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Combinatorial Secant Varieties

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Abstract: The construction of joins and secant varieties is studied in the combinatorial context of monomial ideals. For ideals generated by quadratic monomials, the generators of the secant ideals are obstructions to graph colorings, and this leads to a commutative algebra version of the Strong Perfect Graph Theorem. Given any projective variety and any term order, we explore whether the initial ideal of the secant ideal coincides with the secant ideal of the initial ideal. For toric varieties, this leads to the notion of delightful triangulations of convex polytopes.

1. Introduction

Given two varieties X and Y in a projective space, their join X*Y is the Zariski closure of the union of all lines spanned by a point in X and a point in Y. The join of a variety X with itself is the secant variety of X, and the r-fold join of X with itself is the r-th secant variety of X. It is denoted $X^{\{r\}} = X*X*\cdots*X$. The study of joins and secant varieties has a long tradition in algebraic geometry, and many authors have studied the dimension and degree of these varieties. Recent references include [1, 5, 6, 7, 10]. In the emerging field of algebraic statistics, the construction of joins and secant varieties corresponds to mixture models [13, 22], and it is of considerable interest to compute the defining prime ideals of X*Y and $X^{\{r\}}$ from those of X and Y. For recent successes along these lines see [2, 18].

In this paper, we present a combinatorial framework for the study of joins and secant varieties. The basic setup was already suggested by Simis and Ulrich [24], and our results are generalizations and extensions of theirs. Our strategy is summarized by the following steps. First, we take secants and joins of arbitrary projective schemes, and, hence, of arbitrary homogeneous ideals in a polynomial ring. Second, we develop the combinatorial study of secants and joins of monomial ideals, relating secants and joins to Alexander duality, coloring properties of graphs, antichains in posets, and regular triangulations of polytopes. Third, we

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use Gröbner degeneration as a tool to reduce questions about secants and joins of arbitrary projective schemes to secants and joins of monomial schemes. Among the applications of our technique is a new perspective on classical determinantal ideals, yielding a short unified proof for the Gröbner basis property of minors and Pfaffians.

Here is the outline for our paper. In Section 2 we introduce secants and joins of arbitrary ideals and we study the secants of monomial ideals. We give an explicit formula (Theorem 2.6), valid in characteristic zero, for computing the join of monomial ideals by multiplying their Alexander duals.

In Section 3 we focus on the case of ideals generated by quadratic monomials. If the generators are squarefree, so the ideal is an *edge ideal*, then the secants reflect coloring properties of the graph. As a consequence, perfect graphs make a surprise appearance, and we get a commutative algebra version (Theorem 3.12) of the celebrated *strong perfect graph theorem* [8].

In Section 4 we show that the secant of an initial ideal contains the initial ideal of the secant. This allows for the derivation of numerical invariants of the secants of an ideal from the secants of carefully chosen initial ideals. We also introduce the notion of a *delightful term order* for a variety X. This is a term order where taking secants commutes with taking initial ideals. Diagonal term orders for determinantal and Pfaffian ideals are delightful.

In Section 5 we apply our techniques to the study of secant varieties of toric varieties. We show how information about such secant varieties can be derived from regular triangulations of the corresponding polytopes. We are particularly interested in finding *delightful triangulations* which correspond to delightful term orders for toric varieties. The existence of delightful triangulations is explored for Veronese varieties, Segre varieties and scrolls.

We close the Introduction with an example which demonstrates how our approach can be used to derive equations defining secant varieties. Let $X \subset \mathbb{P}^9$ be the cubic Veronese surface in its standard toric embedding. Consider the Gröbner degeneration of X into a union of nine coordinate planes corresponding to the triangulation depicted in Figure 1.

The initial ideal of the surface X with respect to this term order is the edge ideal I(G) whose graph G consists of all non-edges of this triangulation:

$$I(G) = \langle x_0 x_3, x_0 x_4, x_0 x_5, \dots, x_6 x_9, x_7 x_9 \rangle.$$

Consider the variety $X^{\{3\}}$ of secant planes. This is a hypersurface in \mathbb{P}^9 and we wish to compute its defining polynomial f. To do so, we apply Theorem 3.2 below to see that the ideal of the combinatorial secant variety equals

$$I(G)^{\{3\}} = \langle x_0 x_4 x_6 x_9 \rangle.$$

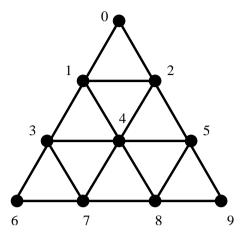


Figure 1: A delightful triangulation for the cubic Veronese surface.

The generator is the unique clique of size four in G. Equivalently, it is the unique independent set of size four in the edge graph of the triangulation. The desired polynomial f has degree at least four, and its leading term is a multiple of $x_0x_4x_6x_9$. Consider the quartic invariant of ternary cubics [26]

$$\frac{x_0x_4x_6x_9}{-x_0x_3x_7x_9} + x_1x_2x_7x_8 + x_1x_3x_5x_8 + x_2x_3x_5x_7 - x_1^2x_8^2 - x_2^2x_7^2 - x_3^2x_5^2 \\ -x_0x_3x_7x_9 - x_0x_4x_7x_8 - x_0x_5x_6x_8 - x_1x_2x_6x_9 - x_1x_3x_4x_9 - x_2x_4x_5x_6 \\ +x_0x_3x_8^2 + x_0x_5x_7^2 + x_1^2x_7x_9 + x_1x_5^2x_6 + x_2^2x_6x_8 + x_2x_3^2x_9 \\ -3x_1x_4x_5x_7 - 3x_2x_3x_4x_8 + 2x_1x_4^2x_8 + 2x_2x_4^2x_7 + 2x_3x_4^2x_5 - x_4^4.$$

This polynomial vanishes on $X^{\{3\}}$ and it has the correct leading term. This proves that the desired generator f equals the quartic polynomial above.

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2. Joins of monomial ideals

Let I_1, I_2, \ldots, I_r be ideals in a polynomial ring $\mathbb{K}[\mathbf{x}] = \mathbb{K}[x_1, \ldots, x_n]$ over a field \mathbb{K} . Their join $I_1 * I_2 * \cdots * I_r$ is a new ideal in $\mathbb{K}[\mathbf{x}]$ which can be computed as follows. We introduce rn new unknowns, grouped in r vectors $\mathbf{y}_j = (y_{j1}, \ldots, y_{jn})$, $j = 1, 2, \ldots, r$, and we consider the polynomial ring $\mathbb{K}[\mathbf{x}, \mathbf{y}]$ in all rn + n unknowns. Let $I_j(\mathbf{y}_j)$ be the image of the ideal I_j in $\mathbb{K}[\mathbf{x}, \mathbf{y}]$ under the map $\mathbf{x} \mapsto \mathbf{y}_j$. Then

 $I_1 * I_2 * \cdots * I_r$ is the elimination ideal

$$(1) \quad \left(I_1(\mathbf{y}_1) + \dots + I_r(\mathbf{y}_r) + \langle y_{1i} + y_{2i} + \dots + y_{ri} - x_i : 1 \le i \le n \rangle\right) \cap \mathbb{K}[\mathbf{x}].$$

Of particular interest is the case when all r ideals are identical. We define the $rth\ secant$ of an ideal $I \subset \mathbb{K}[\mathbf{x}]$ to be the r-fold join of I with itself:

$$(2) I^{\{r\}} := I * I * \cdots * I.$$

The join operation I * J of ideals is commutative and associative. Moreover, it satisfies the following distributive law with respect to intersection.

Lemma 2.1. If I, J and K are ideals in $\mathbb{K}[\mathbf{x}]$ then

$$(I \cap J) * K = (I * K) \cap (J * K).$$

Proof. See Proposition 1.2 (i) in [24].

If the ideals I_1, \ldots, I_r are geometrically prime then their join $I_1 * \cdots * I_r$ is also geometrically prime. Similarly, for the properties of being geometrically primary and for being radical, provided $\mathbb K$ is a perfect field. See [24, Proposition 1.2]. Thus for homogeneous prime ideals, the ideal-theoretic join and secant represent the prime ideals of the secant varieties and joins of irreducible projective varieties, the setting discussed in the Introduction. For arbitrary ideals, the ideal-theoretic join corresponds to the Minkowski sum of affine schemes.

This section is concerned with another extreme case, namely, when the given ideals are monomial ideals. We start with the simplest example.

Example 2.2. Let n=1 and consider the ideals $I=\langle x^i\rangle$ and $J=\langle x^j\rangle$. Then $I*J=\langle x^k\rangle$ where k is the smallest integer such that the characteristic of $\mathbb K$ divides $\binom{k}{l}$ for all $l\in\{k-j+1,k-j+2,\ldots,i-1\}$. In particular,

$$\langle x^i\rangle * \langle x^j\rangle \quad = \quad \langle x^{i+j-1}\rangle \qquad \text{if } \operatorname{char}(\mathbb{K}) = 0.$$

This example generalizes to *irreducible* monomial ideals in n variables. Such an ideal is represented by an integer vector $\mathbf{u} = (u_1, \dots, u_n)$ as follows:

$$\mathbf{m^u} = \langle x_i^{u_i} : u_i > 0 \rangle.$$

Lemma 2.3. The join of two irreducible monomial ideals $\mathbf{m}^{\mathbf{u}}$ and $\mathbf{m}^{\mathbf{v}}$ is an irreducible monomial ideal $\mathbf{m}^{\mathbf{w}}$. Here $w_i = 0$ if $u_i = 0$ or $v_i = 0$. Otherwise w_i is the smallest integer such that the characteristic of \mathbb{K} divides $\binom{w_i}{l}$ for all l with $w_i - u_i < l < v_i$, and if $\operatorname{char}(\mathbb{K}) = 0$ then $w_i = u_i + v_i - 1$.

Proof. A polynomial $f(\mathbf{x}) = \sum_{\mathbf{a}} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}$ lies in the join $\mathbf{m}^{\mathbf{u}} * \mathbf{m}^{\mathbf{v}}$ if and only if $f(\mathbf{y}_1 + \mathbf{y}_2) = \sum_{\mathbf{a}} c_{\mathbf{a}} (\mathbf{y}_1 + \mathbf{y}_2)^{\mathbf{a}}$ lies in the monomial ideal

(3)
$$\mathbf{m}^{\mathbf{u}}(\mathbf{y}_1) + \mathbf{m}^{\mathbf{v}}(\mathbf{y}_2) = \langle y_{1i}^{u_i} : u_i > 0 \rangle + \langle y_{2i}^{v_i} : v_i > 0 \rangle.$$

This happens if and only if every term $(\mathbf{y}_1 + \mathbf{y}_2)^{\mathbf{a}}$ lies in (3). Hence $\mathbf{m}^{\mathbf{u}} * \mathbf{m}^{\mathbf{v}}$ is a monomial ideal. Now, $\mathbf{x}^{\mathbf{a}}$ lies in $\mathbf{m}^{\mathbf{u}} * \mathbf{m}^{\mathbf{v}}$ if and only if every term of

$$(\mathbf{y}_1 + \mathbf{y}_2)^{\mathbf{a}} = \prod_{i=1}^n (y_{1i} + y_{2i})^{a_i} = \prod_{i=1}^n \left(\sum_{l=0}^{a_i} \binom{a_i}{l} y_{1i}^l y_{2i}^{a_i-l}\right)$$

lies in $\mathbf{m}^{\mathbf{u}}(\mathbf{y}_1) + \mathbf{m}^{\mathbf{v}}(\mathbf{y}_2)$ if and only if $w_i \leq a_i$ for some i with $u_i v_i \neq 0$ if and only if $\mathbf{x}^{\mathbf{a}}$ lies in $\mathbf{m}^{\mathbf{w}}$. Therefore, $\mathbf{m}^{\mathbf{u}} * \mathbf{m}^{\mathbf{v}} = \mathbf{m}^{\mathbf{w}}$.

We shall prove that the join of monomial ideals is always a monomial ideal. Recall that the *standard monomials* of a monomial ideal J are the monomials in $\mathbb{K}[\mathbf{x}] \setminus J$, so J is characterized by its set of standard monomials.

Proposition 2.4. Let I_1, \ldots, I_r be monomial ideals in $\mathbb{K}[\mathbf{x}]$. Then $I_1 * \cdots * I_r$ is a monomial ideal. If $\operatorname{char}(\mathbb{K}) = 0$ then the standard monomials of $I_1 * \cdots * I_r$ are precisely the products $m_1 \cdots m_r$ where m_j is standard for I_j . If I_1, \ldots, I_r are squarefree, the monomial generating set of $I_1 * \cdots * I_r$ is independent of $\operatorname{char}(\mathbb{K})$.

Proof. It suffices to consider the case r=2; the general statement follows by induction on r. If $I_1=\mathbf{m^u}$ and $I_2=\mathbf{m^v}$ are irreducible ideals then both statements follow from Lemma 2.3. Otherwise, we decompose $I_1=\bigcap_{\mathbf{u}}\mathbf{m^u}$ and $I_2=\bigcap_{\mathbf{v}}\mathbf{m^v}$ as intersections of irreducible monomials ideals (see [20]). Using Lemma 2.1, we then write I_1*I_2 is an intersection of joins $\mathbf{m^u}*\mathbf{m^v}$. Hence I_1*I_2 is a monomial ideal, and its set of standard monomials is the union of the sets of standard monomials of its irreducible components $\mathbf{m^u}*\mathbf{m^v}$. If I_1 and I_2 are irreducible and squarefree the formula for I_1*I_2 of Lemma 2.3 does not depend on char(\mathbb{K}). Since every monomial ideal in the irreducible decomposition of squarefree monomial ideals is squarefree, we deduce that the monomial generators of I_1*I_2 are independent of the characteristic of the field \mathbb{K} .

A statement equivalent to Proposition 2.4 appears in [24, Proposition 3.1].

Corollary 2.5. The rth secant $I^{\{r\}}$ of a monomial ideal I is a monomial ideal. Every standard monomial of $I^{\{r\}}$ is a product of r standard monomials of I. If char(\mathbb{K}) = 0 then every such product is standard for $I^{\{r\}}$. If I is squarefree, the generating set of $I^{\{r\}}$ is independent of char(\mathbb{K}).

These results show that the operations of taking joins and secants are very natural from the point of view of Alexander duality. Namely, forming joins is Alexander dual to taking products of monomial ideals, and forming secants is Alexander dual to taking powers of monomial ideals. We make this statement precise using the $I^{[a]}$ notation. See [20, Chapter 5] for the relevant definitions and basic facts on Alexander duality of monomial ideals.

Theorem 2.6. Let I and J be monomial ideals in $\mathbb{K}[\mathbf{x}]$, char(\mathbb{K}) = 0, and let \mathbf{a} be a vector in \mathbb{N}^n whose coordinates are sufficiently large. Then

(4)
$$I * J = (I^{[\mathbf{a}]} \cdot J^{[\mathbf{a}]})^{[2\mathbf{a}]} \mod \mathbf{m}^{\mathbf{a}+1}.$$

Here $\mathbf{1} = (1, 1, ..., 1)$, and the operation modulo $\mathbf{m}^{\mathbf{a}+\mathbf{1}}$ removes all the monomial generators that are divisible by $x_i^{a_i+1}$ for some i.

Proof. First assume that the given ideals are irreducible, say, $I = \mathbf{m}^{\mathbf{u}}$ and $J = \mathbf{m}^{\mathbf{v}}$. Then $I^{[\mathbf{a}]}$ is the principal ideal generated by $\prod_{i:u_i>0} x_i^{a_i+1-u_i}$, and $J^{[\mathbf{a}]}$ is generated by $\prod_{i:v_i>0} x_i^{a_i+1-v_i}$. Their product is the principal ideal

$$I^{[\mathbf{a}]} \cdot J^{[\mathbf{a}]} \; = \; \bigg\langle \prod_{i:u_i>0,v_i>0} x_i^{2a_i+2-u_i-v_i} \; \cdot \prod_{i:u_i>0,v_i=0} x_i^{a_i+1-u_i} \; \cdot \prod_{i:u_i=0,v_i>0} x_i^{a_i+1-v_i} \; \bigg\rangle.$$

Taking the Alexander dual again, we see that $(I^{[a]} \cdot J^{[a]})^{[2a]}$ is an irreducible ideal which is generated by three groups of monomials. The first group is

$$x_i^{2a_i+1-(2a_i+2-u_i-v_i)} = x_i^{u_i+v_i-1}$$
 for i such that $u_i > 0$ and $v_i > 0$.

The second group of generators of $(I^{[\mathbf{a}]} \cdot J^{[\mathbf{a}]})^{[2\mathbf{a}]}$ is

$$x_i^{2a_i+1-(a_i+1-u_i)} = x_i^{a_i+u_i}$$
 for *i* such that $u_i > 0$ and $v_i = 0$,

and the third group of generators is

$$x_i^{2a_i+1-(a_i+1-v_i)} = x_i^{a_i+v_i}$$
 for *i* such that $u_i = 0$ and $v_i > 0$.

Reduction modulo $\mathbf{m}^{\mathbf{a}+1}$ removes the second and third group of generators. The remaining first group generates the irreducible ideal I*J, by Lemma 2.3. This proves Theorem 2.6 for irreducible monomial ideals.

For the general case, we decompose the two given monomial ideals into their irreducible components: $I = \cap_{\nu} I_{\nu}$ and $J = \cap_{\mu} J_{\mu}$. Alexander duality switches intersections of monomial ideals with sum of monomial ideals, so we get $I^{[\mathbf{a}]} = \sum_{\nu} (I_{\nu})^{[\mathbf{a}]}$ and $J^{[\mathbf{a}]} = \sum_{\mu} (J_{\mu})^{[\mathbf{a}]}$. This implies

$$I^{[{\bf a}]} \cdot J^{[{\bf a}]} \, = \, \sum_{\nu,\mu} (I_{\nu})^{[{\bf a}]} \cdot (J_{\mu})^{[{\bf a}]},$$

and therefore

$$(I^{[{\bf a}]} \cdot J^{[{\bf a}]})^{[2{\bf a}]} \quad = \quad \bigcap_{\nu,\mu} \bigl((I_\nu)^{[{\bf a}]} \cdot (J_\mu)^{[{\bf a}]} \bigr)^{[2{\bf a}]}.$$

Using Lemma 2.1, and using the result for irreducible ideals, we find

$$I * J = \bigcap_{\nu,\mu} (I_{\nu} * J_{\mu}) = (I^{[\mathbf{a}]} \cdot J^{[\mathbf{a}]})^{[2\mathbf{a}]} \mod \mathbf{m}^{\mathbf{a}+1}.$$

This completes the proof of Theorem 2.6.

Corollary 2.7. Let I be a monomial ideal in $\mathbb{K}[\mathbf{x}]$, suppose that $\operatorname{char}(\mathbb{K}) = 0$, and let **a** be a vector in \mathbb{N}^n whose coordinates are sufficiently large.

$$I^{\{r\}} = \left((I^{[\mathbf{a}]})^r \right)^{[r\mathbf{a}]} \mod modulo \quad \mathbf{m}^{\mathbf{a}+\mathbf{1}}.$$

Theorem 2.6 and Corollary 2.7 can be used for the efficient computation of joins and secants of monomial ideals in characteristic zero.

Example 2.8. We present some code in the computer algebra program Macaulay 2 [15] for computing the first secant of a monomial ideal. In our example, the input is the ideal $I = \langle x^3, x^2y^2, xz^3, y^4, y^2z \rangle$ in $\mathbb{Q}[x, y, z]$.

```
R = QQ[x,y,z]; a = 7;
I = monomialIdeal ( x^3 , x^2*y^2 , x*z^3 , y^4 , y^2*z );
Ma = monomialIdeal(apply(gens R, u -> u^( a+1)));
M2a = monomialIdeal(apply(gens R, u -> u^(2*a+1)));
Ia = monomialIdeal ((gens (Ma:I)) % Ma); -- Alexander dualize
Ia2 = Ia*Ia; -- Take square of the result
Ia22a = monomialIdeal((gens(M2a:Ia2))%M2a); -- Alexander dualize
monomialIdeal ((gens Ia22a) % Ma) -- reduce modulo m^{a+1}
```

The output of these commands is the join of I with itself:

$$I^{\{2\}} = I * I = \langle x^5, x^4y^3, x^3y^5, y^7, y^5z, x^2y^3z^3, x^3z^5 \rangle.$$

Note that we compute the Alexander dual in Macaulay 2 using the formula

$$I^{[\mathbf{a}]} = (\mathbf{m}^{\mathbf{a}+\mathbf{1}} : I) \text{ modulo } \mathbf{m}^{\mathbf{a}+\mathbf{1}}.$$

The proof of Theorem 2.6 shows that the smallest possible choice for \mathbf{a} has $a_i = \max(2d_i - 1, 1)$ where d_i is the largest power of x_i appearing in any minimal generator of I or J. This guarantees that none of the generators of the form $x^{u_i+v_i-1}$ are removed when reducing modulo $\mathbf{m^{a+1}}$. For the secant ideal $I^{\{r\}}$, the smallest possible choice for \mathbf{a} has $a_i = \max(rd_i - r + 1, 1)$ where d_i is the largest power of x_i appearing in any minimal generator of I. In particular, if I and J are squarefree monomial ideals we may choose $\mathbf{a} = \mathbf{1}$. Note that, for I squarefree, the ideal $I^{[1]}$ coincides with the squarefree Alexander dual I^{\vee} , which is familiar from the study of Stanley-Reisner ideals I. The code above was used with $\mathbf{a} = \mathbf{1}$ for many examples of squarefree ideals I which we computed for the research presented in the next sections.

Remark 2.9. Let Δ be the simplicial complex of I and $\Delta^{\{r\}}$ the simplicial complex of $I^{\{r\}}$. The simplices in $\Delta^{\{r\}}$ are the unions of r simplices in Δ .

Proof. This follows from Corollary 2.5. See also [24, Corollary 3.3]. \Box

3. Secants of edge ideals

Let G be an undirected graph with vertex set $[n] = \{1, 2, ..., n\}$. To G we associate the *edge ideal* I(G) which is generated by the squarefree quadratic monomials $x_i x_j$ corresponding to the edges $\{i, j\}$ of G. For example, if G is the five-cycle with edges $\{\{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 5\}, \{5, 1\}\}$ then

$$I(G) = \langle x_1x_2, x_2x_3, x_3x_4, x_4x_5, x_5x_1 \rangle.$$

The results below (or Remark 2.9) show that the secants of this ideal are

(5)
$$I(G)^{\{2\}} = \langle x_1 x_2 x_3 x_4 x_5 \rangle$$
 and $I(G)^{\{r\}} = \langle 0 \rangle$ for $r \geq 3$.

Edge ideals have been much studied in combinatorial commutative algebra. The main emphasis has been on expressing homological invariants of the ideal I(G) in terms of the graph G. In this section we relate coloring properties of the graph G to algebraic properties of the secant ideals $I(G)^{\{r\}}$.

Recall that the *chromatic number* $\chi(G)$ of a graph G is the smallest number of colors which can be used to give a coloring of the vertices of G such that no two adjacent vertices have the same color. To the subset $V \subseteq [n]$ we associate the monomial $m_V = \prod_{i \in V} x_i$. A basic first result is:

Proposition 3.1. The chromatic number $\chi(G)$ of a graph G is the smallest integer $r \geq 0$ such that the rth secant ideal $I(G)^{\{r\}}$ is the zero ideal $\langle 0 \rangle$.

Proof. The monomial $m_V = \prod_{i \in V} x_i$ is a standard monomial of I(G) if and only if V is an independent subset of the vertices of G. An r-coloring is a partition V_1, \ldots, V_r of the vertices of G such that each V_i is an independent subset of vertices of G. An r-coloring exists if and only if $x_1x_2\cdots x_n = \prod_{i=1}^r m_{V_i}$ is a standard monomial of $I(G)^{\{r\}}$ if and only if $I(G)^{\{r\}} = \langle 0 \rangle$, since $I(G)^{\{r\}}$ is radical.

The proof of Proposition 3.1 leads to a combinatorial description of the minimal generators of the secant ideals $I(G)^{\{r\}}$. Given a subset $V \subseteq [n]$, we write G_V for subgraph of G which is induced on the set of vertices V.

Theorem 3.2. The rth secant $I(G)^{\{r\}}$ of an edge ideal I(G) is generated by the squarefree monomials m_V whose subgraph G_V is not r-colorable:

$$I(G)^{\{r\}} = \langle m_V \mid \chi(G_V) > r \rangle.$$

The minimal generators of $I(G)^{\{r\}}$ are those monomials m_V such that G_V is not r colorable but G_U is r-colorable for every proper subset $U \subset V$.

The minimal graphs that are not 2-colorable are the cycles of odd length. This explains the computation for the five-cycle in (5). The special case r=2 of Theorem 3.2 was already obtained in [24, Proposition 5.1]:

Corollary 3.3. The secant $I(G)^{\{2\}}$ is minimally generated by the monomials m_V whose corresponding induced subgraph G_V is a cycle of odd length.

This implies that even for a monomial ideal I, there is no bound on the degrees of minimal generators of $I^{\{2\}}$ in terms of the degrees of the generators of I alone. Furthermore, if I is generated by squarefree quadratic monomials then $I^{\{2\}}$ cannot have any minimal generators of even degree.

Since every graph on $\leq r$ vertices can be colored by r colors, the minimal generators of $I(G)^{\{r\}}$ have degree at least r+1. This suggests the problem of characterizing the graphs G that have the property that the secants $I(G)^{\{r\}}$ are generated in degree r+1. Recall that a graph G is perfect if the chromatic number $\chi(G_V)$ equals the clique number $\omega(G_V)$ for every subset $V \subseteq [n]$. The clique number is the size of the largest complete subgraph.

Proposition 3.4. A graph G is perfect if and only if every non-zero secant ideal $I(G)^{\{r\}}$ is generated in degree r + 1.

Proof. Suppose G is perfect and let m_V be a minimal generator of $I(G)^{\{r\}}$. Then G_V is not r-colorable, i.e. $r < \chi(G_V)$. Since G is perfect, we have $\chi(G_V) = \omega(G_V)$ and hence $r < \omega(G_V)$. This means there exists a subset $U \subseteq V$ such that G_U is a complete subgraph K_{r+1} . Since K_{r+1} is not r-colorable, the monomial m_U is in $I(G)^{\{r\}}$. Since m_V is a minimal generator, we conclude that U = V. Hence $G_V = K_{r+1}$ and m_V has degree r + 1.

Conversely, if G is not perfect then we pick a subset $V \subseteq [n]$ such that $\chi(G_V) > \omega(G_V)$. We may assume that V is minimal with this property. Setting $r = \omega(G_V)$ we have |V| > r + 1. The monomial m_V is in $I(G)^{\{r\}}$, whilst $m_U \notin I(G)^{\{r\}}$ for any proper subset $U \subset V$. Hence m_V is a minimal generator of $I(G)^{\{r\}}$ which has degree larger than r + 1.

Example 3.5 (Cyclic Polytopes). Let $G = \overline{C}$ be the complement of a cycle C of length n > 3; that is, the edges of G are the edges not appearing in C. The edge ideal I(G) is a combinatorial model for the elliptic normal curve in \mathbb{P}^{n-1} , since the variety of I(G) has degree n and geometric genus 1.

We claim that the secant ideal $I(G)^{\{r\}}$ is the Stanley-Reisner ideal of the boundary complex of the 2r-dimensional cyclic polytope with n vertices. To see this, we must analyze the structure of the maximal simplices of the secant complex $C^{\{r\}}$. If $2r \leq n$, each of these maximal simplices consists of 2r points. A set $F \subset [n]$ of cardinality 2r is a maximal face of $C^{\{r\}}$ if and only if F is a union of r pairwise disjoint pairs of the form $\{\ell, \ell+1\}$ or $\{n,1\}$. This condition on F is equivalent to saying that, for every pair $i \leq j$, with $i, j \notin F$, the cardinality of $\{i, i+1, \ldots, j-1, j\} \cap F$ is even. Thus, by Gale's evenness condition (e.g.

Theorem 0.7 in [28]), the facets of $C^{\{r\}}$ are precisely the facets of the cyclic polytope.

Note that $I(G)^{\{r\}}$ is generated in degree r+1, unless n=2r+1, in which case there is a single generator in degree 2r+1, since G is perfect if n is even and minimally imperfect if n is odd. This derivation of the cyclic polytope from the "stick elliptic normal curve" was suggested to us by C. Athanasiadis and F. Santos.

The most important development in graph theory in the past few years has been the proof, announced in 2002, of Berge's Strong Perfect Graph Conjecture by Chudnovsky, Robertson, Seymour and Thomas [8]. Their theorem characterizes perfect graphs in terms of excluded induced subgraphs.

Theorem 3.6 (Strong Perfect Graph Theorem). The minimal imperfect graphs are precisely the odd holes and the complements of the odd holes.

A hole is a cycle of length greater than 3. The secants to the edge ideal I(G) detect the minimal imperfections in the graph G. The Strong Perfect Graph Theorem implies the following strong result on the degrees of the minimal generators of the secant ideals $I(G)^{\{r\}}$.

Corollary 3.7. Let G be an imperfect graph. Then either

- (1) $I(G)^{\{2\}}$ has a minimal generator of odd degree bigger than three, or
- (2) for some r > 2, $I(G)^{\{r\}}$ has its minimal generators in degrees r + 1 and 2r + 1 only, and $I(G)^{\{s\}}$ is generated in degree s + 1 for s < r.

Proof. Let G be an imperfect graph. If G contains an odd cycle of length $d \geq 5$ then $I(G)^{\{2\}}$ has a minimal generator of degree d by Corollary 3.3. If G contains no such odd cycle then, by Theorem 3.6, the graph G contains the complement of an odd hole. Let 2r+1 be the minimal length of such a hole. That subgraph has chromatic number r+1, so it contributes a minimal generator of degree 2r+1 to the ideal $I(G)^{\{r\}}$. Theorem 3.6 also ensures that $I(G)^{\{r\}}$ has no generators of degree other than r+1 or 2r+1.

Remark 3.8. Corollary 3.7 is, in fact, equivalent to the Strong Perfect Graph Theorem. If $I(G)^{\{2\}}$ has a minimal generator m_V of odd degree greater than 3, the induced subgraph G_V must be an odd hole. If $I(G)^{\{r\}}$ has a minimal generator m_V of degree 2r+1 and each of $I(G)^{\{s\}}$ is generated in degree s+1 for s < r, then the induced subgraph G_V must be minimally imperfect, with clique number $\omega(G_V) = r$ and 2r+1 vertices. A theorem of Lovász [19] implies that the complementary graph $\overline{G_V}$ is also minimally imperfect with clique number $\omega(\overline{G_V}) = 2$. Thus, G_V must be the complement of an odd hole.

One family of perfect graphs are the incomparability graphs of posets [4]. If P is a poset on the set [n] then the edge ideal of its incomparability graph is the Stanley-Reisner ideal of P which is defined as follows:

$$J(P) = \langle x_i x_j \mid \text{ neither } i \leq j \text{ nor } i \geq j \text{ in } P \rangle.$$

In words, the ideal J(P) is generated by the 2-element antichains of P. That the incomparability graph is perfect follows from Dilworth's Theorem which states that the size of the largest antichain of any poset P equals the minimal number of chains needed to partition P. Proposition 3.4 implies

Corollary 3.9. Let P be a poset. Then any non-zero secant ideal $J(P)^{\{r\}}$ of the Stanley-Reisner ideal J(P) is generated in degree r+1. More precisely,

$$J(P)^{\{r\}} = \langle m_A \mid A \text{ is an antichain of cardinality } r+1 \text{ in } P \rangle.$$

The secant ideals of graph ideals have other important connections to geometric constructions in the theory of graph coloring.

Remark 3.10 (The Combinatorial Space of Explanations). Given any projective scheme X, there is a natural rational map ϕ_r from the r-fold free join of X to the secant variety $X^{\{r\}}$. In the statistics literature, the (nonnegative real) preimage of a point x on (the nonnegative real part of) $X^{\{r\}}$ is known as the *space of explanations* for the point x. See [21].

In the situation where X = V(I(G)) is the simplicial complex of independent sets in a graph G, the space of explanations has a very nice combinatorial interpretation. Namely, if $X^{\{r\}} = \mathbb{P}^{n-1}$ and x is generic then the space of explanations is a geometric realization of $\text{Hom}(G, K_r)$, the polyhedral cell complex of graph homomorphisms from G to K_r . See [3] and [17, §4.1].

The graph-theoretic interpretation of secant ideals extends to arbitrary square-free monomial ideals, by thinking of these as facet ideals as in [12]. Let $H \subset 2^{[n]}$ be a collection of subsets of [n] with the property that for every $U, V \in H$, neither $U \subset V$ nor $V \subset U$. The collection H is the set of hyperedges of a hypergraph, or the maximal faces of a simplicial complex. The facet ideal of the hypergraph H is the squarefree monomial ideal

$$I(H) = \langle m_V \mid V \in H \rangle.$$

A coloring of the vertices of the hypergraph is an assignment of colors with the property that no hyperedge has all its vertices the same color. The chromatic number of H is the smallest number $\chi(H)$ such that H has a coloring using $\chi(H)$ colors. Proposition 3.1 easily generalizes to this setting:

Proposition 3.11. The chromatic number of a hypergraph H is the smallest positive integer r such that $I(H)^{\{r\}} = \langle 0 \rangle$.

In the next section we shall apply these results to the study of secant varieties of certain irreducible projective varieties. It might make sense to use graph-theoretic language even at that level of generality. We could say that a projective scheme X is perfect if all its secant schemes are cut out by equations of minimal degree, and the smallest secant scheme of X which fills the ambient projective space would determine the chromatic number of X. We are inclined to speculate that some version of the Strong Perfect Graph Theorem generalizes to arbitrary projective schemes defined by quadrics. One piece of evidence is that the result about the generating degrees of secants in Corollary 3.7 generalizes to arbitrary quadratic monomial ideals.

Theorem 3.12. Let I be an ideal generated by quadratic (not necessarily square-free) monomials whose projective scheme is not perfect and let $\operatorname{char}(\mathbb{K}) = 0$. Then either

- (1) $I^{\{2\}}$ has a minimal generator of odd degree bigger than three, or
- (2) for some r > 2, the ideal $I^{\{r\}}$ has its minimal generators in degrees r + 1 and 2r + 1 only, and $I^{\{s\}}$ is generated in degree s + 1 for s < r.

Proof. Fix a large integer $m \gg 0$ and introduce a graph G_m as follows. There is one vertex $X_{i,0}$ for each index i such that $x_i^2 \notin I$, and there are m vertices $X_{i,1}, \ldots, X_{i,m}$ for each index i such that $x_i^2 \in I$. Two distinct vertices $X_{i,j}$ and $X_{i',j'}$ are connected by an edge in G_m if and only if $x_i x_{i'} \in I$. We consider the edge ideal $I(G_m)$ in the polynomial ring with variables $X_{i,j}$.

We claim that for every integer $r \leq m$, the ideal $I^{\{r\}}$ is obtained from the squarefree ideal $I(G_m)^{\{r\}}$ by replacing $X_{i,j}$ by x_i . Since Theorem 3.12 holds for $I(G_m)$, we conclude that it holds for the given ideal I as well.

To prove the claim, note that x_i^{r+1} divides a minimal generator of $I^{\{r\}}$ if and only if x_i^{r+1} is a minimal generator of $I^{\{r\}}$ if and only if $x_i^r \in I$. This chain of implications follows because I is generated by quadrics and either $x_i^2 \notin I$, in which case $I^{\{r\}}$ is squarefree in x_i , or $x_i^2 \in I$ in which case $x_i^{r+1} \in I^{\{r\}}$. Since $I(G_m)^{\{r\}}$ contains a generator that maps onto x_i^{r+1} if and only if $x_i^2 \in I$, it suffices to show that the replacement procedure sends $I(G_m)^{\{r\}}$ to $I^{\{r\}}$ when restricted to those monomials not divisible by x_i^{r+1} for any I. Let M be such a monomial and suppose that M is not in $I^{\{r\}}$ and that M is squarefree in each variable x_i such that $x_i^2 \notin I$. This condition holds if and only if M admits a factorization $M = M_1 \cdots M_r$ into a product of r monomials such that each M_j is standard for I. By our assumption on M, each M_j is squarefree. Since the squarefree standard monomials of $I(G_m)$ map onto the set of squarefree standard monomials of I, we deduce that $M \notin I^{\{r\}}$ if and only if every squarefree preimage of M is standard for $I(G_m)^{\{r\}}$. The existence of such squarefree preimages is guaranteed because $r \leq m$. We conclude that every minimal generator of $I^{\{r\}}$ arises from a squarefree

minimal generator of $I(G_m)^{\{r\}}$ and hence, by Corollary 3.7, satisfies the specified requirements on its degree.

Needless to say, it would be fantastic to find a commutative algebra proof of Theorem 3.12 and hence of the Strong Perfect Graph Theorem. Note, however, that the statement of Theorem 3.12 does not hold for all ideals generated by quadrics. In particular, for a non-monomial ideal I generated by quadrics, the secant ideal $I^{\{2\}}$ need not have a generator of odd degree.

Example 3.13. Let I be generated by two generic homogeneous quadrics in $\mathbb{K}[x,y,z]$. The variety of I consists of four points in general position in \mathbb{P}^2 . The secant variety is the reduced union of six lines. Hence $I^{\{2\}}$ is a principal ideal generated by a homogeneous polynomial of degree six.

4. Equations of secant varieties via initial ideals

In this section we consider the degeneration of secant ideals to their initial ideals. Theorem 4.1 and its corollaries on initial degrees appear already in [24], but we include some proofs because ours are, perhaps, more elementary. We then examine determinantal and Pfaffian ideals. In contrast to the exposition in [24, §5], we offer direct new proofs for the Gröbner basis properties of determinants and Pfaffians, using results from Section 3. To be precise, we replace the use of the Knuth-Robinson-Schensted correspondence, first proposed in [25], by Dilworth's Theorem (Corollary 3.9). Besides Dilworth's Theorem, which is a relatively easy combinatorial result, our derivation depends only on elementary linear algebra. The full proof for generic matrices is presented in Theorem 4.9 and Corollary 4.10 below.

Let I_1, \ldots, I_r be arbitrary ideals in $\mathbb{K}[\mathbf{x}]$ and \prec any term order. Then the initial ideal of a join is contained in the join of the initial ideals.

Theorem 4.1. We have the following inclusions of monomial ideals:

$$\operatorname{in}_{\prec}(I_1 * I_2 * \cdots * I_r) \subseteq \operatorname{in}_{\prec}(I_1) * \operatorname{in}_{\prec}(I_2) * \cdots * \operatorname{in}_{\prec}(I_r).$$

Proof. It suffices to consider the case of the join of two ideals I * J; the general result following by induction on r. Consider any polynomial $f \in I * J$. Let $w \in \mathbb{R}^n$ be a weight vector which represents the term order \prec in the sense that $\operatorname{in}_w(I) = \operatorname{in}_{\prec}(I)$, $\operatorname{in}_w(J) = \operatorname{in}_{\prec}(J)$ and $\operatorname{in}_w(f) = \operatorname{in}_{\prec}(f)$. We denote the latter monomial by $m = \operatorname{in}_w(f)$. We consider the ideal $I(\mathbf{x}) + J(\mathbf{y})$ in the polynomial ring $\mathbb{K}[\mathbf{x}, \mathbf{y}]$. The (w, w)-initial ideal of this ideal equals

(6)
$$\operatorname{in}_{(w,w)}(I(\mathbf{x}) + J(\mathbf{y})) = \operatorname{in}_w(I(\mathbf{x})) + \operatorname{in}_w(J(\mathbf{y})) = \operatorname{in}_{\prec}(I(\mathbf{x})) + \operatorname{in}_{\prec}(J(\mathbf{y})).$$

This is seen by refining (w, w) to a term order and using Buchberger's First Criterion (the S-pairs of polynomials with relatively prime leading terms reduce

to zero). Now, since $f \in I * J$, the polynomial $f(\mathbf{x} + \mathbf{y})$ lies in $I(\mathbf{x}) + J(\mathbf{y})$. Its (w, w)-leading form equals $\operatorname{in}_{(w,w)}(f(\mathbf{x} + \mathbf{y})) = m(\mathbf{x} + \mathbf{y})$. This polynomial lies in (6) and hence m lies in $\operatorname{in}_{\prec}(I) * \operatorname{in}_{\prec}(J)$, as desired.

In the special case when all r ideals are equal, this proposition implies

Corollary 4.2. A secant of an initial ideal contains the initial ideal of the corresponding secant ideal. For any ideal I, term order \prec and integer $r \geq 2$,

(7)
$$\operatorname{in}_{\prec}(I^{\{r\}}) \subseteq (\operatorname{in}_{\prec}(I))^{\{r\}}$$

Theorem 4.1 implies lower bounds on the degrees of generators for the ideals of joins and secants of arbitrary projective schemes. For a homogeneous ideal $I \subset \mathbb{K}[\mathbf{x}]$ let indeg(I) denote the smallest degree of any minimal generator of I. We omit the proofs which appear in [24, Theorem 4.4].

Corollary 4.3. Let $\operatorname{char}(\mathbb{K}) = 0$ and let I and J be homogeneous ideals in $\mathbb{K}[\mathbf{x}]$. Then either $I * J = \langle 0 \rangle$ or $\operatorname{indeg}(I * J) \geq \operatorname{indeg}(I) + \operatorname{indeg}(J) - 1$.

Corollary 4.4. Let char(\mathbb{K}) = 0 and I be a homogeneous ideal such that indeg(I) = d. Then either $I^{\{r\}} = \langle 0 \rangle$ or indeg($I^{\{r\}}$) $\geq rd - r + 1$.

Remark 4.5. The lower bound of Corollary 4.4 is best possible. This is illustrated by the family of determinantal ideals to be featured below. Namely, if I is the ideal of $d \times d$ -minors of an $m \times m$ -matrix of unknowns (for $m \gg 0$) then $I^{\{r\}}$ is the ideal of $(rd - r + 1) \times (rd - r + 1)$ -minors.

Remark 4.6. Corollaries 4.3 and 4.4 do not hold if the field \mathbb{K} has positive characteristic. For instance, take n=1 and $\operatorname{char}(\mathbb{K})=2$, and consider the ideal $I=\langle x^3\rangle$. We have $\operatorname{indeg}(I)=d=3$. By Example 2.2, the first secant ideal is $I^{\{2\}}=\langle x^4\rangle$ while the bound in Corollary 4.4 says $\operatorname{indeg}(I^{\{2\}})\geq 5$.

Corollary 4.2 shows that the secant of the initial ideal $(\operatorname{in}_{\prec}(I))^{\{r\}}$ can provide useful bounds on numerical invariants of the ideal $I^{\{r\}}$. An inclusion of monomial ideals leads to a coefficientwise inequality among the Hilbert series and hence among values of the Hilbert polynomials. This implies:

Corollary 4.7. We have the following inequality for the Krull dimension:

$$\dim \mathbb{K}[\mathbf{x}]/(\mathrm{in}_{\prec}(I))^{\{r\}} \leq \dim \mathbb{K}[\mathbf{x}]/I^{\{r\}}.$$

If these two algebras have the same Krull dimension then their degrees satisfy

$$\deg \mathbb{K}[\mathbf{x}]/(\operatorname{in}_{\prec}(I))^{\{r\}} \leq \deg \mathbb{K}[\mathbf{x}]/I^{\{r\}}.$$

Definition 4.8. If equality holds in (7) then we say that the term order \prec is r-delightful for the ideal I. We call \prec delightful for I if this holds for all integers $r \geq 2$. Being delightful implies that equalities hold in Corollary 4.7.

A classical result in combinatorial commutative algebra states that the $k \times k$ minors of a generic matrix, the $k \times k$ minors of a generic symmetric matrix, and the $2k \times 2k$ sub-Pfaffians of a generic skew-symmetric matrix are all Gröbner bases for the ideals they generate. As a corollary, one deduces that these ideals are all prime ideals and one gets formulas for their Hilbert series. Our approach through secants of initial ideals provides a unified framework for proving these results, using the following strategy:

- (1) Solve the "easy" k = 2 case by specifying a quadratic Gröbner basis for I whose leading terms correspond to the incomparable pairs in a poset P. (Usually, one here has an algebra with straightening law.)
- (2) Determine a combinatorial description of the antichains of size r+1 in P. (By Corollary 3.9, these antichains generate $(\operatorname{in}_{\prec}(I))^{\{r\}}$).
- (3) Find a set $\mathcal{G} \subset I^{\{r\}}$ whose initial terms are the above antichains.
- (4) Conclude that $(\operatorname{in}_{\prec}(I))^{\{r\}} = \operatorname{in}_{\prec}(I^{\{r\}})$ and \mathcal{G} is a Gröbner basis.

The following theorem was first proved in [25] using the Knuth-Robinson-Schensted correspondence. In our new proof, Knuth-Robinson-Schensted is replaced by Dilworth's Theorem (imcomparability graphs are perfect).

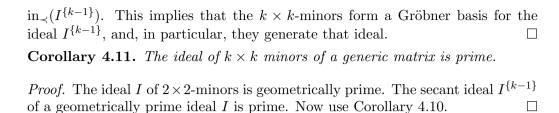
Theorem 4.9. Let I be the ideal generated by the 2×2 minors of a generic $m \times n$ matrix, and let \prec be any term order on $\mathbb{K}[x_{11}, \ldots, x_{mn}]$ which selects the diagonal leading term of each 2×2 minor. Then \prec is delightful for I.

Proof. The poset P is the product of an m-chain with an n-chain, indexed so that the incomparable pairs are $x_{ij}x_{kl}$ with i < k and j < l. One easily checks that $\operatorname{in}_{\prec}(I) = J(P)$. By Corollary 3.9, $J(P)^{\{r\}}$ is generated by the monomials $x_{i_0j_0}x_{i_1j_1}\cdots x_{i_rj_r}$ with $i_0 < i_1 < \cdots < i_r$ and $j_0 < j_1 < \cdots < j_r$. Each such monomial is the \prec -leading term of an $(r+1) \times (r+1)$ -minor of the $m \times n$ -matrix.

The affine variety V(I) consists of all matrices of rank ≤ 1 . Since a matrix has rank $\leq r$ if and only if it is a sum of r matrices of rank ≤ 1 , the affine variety $V(I^{\{r\}})$ consists of all matrices of rank $\leq r$. Hence the $(r+1)\times(r+1)$ minors vanish on $V(I^{\{r\}})$. Now, the ideal I is easily seen to be prime over any field, and hence $I^{\{r\}}$ is geometrically prime. Hence the $(r+1)\times(r+1)$ minors lie in the ideal $I^{\{r\}}$. This proves that the monomial ideal $J(P)^{\{r\}} = (\operatorname{in}_{\prec}(I))^{\{r\}}$ is equal to the monomial ideal $\operatorname{in}_{\prec}(I^{\{r\}})$ for all $r \geq 2$. We conclude that the term order \prec is delightful for the ideal I of 2×2 -minors.

Corollary 4.10. The secant ideal $I^{\{k-1\}}$ is generated by the $k \times k$ minors of a generic matrix, and these minors are a Gröbner basis under any diagonal term order \prec as above.

Proof. In the proof of Theorem 4.9 we have argued that the $k \times k$ -minors lie in $I^{\{k-1\}}$, and their leading terms generate the initial ideal $(\operatorname{in}_{\prec}(I))^{\{k-1\}} =$



The same argument works also for symmetric minors and Pfaffians.

Example 4.12 (Minors of a symmetric matrix). Consider a generic $m \times m$ symmetric matrix (x_{ij}) and let I be its ideal of 2×2 -minors. Let P be the poset on the set of variables $\{x_{ij} \mid 1 \leq i \leq j \leq m\}$ defined by $x_{ij} \leq x_{kl}$ whenever $i \leq k$ and $j \geq l$. Let \prec be the reverse lexicographic term order on any linear extension of P. It is easy to check that the 2×2 -minors are a Gröbner basis for I with respect to \prec , and the generators of $\inf_{\prec}(I)$ are the incomparable pairs in P. Every antichain of size k in P is the leading term of a $k \times k$ -subdeterminant of (x_{ij}) . Hence the term order \prec is delightful for I, and we conclude that the $k \times k$ -minors of (x_{ij}) form a Gröbner basis of $I^{\{k-1\}}$ with respect to \prec , and their ideal is prime.

Example 4.13 (Pfaffians). Consider a generic $m \times m$ skew-symmetric matrix (x_{ij}) , and let I be the ideal generated by its 4×4 -Pfaffians $x_{il}x_{jk} - x_{ik}x_{jl} + x_{ij}x_{kl}$ for $1 \le i < j < k < l \le m$. These are the three-term Plücker relations, and I is the defining ideal of the Grassmannian of lines in projective (m-1)-space and, hence, is geometrically prime. Let P be the poset on the variables $\{x_{ij} \mid 1 \le i < j \le m\}$ defined by $x_{ij} \le x_{kl}$ whenever $i \le k$ and $j \le l$. Let \prec be the reverse lexicographic term order on any linear extension of P. The three-term Plücker relations are a Gröbner basis for I with respect to \prec , and the generators of $\operatorname{in}_{\prec}(I)$ are the incomparable pairs in P (see, for example, [20, Chapter 14]). Every antichain of size k in P is the leading term of a $2k \times 2k$ subpfaffian of (x_{ij}) and each $2k \times 2k$ subpfaffian lies in $I^{\{k-1\}}$. Hence the term order \prec is delightful for I. We conclude that the $2k \times 2k$ subpfaffians of (x_{ij}) form a Gröbner basis of $I^{\{k-1\}}$ with respect to \prec , and their ideal is prime.

Remark 4.14. We do not know whether the Plücker ideals of the higher Grassmannians, $G_{k,n}$ for $k \geq 3$, and their Schubert subvarieties, admit delightful term orders. Some computational explorations would be worthwhile.

Remark 4.15. The arguments we have presented also work to show the Gröbner basis property for ladder determinantal ideals and ladder Pfaffian ideals. These ladder ideals consist of ideals generated by the minors and Pfaffians contained in staircase shaped regions of a generic matrix, symmetric matrix, or skew-symmetric matrix. Each poset P for these ideals is a sub-poset of the posets described in Theorem 4.9 and Examples 4.12 and 4.13. For studies of such ideals and their posets we refer to [9] and [14].

5. Delightful triangulations of polytopes

In this section we consider the case when I is a homogeneous toric ideal, and we examine when there exist delightful initial ideals for these toric ideals. In the case where in $_{\prec}(I)$ is generated by squarefree monomials, this corresponds to finding a special regular unimodular triangulation of the point configuration underlying I. We begin by briefly reviewing the connection between toric initial ideals and regular triangulations [27].

Let $\mathcal{A} = \{a_1, \dots, a_n\} \subset \mathbb{Z}^d$ and suppose there is a vector $\omega \in \mathbb{Q}^d$ such that $\omega^T a_i = 1$ for all i. The toric ideal $I_{\mathcal{A}} \subset \mathbb{K}[\mathbf{x}]$ is the kernel of the map

$$\mathbb{K}[x_1, \dots, x_n] \to \mathbb{K}[t_1^{\pm 1}, \dots, t_d^{\pm 1}], \ x_j \mapsto \prod_{i=1}^d t_i^{a_{ij}}.$$

Let \prec be any term order on $\mathbb{K}[\mathbf{x}]$ and $\operatorname{in}_{\prec}(I_{\mathcal{A}})$ the initial ideal of $I_{\mathcal{A}}$. Then the radical of $\operatorname{in}_{\prec}(I_{\mathcal{A}})$ is a squarefree monomial ideal whose corresponding simplicial complex $\Delta_{\prec}(\mathcal{A})$ is a regular triangulation of \mathcal{A} . Conversely, every regular triangulation of \mathcal{A} has the form $\Delta_{\prec}(\mathcal{A})$ for some term order \prec . A subset $\{a_{i_1}, \ldots, a_{i_r}\}$ of \mathcal{A} is a simplex of the triangulation $\Delta_{\prec}(\mathcal{A})$ if and only if every power of $x_{i_1} \cdots x_{i_r}$ is a standard monomial modulo $\operatorname{in}_{\prec}(I_{\mathcal{A}})$.

A triangulation of the point configuration \mathcal{A} is said to be *full* if every point of \mathcal{A} appears as the vertex of some simplex in the triangulation.

Proposition 5.1. Suppose that \prec is a delightful term order for the toric ideal I_A . Then the regular triangulation $\Delta_{\prec}(A)$ is full.

Proof. If $\Delta_{\prec}(\mathcal{A})$ is not full then some a_i is not a vertex of $\Delta_{\prec}(\mathcal{A})$. Hence $x_i^m \in \operatorname{in}_{\prec}(I_{\mathcal{A}})$ for some m > 1. By Example 2.2, $(\operatorname{in}_{\prec}(I_{\mathcal{A}}))^{\{r\}}$ contains the monomial x_i^{rm-r+1} . Thus $(\operatorname{in}_{\prec}(I_{\mathcal{A}}))^{\{r\}} \neq \operatorname{in}_{\prec}(I_{\mathcal{A}}^{\{r\}}) = \langle 0 \rangle$ for $r \gg 0$.

To illustrate the notion of delightful triangulations, and to tie it in with determinantal ideals, we start out with examples in dimension two (d = 3).

Example 5.2. Consider the embedding of the toric surface $\mathbb{P}^1 \times \mathbb{P}^1$ in \mathbb{P}^8 by the line bundle $\mathcal{O}(2,2)$. Here n=9 and the defining configuration is

$$\mathcal{A} = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 2 & 2 \\ 0 & 1 & 2 & 0 & 1 & 2 & 0 & 1 & 2 \end{pmatrix}.$$

The toric ideal I_A is generated by the 2×2 -minors of the symmetric matrix

$$M = \begin{pmatrix} x_1 x_2 x_4 x_5 \\ x_2 x_3 x_5 x_6 \\ x_4 x_5 x_7 x_8 \\ x_5 x_6 x_8 x_9 \end{pmatrix}.$$

Fix a term order \prec which selects the main diagonal product as the leading term for each 2×2 -minor. The regular triangulation $\Delta_{\prec}(\mathcal{A})$ is displayed on the left in Figure 2. The diagram on the right of Figure 2 shows a poset P to which we can apply steps (1)–(4) of Section 4. Note that the maximal chains in P are the triangles in $\Delta_{\prec}(\mathcal{A})$. Using Macaulay 2 we can verify that the $r \times r$ -minors of M form a Gröbner basis of $I_{\mathcal{A}}^{\{r\}}$. In particular, the variety of secant planes to our surface is the hypersurface $\det(M) = 0$. This proves that this triangulation of \mathcal{A} is delightful. Note that this example is a specialization of the m = 4 case in Example 4.12.

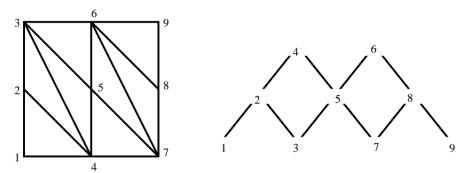


Figure 2. A delightful triangulation given by the chains in a poset.

Example 5.3. The Veronese example discussed in the Introduction has

$$\mathcal{A} = \begin{pmatrix} 3221110000\\0102103210\\0010120123 \end{pmatrix}.$$

We have seen that the standard triangulation of \mathcal{A} is 3-delightful. However, it turns out that no full triangulation of \mathcal{A} is 2-delightful. This can be proved by a brute-force enumeration of all full triangulations of \mathcal{A} , using CaTS [16] or TOPCOM [23], and by using the following counting argument.

Results in [10] imply that $I_{\mathcal{A}}^{\{2\}}$ has the expected dimension (Krull dimension 6) and its degree equals 15. For each of the triangulations $\Delta_{\prec}(\mathcal{A})$ we count the number of six-tuples of vertices which form the vertices of two disjoint triangles. If there is no such six-tuple then $\operatorname{in}_{\prec}(I_{\mathcal{A}})^{\{2\}}$ has dimension less than six, so \prec cannot be delightful. Otherwise, the number of such six-tuples equals the degree of $\operatorname{in}_{\prec}(I_{\mathcal{A}})^{\{2\}}$. Now, the maximum number arising from any triangulation of \mathcal{A} is 14 which is less than 15.

A familiar example of a delightful triangulation is the staircase triangulation of the product of two simplices. This is the content of Theorem 4.9.

The quest for delightful triangulations is a worthwhile undertaking even if no such triangulation exists. Namely, the same approach can be used for showing that certain secant varieties of toric varieties are nondefective and to compute a non-trivial lower bound on their degree. Recall that a (d-1) dimensional subvariety X of \mathbb{P}^{n-1} is called r-defective if the secant variety $X^{\{r\}}$ has dimension less than $\min(rd-1,n-1)$, which is the expected dimension. If all secant varieties $X^{\{r\}}$ have the expected dimension then X is called nondefective. Regular triangulations of \mathcal{A} can be used to prove that the toric variety $X_{\mathcal{A}}$ is nondefective. This was the original problem suggested to us by Rick Miranda. To make the idea precise, we introduce the following terminology.

Let Δ be a full triangulation of a configuration \mathcal{A} of maximal rank d. A subset C of \mathcal{A} is called r-partitionable if C is the disjoint union of r maximal simplices in Δ . Naturally, if C is r-partitionable then |C| = rd. We write $X_{\mathcal{A}}$ for the projective toric variety in \mathbb{P}^{n-1} defined by $I_{\mathcal{A}}$.

Theorem 5.4. Let Δ be a regular triangulation of \mathcal{A} which has at least one r-partitionable set. Then $X_{\mathcal{A}}^{\{r\}}$ has the expected dimension, and the degree of $X_{\mathcal{A}}^{\{r\}}$ is bounded below by the number of r-partitionable sets in Δ .

Proof. The r-partitionable sets are the (rd-1)-dimensional simplices of $\Delta^{\{r\}}$ by Remark 2.9. The number of r-partitionable sets is positive if and only if $\Delta^{\{r\}}$ has the expected dimension rd-1. In this case, that number is the number of maximal-dimensional simplices in $\Delta^{\{r\}}$, which is the degree of $\Delta^{\{r\}}$ when regarded as a reduced union of coordinate subspaces in \mathbb{P}^{n-1} .

Pick a term order \prec such that $\Delta = \Delta_{\prec}(\mathcal{A})$. Then we have

(8)
$$\deg(X_A^{\{r\}}) = \deg(\operatorname{in}_{\prec}(I_A^{\{r\}})) \ge \deg(\operatorname{in}_{\prec}(I_A)^{\{r\}}) \ge \deg(\Delta^{\{r\}}).$$

The first equation holds because the degree is preserved under Gröbner degenerations, the middle inequality holds by Corollary 4.7, and the last inequality holds because $\Delta^{\{r\}}$ is the reduced scheme defined by the (possibly non-radical) ideal $\operatorname{in}_{\prec}(I_A)^{\{r\}}$. This proves the asserted lower bound.

Conjecture 5.5. If the lower bound for the degree in Theorem 5.4 holds with equality then Δ is an r-delightful triangulation of \mathcal{A} .

Example 5.6 (Segre varieties, lex triangulations, and rook placements). Let $\mathbf{d} = (d_1, \dots, d_n)$ be a vector of positive integers and fix the configuration

$$\mathcal{A}_{\mathbf{d}} = \{ v_{i_1 \cdots i_n} = \mathbf{e}_{i_1} \oplus \cdots \oplus \mathbf{e}_{i_n} \mid 0 \le i_j \le d_j \text{ for all } j \}.$$

Thus $\mathcal{A}_{\mathbf{d}}$ represents a product of simplices, and the corresponding toric variety is the product $\mathbb{P}^{d_1} \times \mathbb{P}^{d_2} \times \cdots \times \mathbb{P}^{d_n}$ in the standard Segre embedding.

Consider a lexicographic term order \prec such that $v_{i_1\cdots i_n}$ is higher than all other elements of $\mathcal{A}_{\mathbf{d}}$. Since the polytope $\operatorname{conv}(\mathcal{A}_{\mathbf{d}})$ is smooth, the resulting *lexicographic triangulation* $\Delta_{\prec}(\mathcal{A}_{\mathbf{d}})$ has exactly one maximal simplex which contains the vertex $v_{i_1\cdots i_n}$. This simplex is denoted $\sigma_{i_1\cdots i_n}$, and it is formed by the vertices that are neighbors of $v_{i_1\cdots i_n}$. In other words, the simplex $\sigma_{i_1\cdots i_n}$ contains all $v_{j_1\cdots j_n}$ such that the Hamming distance between the vectors (i_1,\ldots,i_n) and (j_1,\ldots,j_n) is at most one.

Now consider a set of indices $I = \{\mathbf{i}_1, \dots, \mathbf{i}_s\}$ with the property that the Hamming distance between \mathbf{i}_j and \mathbf{i}_k is greater than two for all $j \neq k$. Let Δ be any lexicographic triangulation of $\mathcal{A}_{\mathbf{d}}$ which puts the elements in $V_I = \{v_i \mid \mathbf{i} \in I\}$ lexicographically larger than all elements of $\mathcal{A}_{\mathbf{d}} \setminus V_I$. By our assumption on the Hamming distance between elements of I, each simplex $\sigma_{\mathbf{i}}$, $\mathbf{i} \in I$ appears in the triangulation Δ , and these simplices are disjoint. Thus, if such an index set of cardinality s exists, the secant varieties $X_{\mathcal{A}_{\mathbf{d}}}^{\{r\}}$ for $r \leq s$ will all have the expected dimension by Theorem 5.4.

This combinatorial technique for proving that secant varieties to certain Segre varieties have the expected dimension was introduced by Catalisano, Geramita and Gimigliano in [6]. As pointed out in [6], finding an s-element index set I with pairwise Hamming distance greater than 2 is equivalent to finding a placement of s rooks on a $(d_1+1)\times\cdots\times(d_n+1)$ chessboard with the property that no two rooks attack each other or attack the same square on the board. Our approach via triangulations can be used to get information about further invariants (beyond dimension) of such secant varieties.

To conclude this section, we explore the existence of delightful triangulations for the class of rational normal scrolls. While all the secant ideals in question are known to have nice determinantal presentations, not every scroll has a delightful term order. This is somewhat surprising, considering our results on delightful term orders for minors and Pfaffians in Section 4.

Let $\lambda = (\lambda_1, \dots, \lambda_n)$ be any vector of positive integers. The rational normal scroll $S(\lambda)$ is the toric variety given by the parametrization:

$$x_{ij} = s^j t_i, \quad i = 1, \dots, n, \quad j = 0, \dots, \lambda_i.$$

The corresponding vector configuration equals

$$\mathcal{A}_{\lambda} = \{ j \mathbf{e}_0 \oplus \mathbf{e}_i \mid 1 \leq i \leq n \text{ and } 0 \leq j \leq \lambda_i \} \subset \mathbb{N}^{n+1}$$

The toric ideal corresponding to this parametrization is denoted I_{λ} .

A determinantal presentation for the secant ideals $I_{\lambda}^{\{r\}}$ is well known. Namely, for each i and r with $\lambda_i \geq r$ let $M^{i,r}$ denote the $(r+1) \times (\lambda_i - r + 1)$ Hankel

matrix

$$M^{i,r} = \begin{pmatrix} x_{i0} & x_{i1} & \dots & x_{i,\lambda_i-r} \\ x_{i1} & x_{i2} & \dots & x_{i,\lambda_i-r+1} \\ \vdots & \vdots & \ddots & \vdots \\ x_{ir} & x_{ir+1} & \dots & x_{i\lambda_i} \end{pmatrix}.$$

If $\lambda_i < r$ then $M^{i,r}$ denotes the empty $(r+1) \times 0$ matrix. The concatenation

$$M^r = (M^{1,r}|M^{2,r}|\cdots|M^{n,r})$$

is a matrix with r+1 rows and $\sum_{i=1}^{r} \lambda_i - n(r-1)$ columns.

Theorem 5.7 ([5, 11]). The secant ideal $I_{\lambda}^{\{r\}}$ is generated by the $(r+1) \times (r+1)$ minors of the matrix M^r .

Our first result shows that delightful scrolls are rare.

Proposition 5.8. If the ideal I_{λ} has a delightful term order then there exists an integer m such that $\lambda_i \in \{m, m+1, m+2, m+3\}$ for all i.

Proof. We first reduce to the two dimensional case. This reduction is possible because a delightful triangulation of a polytope is delightful for any face, and the quadrangle $conv(\mathcal{A}_{\lambda_i,\lambda_i})$ appears as a face of the polytope $conv(\mathcal{A}_{\lambda})$.

To analyze the two dimensional case, we first must understand the full triangulations of the sets \mathcal{A}_{λ} . Each of these triangulations is lexicographic. The full triangulations of $\mathcal{A}_{\lambda_1,\lambda_2}$ correspond to certain bipartite graphs. Namely, aside from the edges like $(x_{1,i},x_{1,i+1})$ and $(x_{2,i},x_{2,i+1})$, the remaining edges form a bipartite planar spanning tree in the complete bipartite graph $K_{\lambda_1+1,\lambda_2+1}$. Planar means that there is no pair of edges $(x_{1,i},x_{2,j})$ $(x_{1,k},x_{2,l})$ with i < k and j > l. An example of such a triangulation and the associated bipartite planar spanning tree appear in Figure 3.

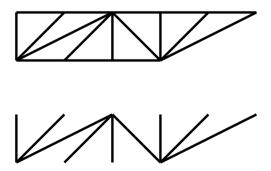


Figure 3. Triangulation for the scroll and the corresponding planar tree.

If a triangulation of a scroll is delightful, then the associated bipartite planar graph cannot possess certain induced subgraphs. We claim that, up to symmetry, these forbidden induced subgraphs are the following two:

- (1) The three edges $(x_{1,i}, x_{2,j}), (x_{1,i}, x_{2,j+1}), (x_{1,i}, x_{2,j+2})$ where $1 \le i \le \lambda_1 1$ and $0 \le j \le \lambda_2 2$.
- (2) The four edges $(x_{1,0}, x_{2,0}), (x_{1,0}, x_{2,1}), (x_{1,0}, x_{2,2}), (x_{1,0}, x_{2,3}).$

Thus (1) is a claw $K_{1,3}$ which is not adjacent to a vertical boundary, and (2) is a claw $K_{1,4}$ which is adjacent to a vertical boundary. Note that the triangulation in Figure 3 contains both of these forbidden subgraphs. If the graph of a triangulation contains the $K_{1,3}$ in case (1) then the ideal $(\operatorname{in}_{\prec}(I_{\lambda}))^{\{2\}}$ contains the monomial $x_{1,i-1}x_{1,i+1}x_{2,j+1}$. However, by virtue of the fact that the full triangulation can be chosen to be lexicographic with $x_{1,i-1}$, $x_{1,i}$ and $x_{1,i+1}$ smaller than any other $x_{1,j}$, and appealing to Proposition 5.7, we see that this monomial cannot be the leading monomial of any polynomial in $I_{\lambda}^{\{2\}}$. A similar argument rules out the subgraph $K_{1,4}$ in case (2).

To finish the proof, note that if $\lambda_1 < \lambda_2$ and $\lambda_1 + 3 < \lambda_2$, then the induced graph of any full triangulation of \mathcal{A}_{λ} must contain one of the two forbidden subgraphs (or a subgraph symmetrically equivalent).

We can, however, show the existence of a delightful term order in the special case when all the λ_i are equal.

Theorem 5.9. Suppose that $\lambda_1 = \lambda_2 = \cdots = \lambda_n$. Let \prec be the lexicographic term order such that $x_{ij} \succ x_{kl}$ if j < l or j = l and i < k. Then \prec is delightful for I_{λ} .

Proof. The edge graph of every full triangulation of a configuration \mathcal{A}_{λ} is a chordal graph. This can be proved by induction on $\sum_{i=1}^{n} \lambda_{i}$. Let G_{λ} be the complementary graph to that chordal graph. The initial ideal $\inf_{\lambda}(I_{\lambda})$ equals the edge ideal $I(G_{\lambda})$. Since chordal graphs are perfect, and the complements of perfect graphs are perfect, it follows that G_{λ} is a perfect graph.

For the particular lexicographic term order we have chosen, the edges in the graph G_{λ} are the pairs of the form (x_{ij}, x_{kl}) such that j+1 < l or j+1 = l and i < k. It is the simplicity of the graph G_{λ} which depends on $\lambda_i = \lambda_j$ for all i and j. To show that \prec is delightful, we must show that for each clique of size r in G_{λ} there is a polynomial in $I_{\lambda}^{\{r-1\}}$ which has the clique as a leading term. Let $x_{i_1j_1}x_{i_2j_2}\cdots x_{i_rj_r}$ be such clique. We may suppose that $j_1 < j_2 < \cdots < j_r$. Consider the $r \times r$ matrix

$$M = \begin{pmatrix} x_{i_1j_1} & x_{i_2j_2-1} & x_{i_3j_3-2} & \cdots & x_{i_rj_r-r+1} \\ x_{i_1j_1+1} & x_{i_2j_2} & x_{i_3j_3-1} & \cdots & x_{i_rj_r-r+2} \\ x_{i_1j_1+2} & x_{i_2j_2+1} & x_{i_3j_3} & \cdots & x_{i_rj_r-r+3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_{i_1j_1+r-1} & x_{i_2j_2+r-2} & x_{i_3j_3+r-3} & \cdots & x_{i_rj_r} \end{pmatrix}.$$

By construction, the polynomial $f = \det M$ belongs to $I_{\lambda}^{\{r-1\}}$ since it is one of the minors appearing in Proposition 5.7. Furthermore, f is not identically zero. The structure of M implies that f could be identically zero only if there were two identical columns in M. This implies that there are indices s and t with s < t such that $i_s = i_t$ and $j_s = j_t + t - s$. But the conditions on the edges of G_{λ} make it impossible for there to be a lexicographically ordered clique in G_{λ} with these properties. Furthermore, each indeterminate appearing in the matrix M is a valid indeterminate in our polynomial ring. This follows because all the second indices, $j_k \pm l$, lie between j_1 and j_r . Finally, the term order \prec selects the main diagonal as the leading term. Hence \prec is delightful for I_{λ} .

Corollary 5.10. Suppose that there exists an integer m such that $\lambda_i \in \{m, m+1\}$ for all i. Then I_{λ} has a delightful term order.

Proof. The ideal I_{λ} can be realized as the elimination ideal of $I_{\lambda'}$ where $\lambda'_i = m+1$ for all i. The lexicographic ordering from Theorem 5.9 realizes this elimination and thus the delightful property passes to this elimination ideal.

In general, we do not know whether the converse to Proposition 5.8 is true. However, we can show that it holds in the two dimensional case.

Proposition 5.11. Suppose that $\lambda_2 \leq \lambda_1 \leq \lambda_2 + 3$. Then the ideal I_{λ_1,λ_2} has a delightful term order.

Proof. We will prove the case $\lambda_1 = \lambda_2 + 3$. The case of $\lambda_1 = \lambda_2 + 2$ follows by the elimination argument used in the proof of Corollary 5.10, and the other two cases are proved in Theorem 5.9 and Corollary 5.10.

Now introduce the lexicographic term order \prec , given by the rule

$$x_{10} \succ x_{11} \succ x_{20} \succ x_{12} \succ x_{21} \succ x_{13} \succ \cdots \succ x_{1j} \succ x_{2,j-1} \succ x_{1,j+1} \succ \cdots$$
$$\cdots \succ x_{1,\lambda+1} \succ x_{2,\lambda} \succ x_{1,\lambda+2} \succ x_{1,\lambda+3}.$$

We claim that this lexicographic term order is delightful for I_{λ_1,λ_2} . To see this, let $x_{i_1j_1},\ldots,x_{i_rj_r}$ be an independent set in the triangulation corresponding to this term order, arranged in decreasing lexicographic order. Since every full triangulation of $\mathcal{A}_{\lambda_1,\lambda_2}$ is chordal and hence perfect, we must show that this independent set yields the initial term of a polynomial in $I_{\lambda_1,\lambda_2}^{\{r-1\}}$. For a general

pair of sequential elements in our independent set $x_{i_k j_k}, x_{i_{k+1} j_{k+1}}$, this happens if and only if either: $i_k = i_{k+1}$ and $j_k + 1 < j_{k+1}$; or $i_k = 1, i_{k+1} = 2$, and $j_k \le j_{k+1}$; or $i_k = 2, i_{k+1} = 1$, and $j_k + 2 < j_{k+1}$. The only exceptions to these rules come at the ends: we cannot have the pairs x_{10}, x_{20} or $x_{2\lambda_2}, x_{1\lambda_1}$ in an independent set.

Now construct the matrix M as in the proof of Theorem 5.9. Our conditions on the sequence $x_{i_1j_1}, \ldots, x_{i_rj_r}$ guarantee that all the entries in M are valid indeterminates in our polynomial ring. Furthermore, $f = \det M$ is not identically zero, and f has leading term equal to $x_{i_1j_1} \cdots x_{i_rj_r}$. Thus, the lexicographic term order \prec is delightful.

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