

# Semigroups of $L$ -space knots and nonalgebraic iterated torus knots

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Algebraic knots are known to be iterated torus knots and to admit  $L$ -space surgeries. However, Hedden proved that there are iterated torus knots that admit  $L$ -space surgeries but are not algebraic. We present an infinite family of such examples, with the additional property that no nontrivial linear combination of knots in this family is concordant to a linear combination of algebraic knots. The proof uses the Ozsváth-Stipsicz-Szabó Upsilon function, and also introduces a new invariant of  $L$ -space knots, the formal semigroup.

## 1. Introduction

An algebraic knot  $K$  can be defined to be the connected link of an isolated singularity of a complex curve in  $\mathbb{C}^2$  [Wal04, EN85]. All such knots are iterated torus knots but not vice versa [EN85, p.52] (we only consider positively iterated torus knots in this paper). To be precise, an iterated torus knot  $((T_{p_1, q_1})_{p_2, q_2} \cdots)_{p_m, q_m}$  is an algebraic knot if and only if the indices satisfy  $q_{i+1} > p_i q_i p_{i+1}$  [EN85, Section 17a)].

To each algebraic knot, one can associate a numerical semigroup of the nonnegative integers, denoted by  $S_K$ . Initially this was done using the analytic properties of the curve, but  $S_K$  is determined by the Alexander polynomial of  $K$ . For example, for the torus knot  $T_{p, q}$ ,  $S_{T_{p, q}} = \langle p, q \rangle \subset \mathbb{Z}_{\geq 0}$ . For algebraic knots,  $S_K$  completely determines the Heegaard Floer complex  $CFK^\infty(K)$ .

By [Hed10, Theorem 1.10], algebraic knots are all  $L$ -space knots, a class of knots defined using Heegaard Floer theory [OSz05]. In this paper we will associate to each  $L$ -space knot what we call a *formal* semigroup  $S_K$ , a subset of  $\mathbb{Z}_{\geq 0}$ , but now  $S_K$  is not necessarily a semigroup. Again,  $S_K$  is determined by the Alexander polynomial of  $K$  and it determines  $CFK^\infty(K)$ .

We will use formal semigroups and the Upsilon invariant recently defined by Ozsváth, Stipsicz and Szabó in [OSS17] to show that many such  $L$ -space knots are not algebraic. Going beyond this, we provide an

infinite family of  $L$ -space iterated torus knots with the property that no nontrivial linear combination of these knots is even concordant to a connected sum of algebraic knots. In particular, letting  $\mathcal{C}$  denote the smooth concordance group and  $\mathcal{C}_A$  the subgroup generated by algebraic knots, we prove the following:

**Theorem 1.1.**  *$\mathcal{C}/\mathcal{C}_A$  is infinitely generated.*

Note that  $\mathcal{C}_A$  is also infinitely generated, even restricted to algebraically slice knots [HKL12].

We will compute the  $\Upsilon$  functions of this infinite family of  $L$ -space iterated torus knots and prove they cannot be generated by  $\Upsilon$  functions of  $(n, n+1)$ -torus knots. Hence the following result of Feller and Krcatovitch [FK17, Proposition 2.2 and the paragraph before it], which is a consequence of [BN16, Proposition 5.2.4], implies Theorem 1.1.

**Theorem 1.2.** *The  $\Upsilon$  function of any algebraic knot is a sum of  $\Upsilon$  functions of  $(n, n+1)$ -torus knots.*

In the computation, we will observe the behavior of  $S_K$  for  $L$ -space knots under cabling operation (see Proposition 2.7).

Hedden proved that if  $K$  is an  $L$ -space knot and  $q \geq p(2g(K) - 1)$ , then the cable  $K_{p,q}$  is an  $L$ -space knot [Hed10, Theorem 1.10]. In [Hom11], Hom proved that the converse is true.

**Theorem 1.3.** *Assume that  $K \subset S^3$  is a nontrivial knot and  $p \geq 2$ . The  $(p, q)$ -cable of a knot  $K$  is an  $L$ -space knot if and only if  $K$  is an  $L$ -space knot and  $q \geq p(2g(K) - 1)$ .*

The above theorem indicates that the property of being an  $L$ -space knot is preserved by most cabling operations. We will prove an analogue of this theorem, which states that the property of being an  $L$ -space knot whose formal semigroup is a semigroup is preserved by most cabling operations.

**Theorem 1.4.** *Assume that  $K \subset S^3$  is a nontrivial knot and  $p \geq 2$ . The  $(p, q)$ -cable of a knot  $K$  is an  $L$ -space knot with  $S_{K_{p,q}}$  being a semigroup if and only if  $K$  is an  $L$ -space knot with  $S_K$  being a semigroup and  $q \geq p(2g(K) - 1)$ .*

## 2. Formal semigroups under cabling

### 2.1. Formal semigroups of $L$ -space knots

Write  $\mathbb{Z}_{>k} := \{m \in \mathbb{Z} \mid m > k\}$  and  $\mathbb{Z}_{\geq k} := \{m \in \mathbb{Z} \mid m \geq k\}$ .

For any  $L$ -space knot  $K$ , we know that the Alexander polynomial  $\Delta_K(t) = \sum_{i=0}^{2n} (-1)^i t^{\alpha_i}$ , where  $0 = \alpha_0 < \alpha_1 < \dots < \alpha_{2n}$  [OSz05, Theorem 1.2] and  $\frac{\alpha_{2n}}{2} = g(K)$  is the genus of  $K$  [OSz04, Theorem 1.2]. We will not use the symmetrized Alexander polynomial.

Consider  $\Delta_K(t)$  as an element in the ring  $\mathbb{Z}[[t]]$  of formal power series with integer coefficients. Define the *formal semigroup*  $S_K$  of the  $L$ -space knot  $K$  to be the subset of  $\mathbb{Z}_{\geq 0}$  satisfying  $\sum_{s \in S_K} t^s = \frac{\Delta_K(t)}{1-t}$ , where the right-hand side is sometimes called the Alexander function. Since  $\Delta_K(t) = \sum_{i=0}^{2n} (-1)^i t^{\alpha_i}$ , it follows that

$$S_K = \{\alpha_0, \dots, \alpha_1 - 1, \alpha_2, \dots, \alpha_3 - 1, \dots, \alpha_{2n-2}, \dots, \alpha_{2n-1} - 1, \alpha_{2n}\} \\ \cup \mathbb{Z}_{>\alpha_{2n}}.$$

*Remark.* (i)  $S_K$  is denoted by  $\Gamma_K$  in [BCG17].

(ii)  $\alpha_1 = 1$ . More generally, the  $(i+1)$ th element in  $S_K$  is bounded below by  $2i$  for  $0 \leq i \leq g(K)$  [Krc14, Theorem 1.6].

**Example 2.1.** Let  $K$  be the torus knot  $T_{3,7}$ .

$$\Delta_K(t) = \frac{(t^{21} - 1)(t - 1)}{(t^3 - 1)(t^7 - 1)} = 1 - t + t^3 - t^4 + t^6 - t^8 + t^9 - t^{11} + t^{12} \\ = (1 - t) \left( 1 + t^3 + t^6 + t^7 + t^9 + t^{10} + t^{12} + \sum_{s>12} t^s \right).$$

So  $S_K = \{0, 3, 6, 7, 9, 10, 12\} \cup \mathbb{Z}_{>12} = \langle 3, 7 \rangle$ .

**Lemma 2.2.** ([Wal04]) *For algebraic knots,  $S_K$  is a semigroup, and it equals the analytically defined semigroup of the link of singularity.*

**Remark.** No matter whether  $S_K$  is a semigroup, it is dual with respect to  $2g(K) - 1$ . That is,  $s \in S_K \Leftrightarrow 2g(K) - 1 - s \notin S_K$ . This follows from the palindromicity of the symmetrized Alexander polynomial.

**Example 2.3.** ([BCG17, Example 2.3]) The pretzel knot  $P(-2, 3, 7)$  has  $S_K = \{0, 3, 5, 7, 8, 10\} \cup \mathbb{Z}_{>10}$ , which is not a semigroup.

Generally, for any odd integer  $n \geq 7$  the pretzel knot  $P(-2, 3, n)$  is an  $L$ -space knot [OSz05]. By a recursive formula for the Alexander polynomial of  $(-2, 3, n)$ -pretzel knots (cf. [GK12, Equation (1-3)]), one can verify  $S_{P(-2,3,n)} \cap [0, 7] = \{0, 3, 5, 7\}$  for any  $n$ . So  $S_{P(-2,3,n)}$  is not a semigroup.

### 2.2. The cabling formula

Let  $K$  be a nontrivial  $L$ -space knot. We will give a formula in Proposition 2.7 and use it to prove the following statement. This statement, together with Theorem 1.3, proves Theorem 1.4.

**Theorem 2.4.** *Let  $K$  be a nontrivial  $L$ -space knot and  $q \geq p(2g(K) - 1)$ . Then  $S_K$  is a semigroup if and only if  $S_{K_{p,q}}$  is a semigroup.*

Then it is easy to show the following consequence.

**Corollary 2.5.** *If an  $L$ -space knot  $K$  is an iterated torus knot, then  $S_K$  is a semigroup.*

**Example 2.6.** Let  $K = (T_{2,3})_{2,k}$  where  $k$  is an odd integer. Then  $K$  is an  $L$ -space knot if  $k \geq 3$ . Additionally,  $K$  is an algebraic knot if and only if  $k \geq 13$  [EN85, Section 17a)]. So if  $3 \leq k < 13$ , then  $K$  is not an algebraic knot but  $S_K$  is still a semigroup.

Theorem 2.4 is based on the following fact.

**Proposition 2.7 (Cabling formula).** *Let  $K$  be a nontrivial  $L$ -space knot. Suppose  $p \geq 2$  and  $q \geq p(2g(K) - 1)$ . Then*

$$S_{K_{p,q}} = pS_K + q\mathbb{Z}_{\geq 0} := \{pa + qb \mid a \in S_K, b \in \mathbb{Z}_{\geq 0}\}.$$

*Proof.* Recall that  $\Delta_{K_{p,q}}(t) = \Delta_K(t^p)\Delta_{T_{p,q}}(t)$ .

$$\text{So } \frac{\Delta_{K_{p,q}}(t)}{1-t} = \frac{\Delta_K(t^p)}{1-t} \cdot \frac{(t^{pq} - 1)(t - 1)}{(t^p - 1)(t^q - 1)} = \frac{\Delta_K(t^p)}{1-t^p} \cdot \frac{t^{pq} - 1}{t^q - 1}.$$

By definition  $\sum_{s \in S_K} t^s = \frac{\Delta_K(t)}{1-t}$ . Hence  $\sum_{s \in pS_K} t^s = \frac{\Delta_K(t^p)}{1-t^p}$ . Observe that  $\frac{t^{pq} - 1}{t^q - 1} = 1 + t^q + \dots + t^{(p-1)q}$ . Therefore

$$\frac{\Delta_{K_{p,q}}(t)}{1-t} = \left( \sum_{s \in pS_K} t^s \right) \cdot (1 + t^q + \dots + t^{(p-1)q}).$$

By definition  $\sum_{s \in S_{K_{p,q}}} t^s = (\sum_{s \in pS_K} t^s) \cdot (1 + t^q + \dots + t^{(p-1)q})$ .

Now

$$\begin{aligned} & \left( \sum_{s \in pS_K} t^s \right) \cdot (1 + t^q + \dots + t^{(p-1)q}) \\ &= \sum_{s \in pS_K} t^s + \sum_{s \in pS_K} t^{s+q} + \dots + \sum_{s \in pS_K} t^{s+(p-1)q}. \end{aligned}$$

To show  $S_{K_{p,q}} = pS_K + q\mathbb{Z}_{\geq 0}$ , it suffices to prove that  $pS_K + q\mathbb{Z}_{\geq 0}$  is the disjoint union of  $pS_K, pS_K + q, \dots, pS_K + (p-1)q$ .

The sets  $pS_K, pS_K + q, \dots, pS_K + (p-1)q$  must be pairwise disjoint. Otherwise some term of  $\sum_{s \in S_{K_{p,q}}} t^s$  would have coefficient greater than 1.

Next,  $(pS_K) \cup (pS_K + q) \cup \dots \cup (pS_K + (p-1)q) \subset pS_K + q\mathbb{Z}_{\geq 0}$  clearly.

To prove  $(pS_K) \cup (pS_K + q) \cup \dots \cup (pS_K + (p-1)q) \supset pS_K + q\mathbb{Z}_{\geq 0}$ , let  $pa + qb \in S_K + q\mathbb{Z}_{\geq 0}$ , where  $a \in S_K, b \in \mathbb{Z}_{\geq 0}$ . Suppose  $b = kp + c$  with  $k \in \mathbb{Z}_{\geq 0}$  and  $c \in \{0, 1, \dots, p-1\}$ . Then  $pa + qb = pa + q(kp + c) = p(a + kq) + cq$ . It suffices to show  $p(a + kq) \in pS_K$ . If  $k = 0$ , this is trivial. If  $k > 0$ , then  $a + kq \geq q \geq p(2g(K) - 1) \geq 2g(K)$ , since we assumed  $p \geq 2$ . Hence  $a + kq \in S_K$  by the fact that  $\mathbb{Z}_{\geq 2g(K)} \subset S_K$ .  $\square$

*Proof of Theorem 2.4.* The proof in the case of  $p = 1$  is trivial. Assume  $p \geq 2$ .

If  $S_K$  is a semigroup, then  $S_{K_{p,q}} = pS_K + q\mathbb{Z}_{\geq 0}$  is a semigroup.

If  $S_K$  is not a semigroup, then since  $\mathbb{Z}_{\geq 2g(K)} \subset S_K$ , there are  $x, y \in S_K$  such that  $x + y \notin S_K$  and  $x + y < 2g(K)$ . So  $px, py \in S_{K_{p,q}}$ . It suffices to show  $px + py \notin S_{K_{p,q}}$ . Observe that  $px + py = p(x + y) \leq p(2g(K) - 1) < q$ , where  $p(2g(K) - 1) \neq q$  because  $p$  and  $q$  are relatively prime. Thus, if  $px + py = pa + qb$  for some  $a \in S_K, b \in \mathbb{Z}_{\geq 0}$ , then  $b$  must be 0. Therefore  $px + py = pa \Rightarrow x + y = a \in S_K$ , which is impossible.  $\square$

*Proof of Corollary 2.5.* Suppose  $((T_{p_1, q_1})_{p_2, q_2}) \cdots)_{p_m, q_m}$  is an  $L$ -space knot. Then  $((T_{p_1, q_1})_{p_2, q_2}) \cdots)_{p_k, q_k}$  is an  $L$ -space knot for  $k = 2, \dots, m$  and  $q_k \geq p_k(2g(((T_{p_1, q_1})_{p_2, q_2}) \cdots)_{p_{k-1}, q_{k-1}}) - 1)$  by Theorem 1.3. Hence the conclusion follows from Theorem 2.4.  $\square$

*Remark.* In fact, Proposition 2.7 gives an algorithm to compute generators of  $S_K$  for  $K = (((T_{p_1, q_1})_{p_2, q_2}) \cdots)_{p_m, q_m}$ . A set of generators is

$$\{p_1 p_2 \cdots p_m, q_1 p_2 \cdots p_m, q_2 p_3 \cdots p_m, \dots, q_{m-1} p_m, q_m\}.$$

It is natural to ask the following question.

**Question 2.8.** *Is there an  $L$ -space knot  $K$  with  $S_K$  being a semigroup, but  $K$  is not an iterated torus knot?*

Similarly to the motivation of [LN15, Conjecture 1.3], if the answer is “no”, then the surgery coefficient of any finite surgery on any hyperbolic knot must be an integer by [LN15, Theorem 1.2].

The author did not find any examples for a “yes” answer by computing Alexander polynomials for some  $L$ -space knots provided in [Vaf15] and [Hom16].

### 3. A family of nonalgebraic $L$ -space iterated torus Knots

The result in [Wan16] is for algebraic knots, but it can be generalized to any  $L$ -space knot  $K$  with  $S_K$  being a semigroup, as we will conclude in the following subsection.

#### 3.1. Review of the Upsilon invariant

We refer to [OSS17] for the definition of the Upsilon invariant. For our purpose, we only need to know the following properties.

**Theorem 3.1.** ([OSS17, Section 1]) *For each  $t \in [0, 2]$  there is a well-defined knot invariant  $\Upsilon_K(t)$ . Moreover,  $\Upsilon_K(t)$  satisfies the following properties:*

- (i)  $\Upsilon_K(t)$  is a piecewise linear function in  $t$  on  $[0, 2]$ .
- (ii)  $\Upsilon_K(t) = \Upsilon_K(2 - t)$ .
- (iii)  $\Upsilon_{-K}(t) = -\Upsilon_K(t)$  and  $\Upsilon_{K_1 \# K_2}(t) = \Upsilon_{K_1}(t) + \Upsilon_{K_2}(t)$ .
- (iv)  $\Upsilon_K(t) = 0$  if  $K$  is smoothly slice.
- (v)  $\frac{t_0}{2} \Delta \Upsilon'_K(t_0)$  is an integer for any  $t_0 \in (0, 2)$ , where

$$\Upsilon'_K(t_0) := \lim_{t \rightarrow t_0^+} \Upsilon'_K(t) - \lim_{t \rightarrow t_0^-} \Upsilon'_K(t).$$

In [OSS17, Theorem 6.2], the Upsilon invariant of  $L$ -space knots is computed in terms of the Alexander polynomial.

Alternatively, the Upsilon invariant can be expressed in terms of formal semigroups for  $L$ -space knots as follows, which was first stated in [BL16, Proposition 4.4] for algebraic knots.

**Proposition 3.2.** *Let  $K$  be an  $L$ -space knot with genus  $g$  and  $S$  be the corresponding formal semigroup. Then for any  $t \in [0, 2]$  we have*

$$\Upsilon_K(t) = \max_{m \in \{0, \dots, 2g\}} \{-2\#(S \cap [0, m]) - t(g - m)\}.$$

The location of the first singularity (the discontinuity of the derivative) of the Upsilon invariant for algebraic knots is given in [Wan16, Theorem 8]. This can be easily generalized to  $L$ -space knots with semigroups.

**Theorem 3.3.** *Let  $K$  be an  $L$ -space knot with genus  $g$ . If  $S_K$  is a semigroup and the least nonzero element of  $S_K$  is  $a$ , then  $\Upsilon_K(t) = -gt$  for  $t \in [0, \frac{2}{a}]$  and  $\Upsilon_K(t) > -gt$  for  $t > \frac{2}{a}$ .*

To see this, note that [Wan16, Lemma 10] is true since  $S$  there is a semigroup. Hence the same conclusion carries over to the more general case here.

### 3.2. Upsilon invariant of algebraic knots

**Proposition 3.4.** *Let  $f(t)$  be a linear combination  $\sum c_i \Upsilon_{T_{n_i, n_i+1}}(t)$  where  $c_i \in \mathbb{Z}$ . Then  $\Delta f'(\frac{2}{p}) = \Delta f'(\frac{4}{p})$  for any odd integer  $p \geq 3$ .*

*Proof.* Let  $n$  be any positive integer. According to [OSS17, Proposition 6.3],

$$\Delta \Upsilon'_{T_{n, n+1}}(t) = \begin{cases} n & \text{for } t = \frac{2i}{n}, 0 < i < n \\ 0 & \text{otherwise.} \end{cases}$$

If  $p$  does not divide  $n$ , then neither  $\frac{2}{p}$  nor  $\frac{4}{p}$  belongs to the set  $\{\frac{2i}{n} \mid 0 < i < n\}$ . Hence  $\Delta \Upsilon'_{T_{n, n+1}}(\frac{2}{p}) = \Delta \Upsilon'_{T_{n, n+1}}(\frac{4}{p}) = 0$ . If  $n = kp$  for some  $k \in \mathbb{Z}_{>0}$ , then both  $\frac{2}{p}$  and  $\frac{4}{p}$  belong to the set  $\{\frac{2i}{n} \mid 0 < i < n\}$ . Hence  $\Delta \Upsilon'_{T_{n, n+1}}(\frac{2}{p}) = \Delta \Upsilon'_{T_{n, n+1}}(\frac{4}{p}) = n$ .

The conclusion follows from the fact that  $f(t)$  is a linear combination  $\sum c_i \Upsilon_{T_{n_i, n_i+1}}(t)$ . □

Using Theorem 1.2, we immediately obtain the following corollary.

**Corollary 3.5.** *If  $K$  is an algebraic knot, then  $\Delta \Upsilon'_K(\frac{2}{p}) = \Delta \Upsilon'_K(\frac{4}{p})$  for any odd integer  $p \geq 3$ .*

### 3.3. A family of nonalgebraic knots

Now we will consider the family of knots  $\{J_k\}_{k=3}^\infty$  where  $J_k = (T_{2,3})_{k,2k-1}$ . By Proposition 2.7, the formal semigroup  $S_{J_k} = \langle 2k - 1, 2k, 3k \rangle$ . The following corollary is an easy consequence of Theorem 3.3.

**Corollary 3.6.**  $\Upsilon_{J_k}(t) = -g(J_k) t$  for  $t \in [0, \frac{2}{2k-1}]$  and  $\Upsilon_{J_k}(t) > -g(J_k) t$  for  $t > \frac{2}{2k-1}$ .

The first singularity of  $\Upsilon_{J_k}(t)$  is at  $t = \frac{2}{2k-1}$ . We will show that the second singularity is at  $t = \frac{4}{k+1}$ .

**Lemma 3.7.**  $\Upsilon_{J_k}(t) = -2 - (g(J_k) - (2k - 1)) t$  for  $t \in [\frac{2}{2k-1}, \frac{4}{k+1}]$  and  $\Upsilon_{J_k}(t) \geq -6 - (g(J_k) - 3k) t$  for  $t \geq \frac{4}{k+1}$ .

*Proof.* Fix the integer  $k \geq 3$ . Abbreviate  $g(J_k) = g$ ,  $S_{J_k} = S$ ,  $\Upsilon_{J_k} = \Upsilon$ .

Taking  $m = 2k - 1$ , we have the linear function

$$-2\#(S \cap [0, m]) - t(g - m) = -2 - (g - (2k - 1)) t.$$

So  $\Upsilon(t) \geq -2 - (g - (2k - 1)) t$ .

To show  $\Upsilon_{J_k}(t) \leq -2 - (g - (2k - 1)) t$  on  $[\frac{2}{2k-1}, \frac{4}{k+1}]$ , we will consider the cases of  $m = 0$ ,  $0 < m \leq 2k - 1$ ,  $m = 2k$  and  $m > 2k$  separately.

If  $m = 0$ , then

$$\begin{aligned} & -2\#(S \cap [0, m]) - t(g - m) \\ &= -gt \leq -gt + (2k - 1)t - 2 = -2 - (g - (2k - 1)) t \end{aligned}$$

since  $t \leq \frac{2}{2k-1}$ .

If  $0 < m \leq 2k - 1$ , then

$$-2\#(S \cap [0, m]) - t(g - m) = -2 - (g - (2k - 1)) t$$

since  $t \leq \frac{2}{2k-1}$ .

If  $m = 2k$ , then

$$\begin{aligned} -2\#(S \cap [0, m]) - t(g - m) &= -4 - (g - 2k) t \\ &= -2 - (g - (2k - 1)) t - 2 + 2t \\ &\leq -2 - (g - (2k - 1)) t \end{aligned}$$

since  $t \leq 2$ .



If  $m > 2k$ , this final case is the most delicate one. Here are the details.

We claim that  $(k + 1)(\#(S \cap [0, m]) - 1) \geq 2(m - (2k - 1))$ .

This inequality can be simply verified as  $(k + 1)(3 - 1) \geq 2(m - (2k - 1))$  when  $m \leq 3k = 2k - 1 + (k + 1)$ . Without loss of generality, assume there is a positive integer  $n$  such that  $2k - 1 + n(k + 1) < m \leq 2k - 1 + (n + 1)(k + 1)$ . Since  $S$  is generated by  $2k - 1, 2k$  and  $3k$ , we have  $0, 2k - 1, 2k, 3k \in S$  and therefore  $4k - 1, 4k, 5k - 1, 5k, 6k - 1, 6k, \dots \in S$ . Clearly  $0, 2k - 1, 2k, 3k, 4k - 2, 4k - 1, 4k, 5k - 1, 5k, \dots, (2 + n)k - 1, (2 + n)k \in S \cap [0, m)$ . Thus  $\#(S \cap [0, m)) \geq 2(n + 1) + 1$  and therefore

$$\begin{aligned} (k + 1)(\#(S \cap [0, m)) - 1) &\geq (k + 1)(2(n + 1) + 1 - 1) \\ &= 2(2k - 1 + (n + 1)(k + 1) - (2k - 1)) \geq 2(m - (2k - 1)). \end{aligned}$$

The claim implies

$$\begin{aligned} &-2\#(S \cap [0, m)) - t(g - m) \\ &\leq -2\left(\frac{2(m - (2k - 1))}{k + 1} + 1\right) - tg + tm \\ &\leq \frac{-4(m - (2k - 1))}{k + 1} - 2 - gt + \frac{4}{k + 1}m \\ &= \frac{4(2k - 1)}{k + 1} - 2 - (g - (2k - 1))t - (2k - 1)t \\ &\leq \frac{4(2k - 1)}{k + 1} - 2 - (g - (2k - 1))t - (2k - 1)\frac{4}{k + 1} \\ &= -2 - (g - (2k - 1))t \end{aligned}$$

since  $t \leq \frac{4}{k + 1}$ .

To prove the second part of the lemma, take  $m = 3k$ . Then

$$-2\#(S \cap [0, m)) - t(g - m) = -6 - (g - 3k)t.$$

So  $\Upsilon(t) \geq -6 - (g - 3k)t$ . □

**Theorem 3.8.** *Let  $\mathcal{C}_A$  be the subgroup of  $\mathcal{C}$  generated by algebraic knots and  $\mathcal{G}$  be any subgroup of  $\mathcal{C}$  such that  $\mathcal{C}_A \subset \mathcal{G}$  and  $J_k \in \mathcal{G}, \forall k \geq 3$ . Then  $\{J_k\}_{k=3}^\infty$  generates a  $\mathbb{Z}^\infty$  direct summand of  $\mathcal{G}/\mathcal{C}_A$ .*

*Proof.* By Theorem 3.1(v) and Corollary 3.5, we know that

$$\lambda_k : K \mapsto \frac{1}{2k - 1} \Delta \Upsilon'_K \left( \frac{2}{2k - 1} \right) - \frac{1}{2k - 1} \Delta \Upsilon'_K \left( \frac{4}{2k - 1} \right)$$

is a well-defined homomorphism from  $\mathcal{G}/\mathcal{C}_A$  to  $\mathbb{Z}$  for any integer  $k \geq 2$ . By Corollary 3.6 and Lemma 3.7, we know that  $\lambda_k(J_k) = 1$  for any integer  $k \geq 3$ . Additionally,  $\lambda_i(J_k) = 0, \forall i > k$ . Hence  $\{J_k\}_{k=3}^{\infty}$  generates a  $\mathbb{Z}^{\infty}$  direct summand of  $\mathcal{G}/\mathcal{C}_A$  by [OSS17, Lemma 6.4].  $\square$

Summarizing, we have:

$$\begin{aligned} & \{\text{algebraic knots}\} \\ \subset & \{L\text{-space iterated torus knots}\} \\ \subset & \{L\text{-space knots whose formal semigroup is a semigroup}\} \\ & \text{(by Corollary 2.5)} \\ \subset & \{L\text{-space knots}\}. \end{aligned}$$

The knots  $\{J_k\}$  lie in the first gap. Question 2.8 asks whether the second gap is empty. Knots in Example 2.3 lie in the third gap.

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