,x}^1$ , because  $\xi \in B(y,|x+z-y|)$ , one has  $|x+z-\xi| \le |x+z-y| + |y-\xi| \le 2|x+z-y|$ . As in the proof of Theorem 1, using  $V \in B_q$  and Lemma 0.3 we obtain

$$\begin{split} |B_{4,x}^1| &= \int_{|x+z-y|<(a+1)|z|} \frac{C_l|x+z-y|^{2-n}|f(y)|}{\{1+m(x+z,V)|x+z-y|\}^l} \left( \int_{B(y,|x+z-y|)} \frac{V(\xi)}{|y-\xi|^{n-1}} d\xi \right) dy \\ &\leq C(M(|f|^{p_1'})(x+z))^{1/p_1'} \sum_{j=-\infty}^0 (2^j(a+1)|z|) \\ &\leq C(a+1)|z|(M(|f|^{p_1'})(x+z))^{1/p_1'}. \end{split}$$

So we get  $||B_{4,x}||_p \le C(a+1)|z|||M(f)||_p + C(a+1)|z|||(M(|f|^{p'_1}))^{1/p'_1}||_p \le C(a+1)|z|||f||_p$ . From the estimates of  $B_{i,x}$ , (i=1,2,3,4) we get that for  $||f||_p \le 1$ ,

$$||[b, T_3]f(\cdot + z) - [b, T_3]f(\cdot)||_p \le C|z| + \frac{C}{a^{\delta}} + Ca|z| + C(a+1)|z|.$$

Now, for every  $\varepsilon > 0$  we find a  $\delta_{\varepsilon} > 0$  such that  $|z| < \delta_{\varepsilon}$  implies  $||[b, T_3]f(\cdot + z) - [b, T_3]f(\cdot)||_p < \varepsilon$ . This completes the proof of Theorem 2.7.

Corollary 2.9. Suppose  $V \in B_q$ , q > n/2 and let  $T_3^* = -\nabla(-\triangle + V)^{-1/2}$  be the dual operator of  $T_3$ . If  $b \in VMO(\mathbb{R}^n)$ , then the commutator  $[b, T_3^*]$  is a compact operator on  $L^p(\mathbb{R}^n)$ , 1 .

### 3. The Reverse Result

In Section 2-3, we have discussed the compactness of the commutator of  $T_i$ , (i = 1, 2, 3) on  $L^p(\mathbb{R}^n)$ . A natural problem is whether the reverse problem holds. Namely, if  $[b, T_i]$ , (i = 1, 2, 3) is a compact operator on  $L^p(\mathbb{R}^n)$ , do we have  $b \in VMO(\mathbb{R}^n)$ ? In this section, we will study this problem.

Take  $T_3^* = \nabla(-\triangle + V)^{-1/2}$  for example. If we set  $V \equiv 0$ , the operator reduces to the classical Riesz transform. In 1978, in [4], A.Uchiyama proved that, for a singular integral operator T, if [b,T] is a compact operator on  $L^p(R^n)$ , then  $b \in VMO(R^n)$ . However, for a general nonnegative  $V \in B_q$ , the converse fails. In [1], the authors constructed an example to indicate that merely the  $L^2$  boundedness of  $[b, T_3^*]$  cannot guarantee  $b \in BMO(R^n)$ . So by the counterexample in [1], if  $[b, T_3^*]$  is a compact operator on  $L^2$ , then  $[b, T_3^*]$  is also a bounded operator on  $L^2$ , but b may not be in  $BMO(R^n)$ , and hence it may not belong to  $VMO(R^n)$ .

The counterexample in [1] implies that the assumption  $V \in B_q$  is too weak and it cannot guarantee the function  $b \in VMO(\mathbb{R}^n)$ . However if we assume Vsatisfies some additional conditions, then we can get the reverse result.

**Theorem 3.1.** Let  $T_4 = (-\triangle)^{1/2}(-\triangle + V)^{-1/2}$ . If  $[b, T_3^*]$  and  $[b, T_4]$  are compact on  $L^2$  and  $V \in L^{n/2} \cap B_q$  for q > n/2, then  $b \in VMO(\mathbb{R}^n)$ .

*Proof.* Firstly we prove that  $V^{1/2}(-\triangle)^{-1/2}$  is bounded on  $L^2(\mathbb{R}^n)$ . By use of Hölder inequality and the fractional integration, we can get

$$||V^{1/2}(-\triangle)^{-1/2}f||_2 \le ||V^{1/2}||_n||(-\triangle)^{-1/2}f||_{n'} \le ||V||_{n/2}^{1/2}||f||_2.$$

Then we can get that  $T_4$  has an inverse which is bounded on  $L^2(\mathbb{R}^n)$ . In fact we have

$$\begin{split} T_4^{-1}f &= (-\triangle + V)^{1/2}(-\triangle)^{-1/2}f \\ &= (-\triangle + V)^{-1/2}(-\triangle + V)(-\triangle)^{-1/2}f \\ &= (-\triangle + V)^{-1/2}(-\triangle)^{1/2}f + (-\triangle + V)^{-1/2}V^{1/2}V^{1/2}(-\triangle)^{-1/2}f. \end{split}$$

So by the  $L^2$  boundedness of  $(-\triangle + V)^{-1/2}(-\triangle)^{1/2}$  and  $(-\triangle + V)^{-1/2}V^{1/2}$ , we get that  $T_4^{-1}$  is bounded on  $L^2(\mathbb{R}^n)$ .

Because  $\nabla(-\triangle)^{-1/2}$  is bounded on  $L^2(\mathbb{R}^n)$  and  $[b, T_4]$  is compact on  $L^2(\mathbb{R}^n)$ , we get that  $\nabla(-\triangle)^{-1/2}[b, T_4]$  is also a compact operator on  $L^2$ . Therefore we

have  $[b, \nabla(-\triangle)^{-1/2}]T_4 = [b, T_3^*] - \nabla(-\triangle)^{-1/2}[b, T_4]$  is a compact operator on  $L^2$ . Moreover because we have proved that  $T_4^{-1}$  is bounded on  $L^2(\mathbb{R}^n)$ , we can get that  $[b, \nabla(-\triangle)^{-1/2}] = [b, \nabla(-\triangle)^{-1/2}]T_4T_4^{-1}$  is a compact operator on  $L^2(\mathbb{R}^n)$ . Finally, by use of the classical result of A.Uchiyama, we have  $b \in VMO(\mathbb{R}^n)$ .  $\square$ 

**Acknowledgements** The authors thank the referee for many suggestion improving the readability of the paper. The first author is also grateful to Professor Jie Xiao for his help in polishing the paper.

### References

- 1. Z. H. Guo, P. T. Li and L. Z. Peng,  $L^p$  boundedness of commutators of Riesz Transforms associated to Schrödinger operator, Journal of Mathematical Analysis and Application, 341,1(2008), 421-432.
- S. Jason, Mean oscillation and commutators of singular operators, Ark. Mat. 16(1978), 263-270.
- Z. Shen, L<sup>p</sup> estimate for Schrödinger operators with certain potentials, Ann. Inst. Fourier, 45, 2(1995), 513-546.
- 4. A. Uchiyama, On the compactness of operators of Hankel type, Tôhoku Math. 30(1976), 163-171.
- E. M. Stein, Harmonic Analysis: Real Variable Methods, Orthogonality and Oscillatory Integrals, Princeton Math. Serises 43, Princeton University Princeton, NJ, 1993.

Pengtao Li
LMAM School of M

LMAM School of Mathematical Sciences Peking University, Beijing 100871

P. R. China

Email: liptao@math.pku.edu.cn

Lizhong Peng LMAM School of Mathematical Sciences Peking University, Beijing 100871 P. R. China

Email: lzpeng@pku.edu.cn