

## COMPLETELY INTEGRABLE TORUS ACTIONS ON COMPLEX MANIFOLDS WITH FIXED POINTS

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ABSTRACT. We show that if a holomorphic  $n$ -dimensional compact torus action on a compact connected complex manifold of complex dimension  $n$  has a fixed point then the manifold is equivariantly biholomorphic to a smooth toric variety.

### 1. Introduction

We begin by recalling some notions from the theory of toric varieties.

We work in the vector space  $\text{Lie}(S^1)^n \cong \mathbb{R}^n$  with the lattice  $\text{Hom}(S^1, (S^1)^n) \cong \mathbb{Z}^n$ . Here, we identify  $\text{Lie}(S^1)$  with  $\mathbb{R}$  such that the exponential map  $\exp: \mathbb{R} \rightarrow S^1$  is  $t \mapsto e^{2\pi it}$ .

A *unimodular fan* is a finite set  $\Delta$  of convex polyhedral cones with the following properties.

- (1) A face of a cone in  $\Delta$  is also a cone in  $\Delta$ .
- (2) The intersection of two cones in  $\Delta$  is a common face.
- (3) Every cone in  $\Delta$  is unimodular, i.e., it has the form  $\text{pos}(\lambda_1, \dots, \lambda_k)$  where  $\lambda_1, \dots, \lambda_k$  is part of a  $\mathbb{Z}$ -basis of the lattice. Here,  $\text{pos}$  denotes the positive span: the set of linear combinations with non-negative coefficients.<sup>1</sup>

A fan  $\Delta$  is *complete* if the union of the cones in  $\Delta$  is all of  $\text{Lie}(S^1)^n$ .

The theory of toric varieties associates to a unimodular fan  $\Delta$  a complex manifold  $M_\Delta$  with a holomorphic  $(\mathbb{C}^*)^n$ -action with the following properties.

- (1) The fixed points in  $M_\Delta$  are in bijection with the  $n$ -dimensional cones in  $\Delta$ .
- (2) Let  $p$  be a fixed point in  $M_\Delta$ . Then the isotropy weights at  $p$  are a  $\mathbb{Z}$ -basis to the lattice  $\text{Hom}((S^1)^n, S^1) \subset (\text{Lie}(S^1)^n)^*$ . Moreover, let  $\lambda_1, \dots, \lambda_n$  be the dual basis; then the cone in  $\Delta$  that corresponds to  $p$  is  $\text{pos}(\lambda_1, \dots, \lambda_n)$ .
- (3) The manifold  $M_\Delta$  is compact if and only if the fan  $\Delta$  is complete.

Explicitly, let  $\lambda_1, \dots, \lambda_m \in \mathbb{Z}^n$  be the primitive generators of the one-dimensional cones in  $\Delta$ . Each  $\lambda_i$  encodes a homomorphism  $a \mapsto a^{\lambda_i}$  from  $\mathbb{C}^*$  to  $(\mathbb{C}^*)^n$ ; together they give a homomorphism  $\pi: (a_1, \dots, a_m) \mapsto \prod_{j=1}^m a_j^{\lambda_j}$  from  $(\mathbb{C}^*)^m$  to  $(\mathbb{C}^*)^n$ . Then  $M_\Delta = U_\Delta / K_\Delta$ , where  $U_\Delta = \{z \in \mathbb{C}^m \mid \text{pos}(\lambda_i \mid z_i = 0) \in \Delta\}$  and  $K_\Delta = \ker \pi$ . For the details of the construction and the proof of its properties, we refer the reader to the book [3] by Cox et al. and to the book [1] by Audin.

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<sup>1</sup>This property of a cone or a fan is also described in the literature by the adjectives *smooth*, *non-singular*, *regular*, and *Delzant*.

In fact,  $M_\Delta$  is an *algebraic* variety. Moreover, every smooth complex algebraic variety that is equipped with an algebraic  $(\mathbb{C}^*)^n$ -action with an open dense free orbit is isomorphic to some  $M_\Delta$ . (The proof of this fact appeared in the book [11] by Kempf et al. and in the paper [15] by Miyake and Oda and relies on a lemma of Sumihiro [16]; see Corollary 3.1.8 in [3].) Our main theorem is a complex analytic variant of this result:

**Theorem 1.** *Let  $M$  be a connected complex manifold of complex dimension  $n$  equipped with a faithful action of the torus  $(S^1)^n$  by biholomorphisms. If  $M$  is compact and the action has fixed points, then there exists a unimodular fan  $\Delta$  and an  $(S^1)^n$ -equivariant biholomorphism of  $M_\Delta$  with  $M$ .*

**Remark 2.**

- (1) Our theorem gives a negative answer to a question that was raised by Buchstaber and Panov in [2, Problem 5.23].

Let  $M$  be a closed  $2n$ -dimensional manifold with an  $(S^1)^n$ -action that is locally standard: every orbit has a neighbourhood that is equivariantly diffeomorphic, up to an automorphism of  $(S^1)^n$ , to an invariant open subset of  $\mathbb{C}^n$  with the standard  $(S^1)^n$ -action. Also assume that the quotient  $M/(S^1)^n$  is diffeomorphic, as a manifold with corners, to a simple convex polytope  $P$  in  $\mathbb{R}^n$ .<sup>2</sup> Such manifolds, introduced in [4] and studied in the toric topology community, are called *quasi-toric manifolds*<sup>3</sup>.

The question of Buchstaber and Panov is whether there exists a non-toric quasitoric manifold that admits an  $(S^1)^n$ -invariant complex structure.

- (2) Our theorem strengthens an earlier result of Ishida and Masuda, that if a closed complex manifold of complex dimension  $n$  admits an  $(S^1)^n$ -action, and if its odd-degree cohomology groups vanish, then the Todd genus of the manifold is equal to one. See [9, Theorem 1.1 and Remark 1.2].
- (3) In Theorem 1, the assumption “complex” cannot be weakened to “almost complex”. For example, for every two complex toric manifolds of complex dimension 2, their equivariant connected sum along a free orbit supports an invariant almost complex structure, has fixed points, but is not (equivariantly diffeomorphic to) a toric manifold; see [10, Section 11.2]. For higher-dimensional analogues, see [6, Section 13]; for more interesting four-dimensional examples, see [14, Theorem 5.1]. A necessary and sufficient condition for a quasitoric manifold to admit an invariant almost complex structure was given in [13, Theorem 1].
- (4) The symplectic analogue of Theorem 1 is also true: a closed symplectic manifold of dimension  $2n$  with a faithful  $(S^1)^n$  action with at least one fixed point is a symplectic toric manifold. To see this, it is enough to show that such an action is Hamiltonian; being a toric manifold then follows from Delzant’s

<sup>2</sup>A map from  $M/(S^1)^n$  to  $P$  is a diffeomorphism of manifolds with corners if and only if it is a homeomorphism and, for every real valued function on  $P$ , the function extends to a smooth function on  $\mathbb{R}^n$  if and only if its pullback to  $M$  is smooth. For every  $k \in \{0, \dots, n\}$ , a diffeomorphism carries the  $k$ -dimensional orbits in  $M$  to the relative interiors of the  $k$ -dimensional faces of  $P$ .

<sup>3</sup>Davis–Januszkiewicz [4] used the term *toric manifold*, but this term was already used in the literature to mean a smooth toric variety, so Buchstaber–Panov [2] introduced instead the term *quasitoric manifold*.

theorem [5, Théorème 2.1]. Let  $p$  a fixed point. There exist  $n$  subcircles of  $(S^1)^n$  that span  $(S^1)^n$  and whose isotropy weights are all positive. In order to show that the  $(S^1)^n$  action is Hamiltonian, it is enough to show that each of these  $S^1$  actions has a momentum map. Fix one of these  $S^1$  actions. Since there is a fixed point, the  $S^1$  orbits are null-homotopic, so the  $S^1$  action lifts to an  $S^1$  action on the universal bundle,  $\tilde{M}$ . As  $H^1(\tilde{M}) = 0$ , this lifted action is Hamiltonian. By Morse theory, at most one point of  $\tilde{M}$  can be a strict local minimum for the momentum map (see, e.g., [7]). So the fibre of  $\tilde{M}$  over the fixed point  $p$  can contain only one point. So  $\tilde{M} = M$ , and so there is a momentum map on  $M$ .

- (5) It is necessary to assume that the action has fixed points: the complex torus  $\mathbb{C}^*/(z \sim 2z)$  has a holomorphic  $S^1$ -action, induced from multiplication on  $\mathbb{C}^*$ , but it is not a toric variety: the  $\mathbb{C}^*$ -action is not faithful.
- (6) It is necessary to assume that the manifold is compact: the open unit disc in  $\mathbb{C}$  with the natural circle action has a fixed point, but it is not a toric variety: the circle action does not extend to a  $\mathbb{C}^*$ -action.

### 2. The complexified action

Let the torus  $(S^1)^n$  act on a complex manifold  $M$  by biholomorphisms. If the manifold  $M$  is compact, then the  $(S^1)^n$ -action extends to a  $(\mathbb{C}^*)^n$ -action that is holomorphic not only in the sense that each element of  $(\mathbb{C}^*)^n$  acts by a biholomorphism but also in the sense that the action map  $(\mathbb{C}^*)^n \times M \rightarrow M$  is holomorphic. See, e.g., [8, Theorem 4.4]. For the convenience of the reader, we briefly recall here some of the details of this standard construction.

Let  $\xi_1, \dots, \xi_n$  be the fundamental vector fields of the  $(S^1)^n$ -action with respect to the coordinate one-dimensional subtori. Let  $J: TM \rightarrow TM$  be the multiplication by  $\sqrt{-1}$ . We claim that the vector fields  $-J\xi_1, \dots, -J\xi_n$  are holomorphic (in the sense that their flows preserve the complex structure) and commute with each other and with the vector fields  $\xi_i$ .

As the  $(S^1)^n$ -action preserves  $J$  and  $\xi_j$ , it preserves  $-J\xi_j$ , for each  $j$ . So the vector fields  $-J\xi_j$  commute with the vector fields  $\xi_i$  that generate this action. Since  $J$  is a complex structure, its Nijenhuis tensor,

$$N(Z, W) := 2([JZ, JW] - J[Z, JW] - J[JZ, W] - [Z, W]),$$

vanishes. Setting  $Z = \xi_i$  and  $W = \xi_j$ , we get that  $[J\xi_i, J\xi_j] = J[\xi_i, J\xi_j] + J[J\xi_i, \xi_j] + [\xi_i, \xi_j]$ , and each of the three terms on the right hand side is zero. So the vector fields  $-J\xi_j$  commute with each other. A vector field  $Y$  is holomorphic if and only if  $[Y, JW] = J[Y, W]$  for each vector  $W$ ; see [12, Proposition 2.10 in Chapter IX]. Set  $Y := -J\xi_i$  and  $W$  arbitrary; because  $JY (= \xi_i)$  is holomorphic,  $[JY, JW] = J[JY, W]$ ; by the vanishing of the Nijenhuis tensor,

$$\begin{aligned} 0 &= N(JY, W) = 2([-Y, JW] - J[JY, JW] - J[-Y, W] - [JY, W]) \\ &= 2([-Y, JW] - J[-Y, W]), \end{aligned}$$

so  $Y$  is holomorphic.

If  $M$  is compact, the vector fields  $-J\xi_1, \dots, -J\xi_n$  are complete, and we get an  $\mathbb{R}^{2n}$ -action,  $\mathbb{R}^{2n} \times M \rightarrow M$ , via

$$\left( \sum_{i=1}^{2n} a_i \mathbf{e}_i, x \right) \mapsto c_x(1),$$

where  $c_x(r)$  is the integral curve of the vector field  $\sum_{i=1}^n -a_i J\xi_i + a_{n+i} \xi_i$  with  $c_x(0) = x$ . This action descends to a  $(\mathbb{C}^*)^n$ -action by biholomorphisms that extends the given  $(S^1)^n$ -action. Finally, the action map  $(\mathbb{C}^*)^n \times M \rightarrow M$  is holomorphic, because its differential, which at the point  $(z, m)$  is the map  $\mathbb{C}^n \times T_m M \rightarrow T_{z \cdot m} M$  that takes  $(2\pi(r_1 + i\theta_1, \dots, r_n + i\theta_n), v)$  to  $\sum_j -r_j J\xi_j|_{z \cdot m} + \theta_j \xi_j|_{z \cdot m} + z_* v$ , is complex linear.

**Remark 3.** In the next section we will see that if there exists a fixed point then the extended  $(\mathbb{C}^*)^n$ -action is faithful. In general, the extended  $(\mathbb{C}^*)^n$ -action might not be faithful.

**Example 4.** Let  $(S^1)^n$  act on  $\mathbb{C}^n$  with weights  $\alpha_1, \dots, \alpha_n$ :

$$g \cdot (z_1, \dots, z_n) = (g^{\alpha_1} z_1, \dots, g^{\alpha_n} z_n),$$

where  $g^{\alpha_i} = g_1^{\alpha_{i1}} \dots g_n^{\alpha_{in}}$  for  $g = (g_1, \dots, g_n) \in (S^1)^n$  and for the isotropy weight  $\alpha_i = (\alpha_{i1}, \dots, \alpha_{in}) \in \mathbb{Z}^n$ . Then the complexified action is given by the same formula applied to  $g = (g_1, \dots, g_n) \in (\mathbb{C}^*)^n$ .

### 3. Structures near fixed points

Let  $M$  be a connected complex manifold of complex dimension  $n$ . Let the torus  $(S^1)^n$  act on  $M$  faithfully by biholomorphisms. Let  $p$  be a point in  $M$  that is fixed by the  $(S^1)^n$ -action. Let  $\alpha_1, \dots, \alpha_n$  be the isotropy weights at  $p$ .

Let  $\mathbb{C}_{\alpha_i}$  denote the one-dimensional complex vector space  $\mathbb{C}$  with the  $(S^1)^n$ -action that is obtained by composing the homomorphism  $(S^1)^n \rightarrow S^1$  that is encoded by the weight  $\alpha_i$  with the standard action of  $S^1$  on  $\mathbb{C}$  by scalar multiplication.

We begin with a local result:

**Lemma 5.** *There exists an  $(S^1)^n$ -invariant neighbourhood  $U_p$  of  $p$  in  $M$ , an  $(S^1)^n$ -invariant neighbourhood  $\tilde{U}_p$  of the origin in  $T_p M$ , and an  $(S^1)^n$ -equivariant biholomorphism  $\varphi_p: U_p \rightarrow \tilde{U}_p$  whose differential at  $p$  is the identity map on  $T_p M$ .*

*Proof.* Let  $\varphi: U \rightarrow \tilde{U} \subseteq \mathbb{C}^n$  be a local holomorphic chart near  $p$  with  $\varphi(p) = 0$ . Identifying  $\mathbb{C}^n$  with  $T_p M$  via the differential

$$(d\varphi)_p: T_p M \rightarrow T_0 \mathbb{C}^n \cong \mathbb{C}^n,$$

we get a biholomorphism

$$\varphi': U \rightarrow \tilde{U}' \subseteq T_p M$$

whose differential at  $p$  is the identity map on  $T_p M$ . We want to obtain such a biholomorphism that is also equivariant.

Set

$$U' := \bigcap_{g \in (S^1)^n} gU.$$

Clearly,  $U'$  is invariant and contains  $p$ . We now show that  $U'$  is open. The complement of  $U'$  is the image of the closed subset  $(S^1)^n \times (M \setminus U)$  of  $(S^1)^n \times M$  under the action map  $(S^1)^n \times M \rightarrow M$ . Since  $(S^1)^n$  is compact, the action map is proper. Being proper means that the preimage of every compact set is compact; when the target space  $M$  is a manifold<sup>4</sup> it implies that the map is closed. Thus, the complement  $M \setminus U'$  is closed, and so  $U'$  is open.

To obtain an equivariant chart, we average  $\varphi'$ : let

$$\tilde{\varphi} := \int_{g \in (S^1)^n} (g \circ \varphi' \circ g^{-1}) dg : U' \rightarrow T_p M,$$

where  $dg$  is Haar measure on  $(S^1)^n$ . The map  $\tilde{\varphi}$  is holomorphic and  $(S^1)^n$ -equivariant. Moreover, its differential at  $p$  is the identity map on  $T_p M$ . By the implicit function theorem,  $\tilde{\varphi}$  restricts to a biholomorphism from some smaller open neighbourhood  $U''$  of  $p$  in  $M$  to an open neighbourhood of the origin in  $T_p M$ . The restriction of  $\tilde{\varphi}$  to the invariant neighbourhood  $U_p := \bigcap_{g \in (S^1)^n} g \cdot U''$  of  $p$  in  $M$  satisfies the requirements of the lemma. □

**Corollary 6.** *There exists an  $(S^1)^n$ -equivariant local holomorphic chart*

$$\varphi_p : U_p \rightarrow \mathbb{D}^n$$

*from an invariant open neighbourhood  $U_p$  of  $p$  to a polydisc  $\mathbb{D}^n$  in  $\mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$ .*

*Proof.* By the definition of the isotropy weights, there exists a complex linear  $(S^1)^n$ -equivariant isomorphism between the tangent space  $T_p M$  and the representation  $\mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$ . Corollary 6 then follows from Lemma 5 by restricting the chart to the preimage of a polydisc. □

We would like to extend the chart of Corollary 6 to a chart whose image is all of  $\mathbb{C}^n$ . We can do this when the  $(S^1)^n$  extends to a  $(\mathbb{C}^*)^n$ -action; for example, if the manifold is compact; by “sweeping” by the  $(\mathbb{C}^*)^n$ -action.

**Lemma 7.** *Suppose that the  $(S^1)^n$ -action extends to a  $(\mathbb{C}^*)^n$ -action. Then there exists an invariant open neighbourhood  $V_p$  of  $p$  in  $M$  and an  $(S^1)^n$ -equivariant biholomorphism of  $V_p$  with  $\mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$ .*

*Proof.* Let  $\varphi_p : U_p \rightarrow \mathbb{D}^n$  be an  $(S^1)^n$ -equivariant holomorphic local chart, as in Corollary 6. Since  $\varphi_p$  is  $(S^1)^n$ -equivariant and holomorphic, it intertwines the restriction to  $U_p$  of the vector fields that generate the complexified  $(\mathbb{C}^*)^n$ -action on  $M$  with the restriction to  $\mathbb{D}^n$  of the vector fields that generate the complexified  $(\mathbb{C}^*)^n$ -action on  $\mathbb{C}^n = \mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$ . This, and the fact that  $\varphi_p$  is a diffeomorphism between  $U_p$  and  $\mathbb{D}^n$ , implies that  $\varphi_p$  also intertwines the partial flows on  $U_p$  and on  $\mathbb{D}^n$  that are generated by these vector fields; in particular it intertwines the domains of definition of these partial flows.

For each  $t \in \mathbb{R}$ , let  $g_t$  be the element of  $(\mathbb{C}^*)^n$  that acts on  $\mathbb{C}^n$  as scalar multiplication by  $e^{-t}$ , and let  $\eta \in \text{Lie}(\mathbb{C}^*)^n$  be the generator of the one-parameter subgroup  $t \mapsto g_t$ . Since  $e^{-t}\mathbb{D}^n \subset \mathbb{D}^n$  for all  $t \geq 0$ , and because  $\varphi_p$  intertwines the domains

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<sup>4</sup>In fact, it is enough to assume that the target space is Hausdorff and compactly generated. Compactly generated means that a subset is closed if and only if its intersection with every compact set  $K$  is closed in  $K$ ; this property holds if the space is locally compact or if the space is metrizable.

of definition of the partial flows on  $U_p$  and on  $\mathbb{D}^n$  that correspond to  $\eta$ , we get that  $g_t U_p \subset U_p$  for all  $t \geq 0$ . So, for every  $t \geq 0$ , the domain of definition of the  $(S^1)^n$ -equivariant biholomorphism

$$\varphi_p^{(t)} := (g_t)^{-1} \circ \varphi_p \circ g_t : g_{-t}U_p \rightarrow e^t\mathbb{D}^n$$

contains  $U_p$ . Here,  $g_t : g_{-t}U_p \rightarrow U_p$  and  $(g_t)^{-1} : \mathbb{D}^n \rightarrow e^t\mathbb{D}^n$  are given by the complexified actions on  $M$  and on  $\mathbb{C}^n$ . By the choice of  $g_t$ , the latter map is multiplication by  $e^t$ .

Moreover, because  $\varphi_p$  intertwines the partial flows that correspond to  $\eta$  and these partial flows are defined for all  $t \geq 0$ , the restriction to  $U_p$  of  $\varphi_p^{(t)}$  coincides with  $\varphi_p$  for all  $t \geq 0$ . Substituting  $t - s$  instead of  $t$ , we get that the maps  $\varphi_p^{(t)}$  and  $\varphi_p^{(s)}$  agree whenever they are both defined. Thus, all these maps fit together into a map

$$\bigcup_{t \geq 0} \varphi_p^{(t)} : V_p \rightarrow \mathbb{C}_{\alpha_1} \oplus \cdots \oplus \mathbb{C}_{\alpha_n},$$

where  $V_p = \bigcup_{t \geq 0} g_{-t}U_p$ . This map is onto, because its image is the union of the sets  $e^t\mathbb{D}^n$  over all  $t \geq 0$ . The map is one to one, because it is one to one on each  $g_{-t}U_p$ , and for every two points in the domain there exists a  $t \geq 0$  such that the points are both in  $g_{-t}U_p$ . Since  $V_p$  is covered by  $(S^1)^n$ -invariant open sets  $g_{-t}U_p$  on which the map is an  $(S^1)^n$ -equivariant biholomorphism, we deduce that the map is itself an  $(S^1)^n$ -equivariant biholomorphism, as required. □

#### 4. Obtaining a fan

Let  $M$  be a connected complex manifold of complex dimension  $n$ , let the torus  $(S^1)^n$  act on  $M$  faithfully by biholomorphisms, and assume that this action extends to a holomorphic  $(\mathbb{C}^*)^n$ -action. The set of fixed points is discrete; assume that it is nonempty and finite.

In Lemma 7 we assigned to every fixed point  $p$  in  $M$  an open subset  $V_p$  that is biholomorphic to  $\mathbb{C}^n$ . By assumption, there exists at least one fixed point. So, the union  $X$  of the sets  $V_p$  over these fixed points,

$$X := \bigcup_{p \in M^{(S^1)^n}} V_p,$$

is nonempty.

**Remark 8.** In Section 6, we show that if  $M$  is compact and connected then the union  $X$  of the sets  $V_p$  is all of  $M$ . The proof relies on the results of Sections 4 and 5.

By its definition,  $X$  is a  $(\mathbb{C}^*)^n$ -invariant open submanifold of  $M$ . Moreover, we claim that there exists a unique open  $(\mathbb{C}^*)^n$  orbit in  $M$ , this orbit is free and dense in  $M$ , and it coincides with the free  $(\mathbb{C}^*)^n$  orbit in  $V_p$  for each  $p$ . To see this, we consider the fundamental vector fields  $\xi_1, \dots, \xi_n$  of the  $(S^1)^n$ -action with respect to the coordinate one-dimensional subtori. We think of them as holomorphic sections  $M \rightarrow T^{1,0}M \cong TM$  of the holomorphic tangent bundle  $T^{1,0}M$  of  $M$ . The  $n$ -th exterior product  $\bigwedge^n T^{1,0}M \rightarrow M$  is a holomorphic line bundle and  $\xi_1 \wedge \cdots \wedge \xi_n$  is a holomorphic section of this line bundle. A point  $x \in M$  belongs to an open  $(\mathbb{C}^*)^n$  orbit if and only if  $(\xi_1 \wedge \cdots \wedge \xi_n)(x)$  is not zero. This means that the union of the open

$(\mathbb{C}^*)^n$  orbits is the complement of the zero locus of a holomorphic section. Since the zero locus is a complex analytic subvariety of  $M$  and  $M$  is connected, the union of the open  $(\mathbb{C}^*)^n$  orbits is either empty, or it is open, dense, and connected. The claim then follows from the facts that there exists at least one  $V_p$ , it contains a free and open  $(\mathbb{C}^*)^n$  orbit, and every two distinct orbits are disjoint.

In particular,  $X$  is connected and dense in  $M$ .

The connected components of the fixed point sets of the circle subgroups of  $(S^1)^n$  are closed complex submanifolds of  $X$ . If such a submanifold has complex codimension one, then, in analogy with the toric topology literature, we call it a *characteristic submanifold* of  $X$  (cf. [14, p. 240]).

Since  $X$  is a union of finitely many  $V_p$ s and each  $V_p$  has only finitely many characteristic submanifolds, there are only finitely many characteristic submanifolds in  $X$ . Denote them

$$X_1, \dots, X_m.$$

Let  $T_i$  be the subgroup of  $T$  that fixes  $X_i$ . If a compact group acts faithfully on a connected manifold then at every fixed point the linear isotropy representation is faithful. Therefore, the linear isotropy representation of  $T_i$  at any point  $q$  of  $X_i$  is faithful. Since  $T_i$  acts holomorphically and fixes  $X_i$ , we get a faithful representation of  $T_i$  on the one-dimensional complex space  $T_q X/T_q X_i$ . This gives an injection  $T_i \rightarrow S^1$ , where  $S^1$  acts on  $T_q X/T_q X_i$  by scalar multiplication. By continuity, this injection is independent of the choice of point  $q$  in  $X_i$ . Since, by assumption,  $T_i$  contains a circle subgroup of  $T$ , this injection is an isomorphism. Let

$$\lambda_i: S^1 \rightarrow T_i \subset (S^1)^n$$

be the inverse of this isomorphism, composed with the inclusion map into  $(S^1)^n$ .

We define an abstract simplicial complex:

$$\Sigma := \left\{ I \subseteq \{1, \dots, m\} \mid \bigcap_{i \in I} X_i \neq \emptyset \right\}.$$

To each simplex  $I \in \Sigma$  we assign the cone

$$C_I := \text{pos}(\lambda_i \mid i \in I) := \left\{ \sum_{i \in I} a_i \lambda_i \mid a_i \geq 0 \right\}$$

in  $\text{Lie}(S^1)^n$ .

**Example 9.** Take  $\mathbb{C}^n$  with coordinates  $z_1, \dots, z_n$ . Let  $(S^1)^n$  act on it with weights  $\alpha_1, \dots, \alpha_n \in \text{Hom}((S^1)^n, S^1) \subset (\text{Lie}(S^1)^n)^*$ . Suppose that the action is faithful; then  $\alpha_1, \dots, \alpha_n$  are a  $\mathbb{Z}$ -basis of  $\text{Hom}((S^1)^n, S^1)$ . The characteristic submanifolds are the coordinate hyperplanes  $\{z_i = 0\}$  for  $i = 1, \dots, n$ . The homomorphisms  $\lambda_1, \dots, \lambda_n$  are the basis to  $\text{Hom}(S^1, (S^1)^n) \subset \text{Lie}(S^1)^n$  that is dual to  $\alpha_1, \dots, \alpha_n$ .

Recall that a cone in  $\text{Lie}(S^1)^n$  is *unimodular* if it is generated by part of a  $\mathbb{Z}$ -basis of  $\text{Hom}(S^1, (S^1)^n)$ .

Returning to our general case –

**Lemma 10.** *The cones  $C_I$ , for  $I \in \Sigma$ , are unimodular.*

*Proof.* Let  $I \in \Sigma$ . By the definition of  $\Sigma$ , this means that the intersection  $\bigcap_{i \in I} X_i$  is nonempty. Let  $q$  be a point in this intersection. Let  $p$  be a fixed point such that  $q \in V_p$ . Since  $V_p$  is isomorphic to some  $\mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$  on which the action is faithful, the lemma follows from Example 9.  $\square$

Fix a point  $q$  in the free  $(\mathbb{C}^*)^n$  orbit in  $X$ . For any  $\xi \in \text{Lie}(S^1)^n$ , consider the curve

$$c_q^\xi: \mathbb{R} \rightarrow X$$

that is given by

$$c_q^\xi(r) := \exp(-rJ\xi) \cdot q \quad \text{for } r \in \mathbb{R}$$

where  $\exp: \text{Lie}(\mathbb{C}^*)^n \rightarrow (\mathbb{C}^*)^n$  is the exponential map and where  $J$  denotes multiplication by  $i$  in  $\text{Lie}(\mathbb{C}^*)^n$ .

Denote by  $C_I^0$  the relative interior of the cone  $C_I$ . Denote

$$X_I = \bigcap_{i \in I} X_i \quad \text{and} \quad X_I^0 = \bigcap_{i \in I} X_i \setminus \bigcup_{j \notin I} X_j.$$

**Lemma 11.** *Let  $\xi \in \text{Lie}(S^1)^n$  and  $I \in \Sigma$ . Then  $\xi \in C_I^0$  if and only if the curve  $c_q^\xi(r)$  converges as  $r \rightarrow -\infty$  to a point  $q'$  in  $X_I^0$ . Moreover, in this case the limit point  $q'$  belongs to  $V_p$  for every  $p$  such that  $V_p \cap X_I \neq \emptyset$ .*

*Proof.* Suppose that  $\xi \in C_I^0$ . By the definition of  $\Sigma$ ,  $X_I$  is nonempty. Let  $p$  be such that  $V_p$  meets  $X_I$ . Without loss of generality assume that  $I = \{1, \dots, k\}$  and that the characteristic submanifolds that meet  $V_p$  are  $X_1, \dots, X_n$ . Let  $\alpha_1, \dots, \alpha_n$  denote the isotropy weights at  $p$ . The assumption that  $\xi \in C_I^0$  exactly means that  $\langle \xi, \alpha_i \rangle$  is positive for  $i = 1, \dots, k$  and zero for  $i = k + 1, \dots, n$ . Fix an isomorphism  $(z_1, \dots, z_n): V_p \rightarrow \mathbb{C}^n = \mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$  such that  $z_i(q) = 1$  for all  $i$ . In these coordinates, the curve  $c_q^\xi(r)$  is represented as

$$(z_1, \dots, z_n)(c_q(r)) = (e^{2\pi r \langle \xi, \alpha_1 \rangle}, \dots, e^{2\pi r \langle \xi, \alpha_n \rangle}).$$

As  $r$  approaches  $-\infty$ , the curve in  $\mathbb{C}^n$  approaches the point  $(\underbrace{0, \dots, 0}_k, \underbrace{1, \dots, 1}_{n-k})$ .

On the other hand, the coordinates take each intersection  $V_p \cap X_i$  to the coordinate hyperplane  $\{(z_1, \dots, z_n) \mid z_i = 0\}$ , and they take the intersection  $V_p \cap X_I^0$  to the set  $\{(z_1, \dots, z_n) \mid z_i = 0 \text{ iff } 1 \leq i \leq k\}$ . So the curve approaches a point in  $V_p \cap X_I^0$ , as required.

Now suppose that the curve  $c_q^\xi(r)$  converges as  $r \rightarrow -\infty$  to a point in  $X_I^0$ . Let  $p$  be such that this limit point is contained in  $V_p$ . As before, without loss of generality assume that  $I = \{1, \dots, k\}$  and that the characteristic submanifolds that meet  $V_p$  are exactly  $X_1, \dots, X_n$ ; fix an isomorphism  $(z_1, \dots, z_n): V_p \rightarrow \mathbb{C}^n = \mathbb{C}_{\alpha_1} \oplus \dots \oplus \mathbb{C}_{\alpha_n}$  such that  $z_i(q) = 1$  for all  $i$ ; the curve  $c_q^\xi(r)$  is represented as  $(z_1, \dots, z_n)(c_q(r)) = (e^{2\pi r \langle \xi, \alpha_1 \rangle}, \dots, e^{2\pi r \langle \xi, \alpha_n \rangle})$ . Since the curve approaches a limit as  $r \rightarrow -\infty$ , the pairings  $\langle \xi, \alpha_i \rangle$  are nonnegative for all  $i = 1, \dots, n$ . Since this limit is in  $X_I^0$ , the pairings are positive for every  $i \in I$  and they vanish for every  $i \in \{1, \dots, n\} \setminus I$ . Thus,  $\xi \in C_I^0$  as required.  $\square$

**Corollary 12.** (1) *For every  $I, J \in \Sigma$ , if  $I \neq J$ , then  $C_I^0 \cap C_J^0 = \emptyset$ .*

(2) *For every  $I, J \in \Sigma$ ,*

$$C_I \cap C_J = C_{I \cap J}.$$



(3) *The collection of cones*

$$\Delta := \{ C_I \mid I \in \Sigma \}$$

*is a fan, that is, every face of every cone in  $\Delta$  is itself in  $\Delta$ , and the intersection of every two cones in  $\Delta$  is a common face.*

*Proof.* Part (1) follows from Lemma 11 because the sets  $X_I^0$  are disjoint. Part (3) follows from Part (2).

For Part (2), we only need to show the inclusion  $C_I \cap C_J \subseteq C_{I \cap J}$ , because the opposite inclusion is trivial. Let  $\xi \in C_I \cap C_J$ . Let  $I' \subset I$  and  $J' \subset J$  be the subsets such that  $\xi \in C_{I'}^0$ , and  $\xi \in C_{J'}^0$ . Then  $C_{I'}^0 \cap C_{J'}^0 \neq \emptyset$ . By Part (1),  $I' = J'$ . Let  $L = I' = J'$ . Then  $L \subset I \cap J$ , and  $\xi \in C_L^0 \subset C_{I \cap J}$ .  $\square$

**Lemma 13.** *For every  $I \in \Sigma$ , the set  $X_I$  is an  $(S^1)^n$ -invariant smooth closed complex submanifold of  $X$  of complex codimension  $|I|$ , it is connected, and it contains a fixed point.*

*Proof.* Fix  $I \in \Sigma$ .

Since each of the sets  $X_i$ , for  $i \in I$ , is closed in  $X$ , so is the intersection  $X_I$  of these sets.

Since  $X$  is the union of open subsets  $V_p$ , and because every intersection  $V_p \cap X_I$  is an  $(S^1)^n$ -invariant complex submanifold of codimension  $|I|$  in  $V_p$ , we deduce that  $X_I$  is itself an  $(S^1)^n$ -invariant complex submanifold of codimension  $|I|$  in  $X$ . It remains to show that  $X_I$  is connected and contains a fixed point.

Choose any  $\xi \in C_I^0$  (for example, we may take  $\xi = \sum_{i \in I} \lambda_i$ ), and choose any  $q$  in the free  $(\mathbb{C}^*)^n$  orbit in  $X$ . By Lemma 11, the curve  $c_q^\xi(r)$  converges as  $r \rightarrow -\infty$ ; let  $q'$  be its limit. Also by Lemma 11, for every  $p$  such that  $V_p \cap X_I \neq \emptyset$ , the limit point  $q'$  belongs to  $V_p$ . Since  $X_I$  is the union over such  $p$  of the subsets  $V_p \cap X_I$ , and because each of these subsets is connected and contains  $q'$ , the union  $X_I$  is connected. Also, every  $p$  such that  $V_p \cap X_I \neq \emptyset$  belongs to  $V_p \cap X_I$ ; because the set of such  $p$ s is nonempty,  $X_I$  contains a fixed point.  $\square$

**Corollary 14.** *In the fan  $\Delta$ , every cone is contained in an  $n$ -dimensional cone.*

*Proof.* Every cone in the fan has the form  $C_I$  for some  $I \in \Sigma$ . By Lemma 13, the set  $X_I$  contains a fixed point; let  $p$  be such a fixed point. Since  $V_p$  was chosen as in Lemma 7, by Example 9 there exist exactly  $n$  characteristic submanifolds, say,  $X_j$  for  $j \in J \subset \{1, \dots, m\}$  with  $|J| = n$ , that pass through  $p$ . Then  $J \in \Sigma$ , and  $C_J$  is an  $n$ -dimensional cone in  $\Delta$  that contains  $C_I$ .  $\square$

### 5. Isomorphism of the subset $X$ with a toric manifold

Let  $M$  be a connected complex manifold of complex dimension  $n$ , let the torus  $(S^1)^n$  act on  $M$  faithfully by biholomorphisms, and assume that this action extends to a holomorphic  $(\mathbb{C}^*)^n$ -action. The set of fixed points is discrete; assume that it is nonempty and finite.

In Section 4 we described an open subset  $X$  of  $M$  and a unimodular fan  $\Delta$ . Let  $M_\Delta$  be the toric variety that is associated to the fan  $\Delta$ .

**Lemma 15.** *There exists an  $(S^1)^n$ -equivariant biholomorphism between  $M_\Delta$  and  $X$ .*

We recall some properties of the set  $X$  and the fan  $\Delta$ . Let  $F = M^{(S^1)^n}$  denote the fixed point set. For every fixed point  $p \in F$ , let  $\alpha_{p,1}, \dots, \alpha_{p,n}$  denote the isotropy weights of the torus action at  $p$ .

- (1) The set  $X$  is the union over  $p \in F$  of subsets  $V_p$ , such that each  $V_p$  is an invariant open neighbourhood of  $p$  that is equivariantly biholomorphic to the linear representation  $\mathbb{C}_{\alpha_{p,1}} \oplus \dots \oplus \mathbb{C}_{\alpha_{p,n}}$ .
- (2) The  $n$ -dimensional cones in  $\Delta$  are in bijection with the fixed point sets  $p \in F$ , and the cone corresponding to the fixed point  $p$  is  $\text{pos}(\lambda_{i_1}, \dots, \lambda_{i_n})$ , where  $\lambda_{i_1}, \dots, \lambda_{i_n}$  is a basis of  $\text{Lie}(S^1)^n$  that is dual to the basis  $\alpha_{p,1}, \dots, \alpha_{p,n}$  of  $(\text{Lie}(S^1)^n)^*$ .

The toric variety  $M_\Delta$  that is associated to the fan  $\Delta$  has similar properties: it is the union over  $p \in F$  of invariant subsets  $V'_p$ , and every  $V'_p$  is equivariantly biholomorphic to  $\mathbb{C}_{\alpha_{p,1}} \oplus \dots \oplus \mathbb{C}_{\alpha_{p,n}}$ .

Lemma 15 follows immediately from these properties of  $X$  and  $M_\Delta$ , by the following lemma.

**Lemma 16.** *Let  $X$  and  $X'$  be complex manifolds of complex dimension  $n$ , equipped with holomorphic  $(\mathbb{C}^*)^n$ -actions. Suppose that there exist open dense  $(\mathbb{C}^*)^n$  orbits  $\mathcal{O}$  in  $X$  and  $\mathcal{O}'$  in  $X'$ . Suppose that there exist invariant open subsets  $V_p$  in  $X$  and  $V'_p$  in  $X'$ , both indexed by  $p \in F$ , such that  $X$  is the union of the sets  $V_p$  and  $X'$  is the union of the sets  $V'_p$ , and that for each  $p \in F$  there exists an equivariant biholomorphism  $\varphi_p: V_p \rightarrow V'_p$ . Then  $X$  is equivariantly biholomorphic to  $X'$ .*

*Proof.* Necessarily,  $\mathcal{O}$  is contained in each  $V_p$  and  $\mathcal{O}'$  is contained in each  $V'_p$ . Fix a point  $q$  in  $\mathcal{O}$  and a point  $q'$  in  $\mathcal{O}'$ . After possibly composing each  $\varphi_p$  by the action of an element of  $(\mathbb{C}^*)^n$ , we may assume that  $\varphi_p(q) = q'$  for each  $p \in F$ . So, for each  $p$  and  $\tilde{p} \in F$ , the maps  $\varphi_p$  and  $\varphi_{\tilde{p}}$  coincide at the point  $q$ . By equivariance,  $\varphi_p$  and  $\varphi_{\tilde{p}}$  coincide on all of  $\mathcal{O}$ ; by continuity, they coincide on the entire overlap  $V_p \cap V_{\tilde{p}}$ . Thus, the  $\varphi_p$  fit together into a map

$$\varphi = \bigcup_p \varphi_p: X \rightarrow X'.$$

This map is holomorphic, equivariant, and onto. Similarly, the inverses  $\psi_p := \varphi_p^{-1}$  fit together into a map

$$\psi = \bigcup_p \psi_p: X' \rightarrow X.$$

We have that  $\psi \circ \varphi = \text{id}_X$  and  $\varphi \circ \psi = \text{id}_{X'}$ ; thus,  $\varphi: X \rightarrow X'$  is an equivariant biholomorphism, as required. □

### 6. The compact case

Let  $M$  be a connected complex manifold of complex dimension  $n$ , with a faithful  $(S^1)^n$ -action, with fixed points.

Suppose that  $M$  is compact. In Section 2 we extended the  $(S^1)^n$ -action to a holomorphic  $(\mathbb{C}^*)^n$ -action. In Section 4 we described an open subset  $X$  of  $M$  and we associated to it a fan  $\Delta$ .

**Lemma 17.** *The fan  $\Delta$  is complete.*

We begin by proving a special case:

**Lemma 18.** *Let  $M'$  be a complex manifold of complex dimension one, equipped with a faithful holomorphic action of  $S^1$  with at least one fixed point. Suppose that  $M'$  is compact and connected. Then  $M'$  is equivariantly biholomorphic to  $\mathbb{C}P^1$  with a standard  $\mathbb{C}^*$ -action.*

*Proof.* Consider the  $S^1$ -action on  $M'$ . Near a fixed point, it is isomorphic to the restriction of either the standard  $S^1$ -action on  $\mathbb{C}$  or the opposite  $S^1$ -action on  $\mathbb{C}$  to an invariant neighbourhood of the origin in  $\mathbb{C}$ .

Consider the flow that is generated by  $-J\xi$ , where  $\xi$  generates the  $S^1$ -action. If the  $S^1$ -action near a fixed point is standard, then the trajectories of this flow converge to the fixed point as their parameter approaches  $-\infty$ . If the  $S^1$ -action near a fixed point is opposite from standard, then the trajectories of this flow converge to the fixed point as their parameter approaches  $\infty$ .

Outside the fixed point set, the action is free. The quotient  $M'/S^1$  is<sup>5</sup> a real one-manifold with boundary; its boundary is exactly the image of the fixed point set. Since  $M'$  is compact and connected and contains a fixed point, and by the classification of one-manifolds, the quotient  $M'/S^1$  must be a closed segment.

The flow on  $M'$  that is generated by  $-J\xi$  descends to a flow on the interior of  $M'/S^1$  that does not have fixed points. For each boundary component, the flow approaches that component either as its parameter approaches  $\infty$  or as the parameter approaches  $-\infty$ . Necessarily, it approaches one boundary component when the parameter approaches  $\infty$  and it approaches the other boundary component when the parameter approaches  $-\infty$ .

The corresponding fan must then be equal to the fan of  $\mathbb{C}P^1$ , and the manifold is equivariantly biholomorphic to  $\mathbb{C}P^1$  by Lemma 16. □

We now return to the setup of Lemma 17: We have a connected complex manifold  $M$  of complex dimension  $n$ , with a faithful  $(S^1)^n$ -action, with fixed points. We assume that  $M$  is compact. We consider the open subset  $X$  of  $M$  and the associated fan  $\Delta$  as described in Section 4.

**Lemma 19.** *Every  $n-1$  dimensional cone in  $\Delta$  is a common face of two  $n$ -dimensional cones in  $\Delta$ .*

*Proof.* Let  $C_I$  be an  $n - 1$  dimensional cone in  $\Delta$ , corresponding to the subset  $I = \{i_1, \dots, i_{n-1}\}$  of  $\{1, \dots, m\}$ .

Let  $T_I$  be the codimension one subtorus of  $(S^1)^n$  that is generated by the circles  $T_i$  for  $i \in I$ . By Lemma 13,  $X_I$  is a connected complex manifold of dimension one, equipped with a faithful holomorphic action of the circle  $(S^1)^n/T_I$  with at least one fixed point. We will now show that  $X_I$  is compact, and will deduce Lemma 19 from Lemma 18.

First note that  $X_I$  is a connected component of the fixed point set of  $T_I$  in  $X$ . This follows from the facts that  $X_I$  is connected (by Lemma 13) and that, for each of the subsets  $V_p$ , if the intersection  $V_p \cap X_I$  is nonempty then it is a connected component of the fixed point set of  $T_I$  in  $V_p$ . Let  $N$  denote the connected component of the

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<sup>5</sup>Here, “is” means that there exists a unique manifold-with-boundary structure on  $M'/S^1$  such that a function is smooth if and only if its pullback to  $M'$  is smooth.

fixed point set of  $T_I$  in  $M$  that contains  $X_I$ . As in any holomorphic torus action on a complex manifold,  $N$  is an  $(S^1)^n$ -invariant closed complex submanifold of  $M$ . By examining  $N$  near a point of  $X_I$ , we deduce that  $N$  has complex dimension one. Since  $N$  is closed in  $M$  and  $M$  is compact,  $N$  is compact. By Lemma 18,  $N$  is equivariantly biholomorphic to  $\mathbb{C}P^1$  with a standard action of the circle  $(S^1)^n/T_I$ . In particular,  $N$  contains two fixed points; denote them  $p'$  and  $p''$ . The intersection  $V_{p'} \cap N$ , being a  $(\mathbb{C}^*)^n$ -invariant neighbourhood of  $p'$  in  $N$ , must be all of  $N \setminus \{p''\}$ . Similarly, the intersection  $V_{p''} \cap N$  is all of  $N \setminus \{p'\}$ . So  $N$  is contained in the union  $X$  of the sets  $V_p$ , and so  $N$  must be equal to  $X_I$ . Thus,  $X_I$  is equivariantly biholomorphic to  $\mathbb{C}P^1$  with a standard action of the circle  $(S^1)^n/T_I$ . This implies the result of Lemma 19.  $\square$

We are now ready to prove Lemma 17.

*Proof of Lemma 17.* Let  $|\Delta|$  denote the union of the cones in  $\Delta$ , and let  $|\Delta^{n-2}|$  denote the union of the cones in  $\Delta$  that have codimension  $\geq 2$ . The complement  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$  is connected, open, and dense in  $\text{Lie}(S^1)^n$ .

By Lemma 19, the union of the relative interiors of the faces of  $\Delta$  of dimension  $(n - 1)$  and of dimension  $n$  is open in  $\text{Lie}(S^1)^n$ . This union is  $|\Delta| \setminus |\Delta^{n-2}|$ . Thus,  $|\Delta| \setminus |\Delta^{n-2}|$  is also open in  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$ .

However, because  $|\Delta|$  is closed in  $\text{Lie}(S^1)^n$ , we also have that  $|\Delta| \setminus |\Delta^{n-2}|$  is closed in  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$ .

Since  $|\Delta| \setminus |\Delta^{n-2}|$  is open and closed in  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$  and  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$  is connected, we deduce that  $|\Delta| \setminus |\Delta^{n-2}|$  is either empty or is equal to all of  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$ .

As, by assumption,  $M$  has a fixed point,  $\Delta$  has at least one  $n$ -dimensional cone, so  $|\Delta| \setminus |\Delta^{n-2}|$  is not empty. So  $|\Delta| \setminus |\Delta^{n-2}|$  is equal to all of  $\text{Lie}(S^1)^n \setminus |\Delta^{n-2}|$ . Taking the closures, we deduce that  $|\Delta| = \text{Lie}(S^1)^n$ , as required.  $\square$

We are now ready to prove our main theorem.

*Proof of Theorem 1.* Lemma 16 gives an equivariant biholomorphism

$$\varphi: M_\Delta \rightarrow X.$$

By Lemma 17, the fan  $\Delta$  is complete. This implies that the toric variety  $M_\Delta$  is compact. So  $X$  must be compact. Since  $M$  is Hausdorff and connected, and  $X$  is a subset that is both compact and open,  $X$  is all of  $M$ . So  $\varphi$  defines an equivariant biholomorphism from  $M_\Delta$  to  $M$ , as required.  $\square$

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