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## Elliptic Curves in Moduli Space of Stable Bundles

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*Dedicated to the memory of Eckart Viehweg*

**Abstract:** Let  $M$  be the moduli space of rank 2 stable bundles with fixed determinant of degree 1 on a smooth projective curve  $C$  of genus  $g \geq 2$ . When  $C$  is generic, we show that any elliptic curve on  $M$  has degree (respect to anti-canonical divisor  $-K_M$ ) at least 6, and we give a complete classification for elliptic curves of degree 6. Moreover, if  $g > 4$ , we show that any elliptic curve passing through the generic point of  $M$  has degree at least 12. We also formulate a conjecture for higher rank.

**Keywords:** Moduli spaces, Stable bundles, Elliptic curves.

### 1. INTRODUCTION

Let  $C$  be a smooth projective curve of genus  $g \geq 2$  and  $\mathcal{L}$  be a line bundle of degree  $d$  on  $C$ . Let  $M := \mathcal{SU}_C(r, \mathcal{L})^s$  be the moduli space of stable vector bundles on  $C$  of rank  $r$  and with fixed determinant  $\mathcal{L}$ , which is a smooth quasi-projective Fano variety with  $\text{Pic}(M) = \mathbb{Z} \cdot \Theta$  and  $-K_M = 2(r, d)\Theta$ , where  $\Theta$  is an ample divisor. Let  $B$  be a smooth projective curve of genus  $b$ . The degree of a curve  $\phi : B \rightarrow M$  is defined to be  $\deg \phi^*(-K_M)$ . It seems quite natural to ask what is the lower bound of degree and to classify the curves of lowest degree.

When  $B = \mathbb{P}^1$ , we have determined all  $\phi : \mathbb{P}^1 \rightarrow M$  with lowest degree in [6] and all  $\phi : \mathbb{P}^1 \rightarrow M$  passing through the generic point of  $M$  with lowest degree

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in [9]. In fact, one can construct  $\phi : \mathbb{P} \rightarrow M$  for various projective spaces  $\mathbb{P}$  such that  $\phi^*(-K_M) = \mathcal{O}_{\mathbb{P}}(2(r, d))$ , and  $\phi : \mathbb{P}^{r-1} \rightarrow M$  passing through the generic point of  $M$  such that  $\phi^*(-K_M) = \mathcal{O}_{\mathbb{P}^{r-1}}(2r)$ . Then it was proved in [6] and [9] that the images of lines in these projective spaces exhaust all minimal rational curves on  $M$  (resp. minimal rational curves passing through generic point of  $M$ ). Some applications of the results were also pointed out in [6] and [9]. Thus it is natural to ask what are the situation when  $b > 0$ . This note is a start to study the case of  $b = 1$ . It may happen that the normalization of  $\phi(B)$  is  $\mathbb{P}^1$ . To avoid this case, we call  $\phi : B \rightarrow M$  an **essential elliptic curve** of  $M$  if the normalization of  $\phi(B)$  is an elliptic curve.

It is easy to construct essential elliptic curves of degree  $6(r, d)$  on  $M$ , and essential elliptic curves of degree  $6r$  that pass through the generic point of  $M$ . For example, for smooth elliptic curves  $B \subset \mathbb{P}$  of degree 3, the morphism  $\phi : \mathbb{P} \rightarrow M$  defines essential elliptic curves  $\phi|_B : B \rightarrow M$  of degree  $6(r, d)$  (See Example 3.6), which are called **elliptic curves of split type**. For smooth elliptic curves  $B \subset \mathbb{P}^{r-1}$  of degree 3 (here we assume  $r > 2$ ), the morphism  $\phi : \mathbb{P}^{r-1} \rightarrow M$  defines essential elliptic curves  $\phi|_B : B \rightarrow M$  of degree  $6r$  passing through the generic point of  $M$  (See Example 3.5), which are called **elliptic curves of Hecke type**. Are they minimal elliptic curves of  $M$  (resp. minimal elliptic curves passing through generic point of  $M$ )? Do they exhaust all minimal essential elliptic curves on  $M$  (See Conjecture 4.8 for detail)?

In this note, we consider the case that  $r = 2$  and  $d = 1$ , then  $M$  is a smooth projective Fano manifold of dimension  $3g - 3$ . When  $C$  is generic, we show that any essential elliptic curve  $\phi : B \rightarrow M$  has degree at least 6, and it must be an **elliptic curve of split type** if it has degree 6 (See Theorem 4.6). When  $g > 4$  and  $C$  is generic, we show that any essential elliptic curve  $\phi : B \rightarrow M$  passing through the generic point of  $M$  has degree at least 12 (See Theorem 4.7). When  $C$  is generic, there is no nontrivial morphism from  $C$  to an elliptic curve, which implies that  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$  (in fact, for any line bundle  $\mathcal{L}$  on  $C \times B$ ,  $\mathcal{L}$  defines a morphism  $C \rightarrow J^d(B)$ , which must be trivial since  $J^d(B)$  is isomorphic to an elliptic curve. Thus  $\mathcal{L}|_{\{x_1\} \times B} \cong \mathcal{L}|_{\{x_2\} \times B}$  for any  $x_1, x_2 \in C$ , and there is a line bundle  $L_2$  on  $B$  such that  $\mathcal{L}' := \mathcal{L} \otimes p_B^* L_2^{-1}$  is trivial at each fiber of  $p_C : C \times B \rightarrow C$ , then  $L_2 := p_{C*} \mathcal{L}'$  is a line bundle and  $\mathcal{L}' = p_C^* L_2$ ). It is the condition that we need through the whole paper.

We give a brief description of the article. In Section 2, we show a formula of degree for general case. In Section 3, we show how the general formula implies the known case  $B = \mathbb{P}^1$  and construct the examples of essential elliptic curves of degree  $6(r, d)$  and  $6r$ . In Section 4, we prove the main theorems (Theorem 4.6 and Theorem 4.7), which is the special case  $r = 2, d = 1$  of Conjecture 4.8. Although I believe the conjecture, I leave the case of  $r > 2$  to other occasion.

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2. THE DEGREE FORMULA OF CURVES IN MODULI SPACES

Let  $C$  be a smooth projective curve of genus  $g \geq 2$  and  $\mathcal{L}$  a line bundle on  $C$  of degree  $d$ . Let  $M = \mathcal{SU}_C(r, \mathcal{L})^s$  be the moduli spaces of stable bundles on  $C$  of rank  $r$ , with fixed determinant  $\mathcal{L}$ . It is well-known that  $Pic(M) = \mathbb{Z} \cdot \Theta$ , where  $\Theta$  is an ample divisor.

**Lemma 2.1.** *For any smooth projective curve  $B$  of genus  $b$ , if*

$$\phi : B \rightarrow M$$

*is defined by a vector bundle  $E$  on  $C \times B$ , then*

$$\deg \phi^*(-K_M) = c_2(\mathcal{E}nd^0(E)) = 2rc_2(E) - (r - 1)c_1(E)^2 := \Delta(E)$$

*Proof.* In general, there is no universal bundle on  $C \times M$ , but there exist vector bundle  $\mathcal{E}nd^0$  and projective bundle  $\mathcal{P}$  on  $C \times M$  such that  $\mathcal{E}nd^0|_{C \times \{[V]\}} = \mathcal{E}nd^0(V)$  and  $\mathcal{P}|_{C \times \{[V]\}} = \mathbb{P}(V)$  for any  $[V] \in M$ . Let  $\pi : C \times M \rightarrow M$  be the projection, then  $T_M = R^1\pi_*(\mathcal{E}nd^0)$ , which commutes with base changes since  $\pi_*(\mathcal{E}nd^0) = 0$ .

For any curve  $\phi : B \rightarrow M$ , let  $X := C \times B, \mathbb{E} = (id \times \phi)^*\mathcal{E}nd^0$  and  $\pi : X = C \times B \rightarrow B$  still denote the projection. Then  $\phi^*T_M = R^1\pi_*\mathbb{E}$ . By Riemann-Roch theorem, we have

$$\deg \phi^*(-K_M) = \chi(R^1\pi_*\mathbb{E}) + (r^2 - 1)(g - 1)(b - 1).$$

By using Leray spectral sequence and  $\chi(\mathbb{E}) = \deg(ch(\mathbb{E}) \cdot td(T_X))_2$ , we have  $\chi(R^1\pi_*\mathbb{E}) = -\chi(\mathbb{E}) = c_2(\mathbb{E}) - (r^2 - 1)(g - 1)(b - 1)$ , hence

$$\deg \phi^*(-K_M) = c_2(\mathbb{E}).$$

If  $\phi : B \rightarrow M$  is defined by a vector bundle  $E$  on  $X = C \times B$ , then  $\mathbb{E} = \mathcal{E}nd^0(E)$  (cf. the proof of lemma 2.1 in [9]). Thus

$$\deg \phi^*(-K_M) = c_2(\mathcal{E}nd^0(E)) = 2rc_2(E) - (r - 1)c_1(E)^2.$$

□

Let  $f : X \rightarrow C$  be the projection. Then, for any vector bundle  $E$  on  $X$ , there is a relative Harder-Narasimhan filtration (cf Theorem 2.3.2, page 45 in [5])

$$0 = E_0 \subset E_1 \subset \dots \subset E_n = E$$

such that  $F_i = E_i/E_{i-1}$  ( $i = 1, \dots, n$ ) are flat over  $C$  and its restriction to general fiber  $X_p = f^{-1}(p)$  is the Harder-Narasimhan filtration of  $E|_{X_p}$ . Thus  $F_i$  are semi-stable of slop  $\mu_i$  at generic fiber of  $f : X \rightarrow B$  with  $\mu_1 > \mu_2 > \dots > \mu_n$ . Then we have the following theorem

**Theorem 2.2.** *For any vector bundle  $E$  of rank  $r$  on  $X$ , let*

$$0 = E_0 \subset E_1 \subset \dots \subset E_n = E$$

*be the relative Harder-Narasimhan filtration over  $C$  with  $F_i = E_i/E_{i-1}$  and  $\mu_i = \mu(F_i|_{f^{-1}(x)})$  for generic  $x \in C$ . Let  $\mu(E)$  and  $\mu(E_i)$  denote the slope of  $E|_{\pi^{-1}(b)}$  and  $E_i|_{\pi^{-1}(b)}$  for generic  $b \in B$ . Then, if*

$$\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B),$$

*we have the following formula*

$$(2.1) \quad \Delta(E) = 2r \left( \begin{array}{l} \sum_{i=1}^n \left( c_2(F_i) - \frac{\text{rk}(F_i) - 1}{2 \text{rk}(F_i)} c_1(F_i)^2 \right) \\ + \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i)) \text{rk}(E_i) (\mu_i - \mu_{i+1}) \end{array} \right).$$

*Proof.* It is easy to see that

$$\begin{aligned} 2c_2(E) &= 2 \sum_{i=1}^n c_2(F_i) + 2 \sum_{i=1}^n c_1(E_{i-1})c_1(F_i) \\ &= 2 \sum_{i=1}^n c_2(F_i) + c_1(E)^2 - \sum_{i=1}^n c_1(F_i)^2. \end{aligned}$$

Thus

$$\Delta(E) = 2r \sum_{i=1}^n c_2(F_i) + c_1(E)^2 - r \sum_{i=1}^n c_1(F_i)^2.$$

Let  $r_i$  be the rank of  $F_i$  and  $d_i$  be the degree of  $F_i$  on the generic fiber of  $\pi : C \times B \rightarrow B$ . Then we can write

$$c_1(F_i) = f^* \mathcal{O}_C(d_i) + \pi^* \mathcal{O}_B(r_i \mu_i)$$

where  $\mathcal{O}_C(d_i)$  (resp.  $\mathcal{O}_B(r_i \mu_i)$ ) denotes a divisor of degree  $d_i$  (resp. degree  $r_i \mu_i$ ) of  $C$  (resp.  $B$ ). Note that

$$c_1(F_i)^2 = 2d_i r_i \mu_i, \quad c_1(E)^2 = 2d \sum_{i=1}^n r_i \mu_i$$

we have

$$\begin{aligned} \Delta(E) &= 2r \left( \sum_{i=1}^n c_2(F_i) + \mu(E) \sum_{i=1}^n r_i \mu_i - \sum_{i=1}^n d_i r_i \mu_i \right) \\ &= 2r \left( \sum_{i=1}^n (c_2(F_i) - (r_i - 1)d_i \mu_i) + \mu(E) \sum_{i=1}^n r_i \mu_i - \sum_{i=1}^n d_i \mu_i \right). \end{aligned}$$

Let  $\deg(E_i)$  denote the degree of  $E_i$  on the generic fiber of

$$\pi : C \times B \rightarrow B.$$

Using  $d_i = \deg(E_i) - \deg(E_{i-1})$  and  $r_i = \text{rk}(E_i) - \text{rk}(E_{i-1})$ , we have

$$\mu(E) \sum_{i=1}^n r_i \mu_i - \sum_{i=1}^n d_i \mu_i = \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i)) \text{rk}(E_i) (\mu_i - \mu_{i+1}).$$

Since  $d_i \mu_i = c_1(F_i)^2 / 2r_i$ , we get the formula

$$\Delta(E) = 2r \left( \begin{aligned} &\sum_{i=1}^n \left( c_2(F_i) - \frac{r_i - 1}{2r_i} c_1(F_i)^2 \right) \\ &+ \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i)) \text{rk}(E_i) (\mu_i - \mu_{i+1}) \end{aligned} \right).$$

□

**Remark 2.3.** I do not know if the formula holds without the assumption that  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$ . On the other hand, the assumption holds when  $B$  is an elliptic curve and  $C$  is generic.

**Theorem 2.4.** *For any torsion free sheaf  $\mathcal{F}$  on  $X = C \times B$ , where  $B$  is any smooth projective curve, if its restriction to a fiber of  $f : X = C \times B \rightarrow C$  is semi-stable, then*

$$\Delta(\mathcal{F}) = 2 \operatorname{rk}(\mathcal{F}) c_2(\mathcal{F}) - (\operatorname{rk}(\mathcal{F}) - 1) c_1(\mathcal{F})^2 \geq 0.$$

*If the determinants  $\{\det(\mathcal{F}^{**})_x\}_{x \in C}$  are isomorphic to each other, then  $\Delta(\mathcal{F}) = 0$  if and only if  $\mathcal{F}$  is locally free and satisfies the following*

- *All the bundles  $\{\mathcal{F}_x := \mathcal{F}|_{\{x\} \times B}\}_{x \in C}$  are semi-stable and s-equivalent to each other, and*
- *the bundles  $\{\mathcal{F}_y := \mathcal{F}|_{C \times \{y\}}\}_{y \in B}$  are isomorphic to each other.*

*Proof.* Since  $\Delta(\mathcal{F}) \geq \Delta(\mathcal{F}^{**})$ , we can assume that  $\mathcal{F}$  is a vector bundle. There is a  $x \in C$  such that  $\mathcal{F}_x = \mathcal{F}|_{\{x\} \times B}$  is semi-stable, so is  $\mathcal{E}nd^0(\mathcal{F})_x = \mathcal{E}nd^0(\mathcal{F}_x)$ . Thus, by a theorem of Faltings (cf. Theorem I.2. of [1]), there is a vector bundle  $V$  on  $B$  such that

$$H^0(\mathcal{E}nd^0(\mathcal{F})_x \otimes V) = H^1(\mathcal{E}nd^0(\mathcal{F})_x \otimes V) = 0,$$

which defines a global section  $\vartheta(V)$  of the line bundle

$$\Theta(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V) = (\det f_1(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V))^{-1}$$

such that  $\vartheta(V)(x) \neq 0$  where  $\pi : C \times B \rightarrow B$  denotes the projection. By Grothendieck-Riemann-Roch theorem,

$$\begin{aligned} c_1(\det f_1(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V)) &= f_*(\operatorname{ch}(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V) \operatorname{td}(\pi^*T_B))_2 \\ &= -c_2(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V) \end{aligned}$$

which means that the line bundle  $\Theta(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V)$  has degree

$$c_2(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V) = \operatorname{rk}(V) \cdot c_2(\mathcal{E}nd^0(\mathcal{F})) = \operatorname{rk}(V) \cdot \Delta(\mathcal{F})$$

with a nonzero global section  $\vartheta(V)$ . Thus  $\Delta(\mathcal{F}) \geq 0$ .

If  $\Delta(\mathcal{F}) = 0$ , then  $\mathcal{F} = \mathcal{F}^{**}$  must be locally free and  $\vartheta(V)(x) \neq 0$  for any  $x \in C$ , which means that for any  $x \in C$ , we have

$$H^0(\mathcal{E}nd^0(\mathcal{F})_x \otimes V) = H^1(\mathcal{E}nd^0(\mathcal{F})_x \otimes V) = 0.$$

Then, by the theorem of Faltings (cf. Theorem I.2. of [1]), the bundles

$$\{\mathcal{E}nd^0(\mathcal{F})_x\}_{x \in C}$$

are all semi-stable. Thus, for any  $x \in C$ , the bundle  $\mathcal{F}_x := \mathcal{F}|_{\{x\} \times B}$  is semi-stable. The bundle  $\mathcal{F}$  defines a morphism  $\phi_{\mathcal{F}} : C \rightarrow \mathcal{U}_B$  from  $C$  to the moduli space  $\mathcal{U}_B$  of semi-stable bundles on  $B$ , the line bundle  $\Theta(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V)$  clearly descends to a line bundle on  $\mathcal{U}_B$ . If the determinants  $\det(\mathcal{F}_x)$  ( $x \in C$ ) are fixed, then  $\text{Pic}(\mathcal{U}_B) \cong \mathbb{Z}$  and  $\Theta(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V)$  descends to ample line bundle (a positive power of anti-canonical bundle of  $\mathcal{U}_B$ ). Thus

$$\text{deg}(\Theta(\mathcal{E}nd^0(\mathcal{F}) \otimes \pi^*V)) = 0$$

implies that  $\mathcal{F}$  defines a constant morphism  $\phi_{\mathcal{F}} : C \rightarrow \mathcal{U}_B$ , which means that all  $\{\mathcal{F}_x\}_{x \in C}$  are  $s$ -equivalent.

By using a technique of [4] (see Step 5 in the proof of Theorem 4.2 in [4], see also the proof of Theorem I.4 in [1]), we will show

$$\mathcal{F}|_{C \times \{y_1\}} \cong \mathcal{F}|_{C \times \{y_2\}}, \quad \forall y_1, y_2 \in B.$$

Choose a nontrivial extension  $0 \rightarrow V \rightarrow V' \xrightarrow{q_1} \mathcal{O}_{y_1} \rightarrow 0$  on  $B$ , let  $\Omega$  be the Quot-scheme of rank 0 and degree 1 quotients of  $V'$ , and

$$0 \rightarrow \mathcal{K} \rightarrow p_B^*V' \rightarrow \mathfrak{I} \rightarrow 0$$

be the tautological exact sequence on  $B \times \Omega$ . Fix a point  $x_1 \in C$ , then the set  $q \in \Omega$  such that  $H^0(\mathcal{F}_{x_1} \otimes \mathcal{K}_q) = H^1(\mathcal{F}_{x_1} \otimes \mathcal{K}_q) = 0$  is an open set  $U \subset \Omega$  and  $U \neq \emptyset$  since  $q_1 = (0 \rightarrow V \rightarrow V' \xrightarrow{q_1} \mathcal{O}_{y_1} \rightarrow 0) \in U$ .

Let  $\Gamma \subset B \times \mathbb{P}(V')$  be the graph of  $\mathbb{P}(V') \xrightarrow{p} B$ , then

$$p_B^*V' \rightarrow p_B^*V'|_{\Gamma} = p^*V' \rightarrow \mathcal{O}(1) \rightarrow 0$$

induces a quotient  $p_B^*V' \rightarrow_{\Gamma} \mathcal{O}(1) \rightarrow 0$  on  $B \times \mathbb{P}(V')$ , which defines a morphism  $\mathbb{P}(V') \rightarrow \Omega$ . It is easy to see that  $\mathbb{P}(V') \rightarrow \Omega$  is surjective (in fact, it is a isomorphism). Thus there is an open  $B_1 \subset B$  with  $y_1 \in B_1$  such that for any  $y \in B_1$  there exists an exact sequence

$$(2.2) \quad 0 \rightarrow \mathcal{K}_q \rightarrow V' \xrightarrow{q} \mathcal{O}_y \rightarrow 0$$

such that  $H^0(\mathcal{F}_{x_1} \otimes \mathcal{K}_q) = H^1(\mathcal{F}_{x_1} \otimes \mathcal{K}_q) = 0$ , which implies

$$H^0(\mathcal{F}_x \otimes \mathcal{K}_q) = H^1(\mathcal{F}_x \otimes \mathcal{K}_q) = 0 \quad \forall x \in C$$

since  $\mathcal{F}_x$  is  $s$ -equivalent to  $\mathcal{F}_{x_1}$  for any  $x \in C$ . Pull back the exact sequence (2.2) by  $\pi : C \times B \rightarrow B$  and tensor with  $\mathcal{F}$ , we have the exact sequence

$$(2.3) \quad 0 \rightarrow \mathcal{F} \otimes \pi^*\mathcal{K}_q \rightarrow \mathcal{F} \otimes \pi^*V' \rightarrow \mathcal{F}_y \rightarrow 0.$$

Take direct images of (2.2) under  $f : C \times B \rightarrow C$ , we have

$$\mathcal{F}_y \cong f_*(\mathcal{F} \otimes \pi^*V'), \quad \forall y \in B_1$$

which implies that all  $\{\mathcal{F}_y\}_{y \in B}$  are isomorphic each other.  $\square$

We will need the following lemma in the later computation, whose proofs are straightforward computations (see Lemma 1 in Chapter 2 of [2] for the case of rank 1).

**Lemma 2.5.** *Let  $X$  be a smooth projective surface and  $j : D \hookrightarrow X$  be an effective divisor. Then, for any vector bundle  $V$  on  $D$ , we have*

$$\begin{aligned} c_1(j_*V) &= \text{rk}(V) \cdot D \\ c_2(j_*V) &= \frac{\text{rk}(V)(\text{rk}(V) + 1)}{2} D^2 - j_*c_1(V). \end{aligned}$$

Recall that  $X_t = f^{-1}(t)$  denotes the fiber of  $f : X \rightarrow C$  and for any vector bundle  $\mathcal{F}$  on  $X$ ,  $\mathcal{F}_t$  denote the restrictions of  $\mathcal{F}$  to  $X_t$ .

**Lemma 2.6.** *Let  $\mathcal{F}_t \rightarrow W \rightarrow 0$  be a locally free quotient and*

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow {}_{X_t}W \rightarrow 0$$

*be the elementary transformation of  $\mathcal{F}$  along  $W$  at  $X_t \subset X$ . Then*

$$\Delta(\mathcal{F}) = \Delta(\mathcal{F}') + 2r(\mu(\mathcal{F}_t) - \mu(W))\text{rk}(W).$$

### 3. MINIMAL RATIONAL CURVES AND EXAMPLES OF ELLIPTIC CURVES ON MODULI SPACES

When  $B = \mathbb{P}^1$ , the condition  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$  always hold and any morphism  $B \rightarrow M$  is defined by a vector bundle on  $C \times B$  (cf. Lemma 2.1 of [9]).

Recall that given two nonnegative integers  $k, \ell$ , a vector bundle  $W$  of rank  $r$  and degree  $d$  on  $C$  is  $(k, \ell)$ -stable, if, for each proper subbundle  $W'$  of  $W$ , we have

$$\frac{\deg(W') + k}{\text{rk}(W')} < \frac{\deg(W) + k - \ell}{r}.$$

The usual stability is equivalent to  $(0, 0)$ -stability. The  $(k, \ell)$ -stability is an open condition. The proofs of following lemmas are easy and elementary (cf. [7]).



**Lemma 3.1.** *If  $g \geq 3$ ,  $M$  contains  $(0, 1)$ -stable and  $(0, 1)$ -stable bundles.  $M$  contains a  $(1, 1)$ -stable bundle  $W$  unless  $g = 3$ ,  $d, r$  both even.*

**Lemma 3.2.** *Let  $0 \rightarrow V \rightarrow W \rightarrow \mathcal{O}_p \rightarrow 0$  be an exact sequence, where  $\mathcal{O}_p$  is the 1-dimensional skyscraper sheaf at  $p \in C$ . If  $W$  is  $(k, \ell)$ -stable, then  $V$  is  $(k, \ell - 1)$ -stable.*

A curve  $B \rightarrow M$  defined by  $E$  on  $C \times B$  passing through the generic point of  $M$  satisfies that  $E_y := E|_{C \times \{y\}}$  is  $(1, 1)$ -stable for generic  $y \in B$ . Thus in the formula (2.1) of Theorem 2.2 we have

$$(3.1) \quad (\mu(E) - \mu(E_i))\text{rk}(E_i) > 1.$$

On the other hand, any semi-stable bundle on  $B = \mathbb{P}^1$  must have integer slope. By the formula (2.1) in Theorem 2.2, we have

$$\Delta(E) > 2r$$

if  $E$  is not semi-stable on the generic fiber of  $f : X = C \times \mathbb{P}^1 \rightarrow C$ .

When  $E$  is semi-stable on the generic fiber of  $f : X \rightarrow C$ , by tensor  $E$  with a line bundle, we can assume that  $E$  is trivial on the generic fiber of  $f : X \rightarrow C$ . Thus  $\Delta(E) = 2rc_2(E) \geq 2r$  and there must be a fiber  $X_t = f^{-1}(t)$  such that  $E_t = E|_{X_t}$  is not semi-stable by Theorem 2.4. If  $\Delta(E) = 2r$ , by Lemma 2.6, we must have  $\text{rk}(W) = 1$ ,  $\mu(W) = -1$  and  $\Delta(\mathcal{F}') = 0$  in Lemma 2.6. Thus  $\Delta(E) = 2r$  if and only if  $E$  satisfies

$$0 \rightarrow f^*V \rightarrow E \rightarrow_{X_t} \mathcal{O}_{\mathbb{P}^1}(-1) \rightarrow 0$$

which defines a so called Hecke curve. Therefore we get the main theorem in [9].

**Theorem 3.3.** *If  $g \geq 3$ , then any rational curve of  $M$  passing through the generic point of  $M$  has at least degree  $2r$  with respect to  $-K_M$ . It has degree  $2r$  if and only if it is a Hecke curve unless  $g = 3$ ,  $r = 2$  and  $(2, d) = 2$ .*

At the end of this section, we give some examples of elliptic curves on  $M$ . Let us recall the construction of Hecke curves. Let  $\mathcal{U}_C(r, d - 1)$  be the moduli space of stable bundles of rank  $r$  and degree  $d - 1$ . Let

$$\mathfrak{D} \subset \mathcal{U}_C(r, d - 1)$$

be the open set of  $(1, 0)$ -stable bundles. Let  $C \times \mathfrak{D} \xrightarrow{\psi} J^d(C)$  be defined as  $\psi(x, V) = \mathcal{O}_C(x) \otimes \det(V)$  and

$$\mathcal{R}_C := \psi^{-1}(\mathcal{L}) \subset C \times \mathfrak{D},$$

which consists of the points  $(x, V)$  such that  $V$  are  $(1, 0)$ -stable bundles on  $C$  with  $\det(V) = \mathcal{L}(-x)$ . There exists a projective bundle

$$p : \mathcal{P} \rightarrow \mathcal{R}_C$$

such that for any  $(x, V) \in \mathcal{R}_C$  we have  $p^{-1}(x, V) = \mathbb{P}(V_x^\vee)$ . Let

$$V_x^\vee \otimes \mathcal{O}_{\mathbb{P}(V_x^\vee)} \rightarrow \mathcal{O}_{\mathbb{P}(V_x^\vee)}(1) \rightarrow 0$$

be the universal quotient,  $f : C \times \mathbb{P}(V_x^\vee) \rightarrow C$  be the projection, and

$$0 \rightarrow \mathcal{E}^\vee \rightarrow f^*V^\vee \rightarrow \{x\} \times \mathbb{P}(V_x^\vee) \mathcal{O}_{\mathbb{P}(V_x^\vee)}(1) \rightarrow 0$$

where  $\mathcal{E}^\vee$  is defined to be the kernel of the surjection. Taking dual, we have

$$(3.2) \quad 0 \rightarrow f^*V \rightarrow \mathcal{E} \rightarrow \{x\} \times \mathbb{P}(V_x^\vee) \mathcal{O}_{\mathbb{P}(V_x^\vee)}(-1) \rightarrow 0,$$

which, at any  $\xi = (V_x^\vee \rightarrow \Lambda \rightarrow 0) \in \mathbb{P}(V_x^\vee)$ , gives an exact sequence

$$0 \rightarrow V \xrightarrow{\iota} \mathcal{E}_\xi \rightarrow \mathcal{O}_x \rightarrow 0$$

on  $C$  such that  $\ker(\iota_x) = \Lambda^\vee \subset V_x$ .  $V$  being  $(1, 0)$ -stable implies the stability of  $\mathcal{E}_\xi$ . Thus (3.2) defines

$$(3.3) \quad \Psi_{(x,V)} : \mathbb{P}(V_x^\vee) = p^{-1}(x, V) \rightarrow M.$$

**Definition 3.4.** The images (under  $\{\Psi_{(x,V)}\}_{(x,V) \in \mathcal{R}_C}$ ) of lines in the fibres of  $p : \mathcal{P} \rightarrow \mathcal{R}_C$  are the so called **Hecke curves** in  $M$ . The images (under  $\{\Psi_{(x,V)}\}_{(x,V) \in \mathcal{R}_C}$ ) of elliptic curves in the fibres of

$$p : \mathcal{P} \rightarrow \mathcal{R}_C$$

are called **elliptic curves of Hecke type**.

It is known (cf. [7, Lemma 5.9]) that the morphisms in (3.3) are closed immersions. By a straightforward computation, we have

$$(3.4) \quad \Psi_{(x,V)}^*(-K_M) = \mathcal{O}_{\mathbb{P}(V_x^\vee)}(2r).$$

For any point  $[W] \in M$  and  $(W_x \rightarrow \mathbb{C} \rightarrow 0) \in \mathbb{P}(W_x)$ , where  $W$  is  $(1, 1)$ -stable, we define a  $(1, 0)$ -stable bundle  $V$  by

$$0 \rightarrow V \xrightarrow{\alpha} W \rightarrow {}_x\mathbb{C} \rightarrow 0.$$

Then the images of  $p^{-1}(x, V) = \mathbb{P}(V_x^\vee)$  are projective spaces that pass through  $[W] \in M$ , and the images of lines  $\ell \subset \mathbb{P}(V_x^\vee)$  that pass through  $[\ker(\alpha_x)] \in \mathbb{P}(V_x^\vee)$  are Hecke curves passing through  $[W] \in M$ .

**Example 3.5.** When  $g \geq 4$  and  $r > 2$ , for generic  $[W] \in M$ , the images of smooth elliptic curves  $B \subset \mathbb{P}(V_x^\vee)$  with degree 3 and  $[\ker(\alpha_x)] \in B$  are smooth elliptic curves on  $M$  that pass through  $[W] \in M$ , which have degree  $6r$  by (3.4).

If we do not require the curve  $\phi : B \rightarrow M$  passing through generic point of  $M$ , we may construct rational curves and elliptic curves with smaller degree. Let us recall the Construction 2.3 from [6].

For any given  $r$  and  $d$ , let  $r_1, r_2$  be positive integers and  $d_1, d_2$  be integers that satisfy the equalities  $r_1 + r_2 = r, d_1 + d_2 = d$  and

$$r_1 \frac{d}{(r, d)} - d_1 \frac{r}{(r, d)} = 1, \quad d_2 \frac{r}{(r, d)} - r_2 \frac{d}{(r, d)} = 1.$$

Let  $\mathcal{U}_C(r_1, d_1)$  (resp.  $\mathcal{U}_C(r_2, d_2)$ ) be the moduli space of stable vector bundles with rank  $r_1$  (resp.  $r_2$ ) and degree  $d_1$  (resp.  $d_2$ ). Then, since  $(r_1, d_1) = 1$  and  $(r_2, d_2) = 1$ , there are universal vector bundles  $\mathcal{V}_1, \mathcal{V}_2$  on  $C \times \mathcal{U}_C(r_1, d_1)$  and  $C \times \mathcal{U}_C(r_2, d_2)$  respectively. Consider

$$\mathcal{U}_C(r_1, d_1) \times \mathcal{U}_C(r_2, d_2) \xrightarrow{\det(\bullet) \times \det(\bullet)} J_C^{d_1} \times J_C^{d_2} \xrightarrow{(\bullet) \otimes (\bullet)} J_C^d,$$

let  $\mathcal{R}(r_1, d_1)$  be its fiber at  $[\mathcal{L}] \in J_C^d$ . The pullback of  $\mathcal{V}_1, \mathcal{V}_2$  by the projection  $C \times \mathcal{R}(r_1, d_1) \rightarrow C \times \mathcal{U}_C(r_i, d_i)$  ( $i = 1, 2$ ) is still denoted by  $\mathcal{V}_1, \mathcal{V}_2$  respectively. Let  $p : C \times \mathcal{R}(r_1, d_1) \rightarrow \mathcal{R}(r_1, d_1)$  and

$$\mathcal{G} = R^1 p_*(\mathcal{V}_2^\vee \otimes \mathcal{V}_1),$$

which is locally free of rank  $r_1 r_2 (g - 1) + (r, d)$ . Let

$$q : P(r_1, d_1) = \mathbb{P}(\mathcal{G}) \rightarrow \mathcal{R}(r_1, d_1)$$

be the projective bundle parametrizing 1-dimensional subspaces of  $\mathcal{G}_t$  ( $t \in \mathcal{R}(r_1, d_1)$ ) and  $f : C \times P(r_1, d_1) \rightarrow C, \pi : C \times P(r_1, d_1) \rightarrow P(r_1, d_1)$  be the projections. Then there is a universal extension

$$(3.5) \quad 0 \rightarrow (id \times q)^* \mathcal{V}_1 \otimes \pi^* \mathcal{O}_{P(r_1, d_1)}(1) \rightarrow \mathcal{E} \rightarrow (id \times q)^* \mathcal{V}_2 \rightarrow 0$$

on  $C \times P(r_1, d_1)$  such that for any  $x = ([V_1], [V_2], [e]) \in P(r_1, d_1)$ , where  $[V_i] \in \mathcal{U}_C(r_i, d_i)$  with  $\det(V_1) \otimes \det(V_2) = \mathcal{L}$  and  $[e] \subset H^1(C, V_2^\vee \otimes V_1)$  being a line

through the origin, the bundle  $\mathcal{E}|_{C \times \{x\}}$  is the isomorphism class of vector bundles  $V$  given by extensions

$$0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$$

that are defined by vectors on the line  $[e] \subset H^1(C, V_2^\vee \otimes V_1)$ . Then  $V$  must be stable by [6, Lemma 2.2], and the sequence (3.5) defines

$$\Phi : P(r_1, d_1) \rightarrow \mathcal{SU}_C(r, \mathcal{L})^s = M.$$

On each fiber  $q^{-1}(\xi) = \mathbb{P}(H^1(V_2^\vee \otimes V_1))$  at  $\xi = (V_1, V_2)$ , the morphisms

$$(3.6) \quad \Phi_\xi := \Phi|_{q^{-1}(\xi)} : q^{-1}(\xi) = \mathbb{P}(H^1(V_2^\vee \otimes V_1)) \rightarrow M$$

is birational and  $\Phi_\xi^*(-K_M) = \mathcal{O}_{\mathbb{P}(H^1(V_2^\vee \otimes V_1))}(2(r, d))$  by [6, Lemma 2.4].

**Example 3.6.** The images of lines  $\ell \subset \mathbb{P}(H^1(V_2^\vee \otimes V_1))$  are rational curves of degree  $2(r, d)$  on  $M$ , which is clearly of the minimal degree since  $-K_M = 2(r, d)\Theta$ . For smooth elliptic curves  $B \subset \mathbb{P}(H^1(V_2^\vee \otimes V_1))$  of degree 3, the images of  $\Phi_\xi : B \rightarrow M$  are of degree  $6(r, d)$ . For any smooth elliptic curve  $B \subset q^{-1}(\xi)$  ( $\forall \xi \in \mathcal{R}(r_1, d_1)$ ), the images of  $\Phi_\xi : B \rightarrow M$  are called **elliptic curves of split type**.

#### 4. MINIMAL ELLIPTIC CURVES ON MODULI SPACES

In this section, we consider the moduli space  $M$  of rank 2 stable bundles on  $C$  with a fixed determinant  $\mathcal{L}$  of degree 1. We also assume that the curve  $C$  is generic in the sense that  $C$  admits no surjective morphism to an elliptic curve. With this assumption, we know that  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$  for any elliptic curve  $B$ .

For a morphism  $\phi : B \rightarrow M$ , it may happen that the normalization of  $\phi(B)$  is a rational curve. To avoid this case, we make the following definition

**Definition 4.1.**  $\phi : B \rightarrow M$  is called an essential elliptic curve of  $M$  if the normalization of  $\phi(B)$  is an elliptic curve.

Let  $\phi : B \rightarrow M$  be a morphism defined by a vector bundle  $E$  on  $X = C \times B$  (Such  $E$  exists for any  $\phi$  since  $M$  has a universal family and it is determined up to tensoring by a pull-back of line bundle on  $B$ ). In this section,  $B$  will always denote an elliptic curve.

**Proposition 4.2.** *Let  $\phi : B \rightarrow M$  be an essential elliptic curve of  $M$  defined by a vector bundle  $E$ . If  $E$  is not semi-stable on the generic fiber of  $f : X \rightarrow C$ , then*

$$\Delta(E) \geq 6.$$

*If  $g = g(C) \geq 4$  and the curve  $\phi : B \rightarrow M$  passes through the generic point of  $M$ , then*

$$\Delta(E) > 12.$$

*Proof.* Let  $0 \rightarrow E_1 \rightarrow E \rightarrow F_2 \rightarrow 0$  be the relative Harder-Narasimhan filtration over  $C$ . Then we have exact sequence

$$0 \rightarrow E_1|_{X_t} \rightarrow E|_{X_t} \rightarrow F_2|_{X_t} \rightarrow 0$$

on each fiber  $X_t = \{t\} \times B$  of  $f : X \rightarrow C$  since  $E_1, F_2$  are flat over  $C$ . Thus  $E_1$  is locally free (cf. Lemma 1.27 of [8]) and

$$(4.1) \quad \Delta(E) = 4c_2(F_2) + 4(\mu(E) - \mu(E_1))(\mu_1 - \mu_2)$$

where  $\mu_1 = \deg(E_1|_{X_t}), \mu_2 = \deg(F_2|_{X_t})$  for  $t \in C$  (cf. Theorem 2.2).

That  $0 \rightarrow E_1 \rightarrow E \rightarrow F_2 \rightarrow 0$  is the relative Harder-Narasimhan filtration over  $C$  means for almost all  $t \in C$  the exact sequences

$$0 \rightarrow E_1|_{X_t} \rightarrow E|_{X_t} \rightarrow F_2|_{X_t} \rightarrow 0$$

are the Harder-Narasimhan filtration of  $E|_{X_t}$ , which in particular means that  $F_2$  is locally free over  $f^{-1}(C \setminus T)$  where  $T \subset C$  is a finite set. Thus

$$(4.2) \quad 0 \rightarrow E_1|_{C \times \{y\}} \rightarrow E|_{C \times \{y\}} \rightarrow F_2|_{C \times \{y\}} \rightarrow 0, \quad \forall y \in B$$

are exact sequences, which imply that  $F_2$  is also  $B$ -flat.

If  $c_2(F_2) = 0$ , then  $F_2$  is a line bundle and there are line bundles  $V_1, V_2$  on  $C$  such that

$$E_1 = f^*V_1 \otimes \pi^*\mathcal{O}(\mu_1), \quad F_2 = f^*V_2 \otimes \pi^*\mathcal{O}(\mu_2)$$

where  $\mathcal{O}(\mu_i)$  denote line bundles on  $B$  of degree  $\mu_i$ . Replace  $E$  by  $E \otimes \pi^*\mathcal{O}(-\mu_2)$ , we can assume that  $E$  satisfies

$$(4.3) \quad 0 \rightarrow f^*V_1 \otimes \pi^*\mathcal{O}(\mu_1 - \mu_2) \rightarrow E \rightarrow f^*V_2 \rightarrow 0.$$

Let  $d_i = \deg(V_i), J = \{(L_1, L_2) \in J_C^{d_1} \times J_C^{d_2} \mid L_1 \otimes L_2 = \mathcal{L}\}$ , and let  $\mathcal{V}_i$  be the pullback of universal line bundle on  $C \times J_C^{d_i}$  (under the morphism  $C \times J \rightarrow$

$C \times J_C^{d_i}$ ). Then  $\mathcal{G} := R^1 p_{J*}(\mathcal{V}_2^{-1} \otimes \mathcal{V}_1)$  is locally free of rank  $d_2 - d_1 + g - 1$ , where  $p_J : C \times p_J \rightarrow J$  is the projection. Let

$$q : P = \mathbb{P}(\mathcal{G}) \rightarrow J$$

be the projective bundle parametrizing 1-dimensional subspaces of  $\mathcal{G}_t$  for any point  $t \in J$ . Then there is an universal extension

$$(4.4) \quad 0 \rightarrow (id \times q)^* \mathcal{V}_1 \otimes \pi^* \mathcal{O}_P(1) \rightarrow \mathcal{E} \rightarrow (id \times q)^* \mathcal{V}_2 \rightarrow 0$$

on  $C \times P$ , where  $\pi : C \times P \rightarrow P$  denotes the projection. For any  $x = ([V_1], [V_2], [e]) \in P$ , the bundle  $\mathcal{E}|_{C \times \{x\}}$  is the isomorphism class of vector bundles  $V$  given by extensions

$$0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$$

which are defined by vectors on the line  $[e] \subset H^1(C, V_2^{-1} \otimes V_1)$ . Thus the exact sequence (4.3) induces a morphism

$$(4.5) \quad \psi : B \rightarrow \mathbb{P}^{d_2-d_1+g-2} = q^{-1}(V_1, V_2) \subset P$$

such that  $\mathcal{O}(\mu_1 - \mu_2) = \psi^* \mathcal{O}_P(1)$  and  $\phi : B \rightarrow M$  factors through  $\psi : B \rightarrow \psi(B) \subset \mathbb{P}^{d_2-d_1+g-2}$ , which implies that the normalization of  $\psi(B)$  is an elliptic curve. Hence  $\mu_1 - \mu_2 \geq 3$  and  $\Delta(E) \geq 6$  by (4.1). If  $\phi : B \rightarrow M$  passes through the generic point, then  $\mu(E) - \mu(E_1) > 1$  and  $\Delta(E) > 12$ .

If  $c_2(F_2) \neq 0$ ,  $F_2$  is not locally free, which implies that there is a  $y_0 \in B$  such that  $F_2|_{C \times \{y_0\}}$  has torsion  $\tau(F_2|_{C \times \{y_0\}}) \neq 0$  since  $F_2$  is  $B$ -flat (cf. Lemma 1.27 of [8]). Let

$$(4.6) \quad 0 \rightarrow \tau(F_2|_{C \times \{y_0\}}) \rightarrow F_2|_{C \times \{y_0\}} \rightarrow F_2^0 \rightarrow 0.$$

Then  $F_2^0$  being a quotient line bundle of  $E|_{C \times \{y_0\}}$  implies

$$\deg(F_2^0) > \mu(E|_{C \times \{y_0\}}) = \frac{1}{2}$$

since  $E|_{C \times \{y_0\}}$  is stable. By sequences (4.2) and (4.6), we have

$$\mu(E_1) = \deg(E_1|_{C \times \{y_0\}}) = 1 - \deg(F_2^0) - \dim \tau(F_2|_{C \times \{y_0\}}) \leq -1$$

which, by the formula (4.1), implies that

$$\Delta(E) \geq 4c_2(F_2) + 4\left(\frac{1}{2} + 1\right)(\mu_1 - \mu_2) \geq 10.$$

When  $\phi : B \rightarrow M$  passes through a generic point, in order to show  $\Delta(E) > 12$ , we note that  $c_2(F_2) \neq 0$  and  $F_2$  being  $C$ -flat also imply that there exists a  $t_0 \in C$

such that  $F_2|_{X_{t_0}}$  has torsion  $\tau(F_2|_{X_{t_0}}) \neq 0$ . Let  $0 \rightarrow \tau(F_2|_{X_{t_0}}) \rightarrow F_2|_{X_{t_0}} \rightarrow \mathcal{Q} \rightarrow 0$  and  $E' = \ker(E \rightarrow_{X_{t_0}} \mathcal{Q})$ , then

$$0 \rightarrow E' \rightarrow E \rightarrow_{X_{t_0}} \mathcal{Q} \rightarrow 0$$

which, for any  $y \in B$ , induces exact sequence

$$(4.7) \quad 0 \rightarrow E'|_{C \times \{y\}} \rightarrow E|_{C \times \{y\}} \rightarrow_{(t_0, y)} \mathcal{Q} \rightarrow 0.$$

Thus all  $E'_y := E'|_{C \times \{y\}}$  are semi-stable of degree 0. If  $\phi : B \rightarrow M$  passes through a generic point, then there is a  $y_0 \in B$  such that  $E_{y_0}$  is  $(1, 1)$ -stable on  $X_{y_0} = C \times \{y_0\}$ , thus  $E'_{y_0}$  is stable by (4.7) and Lemma 3.2. This implies that  $\Delta(E') > 0$ . Otherwise  $\{E'_y\}_{y \in B}$  are  $s$ -equivalent to each other by applying Theorem 2.4 to  $\pi : X \rightarrow B$ , which implies  $E' = f^*V \otimes \pi^*L$  for a stable bundle  $V$  on  $C$  and a line bundle  $L$  on  $B$ . Then  $E_t = E'_t = L \oplus L$  for any  $t \neq t_0$ , which is a contradiction since  $E$  is not semi-stable on the generic fiber of  $f : X \rightarrow C$ .

To compute  $\Delta(E')$ , consider the Harder-Narasimhan filtration

$$0 \rightarrow E'_1 \rightarrow E' \rightarrow F'_2 \rightarrow 0$$

over  $C$ , let  $\mu'_1 = \deg(E'_1|_{X_t})$ ,  $\mu'_2 = \deg(F'_2|_{X_t})$  for  $t \in C$ , then

$$\Delta(E') = 4c_2(F'_2) + 4(\mu(E') - \mu(E'_1))(\mu'_1 - \mu'_2) \geq 8.$$

To see it, we can assume  $c_2(F'_2) = 0$ , then there are line bundles  $V'_i$  on  $C$  and line bundles  $\mathcal{O}(\mu'_i)$  on  $B$  of degree  $\mu'_i$  such that

$$0 \rightarrow f^*V'_1 \otimes \pi^*\mathcal{O}(\mu'_1 - \mu'_2) \rightarrow E' \otimes \pi^*\mathcal{O}(-\mu'_2) \rightarrow f^*V'_2 \rightarrow 0$$

which defines a morphism  $\psi : B \rightarrow \mathbb{P}$  to a projective space such that  $\mathcal{O}(\mu'_1 - \mu'_2) = \psi^*\mathcal{O}_{\mathbb{P}}(1)$ . Thus  $\mu'_1 - \mu'_2 \geq 2$  and  $\Delta(E') \geq 8$ . Then

$$\Delta(E) = \Delta(E') + 4(\mu(E|_{X_{t_0}}) - \mu(\mathcal{Q})) \geq \Delta(E') + 6 \geq 14.$$

□

Now we consider the case that  $E$  is semi-stable on the generic fiber of  $f : X \rightarrow C$ . We can assume  $0 \leq \deg(E|_{X_t}) \leq 1$  on  $X_t = f^{-1}(t)$ .

**Proposition 4.3.** *When  $E$  is semi-stable of degree 1 on the generic fiber of  $f : X \rightarrow C$ , we have  $\Delta(E) \geq 10$ . If  $g > 4$  and  $\phi : B \rightarrow M$  passes through the generic point, then  $\Delta(E) \geq 14$ .*

*Proof.* In the case of rank 2 and degree 1, semi-stability is equivalent to stability. If all  $\{E_t = E|_{X_t}\}_{t \in C}$  are semi-stable (note that their determinants are fixed), then all  $\{E_t\}_{t \in C}$  are isomorphic to each other since the moduli space of stable rank 2 bundle with a fixed determinant of degree 1 on an elliptic curve has dimension 0. Thus  $\Delta(E) > 0$  if and only if there exists  $t_1 \in C$  such that  $E_{t_1} = E|_{X_{t_1}}$  is not semi-stable.

Let  $E_{t_1} \rightarrow \mathcal{O}(\mu_1) \rightarrow 0$  be the quotient of minimal degree and

$$0 \rightarrow E^{(1)} \rightarrow E \rightarrow_{X_{t_1}} \mathcal{O}(\mu_1) \rightarrow 0$$

be the elementary transformation of  $E$  along  $\mathcal{O}(\mu_1)$  at  $X_{t_1}$ . If  $E^{(i)}$  is defined and  $\Delta(E^{(i)}) > 0$ , let  $t_{i+1} \in C$  such that  $E_{t_{i+1}}^{(i)} = E^{(i)}|_{X_{t_{i+1}}}$  is not semi-stable and  $E_{t_{i+1}}^{(i)} \rightarrow \mathcal{O}(\mu_{i+1}) \rightarrow 0$  be the quotient of minimal degree, then we define  $E^{(i+1)}$  to be the elementary transformation of  $E^{(i)}$  along  $\mathcal{O}(\mu_{i+1})$  at  $X_{t_{i+1}}$ , namely  $E^{(i+1)}$  satisfies the exact sequence

$$(4.8) \quad 0 \rightarrow E^{(i+1)} \rightarrow E^{(i)} \rightarrow_{X_{t_{i+1}}} \mathcal{O}(\mu_{i+1}) \rightarrow 0.$$

Let  $s$  be the minimal integer such that  $\Delta(E^{(s)}) = 0$ . Then

$$(4.9) \quad \Delta(E) = 2 \cdot s - 4 \sum_{i=1}^s \mu_i$$

where  $\mu_i \leq 0$  ( $i = 1, 2, \dots, s$ ). Since  $\Delta(E^{(s)}) = 0$ , all  $\{E_t^{(s)}\}_{t \in C}$  are stable bundles of degree 1, thus  $H^1(B, E_t^{(s)}) \cong H^0(B, (E_t^{(s)})^\vee) = 0$ , which implies  $R^1 f_* E^{(s)} = 0$ . Taking direct images of (4.8), we have

$$(4.10) \quad 0 \rightarrow f_* E^{(s)} \rightarrow f_* E^{(s-1)} \rightarrow_{t_s} H^0(\mathcal{O}(\mu_s)) \rightarrow 0$$

and  $\deg(f_* E^{(i+1)}) \leq \deg(f_* E^{(i)})$ , which imply

$$(4.11) \quad \deg(f_* E^{(s)}) \leq \deg(f_* E) - \dim H^0(\mathcal{O}(\mu_s)).$$

Restrict (4.8) to a fiber  $X_y = \pi^{-1}(y)$ , we have exact sequence

$$0 \rightarrow E_y^{(i+1)} \rightarrow E_y^{(i)} \rightarrow_{(t_{i+1}, y)} \mathbb{C} \rightarrow 0,$$

which implies that

$$(4.12) \quad \deg(E_y^{(s)}) = \deg(E_y) - s = 1 - s.$$



On the other hand, by Theorem 2.4,  $\Delta(E^{(s)}) = 0$  implies that there exist a stable rank 2 vector bundle  $V$  of degree 1 on  $B$  and a line bundle  $L$  on  $C$  such that  $E^{(s)} = \pi^*V \otimes f^*L$ . It is easy to see

$$\deg(E_y^{(s)}) = 2 \deg(L) = 2 \deg(f_*E^{(s)}).$$

Thus, combining (4.11) and (4.12), we have the inequality

$$(4.13) \quad s \geq 1 - 2 \deg(f_*E) + 2 \dim H^0(\mathcal{O}(\mu_s)).$$

We claim that  $\deg(f_*E) \leq -1$ . To show it, consider

$$(4.14) \quad 0 \rightarrow \mathcal{F}' := f^*(f_*E) \rightarrow E \rightarrow \mathcal{F} \rightarrow 0$$

where  $\mathcal{F}$  is locally free on  $f^{-1}(C \setminus T)$  and  $T \subset C$  is a finite set such that  $E_t$  ( $t \in T$ ) is not semi-stable. Thus, for any  $y \in B$ , the sequence

$$(4.15) \quad 0 \rightarrow \mathcal{F}'_y \rightarrow E_y \rightarrow \mathcal{F}_y \rightarrow 0$$

is still exact, which implies that  $\mathcal{F}$  is  $B$ -flat (cf. Lemma 2.1.4 of [5]). The sequence (4.15) already implies  $\deg(f_*E) = \deg(\mathcal{F}'_y) \leq 0$  since  $E_y$  is stable of degree 1. Thus  $\mathcal{F}$  can not be locally free since

$$4 \cdot c_2(\mathcal{F}) = \Delta(E) - 4 \cdot \deg(f_*E) + 2 > 0.$$

To see this computation, using (4.14) and noting that  $f_*E$  is a line bundle, we have  $c_2(\mathcal{F}) = c_2(E) - c_1(\mathcal{F}') \cdot c_1(E) = c_2(E) - \deg(f_*E)$ , thus  $4 \cdot c_2(\mathcal{F}) = \Delta(E) - 4 \cdot \deg(f_*E) + 2$  since  $c_1(E)^2 = 2$  (here we use  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$ ). Then there is at least a  $y_0 \in B$  such that  $\mathcal{F}_{y_0}$  has torsion, otherwise  $\mathcal{F}$  is locally free (cf. Lemma 1.27 of [8]). The stability of  $E_{y_0}$  implies that  $\mathcal{F}_{y_0}/\text{torsion}$  has degree at least 1. Thus  $\deg(\mathcal{F}_{y_0}) \geq 2$  and

$$\deg(f_*E) = \deg(\mathcal{F}'_{y_0}) \leq -1,$$

which proves the claim. The claim implies  $s \geq 3 + 2 \dim H^0(\mathcal{O}(\mu_s))$ . Therefore, if  $\mu_s < 0$ , we have  $\Delta(E) \geq 2 \cdot s + 4 \geq 10$  by (4.9). If  $\mu_s = 0$ , by tensoring  $E$  with  $\pi^*\mathcal{O}(\mu_s)^{-1}$ , we may assume  $\dim H^0(\mathcal{O}(\mu_s)) = 1$ , then  $s \geq 5$  and  $\Delta(E) \geq 10$ .

If  $\phi : B \rightarrow M$  passes through the generic point of  $M$ , we claim that  $\deg(f_*E) \leq -2$ , which implies  $\Delta(E) \geq 14$ . To prove the claim, assume  $\deg(f_*E) = -1$ , we will show that  $\phi(B)$  lies in a given divisor. Note that  $\mathcal{F}_y$  must be locally free of degree 2 for generic  $y \in B$  (if  $\mathcal{F}_y$  has nontrivial torsion, then  $E_y$  has a quotient line bundle of degree at most 1, which is impossible since  $E_y$  is  $(1, 1)$ -stable for

generic  $y \in B$ ). Thus  $E_y$  satisfies  $0 \rightarrow \xi \rightarrow E_y \rightarrow \xi^{-1} \otimes \mathcal{L} \rightarrow 0$  where  $\xi$  is a line bundle of degree  $-1$  on  $C$ . The locus of such bundles has dimension at most  $g + h^1(\xi^2 \otimes \mathcal{L}^{-1}) - 1 = 2g + 1 < \dim(M)$  when  $g > 4$ . We are done.  $\square$

Now we consider the case that  $E$  is semi-stable of degree 0 on the generic fiber of  $f : X \rightarrow C$ . If  $E$  is semi-stable on every fiber of  $f : X \rightarrow C$ , then  $E$  induces a non-trivial morphism

$$\varphi_E : C \rightarrow \mathbb{P}^1$$

(cf. Theorem 1.5 of [3]) such that  $\varphi_E^* \mathcal{O}_{\mathbb{P}^1}(1) = \Theta(E) = (\det f_! E)^{-1}$ , which has degree  $c_2(E)$  by Grothendieck-Riemann-Roch theorem. Thus

$$(4.16) \quad \Delta(E) = 4 \cdot c_2(E) = 4 \cdot \deg(\varphi_E) \geq 8.$$

If there is a  $t_0 \in C$  such that  $E_{t_0} = E|_{X_{t_0}}$  is not semi-stable on  $X_{t_0} = f^{-1}(t_0)$ , let  $E_{t_0} \rightarrow \mathcal{O}(\mu) \rightarrow 0$  be the quotient line bundle of minimal degree  $\mu$  and  $E' = \text{kernel}(E \rightarrow_{X_{t_0}} \mathcal{O}(\mu) \rightarrow 0)$ , then we have

**Lemma 4.4.** *If  $\Delta(E') = 0$ , then there is a semi-stable vector bundle  $V$  on  $C$  and a line bundle  $L$  of degree 0 on  $B$  such that*

$$E' = f^*V \otimes \pi^*L.$$

*Proof.* By the definition,  $\{E'_t = E'|_{\{t\} \times B}\}_{t \in C}$  and  $\{E'_y = E'|_{C \times \{y\}}\}_{y \in B}$  are families of semi-stable bundles of degree 0. Apply Theorem 2.4 to  $f : X \rightarrow C$  (resp.  $\pi : X \rightarrow B$ ), then  $\Delta(E') = 0$  implies that  $\{E'_t\}_{t \in C}$  (resp.  $\{E'_y\}_{y \in B}$ ) are isomorphic to each other. By tensoring  $E$  (thus  $E'$ ) with  $\pi^*L^{-1}$  (where  $L$  is a line bundle of degree 0 on  $B$ ), we can assume that  $H^0(E'_t) \neq 0$  ( $\forall t \in C$ ), which has dimension at most 2 since  $E'_t$  is semi-stable of degree 0. If  $H^0(E'_t)$  has dimension 2, then  $E' = f^*(f_*E')$  and we are done.

If  $H^0(E'_t)$  has dimension 1, we will show a contradiction. In fact, by the definition of  $E'$ , we have an exact sequence

$$(4.17) \quad 0 \rightarrow E' \rightarrow E \rightarrow_{X_{t_0}} \mathcal{O}(\mu) \rightarrow 0$$

where  $\mathcal{O}(\mu)$  is a line bundle on  $\{t_0\} \times B \cong B$  of degree  $\mu < 0$ . Then

$$V_1 := f_*E = f_*E'$$

is a line bundle on  $C$ . Since  $\{E'_t\}_{t \in C}$  are isomorphic to each other and  $H^0(E'_t)$  has dimension 1, we have the exact sequence

$$(4.18) \quad 0 \rightarrow f^*V_1 \rightarrow E' \rightarrow f^*V_2 \otimes \pi^*L_0 \rightarrow 0$$

for a line bundle  $V_2$  on  $C$  and a degree 0 line bundle  $L_0$  on  $B$ . If  $L_0 \neq \mathcal{O}_B$ , then  $R^i f_*(f^*(V_2^{-1} \otimes V_1) \otimes L_0) = V_2^{-1} \otimes V_1 \otimes H^i(L_0) = 0$  ( $i = 0, 1$ ), which implies  $H^1(X, f^*(V_2^{-1} \otimes V_1) \otimes L_0) = 0$  and (4.18) is splitting. This is impossible since  $E'_y$  is semi-stable of degree 0 and we can show that  $\deg(V_1) = \deg(f_*E) \leq -1$  in the following.

To prove that  $\deg(f_*E) \leq -1$ , we consider the exact sequence

$$(4.19) \quad 0 \rightarrow f^*f_*E \rightarrow E \rightarrow \mathcal{F} \rightarrow 0$$

where  $\mathcal{F}|_{f^{-1}(C \setminus \{t_0\})}$  is locally free of rank 1 by (4.18). But  $\mathcal{F}$  is not locally free (otherwise  $c_2(E) = (c_1(E) - c_1(f^*f_*E)) \cdot c_1(f^*f_*E) = 0$ ) and for any  $y \in B$  the restriction of (4.19) to  $X_y = \pi^{-1}(y)$

$$(4.20) \quad 0 \rightarrow f_*E \rightarrow E_y \rightarrow \mathcal{F}_y \rightarrow 0$$

is exact, which means that  $\mathcal{F}$  is  $B$ -flat (cf. Lemma 2.1.4 of [5]). Thus, by Lemma 1.27 of [8], there is a  $y_0 \in B$  such that  $\mathcal{F}_{y_0}$  has torsion  $\tau \neq 0$  since  $\mathcal{F}$  is not locally free. Then, since  $E_{y_0}$  is stable of degree 1,

$$\deg(\mathcal{F}_{y_0}) \geq 1 + \deg(\mathcal{F}_{y_0}/\tau) > 1 + \mu(E_{y_0}) = \frac{3}{2}$$

which implies  $\deg(f_*E) \leq -1$  by (4.20).

We have shown that  $L_0$  has to be  $\mathcal{O}_B$  and (4.18) has to be

$$(4.21) \quad 0 \rightarrow f^*V_1 \rightarrow E' \rightarrow f^*V_2 \rightarrow 0$$

which is determined by a class of  $H^1(X, f^*(V_1 \otimes V_2^{-1}))$ . However, noting  $R^1 f_*(f^*(V_1 \otimes V_2^{-1})) = V_1 \otimes V_2^{-1} \otimes H^1(\mathcal{O}_B) = V_1 \otimes V_2^{-1}$  and

$$H^0(C, V_1 \otimes V_2^{-1}) = 0,$$

by using Leray spectral sequence, we have

$$H^1(C, V_1 \otimes V_2^{-1}) \cong H^1(X, f^*(V_1 \otimes V_2^{-1})).$$

Hence there exists an extension  $0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$  on  $C$  such that  $E' \cong f^*V$ , which contradicts the assumption

$$\dim(H^0(\{t\} \times B, E'_t)) = 1.$$

□

**Proposition 4.5.** *When  $E$  is semi-stable of degree 0 on the generic fiber of  $f : X \rightarrow C$ , we have  $\Delta(E) \geq 8$ . If  $C$  is not hyper-elliptic and  $\phi : B \rightarrow M$  passes through a  $(1, 1)$ -stable bundle, assuming that  $E$  defines an essential elliptic curve, then  $\Delta(E) \geq 12$ .*

*Proof.* If  $E$  is semi-stable on each fiber  $X_t = f^{-1}(t)$ , then  $E$  induces a non-trivial morphism  $\varphi_E : C \rightarrow \mathbb{P}^1$ . By (4.16),  $\Delta(E) \geq 8$ .

If there is a  $t_0 \in C$  such that  $E_{t_0}$  is not semi-stable, then we have

$$0 \rightarrow E' \rightarrow E \rightarrow_{X_{t_0}} \mathcal{O}(\mu) \rightarrow 0$$

where  $\mathcal{O}(\mu)$  is a line bundle of degree  $\mu$  on  $B$ . If  $\Delta(E') \neq 0$ , then  $\Delta(E') > 0$  by Theorem 2.4. On the other hand,  $c_1(E')^2 = 0$  since  $E'$  has degree 0 on the generic fiber of  $X \rightarrow C$  and  $\text{Pic}(C \times B) = \text{Pic}(C) \times \text{Pic}(B)$ . Thus  $\Delta(E') = 4 \cdot c_2(E') \geq 4$ , and by Lemma 2.6

$$\Delta(E) = \Delta(E') - 4\mu \geq 8.$$

If  $\Delta(E') = 0$ , by Lemma 4.4, we can assume that  $E' = f^*V$ , then the sequence (4.17) induces a nontrivial morphism  $\varphi : B \rightarrow \mathbb{P}(V_{t_0}^\vee)$  such that  $\mathcal{O}(-\mu) = \varphi^* \mathcal{O}_{\mathbb{P}(V_{t_0}^\vee)}(1)$ . Thus  $\Delta(E) = -4\mu \geq 8$ .

Now we assume that  $C$  is not hyper-elliptic and  $\phi : B \rightarrow M$  passes through a  $(1, 1)$ -stable bundle. If  $E$  is semi-stable on each fiber  $X_t$ , then  $\Delta(E) = 4 \cdot \text{deg}(\varphi_E) \geq 12$  by (4.16) since  $C$  is not hyper-elliptic.

If there is  $t_0 \in C$  such that  $E_{t_0}$  is not semi-stable, we claim  $\Delta(E') > 0$  since  $\phi : B \rightarrow M$  passes through a  $(1, 1)$ -stable bundle. Otherwise,  $E' = f^*V$  where  $V$  is a  $(1, 0)$ -stable by Lemma 3.2, then sequence (4.17) implies that  $\phi : B \rightarrow M$  factors through a Hecke curve, which implies that  $\phi : B \rightarrow M$  is not an essential elliptic curve. If  $E'$  is semi-stable on each fiber  $X_t$ , then  $E'$  defines a nontrivial morphism  $\varphi_{E'} : C \rightarrow \mathbb{P}^1$  such that  $\varphi^* \mathcal{O}_{\mathbb{P}^1}(1) = \Theta(E') = (\det f_! E')^{-1} = c_2(E')$ . Thus  $\Delta(E') = 4 \cdot \text{deg}(\varphi_{E'}) \geq 12$  and  $\Delta(E) = \Delta(E') - 4\mu \geq 16$ .

If there is  $t'_0 \in C$  such that  $E'_{t'_0}$  is not semi-stable, then we have

$$(4.22) \quad 0 \rightarrow \mathcal{F} \rightarrow E' \rightarrow_{X_{t'_0}} \mathcal{O}(\mu') \rightarrow 0$$

where  $\mathcal{F}_y = \mathcal{F}|_{C \times \{y\}}$  is stable of degree  $-1$  for generic  $y \in B$  since  $E'_y$  is stable of degree 0. If  $\Delta(\mathcal{F}) \neq 0$ , it is clear that  $\Delta(\mathcal{F}) = 4 \cdot c_2(\mathcal{F}) \geq 4$  and  $\Delta(E) =$

$\Delta(\mathcal{F}) - 4\mu' - 4\mu \geq 12$ . If  $\Delta(\mathcal{F}) = 0$ , by Theorem 2.4, there is a stable vector bundle  $V'$  on  $C$  such that  $\mathcal{F}_y \cong V'$  for all  $y \in B$ . Then we can choose  $\mathcal{F} = f^*V'$  such that the sequence (4.22) induces a nontrivial morphism  $\varphi : B \rightarrow \mathbb{P}(V'_{t'_0})$  with  $\mathcal{O}(-\mu') = \varphi^*\mathcal{O}_{\mathbb{P}(V'_{t'_0})}(1)$ . Thus  $\Delta(E') = -4\mu' \geq 8$  and  $\Delta(E) = \Delta(E') - 4\mu \geq 12$ . □

We have seen in Example 3.6 the existence of essential elliptic curves of degree  $6(r, d)$  (which is 6 in our case). Then we have shown

**Theorem 4.6.** *Let  $M = \mathcal{SU}_C(2, \mathcal{L})$  be the moduli space of rank two stable bundles on  $C$  with a fixed determinant of degree 1. Then, when  $C$  is generic, any essential elliptic curve  $\phi : B \rightarrow M$  has degree*

$$\deg\phi^*(-K_M) \geq 6$$

and  $\deg\phi^*(-K_M) = 6$  if and only if  $\phi : B \rightarrow M$  factors through

$$\phi : B \xrightarrow{\psi} q^{-1}(\xi) = \mathbb{P}(\mathbf{H}^1(V_2^\vee \otimes V_1)) \xrightarrow{\Phi_\xi} M$$

for some  $\xi = (V_1, V_2)$  such that  $\psi^*\mathcal{O}_{\mathbb{P}(\mathbf{H}^1(V_2^\vee \otimes V_1))}(1)$  has degree 3.

*Proof.* By Proposition 4.2, Proposition 4.3 and Proposition 4.5, we have  $\Delta(E) \geq 6$ . The possible case  $\Delta(E) = 6$  occurs only in Proposition 4.2 when  $c_2(F_2) = 0$ . This implies that  $E$  must satisfy

$$0 \rightarrow f^*V_1 \otimes \pi^*\mathcal{O}(\mu_1 - \mu_2) \rightarrow E \rightarrow f^*V_2 \rightarrow 0$$

which defines  $\psi : B \rightarrow \mathbb{P}(\mathbf{H}^1(V_2^\vee \otimes V_1))$  such that  $\psi^*\mathcal{O}_{\mathbb{P}(\mathbf{H}^1(V_2^\vee \otimes V_1))}(1)$  has degree  $\mu_1 - \mu_2$ . Then  $\Delta(E) = 6$  and (4.1) imply  $\mu_1 - \mu_2 = 3$ . □

**Theorem 4.7.** *When  $g > 4$  and  $C$  is generic, any essential elliptic curve  $\phi : B \rightarrow M = \mathcal{SU}_C(2, \mathcal{L})$  that passes through the generic point must have  $\deg\phi^*(-K_M) \geq 12$ .*

For  $r > 2$ , let  $M = \mathcal{SU}_C(r, \mathcal{L})$  where  $\mathcal{L}$  is a line bundle of degree  $d$ . What is the minimal degree of essential elliptic curves on  $M$ ? I expect the following conjecture to be true.

**Conjecture 4.8.** *Let  $\phi : B \rightarrow M = \mathcal{SU}_C(r, \mathcal{L})^s$  be an essential elliptic curve defined by a vector bundle  $E$  on  $C \times M$ . Then, when  $C$  is a generic curve, we*

have

$$\deg\phi^*(-K_M) \geq 6(r, d).$$

When  $(r, d) \neq r$ , then  $\deg\phi^*(-K_M) = 6(r, d)$  if and only if it is an elliptic curve of split type in Example 3.6. If  $\phi: B \rightarrow M$  passes through the generic point and  $g > 4$ , then  $\deg\phi^*(-K_M) \geq 6r$ .

**Remark 4.9.** One of referees asked to strengthen Theorem 4.7 to characterize the elliptic curves of Hecke type, so that the statement of Theorem 4.7 is comparable with Theorem 4.6. However, when  $r = 2$ , there is no essential elliptic curve of Hecke type. In fact, when  $r = 2$ , I have no easy example of essential elliptic curve through the generic point of  $M$ . But it is still meaningful to ask the characterization of essential elliptic curves of degree 12 that pass through the generic point (if any). Now we assume that  $E$  defines an essential elliptic curve through generic point with  $\Delta(E) = 12$ . Then, firstly, it can only happen in the situation of Proposition 4.5, namely,  $E_t = E|_{\{t\} \times B}$  are semi-stable of degree 0 for generic points  $t \in C$ . Secondly, if  $C$  admits no cover  $C \rightarrow \mathbb{P}^1$  of degree 2 and degree 3, then there are  $t'_0, t_0 \in C$  such that either (i) there exists a stable bundle  $V'$  of degree  $-1$  on  $C$  suited in the following exact sequences

$$0 \rightarrow f^*V' \rightarrow E' \rightarrow_{X_{t'_0}} \mathcal{O}(-2) \rightarrow 0,$$

$$0 \rightarrow E' \rightarrow E \rightarrow_{X_{t_0}} \mathcal{O}(-1) \rightarrow 0$$

or (ii) there exists a bundle  $\mathcal{F}$  on  $X$  with  $c_2(\mathcal{F}) = 1$  suited in the following exact sequences

$$0 \rightarrow \mathcal{F} \rightarrow E' \rightarrow_{X_{t'_0}} \mathcal{O}(-1) \rightarrow 0,$$

$$0 \rightarrow E' \rightarrow E \rightarrow_{X_{t_0}} \mathcal{O}(-1) \rightarrow 0$$

where  $\mathcal{F}_y = \mathcal{F}|_{C \times \{y\}}$  is stable of degree  $-1$  for generic  $y \in B$ .

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