

## ON COMPLEX SPACES WITH PRESCRIBED SINGULARITIES

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*To the memory of our unforgettable teacher and shining example Hans Grauert*

ABSTRACT. For a given complex space  $Y$  we construct a complex space  $X$  such that  $Sing(X) = Y$ .

## 1. Introduction

For a reduced complex space  $X$  we denote by  $Sing(X)$  the set of singular points of  $X$ . In this paper we are dealing with the following question: given a reduced complex space  $Y$ , does there exist a reduced complex space  $X$  such that  $Sing(X) = Y$ . We show that the answer is “yes”. Namely we prove the following theorem:

**Theorem 1.** *Let  $Y$  be a reduced complex space. Then there exists a reduced complex space  $X$  such that:*

- (1)  $Sing(X) = Y$ ,  $\dim(X) = \dim(Y) + 2$ .
- (2) *along  $Reg(Y)$ , the complex space  $X$  has only quadratic singularities, (i.e., the product of a complex manifold of dimension  $n = \dim(Y)$  and a surface with an isolated quadratic 2-dimensional singularity).*

*Moreover, if  $Y$  is normal then  $X$  can be chosen to be normal and if  $Y$  is locally irreducible then  $X$  can be chosen to be locally irreducible.*

If  $Y$  is a complex manifold the proof is trivial because one can choose  $X = Y \times S$  where  $S$  has only one singular point. Obviously this argument does not work if  $Sing(Y) \neq \emptyset$  because  $Sing(Y \times S) = Sing(Y) \times S \cup Y \times Sing(S)$ . To prove our main theorem we consider a resolution of singularities  $\pi : \tilde{Y} \rightarrow Y$  (which exists by the results of Bierstone and Milman [3], and Aroca, et al. [1]) and over  $\tilde{Y}$  we consider a rank 2 vector bundle  $E \rightarrow \tilde{Y}$ , which is relatively negative. On each fiber of  $E$  we have the equivalence relation  $x \sim (-x)$ . If we let  $F := E/\sim$  we obtain a locally trivial fibration  $\tau : F \rightarrow \tilde{Y}$  with typical fiber  $\{(z_1, z_2, z_3) \in \mathbb{C}^3 : z_1 z_2 = z_3^2\}$ , which has a quadratic two-dimensional isolated singularity. From  $F$  we get the desired complex space  $X$  by applying the relative Remmert quotient theorem (see [11]) and Wiegmann quotient theorem [15].

In the embedded case, i.e., if  $Y$  is a complex subspace of a complex manifold  $Z$ , we give another construction of  $X$  using only Wiegmann quotient theorem. In this particular case, we obtain:

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**Theorem 2.** *Suppose that  $Z$  is a complex manifold and  $Y$  is a closed subspace of  $Z$ . Then there exists a complex space  $X$  with the following properties:*

- (1)  $Sing(X) = Y$  and  $\dim(X) = \dim(Z) + 1$ .
- (2)  $X$  is locally irreducible.
- (3) The normalization of  $X$  is smooth and therefore  $X$  is not normal at any point of  $Y$ .
- (4) If  $Z$  is connected then  $X$  is irreducible.

### 2. Preliminaries

Throughout this paper all complex spaces are assumed to be reduced.

We recall that a complex space  $X$  is called holomorphically convex if the holomorphically convex hull of every compact subset is compact.

**Definition 1.** A holomorphic map of complex spaces  $\pi : X \rightarrow S$  is called holomorphically convex if for any point  $s \in S$  there exists an open neighborhood  $U$  of  $s$  such that  $X(U) := \pi^{-1}(U)$  is holomorphically convex. If for any point  $s$  we can find  $U$  such that  $X(U)$  is Stein then  $\pi$  is called a Stein morphism.

Knorr and Schneider in [11] proved the following result:

**Theorem 3.** *Suppose that  $\pi : X \rightarrow S$  is a holomorphically convex map between two complex spaces. Then there exists a complex spaces  $R$  and a holomorphic map  $\rho : X \rightarrow R$ , called the relative Remmert reduction of  $\pi$ , such that  $\rho_*\mathcal{O}_X = \mathcal{O}_R$  (so  $\rho$  is proper, surjective, and has connected fibers) and a commutative diagram*

$$\begin{array}{ccc}
 X & \xrightarrow{\rho} & R \\
 & \searrow \pi & \swarrow \sigma \\
 & & S
 \end{array}$$

with  $\sigma$  being a Stein morphism.

Throughout this paper a complex space  $X$  is called 1-convex if there exists a smooth exhaustion function  $\phi : X \rightarrow \mathbb{R}$  which is strictly plurisubharmonic outside a compact subset  $K \subset X$ .

**Definition 2.** A holomorphic map  $\pi : X \rightarrow S$  is called 1-convex if for any  $s \in S$  there exists an open neighborhood  $U$  of  $s$ , a  $C^\infty$  function  $\phi : X(U) \rightarrow \mathbb{R}$  and a real number  $c_0 \in \mathbb{R}$  such that:

- (1)  $\phi|_{\{x \in X(U) : \phi(x) > c_0\}}$  is 1-convex,
- (2) for every  $c \in \mathbb{R}$  we have that  $\pi|_{\{x \in X(U) : \phi(x) \leq c\}}$  is a proper map.

The following Theorem is Satz. 3.4 in [11], see also [14].

**Theorem 4.** *Every 1-convex map is holomorphically convex.*

We recall the definition of a relatively exceptional set given in [11].

**Definition 3.** Suppose that  $\pi : X \rightarrow S$  is a holomorphic map between two complex spaces and  $A \subset X$  is a closed analytic subset such that  $\pi|_A$  is proper and has nowhere

discrete fibers.  $A$  is called relatively exceptional with respect to  $\pi$  if there exists a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\Phi} & Y \\ & \searrow \pi & \swarrow \pi' \\ & S & \end{array}$$

where  $Y$  is a complex space and  $\pi'$  and  $\Phi$  are holomorphic maps, such that:

- (i)  $\pi'|_{\Phi(A)}$  has discrete fibers,
- (ii)  $\Phi$  induces a biholomorphism  $X \setminus A \rightarrow Y \setminus \Phi(A)$ ,
- (iii)  $\Phi_*(\mathcal{O}_X) = \mathcal{O}_Y$ .

**Definition 4.** If  $\pi : X \rightarrow S$  is a holomorphic map between two complex spaces and  $A$  is a closed analytic subset of  $X$ , then  $A$  is called maximally proper over  $S$  if  $\pi|_A$  is proper, has nowhere discrete fibers and for any closed analytic subset  $A'$  of  $X$  with these two properties we have  $A' \subset A$ .

The following result is Satz 5.4 of [11].

**Proposition 1.** *Suppose that  $\pi : X \rightarrow S$  is a holomorphic map and  $A \subset X$  is a closed analytic subspace of  $X$ . We assume that  $A$  has a neighborhood  $W$  such that  $\pi|_W$  is 1-convex and  $A$  is maximally proper over  $S$  in  $W$ . Then  $A$  is relatively exceptional with respect to  $S$ .*

We identify a vector bundle with the sheaf of germs of local sections in the bundle. Suppose that  $X$  is a compact complex space and  $p : E \rightarrow X$  is a holomorphic vector bundle of rank  $r$ . We let  $\pi : \mathbb{P}(E) \rightarrow X$  be the holomorphic fiber bundle for which  $\pi^{-1}(x)$  is the space of all  $(r-1)$ -dimensional linear subspaces of  $p^{-1}(x)$ . In general, for a coherent sheaf  $\mathcal{F}$  on  $X$  one can associate a projective variety over  $X$ ,  $\mathbb{P}(\mathcal{F})$ , obtaining in this way a contravariant functor. For details we refer to [8] and [5], Chapter 1. For the proof of the following theorem see [7] and [10].

**Theorem 5.** *The following statements are equivalent:*

- (a)  $L = \mathcal{O}_{\mathbb{P}(E)}(1)$  is ample.
- (b) For every coherent sheaf  $\mathcal{F}$  on  $X$  there exists a positive integer  $m_0$  such that  $H^q(X, \mathcal{F} \otimes S^m(E)) = 0$  for every  $q \geq 1, m \geq m_0$  ( $S^m(E)$  denotes the  $m$ -th symmetric power of  $E$ ).
- (c) For every coherent sheaf  $\mathcal{F}$  on  $X$  there exists a positive integer  $m_0$  such that  $\mathcal{F} \otimes S^m(E)$  is spanned by its global sections.
- (d) The zero section of  $E^*$  is exceptional.
- (e) The zero section of  $E^*$  has a strongly pseudoconvex neighborhood.

A vector bundle is called ample if the above equivalent conditions are satisfied. A vector bundle is called negative if its dual is ample.

We will need the following generalization in the relative case. Suppose that  $\pi : X \rightarrow S$  is a proper holomorphic map and  $p : E \rightarrow X$  is a holomorphic vector bundle.

**Definition 5.** (a)  $E$  is called relatively negative if its restriction to every fiber of  $\pi^{-1}(s)$  is negative in the sense of Grauert, i.e., the null-section has a strictly pseudoconvex neighborhood.

- (b)  $E$  is called relatively ample if its dual  $E^*$  is relatively negative.
- (c)  $\pi : X \rightarrow S$  is called relatively ample if there exists a relatively ample line bundle  $p : L \rightarrow X$ .

For the next Lemma see Corollary 2.7 in [13]

**Lemma 1.** *Suppose that  $s_0$  is a point in  $S$  and  $E|_{\pi^{-1}(s_0)}$  is negative. Then there exists a neighborhood  $U$  of  $s_0$  such that  $\pi \circ p$  is a 1-convex morphism on  $p^{-1}(\pi^{-1}(U))$ .*

**Corollary 1.** *If  $\pi$  has nowhere discrete fibers then  $E$  is relatively negative iff its null-section is relatively exceptional.*

**Remark:** For more general results concerning the relative blowing down of complex spaces, see [6].

Suppose now that  $X$  and  $Y$  are complex spaces,  $f : X \rightarrow Y$  is a proper holomorphic map, and  $L \rightarrow X$  a holomorphic line bundle. It was proved in [13], Theorem 3.6, (using the results on 1-convex morphisms obtained in [11]) that  $L$  is relatively ample with respect to  $f$  if and only if for every coherent sheaf  $\mathcal{F}$  on  $X$  and every compact set  $K \subset Y$  there exists a positive integer  $n_0 = n_0(K, \mathcal{F})$  such that  $R^q f_*(\mathcal{F}(n)) = 0$  on  $K$  for every  $n \geq n_0$  and every  $q \geq 1$  ( $\mathcal{F}(n)$  stands for  $\mathcal{F} \otimes L^n$ ). At the same time in [2], chapter 4, Théorème 4.1, it was shown that this last property implies that for every point  $y \in Y$  there exists a neighborhood  $V$  of  $y$  and a large enough positive integer  $n$  such that, on  $f^{-1}(V)$ , the canonical morphism  $f^{-1}(V) \rightarrow \mathbb{P}(f_*(L^n))$  is an embedding. Moreover, in the proof of this theorem of [2] (page 179) it was shown that by further increasing  $n$  we obtain that for every relatively compact open subset  $U$  of  $Y$  the canonical morphism  $f^{-1}(U) \rightarrow \mathbb{P}(f_*(L^n))$  is an embedding for  $n$  large enough ( $n$  depending on  $U$ ). Therefore putting together Theorem 3.6 in [13] and Theorem 4.1, chapter 4 in [2], when  $X$  and  $Y$  are compact, we have:

**Theorem 6.** *If  $X$  and  $Y$  are compact complex spaces,  $f : X \rightarrow Y$  is a holomorphic map, and  $L \rightarrow X$  a holomorphic line bundle, the following are equivalent:*

- (a)  $L$  is relatively ample with respect to  $f$ .
- (b) There exists  $n_0$  such that  $R^q f_*(\mathcal{F}(n)) = 0$  for every  $n \geq n_0$  and every  $q \geq 1$ .
- (c) There exists  $n_0$  such that the canonical morphism  $f^* f_* \mathcal{F}(n) \rightarrow \mathcal{F}(n)$  is surjective for every  $n \geq n_0$ .
- (d) There exists  $n_1$  such that  $X \rightarrow \mathbb{P}(f_*(L^n))$  is an embedding for  $n \geq n_1$ .

**Remark.** From (c) we have an embedding  $X \hookrightarrow \mathbb{P}(f^* f_* L^n) = \mathbb{P}(f_* L^n) \times_Y X$ , hence a map  $X \rightarrow \mathbb{P}(f_* L^n)$ . Condition (d) means that increasing  $n$  this map becomes an embedding.

The following Lemma is a folklore result (see e.g. [9] Exercise 5.12). For reader's convenience we provide a proof.

**Lemma 2.** *Suppose that  $X$  and  $Y$  are compact complex spaces,  $f : X \rightarrow Y$  a holomorphic map,  $G \rightarrow Y$  an ample line bundle and  $L \rightarrow X$  a relatively ample line bundle with respect to  $f$ . Then  $L \otimes f^* G$  is ample on  $X$ .*

*Proof.* Using Theorem 6, we choose a positive integer  $n$  such that we have an embedding  $j$  over  $Y$ :

$$\begin{array}{ccc} X & \xrightarrow{j} & \mathbb{P}(f_*L^n) \\ & \searrow & \swarrow \\ & Y & \end{array}$$

such that  $L^n = j^*(\mathcal{O}(1))$ . By [8], Proposition 1.5, if  $\mathcal{F}_1 \rightarrow \mathcal{F}_2$  is a sheaf epimorphism then one has an embedding  $\mathbb{P}(\mathcal{F}_2) \hookrightarrow \mathbb{P}(\mathcal{F}_1)$  over  $Y$ , which is linear over each fiber. Since  $G$  is ample it follows that, for  $\nu$  large enough,  $f_*L^n \otimes G^\nu$  is generated by global sections. Hence we have an epimorphism  $\mathcal{O}_Y^k \rightarrow f_*L^n \otimes G^\nu$  for some  $k$ . Because  $G$  is a line bundle we have that  $\mathbb{P}(f_*L^n \otimes G^\nu) = \mathbb{P}(f_*L^n)$ . Passing to the associated projective spaces, we get an embedding  $h : \mathbb{P}(f_*L^n) \hookrightarrow Y \times \mathbb{P}^{k-1}$  over  $Y$  such that  $\mathcal{O}(1)$  over  $\mathbb{P}(f_*L^n)$  is the pull-back by  $h$  of the hypersection bundle of  $\mathbb{P}^{k-1}$ . Composing with  $j$  and using again the ampleness of  $G$  we get that  $L^n \otimes f^*G^\mu$  is ample for every  $\mu$ . In particular it is ample for  $\mu = n$  and this in turn implies that  $L \otimes f^*G$  is ample.  $\square$

We will briefly recall some facts about desingularization of complex spaces (see [3]).

Let  $X$  be a complex space and  $Z \subset X$  a smooth closed complex subspace. For any point  $x_0 \in X$  we choose  $U$  an open neighborhood of  $x_0$  together with a closed embedding  $U \hookrightarrow B \Subset \mathbb{C}^N$  where  $B$  is an open ball in  $\mathbb{C}^N$ . Then  $Z$  corresponds to a complex submanifold  $W$  of  $B$  and we consider the blow-up of  $B$  with center  $W$ . In this blow-up we consider the proper transform of  $U$  and in this way we obtain the blow-up of  $U$  with center  $U \cap Z$ . This construction does not depend on the local embedding and the local blow-ups patch-up to get the blow-up of  $X$  with (smooth) center  $Z$ .

The following result (Theorem 13.4 of [3]) is the fundamental theorem of global desingularization of complex spaces.

**Theorem 7.** *Any complex space  $X$  admits a desingularization  $\pi : \tilde{X} \rightarrow X$  such that  $\pi$  is the composition of a locally finite sequence of blow-ups with smooth centers and  $\pi^{-1}(\text{Sing}(X))$  is a divisor with normal crossings in  $\tilde{X}$ .*

In this theorem locally finite means that on compact sets all but finitely many blow-ups are trivial.

**Corollary 2.** *The desingularization  $\pi : \tilde{X} \rightarrow X$  given by Theorem 7 is relatively ample, the relatively ample line bundle  $p : L \rightarrow \tilde{X}$  corresponding to the exceptional divisor of  $\pi$ .*

*Proof.* Let

$$\dots \rightarrow X_3 \xrightarrow{\pi_3} X_2 \xrightarrow{\pi_2} X_1 \xrightarrow{\pi_1} X$$

be the sequence of blow-ups given by Theorem 7 and  $L_j \rightarrow X_j$  the line bundle corresponding to the exceptional divisor of  $\pi_j$ . Each  $L_j$  is relatively ample with respect to  $\pi_j$ .

Suppose that  $x$  is a point in  $X$ . We consider the restrictions of  $L_1$  and  $L_2$  to  $\pi_1^{-1}(x)$  and, respectively,  $(\pi_1 \circ \pi_2)^{-1}(x)$  and we denote them by  $L_1 \rightarrow \pi_1^{-1}(x)$  and  $L_2 \rightarrow (\pi_1 \circ \pi_2)^{-1}(x)$ . We have that  $L_1 \rightarrow \pi_1^{-1}(x)$  is ample and  $L_2 \rightarrow (\pi_1 \circ \pi_2)^{-1}(x)$

is relatively ample with respect to  $\pi_2$ . We apply Lemma 2 and we deduce that  $L_2 \otimes \pi_2^*(L_1) \rightarrow (\pi_1 \circ \pi_2)^{-1}(x)$  is ample.

We conclude that  $L_2 \otimes \pi_2^*(L_1) \rightarrow X$  is relatively ample with respect to  $\pi_1 \circ \pi_2$ . We continue inductively this procedure and we obtain that the line bundle  $L$  defined, by abuse of notation, by  $L = \otimes_{i \in \mathbb{N}} L_i \rightarrow \tilde{X}$  is relatively ample with respect to  $\pi$ .

The infinite tensor product of line bundles (and the entire construction) makes sense since the sequence of blow-ups is locally finite. □

**Definition 6.** ([15]) Suppose that  $(X, \mathcal{O}_X)$  is a complex space,  $F$  is a subset of  $\mathcal{O}_X(X)$  and let  $\phi_F : X \rightarrow \mathbb{C}^F$ ,  $\phi_F(x) = (f(x))_{f \in F}$ .

- (a)  $(X, \mathcal{O}_X)$  is called  $F$ -separable if  $\phi_F$  is injective.
- (b)  $(X, \mathcal{O}_X)$  is called  $F$ -convex if  $\phi_F$  is proper.

$F$ -separable means that functions in  $F$  separate the points of  $X$  and  $F$ -convex means that for every discrete sequence  $\{x_n\}$  in  $X$  there exists a function  $f \in F$  such that  $\{|f(x_n)|\}$  is unbounded.

The following theorem, generalizing a result of Remmert, was proved by Wiegmann [15].

**Theorem 8.** *Suppose that  $(X, \mathcal{O}_X)$  is a reduced complex space and  $F$  is a subalgebra of  $\mathcal{O}_X(X)$  such that  $(X, \mathcal{O}_X)$  is  $F$ -convex. Then there exists an  $F$ -convex and  $F$ -separable reduced Stein space  $(Y, \mathcal{O}_Y)$  together with a proper surjective holomorphic mapping  $p : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  such that if  $\pi : \mathcal{O}_Y(Y) \rightarrow \mathcal{O}_X(X)$  is the induced morphism of  $\mathbb{C}$ -algebras then  $\pi(\mathcal{O}_Y(Y)) \supset F$ . Moreover,  $(Y, \mathcal{O}_Y)$  is unique, up to isomorphism, with these properties, if  $F$  is closed in  $\mathcal{O}_X(X)$  then  $\pi(\mathcal{O}_Y(Y)) = F$  and if  $F = \mathcal{O}_X(X)$  then  $\pi$  is an isomorphism.*

The complex space  $(Y, \mathcal{O}_Y)$  is called the Remmert reduction of  $(X, \mathcal{O}_X)$  with respect to  $F$  and is denoted by  $R_F(X, \mathcal{O}_X)$ . Note that Remmert’s theorem corresponds to the case  $F = \mathcal{O}_X(X)$ .

For a complex space  $(Z, \mathcal{O}_Z)$  we let  $T(Z, \mathcal{O}_Z)$  be the underlying topological space  $Z$  and, for an open subset  $U$  of  $Z$ ,  $\Gamma_U(Z, \mathcal{O}_Z) = \mathcal{O}_Z(U)$ . We recall briefly Wiegmann’s construction. The topological space  $T(R_F(X, \mathcal{O}_X))$  is defined as  $T(R_F(X, \mathcal{O}_X)) = X / \sim$  and  $p$  is the quotient map, where, for  $x_1, x_2 \in X$ ,  $x_1 \sim x_2$  if and only if  $f(x_1) = f(x_2)$  for every  $f \in F$ . The structure sheaf is defined as follows. For  $y \in T(R_F(X, \mathcal{O}_X))$  let  $m_y$  be the ideal of  $F$  that contains all function  $f \in F$  that vanish on  $p^{-1}(y)$ . For every open subset  $U$  of  $T(R_F(X, \mathcal{O}_X))$ ,  $\Gamma_U(R_F(X, \mathcal{O}_X))$  is the algebra of all functions  $g \in \mathcal{O}_X(p^{-1}(U))$  such that for every point  $y \in U$  there exists a positive integer  $k$ , a convergent power series  $\sum_{i_1, \dots, i_k} c_{i_1, \dots, i_k} T_1^{i_1} \cdots T_k^{i_k} \in \mathbb{C}[[T_1, \dots, T_k]]$  and  $f_1, \dots, f_k \in m_y$  such that  $\sum_{i_1, \dots, i_k} c_{i_1, \dots, i_k} f_1^{i_1} \cdots f_k^{i_k}$  converges uniformly to  $g$  on a neighborhood of  $p^{-1}(y)$ .

**Lemma 3.** *Suppose that  $(X, \mathcal{O}_X)$  is a reduced complex space,  $F$  and  $G$  are two subalgebras of  $\mathcal{O}_X(X)$  such that  $(X, \mathcal{O}_X)$  is  $F$ -convex,  $F \subset G$  and  $F$  is dense in  $G$ . Then the canonical morphism  $R_F(X, \mathcal{O}_X) \rightarrow R_G(X, \mathcal{O}_X)$  is an isomorphism.*

*Proof.* It follows from the discussion after Theorem 8 that if  $F$  is a dense subset of  $G$  then  $T(R_F(X, \mathcal{O}_X)) = T(R_G(X, \mathcal{O}_X))$  and that for every open subset  $U$  of  $T(R_F(X, \mathcal{O}_X))$  we have  $\Gamma_U(R_F(X, \mathcal{O}_X)) \subset \Gamma_U(R_G(X, \mathcal{O}_X))$ .

Let  $\bar{F}$  be the closure of  $F$  (hence  $G \subset \bar{F}$ ) and let  $Y := T(R_F(X, \mathcal{O}_X))$ . We have then  $F \subset \Gamma_Y(R_F(X, \mathcal{O}_X)) \subset \Gamma_Y(R_G(X, \mathcal{O}_X)) \subset \Gamma_Y(R_{\bar{F}}(X, \mathcal{O}_X)) = \bar{F}$ . As  $\Gamma_Y(R_F(X, \mathcal{O}_X))$  and  $\Gamma_Y(R_G(X, \mathcal{O}_X))$  are closed in  $\mathcal{C}(Y)$  (the algebra of continuous functions on  $Y$ ) and  $\bar{F}$  is the smallest closed subset containing  $F$ , it follows that the map  $\Gamma_Y(R_F(X, \mathcal{O}_X)) \rightarrow \Gamma_Y(R_G(X, \mathcal{O}_X))$  is bijective. As both  $R_F(X, \mathcal{O}_X)$  and  $R_G(X, \mathcal{O}_X)$  are reduced Stein spaces it follows that the canonical morphism  $R_F(X, \mathcal{O}_X) \rightarrow R_G(X, \mathcal{O}_X)$  is an isomorphism.  $\square$

In Wiegmann’s theorem one needs  $X$  to be  $F$ -convex. In particular,  $X$  has to be  $\mathcal{O}_X(X)$ -convex which is a strong global condition. On the other hand, it may happen that  $\mathcal{O}_X(X) = \mathbb{C}$  (e.g., if  $X$  is compact) and then the Remmert reduction is just a point. For our purpose we need to apply Wiegmann’s theorem *locally*. To be able to do this, we need a “patching” result. This is the purpose of the following proposition.

**Proposition 2.** *Suppose that  $(X, \mathcal{O}_X)$  is a reduced complex space and  $\{V_i\}_{i \in \mathbb{N}}$  is a locally finite open covering of  $X$ . Let  $F_i$  be a closed subalgebra of  $\mathcal{O}_X(V_i)$ ,  $\sim_i$  be the equivalence relation on  $V_i$  induced by  $F_i$  ( $x_1 \sim_i x_2$  iff  $f(x_1) = f(x_2) \forall f \in F_i$ ), and  $F_{ij} = F_{ji}$  be a closed subalgebra of  $\mathcal{O}_X(V_i \cap V_j)$ . We assume that:*

- (a)  $\mathcal{O}_X|_{V_i}$  is  $F_i$ -convex,
- (b)  $F_i|_{V_i \cap V_j}$  is a dense subset of  $F_{ij}$  for every  $i, j \in \mathbb{N}$ ,
- (c)  $V_i \cap V_j$  is saturated with respect to  $\sim_i$  for every  $i, j \in \mathbb{N}$ .

*Then there exists a reduced complex space  $(Y, \mathcal{O}_Y)$ , a proper holomorphic map  $p : X \rightarrow Y$  and an open covering  $\{U_i\}_i$  of  $Y$  such that  $(U_i, \mathcal{O}_Y|_{U_i})$  is isomorphic to  $R_{F_i}(V_i, \mathcal{O}_X|_{V_i})$  and  $p|_{U_i}$  is the canonical morphism given by Theorem 8.*

*Proof.* We define the following relation on  $X$ :  $x \sim y$  if and only if there exists  $i \in \mathbb{N}$  such that  $x, y \in V_i$  and  $x \sim_i y$ . Note that if  $x \in V_i, y \in V_i \cap V_j$  and  $x \sim_i y$  then using (c) we get that  $x \in V_i \cap V_j$  and by (b) and Lemma 3 we get that  $x \sim_j y$ . This shows that  $\sim$  is an equivalence relation. Moreover, each  $V_i$  is saturated with respect to  $\sim$ . Let  $Y = X/\sim$ , endowed with the quotient topology, and  $p : X \rightarrow Y$  be the quotient map. We set  $U_i = p(V_i)$  which is an open subset of  $Y$ . By Wiegmann’s construction of  $R_{F_i}(V_i, \mathcal{O}_X|_{V_i})$  explained above we have that  $T(R_{F_i}(V_i, \mathcal{O}_X|_{V_i})) = U_i$ . We define the structure sheaf  $\mathcal{O}_Y$  as follows: if  $\Omega$  is an open subset of  $Y$  and  $f \in \mathcal{C}(\Omega)$  then  $f \in \mathcal{O}_Y(\Omega)$  if and only if for every point  $y \in U_i$  for some  $i \in I$  there exists  $D$  an open subset of  $Y$  such that  $D \subset \Omega \cap U_i$  and  $f|_D \in \Gamma_D(R_{F_i}(V_i, \mathcal{O}_X|_{V_i}))$ . By Lemma 3 this definition does not depend on the choice of  $i$ . The fact that  $(U_i, \mathcal{O}_Y|_{U_i})$  is isomorphic to  $R_{F_i}(V_i, \mathcal{O}_X|_{V_i})$  follows from the construction of the relative Remmert reduction.  $\square$

**Example.** Suppose that  $X = \mathbb{P}^1$ . Let  $B_1, B_2, B_3$  be three balls (in local coordinate charts) such that  $B_1 \cup B_2 \cup B_3 = \mathbb{P}^1$  and  $B_i \cap B_j$  is Runge in  $B_i$  for every  $i, j \in \{1, 2, 3\}$ . We assume that  $a := [0 : 1] \in B_1 \setminus (\bar{B}_2 \cup \bar{B}_3)$ . Let  $F_2 = F_{22} = \mathcal{O}(B_2), F_3 = F_{33} = \mathcal{O}(B_3), F_1 = F_{11} = \{f \in \mathcal{O}(B_1) : f'(a) = 0\}$  and, for  $i \neq j, F_{i,j} = \mathcal{O}(B_i \cap B_j)$ . Then we are in the hypothesis of Proposition 2. Note that a holomorphic function  $f$ , defined in a neighborhood of the origin  $0 \in \mathbb{C}$ , satisfies  $f'(0) = 0$  if and only if there exists a holomorphic function  $F$  of two variables, defined in a neighborhood of the origin in  $\mathbb{C}^2$ , such that  $f(z) = F(z^3, z^2)$  and the map  $z \rightarrow (z^3, z^2)$  is a parameterization of the cusp singularity  $\{(x, y) \in \mathbb{C}^2 : x^2 = y^3\}$ .

We deduce that the complex space that we obtain by applying Proposition 2 is  $Y = \{[z_0 : z_1 : z_2] \in \mathbb{P}^2 : z_0^2 z_2 = z_1^3\}$  and  $p : \mathbb{P}^1 \rightarrow Y$  is given by  $p([x_0 : x_1]) = [x_0^3 : x_0^2 x_1 : x_1^3]$ .

### 3. The results

**Lemma 4.** *If  $X$  is a complex space then any open covering has a locally finite open refinement  $\{\Omega_m\}_{m \in \mathbb{N}}$  such that  $\Omega_m$  is Stein for every  $m \in \mathbb{N}$  and the pair  $(\Omega_{m_1}, \Omega_{m_1} \cap \Omega_{m_2})$  is Runge for every  $m_1, m_2 \in \mathbb{N}$ .*

*Proof.* We consider  $\{W_j\}_{j \in \mathbb{N}}, \{V_j\}_{j \in \mathbb{N}}, \{U_j\}_{j \in \mathbb{N}}$  locally finite countable open covering of  $X$  such that  $\{U_j\}_{j \in \mathbb{N}}$  is a refinement of the given covering,  $W_j \Subset V_j \Subset U_j$  and  $U_j$  is Stein for every  $j \in \mathbb{N}$ . For each  $j \in \mathbb{N}$  and each  $x \in \overline{W}_j$  we choose  $\phi_{j,x} : U_j \rightarrow [0, \infty)$  a plurisubharmonic function such that:

- (a)  $\phi_{j,x}(x) = 0$  and  $\{z \in U_j : \phi_{j,x}(z) < 1\} \subset V_j$ ,
- (b) if, for some  $k \in \mathbb{N}$ ,  $\{z \in U_j : \phi_{j,x}(z) < 1\} \cap \overline{V}_k \neq \emptyset$  then  $\{z \in U_j : \phi_{j,x}(z) < 1\} \subset U_k$ .

Then  $\{z \in U_j : \phi_{j,x}(z) < 1\}_{x \in \overline{W}_j}$  is an open covering of  $\overline{W}_j$ . We extract a finite subcovering  $\{z \in U_j : \phi_{j,s}(z) < 1\}_{s \in A_j}$  where  $A_j$  is a finite set and we set  $\Omega_{j,s} := \{z \in U_j : \phi_{j,s}(z) < 1\}$ . The  $\{\Omega_{j,s}\}_{j,s}$  is a locally finite open covering of  $X$ . Since  $\phi_{j,x}$  is plurisubharmonic on  $U_j$  each  $\Omega_{j,s}$  is Stein. On the other hand, if  $\Omega_{j,s} \cap \Omega_{k,l} \neq \emptyset$ , as  $\Omega_{k,l} \subset V_k$ , we have that  $\Omega_{j,s} \cap V_k \neq \emptyset$  and hence by property (b) above we have that  $\Omega_{j,s} \subset U_k$ . This implies that  $\Omega_{j,s} \cap \Omega_{k,l} = \{z \in \Omega_{j,s} : \phi_{k,l}(z) < 1\}$  which is Runge in  $\Omega_{j,s}$ , see [12]. If we choose a bijection  $\chi : \mathbb{N} \rightarrow \{(j, s) : j \in \mathbb{N}, s \in A_j\}$  and we set  $\Omega_m := \Omega_{\chi(m)}$  we get the desired family. □

*Proof of Theorem 1.* Let  $\nu : Y_1 \rightarrow Y$  be the normalization map and  $\tau : Z \rightarrow Y_1$  be a desingularization map which is relatively ample. Let  $p : L \rightarrow Z$  be a relatively negative line bundle (which exists by Corollary 2) and set  $E := L \oplus L$ .

Let  $\sigma : \mathbb{C}^2 \rightarrow \mathbb{C}^2, \sigma(w) = -w$ . Clearly  $\sigma \circ \sigma$  is the identity of  $\mathbb{C}^2$  and therefore we obtain a linear action of  $\mathbb{Z}_2$  on  $\mathbb{C}^2$ . It is easy to see that  $\mathbb{C}^2/\mathbb{Z}_2$  is isomorphic to  $\{(z_1, z_2, z_3) \in \mathbb{C}^3 : z_1 z_2 = z_3^2\}$  which is a normal surface with only one singular point of quadratic type. By linearity we obtain an action of  $\mathbb{Z}_2$  on any vector bundle and in particular on the vector bundle  $E$  defined above. Let  $\tilde{E}$  be the quotient space of  $E$  through this action. We get then a locally trivial fibration  $\tilde{p} : \tilde{E} \rightarrow Z$  with typical fiber  $\{(z_1, z_2, z_3) \in \mathbb{C}^3 : z_1 z_2 = z_3^2\}$ . Note that  $Sing(\tilde{E}) = Z$  (the zero section). The composition  $f := \tau \circ \tilde{p} : \tilde{E} \rightarrow Y_1$  is 1-convex, and hence is a holomorphically convex map. Thus we can consider the relative Remmert quotient associated to  $f$ . We obtain a complex space  $W_1$  together with a map  $g : \tilde{E} \rightarrow W_1$  such that  $g_* \mathcal{O}_{\tilde{E}} = \mathcal{O}_{W_1}$ . We get then a closed embedding  $\sigma : Y_1 \hookrightarrow W_1$ . Via this embedding  $Y_1$  is the image through  $g$  of the null-section of  $\tilde{E}$ . Note that  $g$  is biholomorphic outside the null-section and hence  $W_1$  has singularities precisely on  $Y_1$ . There is a natural holomorphic retraction  $r : W_1 \rightarrow Y_1$ , which is a Stein morphism, corresponding to the projection map  $f : \tilde{E} \rightarrow Y_1$ . Over the regular part of  $Y_1$  the space  $W_1$  has only quadratic singularities.

At this moment we reduced the proof of Theorem 1 to the following Lemma (relative contraction for finite maps), which will be applied to the normalization map.



**Lemma 5.** *Let  $A$  and  $B$  be complex spaces and  $m : A \rightarrow B$  be a finite surjective holomorphic map. We assume that  $A$  is a closed complex space of a complex space  $S$  and  $m$  admits a holomorphic extension  $\tilde{m} : S \rightarrow B$  which is a Stein morphism. Then there exists a complex space  $T$  and a holomorphic map  $\alpha : S \rightarrow T$  such that  $T$  contains  $B$  as a closed complex subspace,  $\alpha|_A = m$  and, outside  $B$ ,  $\alpha$  is a biholomorphism between  $S \setminus A$  and  $T \setminus B$ .*

*Proof.* Using Lemma 4 we choose a locally finite Stein covering  $\{D_i\}_{i \in \mathbb{N}}$  of  $B$  such that  $D_i \cap D_j$  is Runge in  $D_i$  and in  $D_j$  for every  $i, j \in \mathbb{N}$  and  $\tilde{m}^{-1}(D_i) \subset S$  is Stein. Therefore  $\tilde{m}^{-1}(D_i \cap D_j)$  is Runge in  $\tilde{m}^{-1}(D_i)$  and in  $\tilde{m}^{-1}(D_j)$  for every  $i, j \in \mathbb{N}$ . On  $\tilde{m}^{-1}(D_i)$  we consider the set  $F_i$  of all holomorphic functions  $f \in \mathcal{O}(\tilde{m}^{-1}(D_i))$  such that  $f|_{A \cap \tilde{m}^{-1}(D_i)}$  comes from a holomorphic function on  $D_i$ , i.e., there exists a holomorphic function  $g \in \mathcal{O}(D_i)$  with  $f|_{A \cap \tilde{m}^{-1}(D_i)} = g \circ m$ . Then  $F_i$  is a subalgebra of  $\mathcal{O}(\tilde{m}^{-1}(D_i))$  and  $\tilde{m}^{-1}(D_i)$  is  $F_i$ -holomorphically convex. Similarly, we define the set  $F_{i,j}$  of all holomorphic functions  $f \in \mathcal{O}(\tilde{m}^{-1}(D_i \cap D_j))$  such that  $f|_{A \cap \tilde{m}^{-1}(D_i \cap D_j)}$  comes from a holomorphic function on  $D_i \cap D_j$ . Applying Wiegmann quotient theorem to the subalgebras  $F_i$  we get a Stein complex space  $T_i$  containing  $D_i$  as a closed complex subspace. Using Proposition 2, these complex spaces  $\{T_i\}_{i \in \mathbb{N}}$  can be glued together and we get the desired complex space  $T$ . This concludes the proof of Lemma 5 and of Theorem 1. □

*Proof of Theorem 2.* Suppose that  $\Omega$  is a Stein manifold and  $A$  is a closed analytic subset of  $\Omega$ . We denote by  $\pi : \Omega \times \mathbb{C} \rightarrow \Omega$  the standard projection and we identify a holomorphic function  $f \in \mathcal{O}(\Omega)$  with  $f \circ \pi$ . Hence we have  $\mathcal{O}(\Omega) \subset \mathcal{O}(\Omega \times \mathbb{C})$ . Let  $\lambda$  be the coordinate function on  $\mathbb{C}$  and  $F := \{f \in \mathcal{O}(\Omega \times \mathbb{C}) : \frac{\partial f}{\partial \lambda} \equiv 0 \text{ on } A \times \{0\}\}$ . Then:

- $F$  is a closed subalgebra of  $\mathcal{O}(\Omega \times \mathbb{C})$  and  $F \supset \mathcal{O}(\Omega)$ ,
- if  $f \in \mathcal{O}(\Omega \times \mathbb{C})$  and  $f|_{A \times \{0\}} \equiv 0$  then  $f^2 \in F$ .

Suppose that  $K$  is a compact subset of  $\Omega \times \mathbb{C}$ . Then  $\widehat{K}^F$ , the holomorphically convex hull of  $K$  with respect to  $F$  is a subset of  $\widehat{K}^{\mathcal{O}_{\Omega \times \mathbb{C}}} \cup A$ . Indeed, if  $z \in \Omega \times \mathbb{C} \setminus (\widehat{K}^{\mathcal{O}_{\Omega \times \mathbb{C}}} \cup A)$  then there exists  $f \in \mathcal{O}_{\Omega \times \mathbb{C}}$  such that  $f|_{A \times \{0\}} \equiv 0$  and  $|f(z)| > \|f\|_K$ . It follows that  $|f^2(z)| > \|f^2\|_K$  and  $f^2 \in F$ . At the same time from  $\mathcal{O}(\Omega) \subset F$  we get that  $\widehat{K}^F \subset \pi^{-1}(\widehat{\pi(K)}^{\mathcal{O}_{\Omega}})$ . Hence,  $\widehat{K}^F \subset (\widehat{K}^{\mathcal{O}_{\Omega \times \mathbb{C}}} \cup A) \cap \pi^{-1}(\widehat{\pi(K)}^{\mathcal{O}_{\Omega}})$ , which implies that  $\widehat{K}^F$  is compact and hence  $\Omega \times \mathbb{C}$  is  $F$ -convex.

Similarly, we can show that  $\Omega \times \mathbb{C}$  is  $F$ -separable. Namely, for any two points  $x, y \in \Omega \times \mathbb{C}$ , if  $x, y \in A \times \{0\}$  then we can choose  $f \in \mathcal{O}(\Omega)$  with  $f(x) \neq f(y)$  and if at least one of them is not in  $A$  we can choose  $f \in \mathcal{O}(\Omega \times \mathbb{C})$  such that  $f^2(x) \neq f^2(y)$ . Let  $(Y, \mathcal{O}_Y) = R_F(\Omega \times \mathbb{C}, \mathcal{O}_{\Omega \times \mathbb{C}})$ ,  $p : \Omega \times \mathbb{C} \rightarrow Y$  the canonical morphism and  $B = p(A \times \{0\})$ , which is a closed analytic subset of  $Y$ . Since  $\Omega \times \mathbb{C}$  is  $F$ -separable it follows that  $p$  is a homeomorphism.

We want to show next that  $p : \Omega \times \mathbb{C} \setminus A \times \{0\} \rightarrow Y \setminus B$  is a biholomorphism and hence, in particular  $Sing(Y) \subset B$ . It suffices to show that for any open subset  $U$  of  $\Omega \times \mathbb{C} \setminus A \times \{0\}$  and any  $x \in U$  we have that every holomorphic function  $f$  on  $U$  can be approximated, uniformly on a neighborhood of  $x$  by functions in  $F$  (this will imply that the functions in  $F$  give local coordinates outside  $A \times \{0\}$ ). Let  $c \in \mathbb{C}$  be such that  $f(x) + c \neq 0$ . We choose an open neighborhood  $V$  of  $x$  such that  $V \Subset U$ ,

$\bar{V} \cap A = \emptyset$ ,  $\bar{V}$  is holomorphically convex and there exists a holomorphic function  $g$  defined on a neighborhood of  $\bar{V}$  such that  $g^2 = f + c$ . It follows that we can find  $\{h_j\}_{j \geq 0}$ ,  $h_j \in \mathcal{O}(\Omega)$  such that  $h_j|_{A \times \{0\}} \equiv 0$  and  $h_j \rightarrow g$  uniformly on  $\bar{V}$ . It remains to notice that  $h_j^2 - c \in F$  and  $h_j^2 - c \rightarrow f$  uniformly on  $\bar{V}$ .

Note also that  $F \supset \mathcal{O}(\Omega)$  implies that  $p|_{\Omega \times \{0\}} : \Omega \times \{0\} \rightarrow p(\Omega \times \{0\})$  is a biholomorphism and hence  $p|_A : A \rightarrow B$  is a biholomorphism.

We claim now that  $B \subset \text{Sing}(Y)$ . Let  $y \in B$  and  $x = p^{-1}(y) \in A$ . If  $Y$  were smooth in  $y$ , it would be normal in  $y$ , hence it would be normal in a neighborhood of  $y$ , and therefore we could find  $U \subset X$  an open neighborhood of  $x$  and  $W \subset Y$  an open neighborhood of  $y$  such that  $p(U) = W$  and  $p : U \rightarrow W$  is a biholomorphism. Therefore for every holomorphic function  $f : U \rightarrow \mathbb{C}$  we would have that  $f \circ p^{-1}$  is holomorphic on  $W$ . This would imply that we can approximate  $f$ , uniformly on a neighborhood of  $x$ , with functions from  $F$ . However, the coordinate function  $\lambda : U \rightarrow \mathbb{C}$  does not satisfy this property.

**Lemma 6.** *Let  $M$  be a Stein manifold,  $A \subset M$  a closed analytic subset and  $U \subset M$  a Runge open subset of  $M$ . Then the restriction map  $f \rightarrow f|_{U \times \mathbb{C}}$  from  $\{f \in \mathcal{O}(M \times \mathbb{C}) : \frac{\partial f}{\partial \lambda} \equiv 0 \text{ on } A \times \{0\}\}$  to  $\{f \in \mathcal{O}(U \times \mathbb{C}) : \frac{\partial f}{\partial \lambda} \equiv 0 \text{ on } A \cap U \times \{0\}\}$  has dense image in the topology of uniform convergence on compacts. Here,  $\lambda$  is the coordinate function on  $\mathbb{C}$ .*

*Proof.* Let  $f : U \times \mathbb{C} \rightarrow \mathbb{C}$  be a holomorphic function such that  $\frac{\partial f}{\partial \lambda} \equiv 0$  on  $A \cap U \times \{0\}$ . Because  $U \times \mathbb{C}$  is Runge in  $M \times \mathbb{C}$  there exists a sequence of holomorphic functions  $\{g_n\}_{n \geq 1}$ ,  $g_n \in \mathcal{O}(M \times \mathbb{C})$ , such that  $g_n \equiv 0$  on  $A \cap U \times \{0\}$  and  $\{g_n|_{U \times \mathbb{C}}\}_{n \geq 1}$  converges to  $\frac{\partial f}{\partial \lambda}$ . At the same time there exists a sequence  $\{h_n\}_{n \geq 1}$ ,  $h_n \in \mathcal{O}(M)$  such that  $\{h_n|_U\}_{n \geq 1}$  converges to  $f(z, 0)$ . For each  $n \geq 1$  we consider the following primitive with respect to  $\lambda$  of  $g_n$ :  $f_n(z, \lambda) = \int_\gamma g_n(z, \xi) d\xi + h_n(z)$ , where  $\gamma : [0, 1] \rightarrow \mathbb{C}$  is a path that joins  $0 \in \mathbb{C}$  with  $\lambda$ . For  $\gamma(t) = tz$  we get  $f_n(z, \lambda) = \int_0^1 g_n(z, t\lambda) \lambda dt + h_n(z)$ . We have then  $\frac{\partial f_n}{\partial \lambda} = g_n \equiv 0$  on  $A \times \{0\}$ . At the same time, since both  $f$  and  $\int_0^1 \frac{\partial f}{\partial \lambda}(z, t\lambda) \lambda dt$  are primitives for  $\frac{\partial f}{\partial \lambda}$ , we have  $f(z, \lambda) = \int_0^1 \frac{\partial f}{\partial \lambda}(z, t\lambda) \lambda dt + f(z, 0)$ . Hence

$$f_n(z, \lambda) - f(z, \lambda) = \int_0^1 \left( g_n(z, t\lambda) - \frac{\partial f}{\partial \lambda}(z, t\lambda) \right) \lambda dt + (h_n(z) - f(z, 0)).$$

Now, if  $K \subset M \times \mathbb{C}$  is a compact set, we choose  $K_0$ , a compact subset of  $M$ , and  $B \subset \mathbb{C}$  a compact disk centered at the origin such that  $K \subset K_0 \times B$ . Using  $\|g_n - \frac{\partial f}{\partial \lambda}\|_{K_0 \times B} \rightarrow 0$  and  $\|h_n - f(z, 0)\|_{K_0} \rightarrow 0$ , we obtain easily that  $\|f_n - f\|_K \rightarrow 0$ .  $\square$

Let now  $Z$  be a complex manifold and  $Y$  a closed complex subspace of  $Z$ . We use Lemma 4 and we choose an open Stein covering  $\{\Omega_i\}_{i \in \mathbb{N}}$  of  $Z$  such that the pair  $(\Omega_i, \Omega_i \cap \Omega_j)$  is Runge for every  $i, j \in \mathbb{N}$ . Let  $F_i := \{f \in \mathcal{O}(\Omega_i \times \mathbb{C}) : \frac{\partial f}{\partial \lambda} \equiv 0 \text{ on } Y \times \{0\}\}$  and, similarly,  $F_{ij} := \{f \in \mathcal{O}((\Omega_i \cap \Omega_j) \times \mathbb{C}) : \frac{\partial f}{\partial \lambda} \equiv 0 \text{ on } Y \times \{0\}\}$ .

We apply Wiegmann’s quotient theorem to  $F_i$  and we use Proposition 2, to glue together the complex spaces thus obtained and we get the desired complex space  $X$ . Note that because a positive codimension analytic subset does not disconnect a complex manifold it follows that  $X$  is locally irreducible and, if  $Z$  is connected,  $X$  is

irreducible. At the same time it follows from our proof that the normalization of  $X$  is  $Z \times \mathbb{C}$ .  $\square$

### Remarks:

- (1) In [4] the following result was proved : given a closed analytic subset  $A$  of  $\mathbb{C}^n$ ,  $\text{codim}(A) \geq 2$ , there exists an irreducible analytic hypersurface  $H \subset \mathbb{C}^n$  such that  $\text{Sing}(H) = A$ . This shows, in particular, that one can prescribe singularities for Stein spaces. However the construction in [4] cannot be used for arbitrary singularities since it is not functorial and the local models cannot be glued together to obtain a complex space with prescribed singularities.
- (2) The following problem was raised to the first author by C. Bănică in connection with the duality on complex spaces: could every complex space  $Z$  of bounded Zariski dimension be embedded as a closed analytic subset of a complex manifold?
- (3) The following problem remains open: suppose that  $Y$  is a reduced complex space, not necessarily normal. Is it possible to find a *normal* complex space  $X$  such that  $\text{Sing}(X) = Y$ ?
- (4) If  $Y$  is a projective algebraic variety then one can construct a normal projective algebraic variety  $X$  such that  $\text{Sing}(X) = Y$ . We would like to thank Justin Coandă for this remark.

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