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Homology of Equivariant Vector Fields

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Abstract: Let K be a compact Lie group. We compute the abelianization of the Lie algebra of equivariant vector fields on a smooth K -manifold X . We also compute the abelianization of the Lie algebra of strata preserving smooth vector fields on the quotient X/K .

Keywords: Equivariant vector fields, homology, diffeomorphisms groups.

1. INTRODUCTION

1.1. K. Abe and K. Fukui [\[AbFu2\]](#page-7-0) have considered the first homology group (abelianization) of the group of equivariant smooth diffeomorphisms of a smooth K-manifold X , where K is finite. They also computed the abelianization for the diffeomorphisms of the quotient orbifold X/K . Our results below are the analogues of their results for vector fields in the case that K is a compact Lie group. The vector fields are, in a sense, the Lie algebras of the relevant diffeomorphism groups, so, hopefully, our results indicate that one should be able to generalize the Abe-Fukui results. There are already generalizations in some cases [\[AbFu1\]](#page-7-1).

1.2. Let X be a smooth K-manifold where K is compact. Let $\mathcal{X}^{\infty}(X)$ denote the Lie algebra of smooth vector fields on X and let $\mathcal{X}^\infty_c(X)$ denote the subalgebra of vector fields with compact support. If X is algebraic, then $\mathcal{X}(X)$ will denote the polynomial vector fields on X. By $\mathcal{X}^{\infty}(X)^{K}$, etc. we mean the K-invariant

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elements in $\mathcal{X}^{\infty}(X)$, etc. We will state most of our results for $\mathcal{X}^{\infty}_c(X)^K$; the corresponding results for $\mathcal{X}^{\infty}(X)^K$ follow easily from our techniques.

If g is a Lie algebra, we denote by $\mathcal{H}(\mathfrak{g})$ the abelianization $\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]$. We denote the Lie algebras of compact Lie groups K, H , etc. by the corresponding gothic letters \mathfrak{k} , \mathfrak{h} , etc.

1.3. Let $x \in X$. Then we have the isotropy group K_x and its slice representation on $W_x := T_x X / T_x (Kx)$ where Kx denotes the K-orbit through x. We say that the orbit Kx is isolated if $W_x^{K_x} = (0)$. It follows from the differentiable slice theorem that Kx is isolated if and only if all isotropy groups K_y of points y near x, $Ky \neq Kx$, are conjugate to a proper subgroup of K_x . There is then a discrete subset $\{x_i\}_{i\in I}$ of X (possibly empty) where we choose one point from each isolated orbit. Let H_i denote K_{x_i} and set $W_i := W_{x_i}, i \in I$.

Theorem 1.4. Let X and the x_i , H_i and W_i be as above Then

$$
\mathcal{H}(\mathcal{X}_c^{\infty}(X)^K) \simeq \bigoplus_i \mathcal{H}(\mathfrak{k}^{H_i}/\mathfrak{h}_i^{H_i}) \bigoplus_i \mathcal{H}(\text{End}(W_i)^{H_i}).
$$

Theorem 1.5. Let H be a compact Lie group and V an H-module where $V^H =$ (0). Write $V = \bigoplus_{j=1}^m n_j V_j$ where the V_j are irreducible and pairwise non-isomorphic and n_jV_j denotes the direct sum of n_j copies of V_j . Let l denote the number of V_j such that $\text{End}(V_j)^H \simeq \mathbb{C}$ and let $Z(\text{End}(V)^H)$ denote the center of $\text{End}(V)^H$. Then

$$
\mathcal{H}(\text{End}(V)^H) \simeq Z(\text{End}(V)^H) = \bigoplus_j Z(\text{End}(n_j V_j)^H) \simeq \mathbb{R}^{m-l} \oplus \mathbb{C}^l.
$$

Let $\mathcal{X}^{\infty}_c(X/K)$ denote the Lie algebra of compactly supported smooth strata preserving vector fields on X/K (see §[4](#page-6-0) for definitions).

Theorem 1.6. Let X and the x_i , H_i and W_i be as above. Then

$$
\mathcal{H}(\mathcal{X}_c^{\infty}(X/K)) \simeq \bigoplus_i (Z(\text{End}(W_i)^{H_i})/\mathfrak{s}_i)
$$

where each \mathfrak{s}_i is the Lie algebra of a torus S_i lying in $Z(\text{End}(W_i)^{H_i})$.

We will say more about the S_i in §[4.](#page-6-0)

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2. Vanishing of abelianizations

2.1. In the following, let $\mathcal{B}_c^{\infty}(X)^K$ denote $[\mathcal{X}_c^{\infty}(X)^K, \mathcal{X}_c^{\infty}(X)^K]$ and let $\mathcal{C}_c^{\infty}(X)^K$ denote the compactly supported smooth functions on X . Our first goal is to show that $\mathcal{H}(\mathcal{X}^{\infty}_c(X \times \mathbb{R})^K)$ is zero.

Lemma 2.2. Let $A \in \mathcal{X}_c^{\infty}(X)^K$ and $B \in \mathcal{X}^{\infty}(X)^K$. Then $[A, B] \in \mathcal{B}_c^{\infty}(X)^K$.

Proof. Let $g \in C_c^{\infty}(X)^K$ be identically 1 on a neighborhood of supp A. Then $[A, gB] = g[A, B] + A(g)B = [A, B] \in \mathcal{B}_c^{\infty}(X)$ K .

Proposition 2.3. Let K act on $X \times \mathbb{R}$ with the given action on X and the trivial action on \mathbb{R} . Then $\mathcal{H}(\mathcal{X}^{\infty}_c(X \times \mathbb{R})^K) = 0$.

Proof. Let t denote the usual coordinate function on R and let $g \in C_c^{\infty}(X \times$ $(\mathbb{R})^K$. We show that $g\frac{d}{dt} \in \mathcal{B}_c^\infty(X\times\mathbb{R})^K$. For $x \in X$ and $s \in \mathbb{R}$ set $h(x,s) =$ $\int_0^s g(x, u) du$. Then h is smooth and K-invariant. Let $f \in C_c^{\infty}(X \times \mathbb{R})^K$. Then

$$
[f\frac{d}{dt}, h\frac{d}{dt}] = f\frac{dh}{dt}\frac{d}{dt} - h\frac{df}{dt}\frac{d}{dt}
$$
 and

$$
[\frac{d}{dt}, fh\frac{d}{dt}] = f\frac{dh}{dt}\frac{d}{dt} + h\frac{df}{dt}\frac{d}{dt}.
$$

Hence $2fg\frac{d}{dt} \in \mathcal{B}_c^{\infty}(X \times \mathbb{R})^K$. If f equals $1/2$ on a neighborhood of supp g, we obtain that $g\frac{d}{dt} \in \mathcal{B}_c^{\infty}(X \times \mathbb{R})^K$.

Now suppose that $A \in \mathcal{X}_c^{\infty}(X \times \mathbb{R})^K$. By our result above, we can assume that A annihilates t. Set $B(x, s) = \int_0^s A(x, u) du$ and let $g \in C_c^{\infty}(X \times \mathbb{R})^K$ equal 1 on a neighborhood of supp A. Then $[g\frac{d}{dt},B] = gA - B(g)\frac{d}{dt}$. We already know that $B(g) \frac{d}{dt} \in \mathcal{B}_c^{\infty}(X \times \mathbb{R})^K$, hence $A \in \mathcal{B}_c^{\infty}(X \times \mathbb{R})^K$. Thus $\mathcal{H}(\mathcal{X}_c^{\infty}(X \times \mathbb{R})^K) = 0$. \Box

2.4. Let H be a closed subgroup of K and W an H -module. Then we have the twisted product $K *^{H} W$ which is the quotient $(K \times W)/H$ where $h(k, w) =$ $(kh^{-1}, hw), h \in H, k \in K$ and $w \in W$. We denote the image of $(k, w) \in K \times W$

in $K *^H W$ by $[k, w]$. Note that $K *^H W$ is naturally a K-vector bundle and a real algebraic K-variety [\[Schw3\]](#page-7-2).

Let $H \to GL(W)$ be the slice representation at a point $x \in X$. By the differentiable slice theorem, a K-neighborhood of Kx in X is K-diffeomorphic to $K *^H W$. By Proposition [2.3,](#page-2-0) $\mathcal{H}(\mathcal{X}_c^{\infty}(K *^H W)^K) = 0$ if $W^H \neq (0)$.

Let F be a closed K-stable subset of X. We say that $\mathcal{H}(\mathcal{X}^{\infty}_c(X)^K)$ is supported on F if $\mathcal{H}(\mathcal{X}_c^{\infty}(X \setminus F)^K) = 0$. Using a partition of unity argument we can show

Corollary 2.5. Let $F = \{x \in X \mid W_x^{K_x} = 0\}$. Then $\mathcal{H}(\mathcal{X}_c^{\infty}(X)^K)$ is supported on F.

3. Local computations

3.1. Our results above show that there is a discrete set of orbits $\{Kx_i\}$ such that

$$
\mathcal{H}(\mathcal{X}^\infty_c(X)^K) \simeq \bigoplus_i \mathcal{H}(\mathcal{X}^\infty_c(K*^{H_i}W_i)^K)
$$

where $H_i = K_{x_i}$ and W_i is the slice representation of H_i at x_i . Thus it suffices to compute $\mathcal{H}(\mathcal{X}^\infty_c(K*^HV)^K)$ where H is a closed subgroup of $K,$ V is an $H\text{-module}$ and $V^H = (0)$. This computation is the content of the following theorem.

Theorem 3.2. Let H and V be as above. Then

$$
\mathcal{H}(\mathcal{X}_c^{\infty}(K*^H V)) \simeq \mathcal{H}(\mathfrak{k}^H/\mathfrak{h}^H) \oplus \mathcal{H}(\text{End}(V)^H).
$$

3.3. Our proof of the theorem requires several lemmas. Set $Y := K * H V$. Then

$$
\mathcal{X}(Y)^K \simeq \mathcal{X}(K \times V)^{K \times H} / (\mathcal{O}(K \times V)\mathfrak{h})^{K \times H}
$$

(see [\[Schw2,](#page-7-3) §4]) where H has the diagonal action (see [2.4\)](#page-2-1) on $K \times V$ (inducing an action of h) and $\mathcal{O}(K \times V)$ denotes the polynomial functions on $K \times V$. Now

$$
\mathcal{X}(K \times V)^{K \times H} \simeq (\mathcal{X}(K) \otimes \mathcal{O}(V) \oplus \mathcal{O}(K) \otimes \mathcal{X}(V))^{K \times H} \simeq (\mathfrak{k} \otimes \mathcal{O}(V))^{H} \oplus (1 \otimes \mathcal{X}(V)^{H})
$$

while

$$
(\mathcal{O}(K \times V)\mathfrak{h})^{K \times H} \simeq (\mathfrak{h} \otimes \mathcal{O}(V))^{H}.
$$

3.4. We have the Euler operator $E \in \mathcal{X}(V)^H$, where if x_1, x_2, \ldots are coordinate functions on V, then $E = \sum_i x_i \frac{\partial}{\partial x_i}$ $\frac{\partial}{\partial x_i}$. By the isomorphisms above, E can be considered as a $(K \times H)$ -invariant vector field on $K \times V$ and as a K-invariant vector field on Y.

Lemma 3.5. Let $f \in C^{\infty}(Y)^K$. Then $f = E(h)$ for some $h \in C^{\infty}(Y)^K$ if and only if $f([e, 0]) = 0$.

Proof. Clearly the condition on f is necessary. Suppose that $f([e, 0]) = 0$. Since f is K-invariant, it is determined by its restriction g to $\{[e, v] \mid v \in V\} \simeq V$, where g is H-invariant. Set $h(v) = \int_0^1 (1/t)g(tv) dt$. Then $h \in C^{\infty}(V)^H$ since $q(0) = 0$. We have

$$
E(h)(v) = \int_0^1 \frac{1}{t} \sum_i x_i \frac{\partial g}{\partial x_i}(tv) t \, dt = \int_0^1 \sum_i x_i \frac{\partial g}{\partial x_i}(tv) \, dt
$$

=
$$
\int_0^1 \frac{d}{dt} g(tv) \, dt = g(v) - g(0) = g(v).
$$

Corollary 3.6. Let $g \in C_c^{\infty}(Y)^K$ such that $g([e, 0]) = 0$. Then $gE \in \mathcal{B}_c^{\infty}(Y)^K$.

Proof. By Lemma [3.5,](#page-4-0) $g = E(h)$ for some $h \in C^{\infty}(Y)^K$. Let $f \in C_c^{\infty}(Y)^K$ such that f is $1/2$ in a neighborhood of supp g. Then, as in Proposition [2.3,](#page-2-0)

$$
[E, fhE] + [fE, hE] = 2fE(h)E = 2fgE,
$$

so that $gE \in \mathcal{B}_c^{\infty}(Y)^K$.

3.7. Since Y is real algebraic, the results in [\[Schw1,](#page-7-4) §6] show that $\mathcal{X}^{\infty}(Y) \simeq$ $\mathcal{C}^{\infty}(Y) \otimes_{\mathcal{O}(Y)} \mathcal{X}(Y)$. For compactly supported sections we clearly have that $\mathcal{X}_c^{\infty}(Y) = \mathcal{C}_c^{\infty}(Y)\mathcal{X}(Y).$

3.8. We have an E-eigenspace decomposition

$$
\mathcal{X}(K \times V)^{K \times H} \simeq \bigoplus_{m \geq 0} (\mathfrak{k} \otimes \mathcal{O}(V)_m)^H \oplus (1 \otimes \mathcal{X}(V)_m^H)
$$

and similarly for $(\mathfrak{h} \otimes \mathcal{O}(V))^H$. The weights that occur in $\mathcal{X}(V)^H$ are all positive since $V^H = (0)$. We have an induced decomposition

$$
\mathcal{X}(Y)^K = \bigoplus_{m \ge 0} \mathcal{X}(Y)^K_m.
$$

Remark 3.9. Since the sum only contains terms for $m \geq 0$, an element of $\mathcal{X}(Y)^K$ applied to an element of $\mathcal{C}^{\infty}(Y)^K \simeq \mathcal{C}^{\infty}(V)^H$ always vanishes at $[e, 0]$.

Lemma 3.10. Let $A \in \mathcal{X}(Y)_{m}^{K}$ and let $f \in \mathcal{C}_{c}^{\infty}(Y)^{K}$. Then $fA \in \mathcal{B}_{c}^{\infty}(Y)^{K}$ if

- (1) $m > 0$ or
- (2) $f([e, 0]) = 0.$

Proof. Suppose that $m > 0$. Then $[(1/m) fE, A] = fA - (1/m)A(f)E$ where $A(f)E \in \mathcal{B}_c^{\infty}(Y)^K$ by Corollary [3.6.](#page-4-1) Hence $fA \in \mathcal{B}_c^{\infty}(Y)^K$. If $m = 0$ and $f([e, 0]) = 0$, then let $h \in C^{\infty}(Y)^K$ be such that $E(h) = f$, and let $g \in C_c^{\infty}(Y)^K$. Then

$$
[gE, hA] = gE(h)A - hA(g)E = gfA - hA(g)E,
$$

where $hA(g)E \in \mathcal{B}_c^{\infty}(Y)^K$ by Corollary [3.6.](#page-4-1) We may arrange that $gfA = fA$, so $fA \in \mathcal{B}_c^{\infty}(Y)$ K .

Proof of Theorem [3.2.](#page-3-0) We first define a map of Lie algebras $\varphi: \mathcal{X}_c^{\infty}(Y)^K \to$ $\mathcal{X}(Y)_0^K$. Let $B = \sum_{i=1}^m f_i B_i \in \mathcal{X}_c^\infty(Y)^K$ where $f_i \in \mathcal{C}_c^\infty(Y)^K$ and $B_i \in \mathcal{X}(Y)_{m_i}^K$, $i = 1, \ldots, m$. Define $\varphi(B) := \sum_{m_i=0} f_i([e, 0]) B_i \in \mathcal{X}(Y)_0^K$. It is obvious that φ is surjective. Suppose that $C, D \in \mathcal{X}(Y)^K$ are eigenvectors for E and that $f, g \in C_c^{\infty}(Y)^K$. Then $[fC, gD] = fC(g)D - gD(f)C + fg[C, D]$ where $C(g)$ and $D(f)$ vanish at $[e, 0]$. Thus $\varphi([fC, gD]) = (fg)(0)\varphi([C, D])$ $(fg)(0)[\varphi(C), \varphi(D)] = [\varphi(fC), \varphi(gD)]$. Now φ induces $\tilde{\varphi} \colon \mathcal{H}(\mathcal{X}_c^{\infty}(Y)^K) \to$ $\mathcal{H}(\mathcal{X}(Y)_0^K)$, which is again surjective. Suppose that $B = \sum_i f_i B_i \in \text{Ker}(\tilde{\varphi})$ where the B_i are in $\mathcal{X}(Y)_0^K$. Then $\varphi(B) = \sum_j [C_j, D_j]$ where C_j , $D_j \in \mathcal{X}(Y)_0^K$ for all j. Let $f \in \mathcal{C}_c^{\infty}(Y)^K$ such that f is 1 on a neighborhood of $[e, 0]$. Then $B-\sum_j [fC_j, fD_j] \in \mathcal{B}_c^{\infty}(Y)^K$. Hence $\tilde{\varphi}$ is an isomorphism. From our equations in [3.3](#page-3-1) it follows that $\mathcal{H}(\mathcal{X}(Y)_0^K) \simeq \mathcal{H}(\mathfrak{k}^H/\mathfrak{h}^H) \oplus \mathcal{H}(\text{End}(V)^H)$.

Proof of Theorem [1.4.](#page-1-0) The theorem is immediate from [3.1](#page-3-2) and Theorem [3.2](#page-3-0) \Box

Proof of Theorem [1.5.](#page-1-1) Let $V = \bigoplus_{j=1}^{m} n_j V_j$ and H be as in 1.5. Then $\text{End}(V)^H \simeq$ $\oplus_j \text{End}(n_j V_j)^H$. There are three cases to consider.

Case 1: End $(V_j)^H \simeq \mathbb{R}$. Then End $(n_j V_j)^H \simeq \mathfrak{gl}(n_j, \mathbb{R})$ and $\mathcal{H}(\mathfrak{gl}(n_j, \mathbb{R})) \simeq$ $Z(\mathfrak{gl}(n_i, \mathbb{R})) \simeq \mathbb{R}.$

Case 2: End $(V_j)^H \simeq \mathbb{C}$. Then End $(n_j V_j)^H \simeq \mathfrak{gl}(n_j, \mathbb{C})$ and $\mathcal{H}(\mathfrak{gl}(n_j, \mathbb{C})) \simeq$ $Z(\mathfrak{gl}(n_i, \mathbb{C})) \simeq \mathbb{C}.$

Case 3: End $(V_j)^H \simeq \mathbb{H}$, the quaternions. Then $\text{End}(n_j V_j)^H \simeq \mathfrak{gl}(n_j, \mathbb{H})$ and we have that $\mathcal{H}(\mathfrak{gl}(n_j, \mathbb{H})) \simeq Z(\mathfrak{gl}(n_j, \mathbb{H})) \simeq \mathbb{R}$. The theorem follows.

4. Computations on the quotient

We now consider the abelianization of the strata preserving vector fields on the quotient X/K . We recall a few facts about X/K from [\[Schw1\]](#page-7-4). Let $\pi: X \to X/K$ denote the canonical map, where X/K is given the quotient topology. Then X/K has a differentiable structure where for U an open subset of X/K , $\mathcal{C}^{\infty}(U)$ = $\mathcal{C}^{\infty}(\pi^{-1}(U))^K$. Let H be a closed subgroup of K. Then we have the corresponding stratum $X^{(H)} := \{x \in X \mid K_x \text{ is conjugate to } H\}$ and its image $(X/K)^{(H)} \subset X/K$. The isotropy strata $(X/K)^{(H)} \subset X/K$ and $X^{(H)} \subset X$ are smooth and locally closed submanifolds and $\pi \colon X^{(H)} \to (X/K)^{(H)}$ is naturally a smooth fiber bundle (with structure group $N_K(H)/H$). The number of isotropy strata is locally finite on X and X/K. Let $Der(\mathcal{C}^{\infty}(X/K))$ denote the derivations of $\mathcal{C}^{\infty}(X/K)$ and let $\mathcal{X}^{\infty}(X/K)$ denote those derivations that preserve the ideals of functions I_{H_i} vanishing on the isotropy strata $(X/K)^{(H_i)}$ of X/K . Each element of $\mathcal{X}^{\infty}(X)^K$ restricts to a derivation of $\mathcal{C}^{\infty}(X/K)$, so there is a canonical map $\pi_* \colon \mathcal{X}^\infty(X)^K \to \mathrm{Der}(\mathcal{C}^\infty(X/K))$. The main theorem of $[\text{Schw1}]$ is that Im $\pi_* \subset \mathcal{X}^{\infty}(X/K)$ and that π_* is surjective. Clearly π_* is a homomorphism of Lie algebras so we have an induced surjection $\mathcal{H}(\mathcal{X}^{\infty}(X)^K) \to \mathcal{H}(\mathcal{X}^{\infty}(X/K)).$ We only need to compute what happens in the case of $X = K * H V$ where H is a closed subgroup of K and V is an H-module such that $V^H = (0)$. Let $V = \bigoplus_{j=1}^{m} n_j V_j$ as in Theorem [1.5.](#page-1-1) The following has Theorem [1.6](#page-1-2) as a corollary.

Theorem 4.1. Assume that $\text{End}(V_j)^H \simeq \mathbb{C}$ if and only if $j \leq l$ where $l \leq m$. Let T be the corresponding torus $(S^1)^l \subset \prod_{j=1}^l Z(\text{End}(V_j)^H)$. Then T acts on V commuting with the action of H, and we have an induced map $T \to \text{Aut}(V/H)$. Let S denote the kernel where $\dim S = k$. Then

$$
\mathcal{H}(\mathcal{X}_c^{\infty}((K*H_V)/K)) \simeq \mathcal{H}(\mathcal{X}(V/H)) \simeq \mathbb{R}^{m-l+k} \oplus \mathbb{C}^{l-k}.
$$

Proof. We have the canonical surjection of Lie algebras π_* : End $(V)^H \to \mathcal{X}_0(V/H)$ and π_* induces a surjection of $\mathcal{H}(\text{End}(V)^H)$ onto $\mathcal{H}(\mathcal{X}(V/H))$. For every j we have the identity $\mathrm{Id}_j \in \mathrm{End}(n_j V_j)^H$ and clearly these elements give linearly independent derivations of $\mathcal{O}(V)^H$. Now consider the action of T on V/H and its

kernel S. Then s is the kernel of the restriction of π_* to the center of $\text{End}(V)^H$, so that $\mathfrak s$ is the kernel on homology.

Example 4.2. Suppose that H is a torus acting faithfully on V and $V = \sum_{j=1}^{m} n_j V_j$ where $V^H = (0)$ as in Theorem [1.5.](#page-1-1) Then $\mathfrak{s} \simeq \mathfrak{h}$ and $\mathcal{H}(\mathcal{X}(V/H)) \simeq \mathbb{R}^k \oplus \mathbb{C}^{m-k}$ where $k = \dim H$.

Example 4.3. Let $V = \mathbb{C}^n \oplus \wedge^2 \mathbb{C}^n$ with the canonical action of $\text{SU}(n, \mathbb{C}), n \geq 3$. Then T has dimension 2 and S has dimension 1. See [\[Schw1,](#page-7-4) Table I].

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