

# Compact Kähler manifolds with positive orthogonal bisectional curvature

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In this short note, using Siu-Yau's method [14], we give a new proof that any  $n$ -dimensional compact Kähler manifold with positive orthogonal bisectional curvature must be biholomorphic to  $\mathbb{P}^n$ .

## 1. Introduction

In the celebrated paper [14], Siu and Yau presented a differential geometric proof of the famous Frankel conjecture in Kähler geometry, which states that a compact Kähler manifold  $M^n$  with positive bisectional curvature must be biholomorphic to  $\mathbb{P}^n$ . Using the method of Siu-Yau, Seaman [12] in 1993 proved that any compact Kähler manifold  $M^n$  with positive curvature on totally isotropic 2-planes must be biholomorphic to  $\mathbb{P}^n$ . On the other hand, there is also a concept of orthogonal bisectional curvature (cf. Definition 2.1 in this paper) for Kähler manifolds of dimension  $n \geq 2$ , which was introduced by Cao and Hamilton in the late 1980's. Note that the condition of positive orthogonal bisectional curvature is weaker than both the conditions of positive bisectional curvature and positive curvature on totally isotropic 2-planes for a Kähler manifold  $M^n$  with  $n \geq 2$ . Hence a natural question is whether a compact Kähler manifold  $M^n$  ( $n \geq 2$ ) with positive orthogonal bisectional curvature is biholomorphic to  $\mathbb{P}^n$ . Inspired by an observation of Cao and Hamilton in an unpublished work<sup>1</sup> that the nonnegativity of the orthogonal bisectional curvature is preserved under the Kähler-Ricci flow, Chen [3] in 2007 gave a positive answer, under the extra condition  $c_1(M) > 0$ , to this question by using the Kähler-Ricci flow method. Chen further asked whether this extra condition could be dropped. Later, H. Gu and Z. Zhang [7] in 2010 showed that  $c_1(M) > 0$  holds for any compact Kähler manifold

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H. T. Feng is partially supported by NSFC (Grant No. 11221091, 11271062, 11571184).

X. Y. Wan is partially supported by NSFC (Grant No. 11221091, 11571184) and the Ph.D. Candidate Research Innovation Fund of Nankai University.

<sup>1</sup>Their proof has since appeared, see Theorem 2.3 of [2].

$M^n$  with positive orthogonal bisectional curvature. Therefore, by combining the results of Chen and Gu-Zhang, one has

**Theorem 1.1.** *Let  $M$  be an  $n$ -dimensional compact Kähler manifold with positive orthogonal bisectional curvature, then  $M$  is biholomorphic to  $\mathbb{P}^n$ .*

In this note, we will give a new proof of the above theorem by using Siu-Yau's method [14], which answers a question of Chen raised in [3]. Note that Chen's proof depends heavily on the Kähler-Ricci flow techniques. More precisely, by assuming  $c_1(M) > 0$ , he can show that a Kähler metric with positive orthogonal bisectional curvature flows to a metric of positive holomorphic bisectional curvature, and then he got a proof of Theorem 1.1 by using Siu-Yau's result. Compared with Chen's proof, our proof is more direct and geometric.

This note is organized as follows. In Section 2, by computing directly the second variation of the energy of maps  $f : \mathbb{P}^1 \rightarrow (M, h)$ , we prove that an energy minimal map  $f$  must be holomorphic or conjugate holomorphic when  $(M, h)$  is a compact Kähler manifold with positive orthogonal bisectional curvature, which is the key step of our proof of Theorem 1.1. In Section 3, we will complete the proof of Theorem 1.1 by using Siu-Yau's method [14].

## 2. Complex analyticity of energy minimizing maps

In this section, we first compute the first and second variations of the total energy of smooth maps  $f : \mathbb{P}^1 \rightarrow (M, h)$ , and then prove the main result of this section that an energy minimal map  $f$  must be holomorphic or conjugate holomorphic when  $(M, h)$  is a compact Kähler manifold with positive orthogonal bisectional curvature. Note that Siu-Yau [14] used  $\bar{\partial}$ -energy in their proof.

Let  $\mathbb{P}^1$  be the complex projective space of complex dimension one with a fixed conformal structure  $\omega$  and  $M$  a compact Kähler manifold with a Kähler metric  $h$ . In local holomorphic coordinates on  $\mathbb{P}^1$  and  $M$ , we write  $\omega$  and  $h$  as following respectively:

$$\begin{aligned}\omega &= \lambda^2 dw \otimes d\bar{w}, \\ h &= \sum_{\alpha, \beta=1}^n h_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta.\end{aligned}$$

For any smooth map  $f : (\mathbb{P}^1, \omega) \rightarrow (M, h)$ , the energy of  $f$  can be written, with respect to  $h$ , as following:

$$(2.1) \quad \begin{aligned} E(f) &= \int_{\mathbb{P}^1} \left\langle \frac{\partial f}{\partial w}, \frac{\partial f}{\partial w} \right\rangle \sqrt{-1} dw \wedge d\bar{w} \\ &= \int_{\mathbb{P}^1} \left( f_w^\alpha \bar{f}_w^\beta + f_{\bar{w}}^\alpha \bar{f}_{\bar{w}}^\beta \right) h_{\alpha\bar{\beta}} \sqrt{-1} dw \wedge d\bar{w}, \end{aligned}$$

where the summation convention is used, and

$$(2.2) \quad f_w^\alpha = \frac{\partial f^\alpha}{\partial w}, \quad f_{\bar{w}}^\alpha = \frac{\partial f^\alpha}{\partial \bar{w}},$$

$$(2.3) \quad \frac{\partial f}{\partial w} := f_* \left( \frac{\partial}{\partial w} \right) = f_w^\alpha \frac{\partial}{\partial z^\alpha} + f_{\bar{w}}^\alpha \frac{\partial}{\partial \bar{z}^\alpha}.$$

Let  $\nabla^{Ch}$  be the Chern connection with respect to the Kähler metric  $h$ . The Christoffel symbols  $\Gamma_{\beta\gamma}^\alpha$  are defined by

$$\nabla_{\frac{\partial}{\partial z^\beta}}^{Ch} \frac{\partial}{\partial z^\gamma} = \Gamma_{\beta\gamma}^\alpha \frac{\partial}{\partial z^\alpha},$$

and given by

$$\Gamma_{\beta\gamma}^\alpha = h^{\bar{\delta}\alpha} \frac{\partial h_{\beta\bar{\delta}}}{\partial z^\gamma}.$$

Let  $f(t) : \mathbb{P}^1 \rightarrow M$ ,  $t \in \mathbb{C}$ ,  $|t| < \epsilon$ , be a family of smooth maps parametrized by an open disc in  $\mathbb{C}$ . Denote by  $D$  the pullback connection  $f(t)^*\nabla^{Ch}$ , then by definition,

$$(2.4) \quad \frac{D}{\partial w} = \nabla_{f(t)_* \frac{\partial}{\partial w}}^{Ch}, \quad \frac{D}{\partial \bar{w}} = \nabla_{f(t)_* \frac{\partial}{\partial \bar{w}}}^{Ch}, \quad \frac{D}{\partial t} = \nabla_{f(t)_* \frac{\partial}{\partial t}}^{Ch}, \quad \frac{D}{\partial \bar{t}} = \nabla_{f(t)_* \frac{\partial}{\partial \bar{t}}}^{Ch}.$$

Therefore,

$$(2.5) \quad \begin{aligned} dE(f) \left( \frac{\partial}{\partial t} \right) &= \int_{\mathbb{P}^1} \left( \left\langle \frac{D}{\partial t} \left( \frac{\partial f}{\partial w} \right), \frac{\partial f}{\partial w} \right\rangle + \left\langle \frac{\partial f}{\partial w}, \frac{D}{\partial \bar{t}} \left( \frac{\partial f}{\partial w} \right) \right\rangle \right) \sqrt{-1} dw \wedge d\bar{w} \\ &= \int_{\mathbb{P}^1} \left( \left\langle \frac{D}{\partial w} \left( \frac{\partial f}{\partial t} \right), \frac{\partial f}{\partial w} \right\rangle + \left\langle \frac{\partial f}{\partial w}, \frac{D}{\partial w} \left( \frac{\partial f}{\partial \bar{t}} \right) \right\rangle \right) \sqrt{-1} dw \wedge d\bar{w} \\ &= - \int_{\mathbb{P}^1} \left( \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial \bar{w}} \left( \frac{\partial f}{\partial w} \right) \right\rangle + \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial w} \left( \frac{\partial f}{\partial \bar{w}} \right) \right\rangle \right) \sqrt{-1} dw \wedge d\bar{w} \\ &= -2 \int_{\mathbb{P}^1} \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial \bar{w}} \left( \frac{\partial f}{\partial w} \right) \right\rangle \sqrt{-1} dw \wedge d\bar{w}, \end{aligned}$$

where the first identity uses the fact that  $D$  is compatible with the metric, the second and fourth equalities are by the torsion-freeness of  $D$ .

The harmonic map equation is

$$(2.6) \quad \frac{D}{\partial \bar{w}} \left( \frac{\partial f}{\partial w} \right) = 0 \quad \text{or} \quad \frac{D}{\partial w} \left( \frac{\partial f}{\partial \bar{w}} \right) = 0,$$

which is equivalent to

$$(2.7) \quad \frac{\partial^2 f^\alpha}{\partial w \partial \bar{w}} + \Gamma_{\beta\gamma}^\alpha f_w^\gamma f_{\bar{w}}^\beta = 0.$$

In the following, we compute the second variation of the energy (2.1). Note that similar formula has been derived by Moore from a different point of view ([10], (6)). Suppose that  $f$  is a harmonic map, i.e.  $f$  satisfies (2.6), then

$$\begin{aligned} (2.8) \quad D^2 E(f) \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial \bar{t}} \right) &= -2 \int_{\mathbb{P}^1} \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial t} \frac{D}{\partial \bar{w}} \left( \frac{\partial f}{\partial w} \right) \right\rangle \sqrt{-1} dw \wedge d\bar{w} \\ &= -2 \int_{\mathbb{P}^1} \left( \left\langle \frac{\partial f}{\partial t}, R \left( \frac{\partial f}{\partial t}, \frac{\partial f}{\partial \bar{w}} \right) \frac{\partial f}{\partial w} \right\rangle + \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial \bar{w}} \frac{D}{\partial t} \left( \frac{\partial f}{\partial w} \right) \right\rangle \right) \\ &\quad \times \sqrt{-1} dw \wedge d\bar{w} \\ &= 2 \int_{\mathbb{P}^1} \left( \left\langle \frac{D}{\partial w} \frac{\partial f}{\partial t}, \frac{D}{\partial w} \frac{\partial f}{\partial t} \right\rangle - \left\langle R \left( \frac{\partial f}{\partial \bar{t}}, \frac{\partial f}{\partial w} \right) \frac{\partial f}{\partial \bar{w}}, \frac{\partial f}{\partial \bar{t}} \right\rangle \right) \\ &\quad \times \sqrt{-1} dw \wedge d\bar{w} \\ &\quad - 2 \int_{\mathbb{P}^1} \frac{\partial}{\partial w} \left\langle \frac{\partial f}{\partial t}, \frac{D}{\partial t} \frac{\partial f}{\partial w} \right\rangle \sqrt{-1} dw \wedge d\bar{w} \\ &= 2 \int_{\mathbb{P}^1} \left( \left\langle \frac{D}{\partial w} \frac{\partial f}{\partial t}, \frac{D}{\partial w} \frac{\partial f}{\partial t} \right\rangle - \left\langle R \left( \frac{\partial f}{\partial \bar{t}}, \frac{\partial f}{\partial w} \right) \frac{\partial f}{\partial \bar{w}}, \frac{\partial f}{\partial \bar{t}} \right\rangle \right) \\ &\quad \times \sqrt{-1} dw \wedge d\bar{w} \\ &= 2 \int_{\mathbb{P}^1} \left( \left| \frac{D}{\partial w} \left( \frac{\partial f}{\partial t} \right) \right|^2 - \left\langle R \left( \frac{\partial f}{\partial \bar{t}}, \frac{\partial f}{\partial w} \right) \frac{\partial f}{\partial \bar{w}}, \frac{\partial f}{\partial \bar{t}} \right\rangle \right) \sqrt{-1} dw \wedge d\bar{w}, \end{aligned}$$

where the second equality is by definition of curvature operator

$$R \left( \frac{\partial f}{\partial \bar{t}}, \frac{\partial f}{\partial w} \right) = \frac{D}{\partial \bar{t}} \frac{D}{\partial w} - \frac{D}{\partial w} \frac{D}{\partial \bar{t}},$$

the fourth equality is given by the Stoke's Theorem.

By (2.3) and

$$\frac{\partial f}{\partial t} = f_t^\alpha \frac{\partial}{\partial z^\alpha} + \overline{f_t^\alpha} \frac{\partial}{\partial \bar{z}^\alpha},$$

we see that the curvature term in (2.8) is given by

$$(2.9) \quad \left\langle R \left( \frac{\partial f}{\partial \bar{t}}, \frac{\partial f}{\partial w} \right) \frac{\partial f}{\partial \bar{w}}, \frac{\partial f}{\partial \bar{t}} \right\rangle = R_{\alpha\bar{\beta}\gamma\bar{\delta}} \left( f_t^\alpha \overline{f_w^\beta} - f_w^\alpha \overline{f_t^\beta} \right) \left( f_{\bar{w}}^\gamma \overline{f_t^\delta} - f_t^\gamma \overline{f_w^\delta} \right),$$

since  $\langle R(X, Y)Z, W \rangle$  depends on  $X, Y, Z$  linearly, and it depends on  $W$  conjugate linearly, where  $R_{\alpha\bar{\beta}\gamma\bar{\delta}}$  is given by

$$(2.10) \quad \begin{aligned} R_{\alpha\bar{\beta}\gamma\bar{\delta}} &:= \left\langle R \left( \frac{\partial}{\partial z^\alpha}, \frac{\partial}{\partial \bar{z}^\beta} \right) \frac{\partial}{\partial z^\gamma}, \frac{\partial}{\partial \bar{z}^\delta} \right\rangle \\ &= -\frac{\partial^2 h_{\gamma\bar{\delta}}}{\partial z^\alpha \partial \bar{z}^\beta} + h^{\bar{\tau}\sigma} \frac{\partial h_{\gamma\bar{\tau}}}{\partial z^\alpha} \frac{\partial h_{\sigma\bar{\delta}}}{\partial \bar{z}^\beta}. \end{aligned}$$

Before giving the main result, we first recall the definition of positive orthogonal bisectional curvature.

**Definition 2.1.** A Kähler manifold  $(M, h)$  of dimension  $n \geq 2$  is said of positive orthogonal bisectional curvature if

$$(2.11) \quad R(X, \bar{X}, Y, \bar{Y}) > 0$$

for any nonzero vectors  $X, Y \in T^{1,0}M$  with  $\langle X, Y \rangle = 0$ .

The main result of this section is the following Theorem 2.2, which is also the key step in our proof of Theorem 1.1. The key point in the proof of Theorem 2.2 is that holomorphic and conjugate holomorphic sections are actually orthogonal to each other, which has been observed already in Siu [13] and Futaki [5].

**Theorem 2.2.** *Let  $(M, h)$  be a compact Kähler manifold of dimension  $n \geq 2$  with positive orthogonal bisectional curvature. Then any energy minimizing map  $f : \mathbb{P}^1 \rightarrow M$  must be holomorphic or conjugate holomorphic.*

*Proof.* Let  $f$  be an energy minimizing map. If  $f$  is neither holomorphic nor conjugate holomorphic, then we will get a contradiction. To show this, noticing that  $\dim H^0(\mathbb{P}^1, T\mathbb{P}^1) = 3$ , we can take a nonzero holomorphic vector field  $v \frac{\partial}{\partial w}$  of  $\mathbb{P}^1$ . Then by the assumption that  $f$  is neither holomorphic

nor conjugate holomorphic, the following two vector fields of type  $(1, 0)$

$$\begin{aligned}
 (2.12) \quad X &:= \left[ f_* \left( v \frac{\partial}{\partial w} \right) \right]^{(1,0)} = v \frac{\partial f^\alpha}{\partial w} \frac{\partial}{\partial z^\alpha}, \\
 Y &:= \left[ \overline{f_* \left( v \frac{\partial}{\partial w} \right)} \right]^{(1,0)} = \bar{v} \frac{\partial f^\alpha}{\partial \bar{w}} \frac{\partial}{\partial z^\alpha}
 \end{aligned}$$

are nonzero on  $M$ , where  $[\bullet]^{(1,0)}$  denotes the  $(1, 0)$ -part of a vector field. When there is no confusion, we also view  $X, Y$  as two sections of the pull-back bundle  $f^*TM$  over  $\mathbb{P}^1$ . Since  $f$  is a harmonic map, i.e., it satisfies (2.7), we have

$$(2.13) \quad \frac{D}{\partial w} Y = \bar{v} \frac{D}{\partial w} \left( \frac{\partial f^\alpha}{\partial \bar{w}} \frac{\partial}{\partial z^\alpha} \right) = \bar{v} \left( \frac{\partial^2 f^\alpha}{\partial w \partial \bar{w}} + f_w^\gamma f_{\bar{w}}^\beta \Gamma_{\beta\gamma}^\alpha \right) \frac{\partial}{\partial z^\alpha} = 0.$$

Now we take such variation direction,

$$(2.14) \quad \left. \frac{\partial f(t)}{\partial t} \right|_{t=0} = Y.$$

Therefore,

$$(2.15) \quad \left( \frac{D}{\partial w} \frac{\partial f}{\partial t} \right) \Big|_{t=0} = \frac{D}{\partial w} \left( \left. \frac{\partial f}{\partial t} \right|_{t=0} \right) = \frac{D}{\partial w} Y = 0.$$

Now by the second variation formula (2.8), we have

$$\begin{aligned}
 (2.16) \quad \frac{\partial^2 E(f)}{\partial t \partial \bar{t}} &= -2 \int_{\mathbb{P}^1} |v|^2 R_{\alpha\bar{\beta}\gamma\bar{\delta}} f_w^\alpha \overline{f_{\bar{w}}^\beta} f_w^\gamma \overline{f_{\bar{w}}^\delta} \sqrt{-1} dw \wedge d\bar{w} \\
 &= -2 \int_{\mathbb{P}^1} |v|^{-2} R(X, \bar{X}, Y, \bar{Y}) \sqrt{-1} dw \wedge d\bar{w},
 \end{aligned}$$

where  $|v|^{-2}R(X, \bar{X}, Y, \bar{Y})$  is taken to be zero at the zero points of  $v$ .

By (2.7) and (2.12), we have

$$(2.17) \quad \frac{D}{\partial w} X = v \left( \frac{\partial^2 f^\alpha}{\partial w \partial \bar{w}} + f_w^\gamma f_{\bar{w}}^\beta \Gamma_{\beta\gamma}^\alpha \right) \frac{\partial}{\partial z^\alpha} = 0.$$

By (2.13) and (2.17), we get

$$(2.18) \quad \frac{\partial}{\partial \bar{w}} \langle X, Y \rangle = \left\langle \frac{D}{\partial \bar{w}} X, Y \right\rangle + \left\langle X, \frac{D}{\partial w} Y \right\rangle = 0,$$

which implies that  $\langle X, Y \rangle$  is a holomorphic function on  $\mathbb{P}^1$ . Since  $\langle X, Y \rangle$  has zero points on  $\mathbb{P}^1$ , thus

$$(2.19) \quad \langle X, Y \rangle \equiv 0,$$

that is, the type-(1, 0) vector fields  $X, Y$  are orthogonal to each other and has only finite number of common zero points on  $M$ . Now by the assumption of positive orthogonal bisectional curvature, we get

$$(2.20) \quad \frac{\partial^2 E(f)}{\partial t \partial \bar{t}} = -2 \int_{\mathbb{P}^1} |v|^{-2} R(X, \bar{X}, Y, \bar{Y}) \sqrt{-1} dw \wedge d\bar{w} < 0.$$

On the other hand, since  $f$  is energy minimal, we get  $\frac{\partial^2 E(f)}{\partial t \partial \bar{t}} \geq 0$ , which gives a contradiction. Thus  $f$  must be a holomorphic or conjugate holomorphic map. □

### 3. The proof of Theorem 1.1

In this section, we prove Theorem 1.1 by using Siu-Yau’s method. To do this, we first give following two propositions.

The first proposition has been proved in [7]. However, for reader’s convenience, we present a direct proof of it, in which we will use the Bishop-Goldberg’s original statements (cf. [1] or [14], Theorem 3) to deal with the part of parallel real harmonic forms.

**Proposition 3.1.** *Let  $(M^n, h)$ ,  $n \geq 2$ , be a compact Kähler manifold with positive orthogonal bisectional curvature. Then the second betti number*

$$b_2(M) = 1$$

*and the first Chern class  $c_1(M)$  is positive.*

*Proof.* We first prove that  $b_{1,1}(M) := \dim H^{1,1}(M, \mathbb{R}) = 1$ . To do this it suffices to show that every real harmonic (1, 1)-form  $\alpha$  is a real multiple of the Kähler form  $\omega_M$  of  $(M, h)$ .

Denote  $E := T^*M$ , the Kähler metric  $h$  induces a Hermitian metric  $h^E$  on the holomorphic vector bundle  $E$ . Let  $\nabla = \nabla^{1,0} + \bar{\partial}$  be the Chern connection of the Hermitian holomorphic vector bundle  $(E, h^E)$ . Let  $(\nabla^{1,0})^*$  (resp.  $\bar{\partial}^*$ ) be the adjoint operator of  $\nabla^{1,0}$  (resp.  $\bar{\partial}$ ) with respect to the Kähler

metric  $h$  and the Hermitian metric  $h^E$ . Set

$$\Delta' = \nabla^{1,0}(\nabla^{1,0})^* + (\nabla^{1,0})^*\nabla^{1,0}, \quad \Delta'' = \bar{\partial}^*\bar{\partial} + \bar{\partial}\bar{\partial}^*.$$

Suppose that

$$\alpha = \sum_{i,j} \alpha_{i\bar{j}} d\bar{z}^j \wedge dz^i \in A^{0,1}(M, E).$$

Since  $\alpha$  is harmonic, by using Bochner-Kodaira-Nakano identity (cf. [4], Chapter VII, §1), we have

$$\begin{aligned} (3.1) \quad 0 &= \int_M \langle \Delta''\alpha, \alpha \rangle dV_{\omega_M} \\ &= \int_M \langle \Delta'\alpha, \alpha \rangle dV_{\omega_M} + \int_M \langle [\sqrt{-1}R^E, \Lambda]\alpha, \alpha \rangle dV_{\omega_M} \\ &= \int_M |\nabla^{1,0}\alpha|^2 dV_{\omega_M} + \int_M \langle [\sqrt{-1}R^E, \Lambda]\alpha, \alpha \rangle dV_{\omega_M}. \end{aligned}$$

where  $dV_{\omega_M} = \frac{\omega_M^n}{n!}$  and  $\Lambda$  is the dual operator of the wedge product by  $\omega_M$ . From  $R^E = [\nabla^{1,0}, \bar{\partial}^E]$ , we have locally

$$\begin{aligned} (3.2) \quad \langle [\sqrt{-1}R^E, \Lambda]\alpha, \alpha \rangle &= h^{\bar{l}s} h^{\bar{j}k} h^{\bar{m}i} \alpha_{k\bar{l}} \alpha_{i\bar{j}} R_{s\bar{m}} \\ &\quad - h^{\bar{l}s} h^{\bar{j}k} h^{\bar{m}m} h^{\bar{t}i} \alpha_{k\bar{l}} \alpha_{i\bar{n}} R_{s\bar{t}m\bar{j}}. \end{aligned}$$

Fix any point  $p \in M$ , we can choose a local coordinate system around  $p$  such that  $h_{i\bar{j}} = \delta_{ij}$  and  $\alpha_{i\bar{j}} = a_i \delta_{ij}$  at  $p$ . Then the positivity of orthogonal bisectional curvature implies that

$$(3.3) \quad \langle [\sqrt{-1}R^E, \Lambda]\alpha, \alpha \rangle(p) = \sum_{i < j} R_{i\bar{i}j\bar{j}} (a_i - a_j)^2 \geq 0.$$

Now by (3.1), we get

$$\nabla^{1,0}\alpha = 0 \quad \text{and} \quad \langle [\sqrt{-1}R^E, \Lambda]\alpha, \alpha \rangle = 0,$$

and so

$$\alpha = a\omega_M,$$

for some real smooth function  $a$ . Therefore, we obtain  $\partial a = 0$  from  $\nabla^{1,0}\alpha = 0$  and  $\nabla^{1,0}\omega = 0$ , and hence  $da = 0$  by  $a$  being real, that means that  $a$  is a



constant. Finally we get

$$(3.4) \quad b_{1,1}(M) = 1.$$

Now we prove that  $c_1(M) > 0$ . Suppose  $\{e_\alpha\}$  is an orthonormal basis of  $T^{1,0}M$  at one point  $p \in M$ , then for any  $\alpha \neq \beta$ , we have

$$(3.5) \quad \begin{aligned} &R(e_\alpha - e_\beta, \bar{e}_\alpha - \bar{e}_\beta, e_\alpha + e_\beta, \bar{e}_\alpha + \bar{e}_\beta) \\ &= R_{\alpha\bar{\alpha}\alpha\bar{\alpha}} + R_{\beta\bar{\beta}\beta\bar{\beta}} - R_{\alpha\bar{\beta}\alpha\bar{\beta}} - R_{\beta\bar{\alpha}\beta\bar{\alpha}} > 0. \end{aligned}$$

Similarly, changing  $e_\beta$  by  $\sqrt{-1}e_\beta$ , we have

$$(3.6) \quad R_{\alpha\bar{\alpha}\alpha\bar{\alpha}} + R_{\beta\bar{\beta}\beta\bar{\beta}} + R_{\alpha\bar{\beta}\alpha\bar{\beta}} + R_{\beta\bar{\alpha}\beta\bar{\alpha}} > 0.$$

Combining (3.5) and (3.6), we obtain that

$$(3.7) \quad R_{\alpha\bar{\alpha}\alpha\bar{\alpha}} + R_{\beta\bar{\beta}\beta\bar{\beta}} > 0.$$

By (3.7), we have the following computations on the scalar curvature  $R$  of  $(M, h)$ ,

$$(3.8) \quad \begin{aligned} R &= \sum_{\alpha, \beta} R_{\alpha\bar{\alpha}\beta\bar{\beta}} = \sum_{\alpha} \sum_{\beta \neq \alpha} R_{\alpha\bar{\alpha}\beta\bar{\beta}} + \sum_{\alpha} R_{\alpha\bar{\alpha}\alpha\bar{\alpha}} \\ &= \sum_{\alpha} \sum_{\beta \neq \alpha} R_{\alpha\bar{\alpha}\beta\bar{\beta}} + \frac{1}{2} \left( \sum_{\alpha=1}^n R_{\alpha\bar{\alpha}\alpha\bar{\alpha}} + \sum_{\beta=1}^n R_{\beta\bar{\beta}\beta\bar{\beta}} \right) \\ &= \sum_{\alpha} \sum_{\beta \neq \alpha} R_{\alpha\bar{\alpha}\beta\bar{\beta}} + \frac{1}{2} \sum_{\gamma=1}^{n-1} (R_{\gamma\bar{\gamma}\gamma\bar{\gamma}} + R_{\gamma+1\bar{\gamma+1}\gamma+1\bar{\gamma+1}}) \\ &\quad + \frac{1}{2} (R_{n\bar{n}n\bar{n}} + R_{1\bar{1}1\bar{1}}) \\ &> 0. \end{aligned}$$

By (3.4) and  $c_1(M) \in H^{1,1}(M, \mathbb{R})$ , we can assume that for some constant  $k$ ,

$$c_1(M) = k\omega_M.$$

So we have

$$k \int_M \omega_M^n = \int_M c_1(M) \wedge \omega_M^{n-1} = \frac{1}{n} \int_M R\omega_M^n > 0,$$

and so  $k > 0$  and  $c_1(M) > 0$ . Now by Kodaira vanishing theorem, we get  $H^2(M, \mathcal{O}_M) = 0$ , i.e.,  $b_{0,2}(M) = 0$ . Therefore,  $b_2(M) = b_{1,1}(M) + 2b_{0,2}(M) = 1$ .  $\square$

**Lemma 3.2.** *Let  $M$  be a compact Kähler manifold with positive orthogonal bisectional curvature. Let  $f : \mathbb{P}^1 \rightarrow M$  be a nontrivial holomorphic map, and  $L$  be a holomorphic quotient line bundle of  $f^*TM$ . Then  $c_1(L) > 0$ .*

*Proof.* Since holomorphic line bundles over  $\mathbb{P}^1$  are all of the form  $\mathcal{O}(k)$ ,  $k \in \mathbb{Z}$ , we assume by contradiction that  $L = \mathcal{O}(k)$ ,  $k \leq 0$ . Then  $L^* = \mathcal{O}(-k) \geq 0$ . It follows that there exists a nonzero holomorphic section  $s = s_\alpha dz^\alpha$  of  $L^*$ . Since  $L$  is a holomorphic quotient line bundle of  $f^*TM$ , it follows that  $L^*$  is a holomorphic subbundle of  $f^*T^*M$ . Then

$$\begin{aligned}
 (3.9) \quad \langle \sqrt{-1}f^*R^*s, s \rangle &= \sqrt{-1}(R^*)^{\alpha\bar{\beta}}_{\gamma\bar{\delta}} s_\alpha \bar{s}_\beta f_w^\gamma \bar{f}_w^\delta dw \wedge d\bar{w} \\
 &= \sqrt{-1}(-h^{\bar{\tau}\alpha} h^{\bar{\beta}\sigma} R_{\sigma\bar{\tau}\gamma\bar{\delta}}) s_\alpha \bar{s}_\beta f_w^\gamma \bar{f}_w^\delta dw \wedge d\bar{w} \\
 &= -\sqrt{-1}R_{\sigma\bar{\tau}\gamma\bar{\delta}}(h^{\bar{\beta}\sigma} \bar{s}_\beta)(\overline{h^{\bar{\alpha}\tau} s_\alpha}) f_w^\gamma \bar{f}_w^\delta dw \wedge d\bar{w} \\
 &= -\sqrt{-1}R_{\sigma\bar{\tau}\gamma\bar{\delta}}(h^{\bar{\beta}\sigma} \bar{s}_\beta)(\overline{h^{\bar{\alpha}\tau} s_\alpha}) v f_w^\gamma \bar{v} \bar{f}_w^\delta \frac{1}{|v|^2} dw \wedge d\bar{w} \\
 &= -\sqrt{-1}R(s^*, \bar{s}^*, X, \bar{X}) \frac{1}{|v|^2} dw \wedge d\bar{w},
 \end{aligned}$$

where  $X := v \frac{\partial f^\alpha}{\partial w} \frac{\partial}{\partial z^\alpha}$  which is defined by (2.12),  $s^* := h^{\bar{\alpha}\tau} \bar{s}_\alpha \frac{\partial}{\partial z^\tau}$ .

Note that

$$(3.10) \quad \langle s^*, X \rangle \equiv 0,$$

where the proof of (3.10) is the same as (2.19). By the positive orthogonal bisectional curvature condition, one has

$$(3.11) \quad \langle \sqrt{-1}f^*R^*s, s \rangle = -\sqrt{-1}R(s^*, \bar{s}^*, X, \bar{X}) \frac{1}{|v|^2} dw \wedge d\bar{w} < 0$$

almost everywhere on  $\mathbb{P}^1$ .

Since the curvature is decreasing on holomorphic subbundle, thus

$$(3.12) \quad -k = \int_{\mathbb{P}^1} c_1(L^*) \leq \int_{\mathbb{P}^1} \langle \sqrt{-1}f^*R^*s, s \rangle < 0,$$

which contradicts to the assumption  $k \leq 0$ . Thus  $c_1(L) > 0$ .  $\square$

The following proposition is an analogue of Siu-Yau’s Proposition 3 in [14].

**Proposition 3.3.** *Let  $M$  be a compact Kähler manifold with positive orthogonal bisectional curvature. Let  $C_0$  be a rational curve in  $M$  and  $f_0 : \mathbb{P}^1 \rightarrow C_0$  be its normalization. Then there exists a proper subvariety  $Z$  of  $P(TM)$  with the following property. If  $y \in M$  and  $\xi \in (TM)_y - 0$  define an element of  $P(TM) - Z$ , then there exists a holomorphic map  $f : \mathbb{P}^1 \rightarrow M$  homotopic to  $f_0$  ( when  $f_0$  is regarded as a map from  $\mathbb{P}^1$  to  $M$  ) such that  $y$  is a regular point of  $f(\mathbb{P}^1)$  and the tangent vector of  $f(\mathbb{P}^1)$  at  $y$  is a nonzero multiple of  $\xi$ .*

*Proof.* The proof is basically the same as Proposition 3 in [14] except at two places where they required  $TM$  to be positive in the sense of Griffiths, both of which follow from Lemma 3.2.

The first one is the proof of  $H^1(V, N_V) = 0$ :

Let  $V \subset \mathbb{P}^1 \times M$  denote the graph of  $f_0$ , where  $f_0$  is holomorphic map. Let  $\pi : \mathbb{P}^1 \times M \rightarrow \mathbb{P}^1$ ,  $\sigma : \mathbb{P}^1 \times M \rightarrow M$  be the natural projections. Since  $T(\mathbb{P}^1 \times M)$  is isomorphic to  $\pi^*T\mathbb{P}^1 \oplus \sigma^*TM$  and  $TV$  is isomorphic to  $\pi^*T\mathbb{P}^1$ , it follows that  $\sigma^*TM|_V$  is isomorphic to the normal bundle  $N_V$  of  $V$  in  $\mathbb{P}^1 \times M$ , that is,  $f_0^*TM$  is isomorphic to  $N_V$ . By a theorem of Grothendieck [6],  $f_0^*TM$  splits into a sum of holomorphic line bundles  $L_1, \dots, L_n$ . By Lemma 3.2, each  $L_i$  is a positive holomorphic line bundle over  $V$ . Then by the theorem of Riemann-Roch,  $H^1(V, L_i) = 0$  for each  $i$ , then  $H^1(V, f_0^*TM) = 0$ . Since  $f_0^*TM$  is isomorphic to  $N_V$ , it follows that  $H^1(V, N_V) = 0$ .

The second one is that when  $f_0^*TM/(T\mathbb{P}^1 \otimes [E])$  splits into a direct sum of holomorphic line bundles  $Q_2, \dots, Q_m$  over  $\mathbb{P}^1$ , then each  $Q_i$  is positive line bundle, and this fact also follows from Lemma 3.2 directly. □

We are now ready to prove Theorem 1.1.

*Proof.* By Proposition 3.1,  $M$  is a compact Kähler manifold with  $c_1(M) > 0$ , by a theorem of Yau [15], there exists a Kähler metric with positive Ricci form and so  $M$  is simply connected by Theorem A of Kobayashi in [8]. Thus  $\pi_2(M)$  and  $H_2(M, \mathbb{Z})$  are isomorphic. On the other hand,  $b_2(M) = 1$  by Proposition 3.1. Now by the universal coefficient theorem, we have  $H^2(M, \mathbb{Z}) \cong \mathbb{Z}$ . Hence, there exists a positive holomorphic line bundle  $F$  over  $M$  whose first Chern class  $c_1(F)$  is a generator of  $H^2(M, \mathbb{Z})$ . Let  $g$  be the generator of the free part of  $H_2(M, \mathbb{Z})$  such that  $c_1(F)[g] = 1$ . Since  $H_2(M)$  is isomorphic to  $\pi_2(M)$ , we regard  $g$  also as an element of  $\pi_2(M)$ . By

the famous theorem of Sacks-Uhlenbeck (cf. [11], [14]), there exist minimal harmonic maps  $f_i : \mathbb{P}^1 \rightarrow M$ ,  $0 \leq i \leq k$ , such that

- 1) the element of  $\pi_2(M)$  is defined by  $\sum_{i=0}^k f_i$  is  $g$  and
- 2)  $\sum_{i=0}^k E(f_i)$  equals the infimum of  $E(h)$  for all maps  $h : \mathbb{P}^1 \rightarrow M$  which define the element  $g$  in  $\pi_2(M)$ .

By Theorem 2.2, each  $f_i$  ( $0 \leq i \leq k$ ) is either holomorphic or conjugate holomorphic. So each  $f_i(\mathbb{P}^1)$  ( $0 \leq i \leq k$ ) is a rational curve. Since  $c_1(TM)$  is a positive integral multiple of  $c_1(F)$  and the value of  $c_1(F)$  at  $g$  is 1, it follows that at least one  $f_i$  is holomorphic. If  $k > 0$ , then at least one  $f_j$  is conjugate holomorphic. We distinguish now between two cases.

**Case 1.**  $k = 0$ .

The line bundle  $T\mathbb{P}^1 \otimes [E]$  is a subbundle of  $f_0^*TM$  and the quotient bundle  $(f_0^*TM)/(T\mathbb{P}^1 \otimes [E])$  splits into a direct sum of line bundles  $Q_2, \dots, Q_n$ , where  $E$  is the divisor of the differential  $df_0$  of  $f_0$  and  $[E]$  is the corresponding line bundle over  $\mathbb{P}^1$  associated to the divisor  $E$ . By Lemma 3.2, each  $Q_i$  ( $2 \leq i \leq n$ ) is a positive line bundle. It follows that

$$c_1(f_0^*TM) = c_1(\mathbb{P}^1) + c_1([E]) + \sum_{i=2}^n c_1(Q_i).$$

Hence  $c_1(TM)$  evaluated at  $g$  is  $\geq n + 1$ . That is,  $c_1(TM) = \lambda c_1(F)$  for some integer  $\lambda \geq n + 1$ . By the result of Kobayashi-Ochiai [9],  $M$  is biholomorphic to  $\mathbb{P}^n$ .

**Case 2.**  $k > 0$ . Without loss of generality we can assume that  $f_0$  is holomorphic and  $f_1$  is conjugate holomorphic. By Proposition 3.3 and the same proof as Siu-Yau [14], §6, we get a contradiction. Hence  $k = 0$  and  $M$  is biholomorphic to  $\mathbb{P}^n$ .  $\square$

### Acknowledgements

The authors would like to thank Professor Xiaokui Yang for his helpful suggestions in preparing this paper. The authors would like to thank the reviewers for their comments that help improve the paper.

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RECEIVED DECEMBER 30, 2015