

## VIRTUALLY INDECOMPOSABLE TENSOR CATEGORIES

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ABSTRACT. Let  $k$  be any field. J-P. Serre proved that the spectrum of the Grothendieck ring of the  $k$ -representation category of a group is connected, and that the same holds in *characteristic zero* for the representation category of a Lie algebra over  $k$  [Se]. We say that a tensor category  $\mathcal{C}$  over  $k$  is *virtually indecomposable* if its Grothendieck ring contains no nontrivial central idempotents. We prove that the following tensor categories are virtually indecomposable: Tensor categories with the Chevalley property; representation categories of affine group schemes; representation categories of formal groups; representation categories of affine supergroup schemes (in characteristic  $\neq 2$ ); representation categories of formal supergroups (in characteristic  $\neq 2$ ); symmetric tensor categories of exponential growth in characteristic zero. In particular, we obtain an alternative proof to Serre’s Theorem, deduce that the representation category of any Lie algebra over  $k$  is virtually indecomposable also in *positive characteristic* (this answers a question of Serre [Se]), and (using a theorem of Deligne [D] in the super case, and a theorem of Deligne–Milne [DM] in the even case) deduce that any (super)Tannakian category is virtually indecomposable (this answers another question of Serre [Se]).

### 1. Introduction

The following theorem is due to J-P. Serre.

**Theorem 1.1.** [Se, Corollary 5.5 & Section 5.1.2; Ex. 3] *Let  $k$  be a field.*

- (1) *Let  $G$  be any group, let  $\text{Rep}(G)$  be the category of finite-dimensional representations of  $G$  over  $k$ , and let  $\text{Gr}(G)$  be its (commutative) Grothendieck ring. Then the spectrum  $\text{Spec}(\text{Gr}(G))$  of  $\text{Gr}(G)$  is connected.*
- (2) *Assume that  $k$  has characteristic zero. Let  $\mathfrak{g}$  be a Lie algebra over  $k$ , let  $\text{Rep}(\mathfrak{g})$  be the category of finite-dimensional representations of  $\mathfrak{g}$  over  $k$ , and let  $\text{Gr}(\mathfrak{g})$  be its (commutative) Grothendieck ring. Then  $\text{Spec}(\text{Gr}(\mathfrak{g}))$  is connected.*

The proof of Theorem 1.1 uses, among other things, the fact that the semisimple representations of a group  $G$  are detected by their characters, in characteristic zero, and by their Brauer characters, in positive characteristic.

Recall that the category  $\text{Rep}(G)$  is an example of a Tannakian category [DM] (see Section 2). Motivated by Theorem 1.1 and this fact, Serre asked the following question.

**Question 1.1.** [Se, Section 5.1.2; Ex. 4] *Let  $\mathcal{C}$  be a Tannakian category over any field  $k$  and let  $\text{Gr}(\mathcal{C})$  be its Grothendieck ring. Is it true that  $\text{Spec}(\text{Gr}(\mathcal{C}))$  is connected? In particular, let  $\mathfrak{g}$  be a Lie algebra over any field  $k$  and let  $\mathcal{C} := \text{Rep}(\mathfrak{g})$  be the*

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category of finite-dimensional representations of  $\mathfrak{g}$  over  $k$ . Is it true that  $\text{Spec}(Gr(\mathfrak{g}))$  is connected?

Question 1.1 can be extended to any *tensor category* over  $k$ , namely to a  $k$ -linear locally finite abelian category with finite-dimensional Hom-spaces, equipped with an associative tensor product and unit. (See, e.g., [E] for the definition of a tensor category and its general theory.)

**Definition 1.1.** Let  $k$  be any field, and let  $\mathcal{C}$  be any tensor category over  $k$ . Let  $R$  be any commutative ring. We say that  $\mathcal{C}$  is *virtually indecomposable over  $R$*  if its Grothendieck ring  $R \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  with  $R$ -coefficients has no nontrivial central idempotents, and that  $\mathcal{C}$  is *strongly virtually indecomposable over  $R$*  if  $R \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  has no nontrivial idempotents. In the case  $R = \mathbb{Z}$  we shall suppress the phrase “over  $\mathbb{Z}$ ”.

**Question 1.2.** Is it true that any tensor category over any field is virtually indecomposable? Strongly virtually indecomposable?

Our goal in this paper is to provide a positive answer to Question 1.2 for a variety of tensor categories over any field  $k$ . More precisely, we prove that the following tensor categories are virtually indecomposable:

- Tensor categories with the Chevalley property.
- Representation categories of affine group schemes.
- Representation categories of formal groups.
- Representation categories of affine supergroup schemes (in characteristic  $\neq 2$ ).
- Representation categories of formal supergroups (in characteristic  $\neq 2$ ).
- Symmetric tensor categories of exponential growth in characteristic zero.

In particular, we obtain both an alternative proof to Theorem 1.1 and a positive answer to Question 1.1.

## 2. The main results

The following standard lemma shows that without loss of generality we may (and shall) work over an algebraically closed field.

**Lemma 2.1.** *If  $\mathcal{C}$  is a locally finite abelian category over a field  $k$  then the map  $Gr(\mathcal{C}) \rightarrow Gr(\mathcal{C} \otimes_k \bar{k})$  is injective.*

*Proof.* It is well known that  $\mathcal{C}$  is equivalent to the category of finite-dimensional  $A$ -comodules over  $k$ , where  $A$  is a coalgebra over  $k$ . Let us denote  $Gr(\mathcal{C})$  by  $Gr(A)$ . We need to show that the map  $Gr(A) \rightarrow Gr(A \otimes_k \bar{k})$  is injective. Clearly, we may assume that  $A$  is finite dimensional, so  $\mathcal{C} = \text{Rep}(A^*)$ . Then we can pass to the quotient of  $A^*$  by its radical and assume that  $A^*$  is semisimple. So we can assume that  $A^*$  is simple, i.e.,  $A^* = \text{Mat}_n(D)$ ,  $D$  a division algebra over  $k$ . But in this case the claim is obvious since  $Gr(A) = \mathbb{Z}$ . □

**Corollary 2.1.** *A tensor category  $\mathcal{C}$  over  $k$  is virtually indecomposable if  $\mathcal{C} \otimes_k \bar{k}$  is virtually indecomposable.*

Therefore, throughout the paper we shall work over an *algebraically closed field*  $k$ .

**2.1. Based rings.** In Section 3.1, we recall the definition of a unital-based ring, and then prove in Section 3.2 the following theorem about them.

**Theorem 2.1.** *Let  $A$  be any unital based ring. Then  $A$  is virtually indecomposable.*

Recall that a  $k$ -linear abelian rigid tensor category  $\mathcal{C}$  is said to have the *Chevalley property* if the tensor product of any two semisimple objects of  $\mathcal{C}$  is also semisimple. In other words, the subcategory  $\mathcal{C}_{ss}$  of semisimple objects in  $\mathcal{C}$  is a tensor subcategory. For example, in characteristic zero,  $\mathcal{C} = \text{Rep}(G)$  and  $\mathcal{C} = \text{Rep}(\mathfrak{g})$ , where  $G$  is any group and  $\mathfrak{g}$  is any Lie algebra, have the Chevalley property [C]. Of course, if  $\mathcal{C}$  is semisimple (e.g., a fusion category) then  $\mathcal{C}$  has the Chevalley property.

Now, if  $\mathcal{C}$  has the Chevalley property then  $Gr(\mathcal{C}) = Gr(\mathcal{C}_{ss})$ , so  $Gr(\mathcal{C})$  is a unital based ring. Hence, Theorem 2.1 implies the following corollary.

**Corollary 2.2.** *Let  $\mathcal{C}$  be a  $k$ -linear abelian rigid tensor category. If  $\mathcal{C}$  has the Chevalley property then  $\mathcal{C}$  is virtually indecomposable.*

**Remark 2.1.** In general, it is not true that the representation categories of groups and Lie algebras in positive characteristic have the Chevalley property, and likewise for supergroups and Lie superalgebras in any characteristic.

**2.2. The Hopf algebra case.** In Section 4, we prove the following innocent looking result, which will turn out to play the key role in proving our results concerning (super)groups and (super)Lie algebras.

**Theorem 2.2.** *Let  $H$  be a (not necessarily commutative) Hopf algebra over a field  $k$ , and let  $\text{Corep}(H)$  denote the tensor category of finite-dimensional  $H$ -comodules over  $k$ . Suppose that  $I$  is a Hopf ideal in  $H$  such that  $\bigcap_{n \geq 1} I^n = 0$ . Let  $R$  be any commutative ring and, if the characteristic of  $k$  is  $p > 0$ , assume that  $\bigcap_{n \geq 1} p^n R = 0$ . Then, if  $\text{Corep}(H/I)$  is virtually indecomposable over  $R$  then so is  $\text{Corep}(H)$ .*

**Remark 2.2.** In fact, Theorem 2.2 holds also, with the same proof, in the topological case (i.e., when  $H$  is a topological Hopf algebra; see below).

**2.3. The group case.** In Section 5, we use Theorem 2.2 to prove increasingly strong results, culminating in the following theorem.

**Theorem 2.3.** *Let  $k$  be any field, and let  $G$  be an affine group scheme over  $k$ . Let  $S$  be the set of all primes not equal to the characteristic of  $k$  and not dividing  $|G/G^0|$ . Then  $\text{Spec}(\mathbb{Z}[S^{-1}] \otimes_{\mathbb{Z}} Gr(G))$  is connected.*

Theorem 2.3 generalizes to formal groups. Recall that a *formal group*  $G$  over a field  $k$ , whose subset of closed points (= reduced part) is the affine proalgebraic group  $\overline{G}$  over  $k$ , is the following algebraic structure. We have a structure algebra  $\mathcal{O}(G)$  over  $k$ , which has an ideal  $I$  such that  $\mathcal{O}(G)/I = \mathcal{O}(\overline{G})$ , and  $\mathcal{O}(G)$  is complete and separated in the topology defined by  $I$  (i.e.,  $\mathcal{O}(G) = \varprojlim \mathcal{O}(G)/I^m$ ). Finally, we have

a cocommutative coproduct  $\Delta : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \widehat{\otimes} \mathcal{O}(G)$ , where the latter completed tensor product is  $\varprojlim (\mathcal{O}(G)/I^m \otimes \mathcal{O}(G)/I^m)$ , defining a topological Hopf algebra structure on  $\mathcal{O}(G)$ , such that  $I$  is a Hopf ideal, and the isomorphism  $\mathcal{O}(G)/I \rightarrow \mathcal{O}(\overline{G})$  is a Hopf algebra isomorphism.

Thus, combining Theorems 2.2 and 2.3, we obtain the following result.

**Theorem 2.4.** *Let  $k$  be any field, and let  $G$  be a formal group over  $k$  with reduced part  $\overline{G}$ . Let  $S$  be the set of all primes not equal to the characteristic of  $k$  and not dividing  $|\overline{G}/\overline{G}^0|$ . Then  $\text{Spec}(\mathbb{Z}[S^{-1}] \otimes_{\mathbb{Z}} \text{Gr}(G))$  is connected.*

Therefore, as an immediate corollary of Theorem 2.4 (the case  $\overline{G} = 1$ ), we deduce a positive answer to the second part of Serre’s Question 1.1. Nevertheless, in Section 4.3 we shall also give a self-contained proof of this theorem in the positive characteristic case.

**Theorem 2.5.** *Let  $\mathfrak{g}$  be a Lie algebra over any field  $k$  and let  $\mathcal{C} := \text{Rep}(\mathfrak{g})$  be the category of finite-dimensional representations of  $\mathfrak{g}$  over  $k$ . Then  $\text{Spec}(\text{Gr}(\mathfrak{g}))$  is connected.*

**Remark 2.3.** Note that the case  $\overline{G} = 1$  (formal groups with one closed point) reduces to Lie algebras in characteristic zero, but in positive characteristic it contains much more.

Recall that a Hopf algebra  $H$  over a field  $k$  is called *coconnected* if every simple  $H$ -comodule over  $k$  is trivial (see e.g. [EG] where, in particular, coconnected Hopf algebras over  $\mathbb{C}$  are classified in Theorem 4.2). We have the following result which extends Theorem 2.5.

**Theorem 2.6.** *Let  $H$  be a coconnected Hopf algebra over any field  $k$ , and let  $S$  be the set of all primes not equal to the characteristic of  $k$ . Then  $\text{Rep}(H)$  is virtually indecomposable over  $\mathbb{Z}[S^{-1}]$ .*

*Proof.* If  $H$  is coconnected then  $H^*$  is a topological Hopf algebra with maximal ideal  $I := \text{Ker}(\epsilon)$ , which is complete and separated in the topology defined by  $I$  (as the powers of  $I$  are orthogonal to the terms of the coradical filtration of  $H$ ). So the claim follows from the topological version of Theorem 2.2 (see Remark 2.2). □

**2.4. The supergroup case.** In Section 6.1, we recall the notion of a Hopf superalgebra, and in Section 6.2, we recall the notions of an affine supergroup scheme and a formal supergroup over  $k$ . We then generalize in Section 6.3 the results from Section 5 to the super-case (assuming the characteristic of  $k \neq 2$ ).

Let  $\mathcal{G}$  be an affine supergroup scheme or, more generally, a formal supergroup, and let  $u \in \mathcal{G}$  be an element of order 2 acting by parity on the algebra of regular functions  $\mathcal{O}(\mathcal{G})$ . Let  $\text{Rep}(\mathcal{G}, u)$  be the category of representations of  $\mathcal{G}$  on finite-dimensional supervector spaces over  $k$  on which  $u$  acts by parity, and let  $\text{Gr}(\mathcal{G}, u)$  be its Grothendieck ring.

**Theorem 2.7.** *Let  $k$  be any field of characteristic  $\neq 2$ . Let  $\mathcal{G}$  be an affine supergroup scheme over  $k$  or, more generally, a formal supergroup over  $k$ . Let  $S$  be the set of all primes  $\neq 2$  not equal to the characteristic of  $k$  and not dividing  $|\mathcal{G}/\mathcal{G}^0|$ . Then  $\text{Spec}(\mathbb{Z}[S^{-1}] \otimes_{\mathbb{Z}} \text{Gr}(\mathcal{G}, u))$  is connected.*

**Remark 2.4.** Note that the prime 2 must be excluded (i.e., cannot be inverted). Indeed, already in the category  $\text{SuperVect}$  of finite-dimensional supervector spaces over  $k$  (see Section 6), the element  $\frac{1}{2}(k_0 \oplus k_1)$  is a nontrivial idempotent.

Recall that a *Lie superalgebra* over a field  $k$  is a Lie algebra in  $\text{SuperVect}$  (see e.g, [B]). In other words, a Lie superalgebra  $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$  is a supervector space over  $k$ , equipped with an operation  $[\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying the following axioms:  $[x, y] = -(-1)^{|x||y|}[y, x]$  and  $[x, [y, z]] = [[x, y], z] + (-1)^{|x||y|}[y, [x, z]]$ , for homogeneous elements  $x, y \in \mathfrak{g}$  and  $z \in \mathfrak{g}$ . The following result on Lie superalgebras is an immediate corollary of Theorem 2.7.

**Corollary 2.3.** *Let  $\mathfrak{g}$  be a Lie superalgebra over a field  $k$  of characteristic  $\neq 2$ . Let  $S$  be the set of all primes  $\neq 2$  not equal to the characteristic of  $k$ . Then the spectrum of  $\mathbb{Z}[S^{-1}] \otimes_{\mathbb{Z}} \text{Gr}(\mathfrak{g})$  is connected.*

By a theorem of Deligne [D] in characteristic zero, the categories  $\text{Rep}(\mathcal{G}, u)$  exhaust all  $k$ -linear abelian symmetric rigid tensor categories of exponential growth. Hence, we deduce the following corollary.

**Corollary 2.4.** *If  $\mathcal{C}$  is a  $k$ -linear abelian symmetric rigid tensor category of exponential growth over an algebraically closed field  $k$  of characteristic zero, then  $\mathcal{C}$  is virtually indecomposable.*

Recall that a (*super*)*Tannakian* category over a field  $k$  is a  $k$ -linear abelian symmetric rigid tensor category  $\mathcal{C}$ , with  $\text{End}(\mathbf{1}) = k$ , where  $\mathbf{1}$  denotes the unit object, which admits a fiber functor to the category of finite-dimensional (super)vector spaces (see [D]). In the following proposition we deduce a positive answer to the first part of Serre’s Question 1.1.

**Proposition 2.1.** *A (*super*)Tannakian category  $\mathcal{C}$  over any field  $k$  is virtually indecomposable.*

*Proof.* By (the super analog of) a theorem of Deligne–Milne [DM] (which is in [D]),  $\mathcal{C}$  is equivalent to a category of the form  $\text{Rep}(\mathcal{G}, u)$ , so the claim follows by Theorem 2.7. □

### 3. The virtually indecomposability of a unital based ring

In characteristic zero there is an alternative (“combinatorial”) proof of (a slight generalization of) Theorem 1.1 in the framework of unital-based rings.

**3.1. Based rings.** Let  $A$  be a ring with a distinguished  $\mathbb{Z}$ -basis  $\{b_i\}$ ,  $i \in I$ , (not necessarily of finite rank), which contains the unit element 1, such that  $b_i b_j = \sum_k n_{ij}^k b_k$ , where  $n_{ij}^k \in \mathbb{Z}^+$ . The bilinear map  $(\sum_i n_i b_i, \sum_i m_i b_i) \mapsto \sum_i n_i m_i$  defines a positive inner product  $(\cdot, \cdot) : A \times A \rightarrow \mathbb{Z}$  on  $A$ . We call  $A$  a *unital based ring* if there is an involution  $i \mapsto i^*$  such that the induced map  $x = \sum_i n_i b_i \mapsto x^* := \sum_i n_i b_{i^*}$  satisfies  $(xy, z) = (x, zy^*) = (y, x^*z)$  for all  $x, y, z \in A$ . In particular, it follows that the matrix of multiplication by  $x^*$  is transposed to the matrix of multiplication by  $x$ , for any  $x \in A$ .

**Example 3.1.** If  $\mathcal{C}$  is a  $k$ -linear semisimple rigid tensor category, its Grothendieck ring  $Gr(\mathcal{C})$  is a unital-based ring. A typical example of such category is the category  $\mathcal{C} := \text{Corep}(H)$  of finite-dimensional comodules of a cosemisimple Hopf algebra  $H$ . The distinguished  $\mathbb{Z}$ -basis of  $Gr(\mathcal{C})$  consists of the isomorphism classes of simple  $H$ -comodules, and the involution  $*$  is given by taking the  $k$ -linear dual of a comodule.

**3.2. The proof of Theorem 2.1.** Let  $e \neq 1$  be a central idempotent in  $A$ . We have to show that  $e = 0$ . We first note that  $e$  is a projection operator on an inner product space, which is normal (i.e.,  $ee^* = e^*e$ ), so  $e$  is self-adjoint. Indeed,  $(e(1 - e^*), e(1 - e^*)) = (e^*e(1 - e^*), 1 - e^*) = (ee^*(1 - e^*), 1 - e^*) = 0$ . Thus, by positivity of the inner product,  $e(1 - e^*) = 0$ , so  $e = ee^*$ , hence  $e = e^*$ .

Then  $e$  is an orthogonal projector to a proper subspace of  $\mathbb{R} \otimes_{\mathbb{Z}} A$ , which does not contain 1. So  $0 \leq (e, e) = (e1, e1) < (1, 1) = 1$ . But  $(e, e)$  is an integer, so  $(e, e) = 0$ , and hence  $e = 0$ . □

**Remark 3.1.** It is interesting to mention here a classical result of Kaplansky which asserts that there is no nontrivial idempotent in the integral group ring of any (not necessarily commutative) group (see [K], [P]), i.e., the integral group ring of any group is strongly virtually indecomposable. Equivalently, the tensor category  $Vec_G$  of  $G$ -graded vector spaces over  $k$  is strongly virtually indecomposable for any group  $G$ .

In fact, Proposition 3, in [R] extends the result of Kaplansky to fusion rings (= unital based rings of finite rank). Equivalently, any fusion category is strongly virtually indecomposable.

### 4. The proof of Theorem 2.2.

In this section, we let  $H$  be a Hopf algebra (not necessarily commutative) over  $k$ , and  $\mathcal{C} := \text{Corep}(H)$  be the category of finite-dimensional right comodules of  $H$ . Then  $\mathcal{C}$  is a  $k$ -linear abelian rigid tensor category in which every object has a finite length. Let  $Gr(\mathcal{C})$  be the Grothendieck ring of  $\mathcal{C}$ ; it is the free  $\mathbb{Z}$ -algebra with a distinguished basis formed by the classes  $[X]$  of the simple objects  $X \in \mathcal{C}$ .

**4.1. Characters in Hopf algebras.** Recall that any  $M \in \mathcal{C}$  has a canonical rational  $H^*$ -module structure.

**Definition 4.1.** For an object  $M \in \mathcal{C}$ , the character  $ch(M)$  of  $M$  is the character of the  $H^*$ -module  $M$ . In other words, the character  $ch(M)$  is the function  $H^* \rightarrow k$  defined by  $ch(M)(x) := tr(x|_M)$ .

Clearly,  $ch(M) \in H$ ,  $ch(M)ch(N) = ch(M \otimes N)$  and  $ch(M) + ch(N) = ch(M \oplus N)$ . Moreover, if  $M_1, \dots, M_n$  are the distinct composition factors of  $M$ , with multiplicities  $a_1, \dots, a_n$ , then  $ch(M) = \sum_{i=1}^n a_i ch(M_i)$ . In other words, the character of  $M$  and the character of its semisimplification  $\oplus_{i=1}^n a_i M_i$  coincide. We therefore have a well-defined  $k$ -algebra homomorphism

$$ch : k \otimes_{\mathbb{Z}} Gr(\mathcal{C}) \rightarrow H, \quad a \otimes [M] \mapsto a \cdot ch(M).$$

**Proposition 4.1.** *The character map  $ch$  is injective. In other words, if  $M, N \in \mathcal{C}$  with  $ch(M) = ch(N)$ , then  $[M] = [N]$  in  $k \otimes_{\mathbb{Z}} Gr(\mathcal{C})$ .*

*Proof.* It is enough to show that if  $\sum_{i=1}^m a_i ch(M_i) = 0$  on  $H^*$ , for some finite number of nonisomorphic irreducible comodules  $M_i \in \mathcal{C}$  and some elements  $a_i \in k$ , then  $a_i = 0$  for all  $i$ .

Indeed, by the density theorem, the map  $H^* \rightarrow \bigoplus_i \text{End}_k(M_i)$  is surjective, so we can choose an element  $x \in H^*$  which maps to 0 on  $\text{End}_k(M_j)$  for  $j \neq i$ , and to an element with trace 1 on  $\text{End}_k(M_i)$ , which implies that  $a_i = 0$  for all  $i$ . □

**Remark 4.1.** Note that if the characteristic of  $k$  is zero then Proposition 4.1 implies that the character of  $M$  determines the composition factors of  $M$  together with their multiplicities, i.e.,  $ch : Gr(\mathcal{C}) \rightarrow H$  is injective (so in particular, if  $M, N$  are semisimple then  $M, N$  are isomorphic). On the other hand, if the characteristic of  $k$  is  $p > 0$  then Proposition 4.1 implies only that the character of  $M$  determines the composition factors of  $M$  together with their multiplicities modulo  $p$ .

**4.2. The proof of Theorem 2.2.** Set  $\bar{\mathcal{C}} := \text{Corep}(H/I)$ . The surjection of Hopf algebras  $H \twoheadrightarrow H/I$  induces a tensor functor  $\mathcal{C} \rightarrow \bar{\mathcal{C}}$ , which in turn induces a ring homomorphism  $R \otimes_{\mathbb{Z}} Gr(\mathcal{C}) \rightarrow R \otimes_{\mathbb{Z}} Gr(\bar{\mathcal{C}})$ . Suppose  $E \in R \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  is an idempotent which is not 0 or 1, and let  $e$  be the image of  $E$  in  $R \otimes_{\mathbb{Z}} Gr(\bar{\mathcal{C}})$ . By assumption,  $e$  is either 0 or 1. Without loss of generality we may assume that  $e = 0$ , replacing  $E$  by  $1 - E$  if needed.

Now, if  $k$  has characteristic  $p > 0$ , at least one of the coefficients of  $E$  is not divisible by  $p$ . Indeed, if  $E = pF$  then  $E^n = p^n F^n = E$ , so  $E \in p^n R \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  for all  $n$ , and hence it is zero, which is a contradiction. Therefore, the image  $E'$  of  $E$  in  $R \otimes_{\mathbb{Z}} k \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  (which is  $(R/pR) \otimes_{\mathbb{F}_p} k \otimes_{\mathbb{Z}} Gr(\mathcal{C})$  in positive characteristic) is nonzero, and the image  $e'$  of  $e$  in  $R \otimes_{\mathbb{Z}} k \otimes_{\mathbb{Z}} Gr(\bar{\mathcal{C}})$  is zero (as  $e = 0$ ).

Now, using the embedding  $ch : k \otimes_{\mathbb{Z}} Gr(\mathcal{C}) \hookrightarrow H$ , we get a nonzero idempotent  $ch(E')$  in  $R \otimes_{\mathbb{Z}} H$ , which has zero image in  $R \otimes_{\mathbb{Z}} H/I$  (this image is  $ch(e')$ ). This implies that  $ch(E') \in R \otimes_{\mathbb{Z}} I$ . But since  $ch(E')$  is an idempotent,  $ch(E')^n = ch(E')$  for all  $n$ , so  $ch(E') \in \bigcap_{n \geq 1} (R \otimes_{\mathbb{Z}} I^n) = 0$ , which is a contradiction. □

**4.3. A proof of Theorem 2.5.** Let  $\mathfrak{g}$  be a Lie algebra over a field  $k$  of characteristic  $p > 0$ ; we may assume without loss of generality that  $k$  is algebraically closed (see Corollary 2.1). Let  $A := U(\mathfrak{g})^*$  be the dual algebra of the universal enveloping algebra  $U(\mathfrak{g})$  of  $\mathfrak{g}$  (it is a topological Hopf algebra in the topology defined by the maximal ideal  $I$  of  $A$ ). Let  $E \in Gr(\mathfrak{g})$  be an idempotent which is not 0 or 1. We can assume that  $Tr_E(1) = 0$  modulo  $p$  by replacing  $E$  with  $1 - E$  if needed.

Now, at least one of the coefficients of  $E$  is not divisible by  $p$ . Indeed, otherwise  $(E/p)^n = E/p^n$ , so  $E/p^n \in Gr(\mathfrak{g})$  for all  $n$ , but  $E/p^n$  does not have integer coefficients for large enough  $n$ . Consequently, the image  $E'$  of  $E$  in  $k \otimes_{\mathbb{Z}} Gr(\mathfrak{g})$  is nonzero. Hence, using Proposition 4.1, we get a nonzero idempotent  $ch(E')$  in  $A$ . On the other hand, the augmentation map  $A \rightarrow k$  maps  $ch(E')$  to zero (since  $Tr_E(1) = 0$  modulo  $p$ ). So  $ch(E')$  is contained in  $I$ . But  $ch(E')$  is an idempotent, so it is contained in any power  $I^n$  of  $I$ . But  $\bigcap_{n \geq 1} I^n = 0$ , so  $ch(E')$  is zero, which is a contradiction. □

### 5. The proof of Theorem 2.3

The proof of Theorem 2.3 will be carried in several steps.

**5.1.  $G$  is a reductive abelian affine algebraic group over  $k$ .** Recall that if  $G$  is a reductive abelian affine algebraic group over  $k$  then  $G \cong G^0 \times A$ , where  $G^0 = \mathbb{G}_m^n$  is the  $n$ -dimensional torus over  $k$  and  $A$  is a finite abelian group of order prime to  $p$  (in case the characteristic of  $k$  is  $p > 0$ ) (see, e.g., [Sp]). In particular, all finite-dimensional simple representations of  $G$  over  $k$  are 1-dimensional, and their isomorphism types are parameterized by pairs  $(z, \chi)$ , where  $z \in \mathbb{Z}^n$  and  $\chi \in \widehat{A}$ . Thus, all idempotents in  $\mathbb{Q} \otimes_{\mathbb{Z}} Gr(G)$  can be easily described in this case (they involve a factor of  $1/|A|$ ), so the result follows in a straightforward manner.

**5.2.  $G$  is any abelian affine algebraic group over  $k$ .** Recall that if  $G$  is an abelian affine algebraic group over  $k$  then  $G \cong G_s \times G_u$ , where  $G_s$  and  $G_u$  are the subgroups of semisimple and unipotent elements of  $G$ , respectively (see, e.g., [Sp]). So  $\text{Rep}(G)$  and  $\text{Rep}(G_s)$  have the same Grothendieck rings, and the claim follows from 5.1.

**5.3.  $G$  is any affine algebraic group over  $k$ .** Let  $G$  be any affine algebraic group over  $k$ , and let  $\mathcal{O}(G)$  be its coordinate Hopf algebra; it is a finitely generated commutative reduced Hopf algebra over  $k$ . Suppose  $e \in Gr(\text{Rep}(G)) = Gr(\text{Corep}(\mathcal{O}(G)))$  is an idempotent. Then  $ch(e) \in \mathcal{O}(G)$  is an idempotent, so in particular a class function on  $G$  taking the values 0, 1 (here we are using the trick with dividing by  $p$ , as we did in the proof of Theorem 2.2, which explains why we cannot invert  $p$ ). Therefore, it suffices to prove that  $ch(e)(g) = ch(e)(1)$  for all  $g \in G$ . But for that purpose we may assume that  $G$  is the (Zariski closure of the) cyclic group with generator  $g$ , so  $G$  is abelian and the claim follows from Section 5.2.

**5.4.  $G$  is an affine proalgebraic group over  $k$ .** Let  $G$  be an affine proalgebraic group over  $k$ , and let  $\mathcal{O}(G)$  be its coordinate Hopf algebra over  $k$ ; it is a commutative reduced Hopf algebra over  $k$  (not necessarily finitely generated). But it is well known that  $\mathcal{O}(G)$  is the inductive limit of its finitely generated Hopf subalgebras (see e.g., [A]), so the claim follows in a straightforward manner from Section 5.3.

**5.5.  $G$  is an affine group scheme over  $k$ .** Let  $G$  be an affine group scheme, and let  $\mathcal{O}(G)$  be the commutative Hopf algebra representing the group functor  $G$  (it is not necessarily reduced) (see, e.g., [W]). Since  $k$  is algebraically closed, it is well known (essentially by Hilbert Nullstellensatz) that the nilradical  $I$  of  $\mathcal{O}(G)$  is a Hopf ideal. Now, the commutative reduced Hopf algebra  $\mathcal{O}(G)/I$  over  $k$  represents an affine proalgebraic group over  $k$ , so by Section 5.4,  $\text{Corep}(\mathcal{O}(G)/I)$  is virtually indecomposable. Finally, since  $\bigcap_{n \geq 1} I^n = 0$ , it follows from Theorem 2.2 that  $\text{Corep}(\mathcal{O}(G)) = \text{Rep}(G)$  is virtually indecomposable, as claimed.

This concludes the proof of Theorem 2.3.

## 6. The proof of Theorem 2.7

**6.1. Hopf superalgebras.** Recall that a *Hopf superalgebra* over a field  $k$  is a Hopf algebra in the  $k$ -linear abelian symmetric tensor category  $\text{SuperVect}$  of supervector spaces over  $k$  (see, e.g., [B]). In other words, a Hopf superalgebra  $\mathcal{H} = \mathcal{H}_0 \oplus \mathcal{H}_1$  is an ordinary  $\mathbb{Z}_2$ -graded associative unital algebra over  $k$  (i.e., a *superalgebra*), equipped with a coassociative morphism  $\Delta : \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$  in  $\text{SuperVect}$ , which is multiplicative

in the super-sense, and with a counit and antipode satisfying the standard axioms. Here multiplicativity in the super-sense means that  $\Delta$  satisfies the relation

$$\Delta(ab) = \sum (-1)^{|a_2||b_1|} a_1 b_1 \otimes a_2 b_2,$$

where  $a, b \in \mathcal{H}$  are homogeneous elements,  $|a|$  and  $|b|$  denote the degrees of  $a$  and  $b$ ,  $\Delta(a) = \sum a_1 \otimes a_2$  and  $\Delta(b) = \sum b_1 \otimes b_2$ .

A Hopf superalgebra  $\mathcal{H}$  is said to be *commutative* if  $ab = (-1)^{|a||b|}ba$  for all homogeneous elements  $a, b \in \mathcal{H}$ .

Let  $J(\mathcal{H}) := (\mathcal{H}_1) = \mathcal{H}_1^2 \oplus \mathcal{H}_1$  be the Hopf ideal of  $\mathcal{H}$  generated by the odd elements  $\mathcal{H}_1$ . Then the quotient  $\tilde{\mathcal{H}} := \mathcal{H}/J(\mathcal{H})$  is an ordinary Hopf algebra. Note that if  $\mathcal{H}$  is commutative then  $J(\mathcal{H})$  consists of nilpotent elements.

Let us recall the following useful construction, introduced in Section 3.1 in [AEG]. Let  $\mathcal{H}$  be any Hopf superalgebra over  $k$ , and let  $\tilde{\mathcal{H}} := k[\mathbb{Z}_2] \ltimes \mathcal{H}$  be the semidirect product Hopf superalgebra with comultiplication  $\tilde{\Delta}$  and antipode  $\tilde{S}$ , where the generator  $g$  of  $\mathbb{Z}_2$  acts on  $\mathcal{H}$  by  $ghg^{-1} = (-1)^{|h|}h$ . For  $x \in \tilde{\mathcal{H}}$ , write  $\tilde{\Delta}(x) = \tilde{\Delta}_0(x) + \tilde{\Delta}_1(x)$ , where  $\tilde{\Delta}_0(x) \in \tilde{\mathcal{H}} \otimes \tilde{\mathcal{H}}_0$  and  $\tilde{\Delta}_1(x) \in \tilde{\mathcal{H}} \otimes \tilde{\mathcal{H}}_1$ . Then one can define an *ordinary* Hopf algebra structure on the algebra  $\mathcal{H}' := \tilde{\mathcal{H}}$ , with comultiplication and antipode maps given by  $\Delta(h) := \tilde{\Delta}_0(h) - (-1)^{|h|}(g \otimes 1)\tilde{\Delta}_1(h)$  and  $S(h) := g^{|h|}\tilde{S}(h)$ ,  $h \in \mathcal{H}'$  (see Theorem 3.1.1 in [AEG]).

**Theorem 6.1.** *Let  $\mathcal{H}$  be a Hopf superalgebra over a field  $k$  of characteristic  $\neq 2$ , and let  $\text{Corep}(\mathcal{H})$  be the tensor category of finite-dimensional  $\mathcal{H}$ -comodules in  $\text{SuperVect}$  over  $k$ . The tensor categories  $\text{Corep}(\mathcal{H})$  and  $\text{Corep}(\mathcal{H}')$  are equivalent.*

*Proof.* An  $\mathcal{H}$ -comodule in  $\text{SuperVect}$  is a (continuous)  $\mathbb{Z}_2$ -graded  $\mathcal{H}^*$ -module in the category  $\text{Vect}$  of vector spaces over  $k$ , which is the same as a continuous module over the algebra  $k[\mathbb{Z}_2] \ltimes \mathcal{H}^*$ . But  $k[\mathbb{Z}_2] \ltimes \mathcal{H}^*$  is isomorphic to  $(\mathcal{H}')^*$  as an algebra, so a  $\mathcal{H}$ -comodule in  $\text{SuperVect}$  is the same thing as a  $\mathcal{H}'$ -comodule in  $\text{Vect}$ . Finally, it is easy to check that this equivalence is in fact a tensor equivalence.  $\square$

We note that as a consequence of Theorem 6.1, we can define the character map  $ch : k \otimes_{\mathbb{Z}} \text{Gr}(\text{Corep}(\mathcal{H})) \rightarrow \mathcal{H}'$ ,  $a \otimes [M] \mapsto a \cdot ch(M)$ , and deduce from Proposition 4.1 that it is an injective  $k$ -algebra homomorphism.

**6.2. Affine supergroup schemes and formal supergroups.** Recall that an *affine supergroup scheme*  $\mathcal{G}$  is the spectrum of a (not necessarily finitely generated) commutative Hopf superalgebra  $\mathcal{O}(\mathcal{G})$  over  $k$  (see, e.g., [D]). In other words, it is a functor  $\mathcal{G}$  from the category of supercommutative algebras to the category of groups defined by  $A \mapsto \mathcal{G}(A) := \text{Hom}(\mathcal{O}(\mathcal{G}), A)$ , where  $\text{Hom}(\mathcal{O}(\mathcal{G}), A)$  is the group of algebra maps  $\mathcal{O}(\mathcal{G}) \rightarrow A$  in  $\text{SuperVect}$ .

Recall that a *formal supergroup*  $\mathcal{G}$  over a field  $k$ , with reduced part  $G$ , is the following algebraic structure. We have a superalgebra  $\mathcal{O}(\mathcal{G})$  over  $k$ , which has an ideal  $\mathcal{I}$  such that  $\mathcal{O}(\mathcal{G})$  is complete and separated in the topology defined by  $\mathcal{I}$  (i.e.,  $\mathcal{O}(\mathcal{G}) = \varprojlim \mathcal{O}(\mathcal{G})/\mathcal{I}^m$ ), and  $\mathcal{O}(\mathcal{G})/\mathcal{I} = \mathcal{O}(G)$ . Finally, we have a supercocommutative coproduct  $\Delta : \mathcal{O}(\mathcal{G}) \rightarrow \mathcal{O}(\mathcal{G}) \hat{\otimes} \mathcal{O}(\mathcal{G})$ , where the latter completed tensor product is  $\varprojlim (\mathcal{O}(\mathcal{G})/\mathcal{I}^m \otimes \mathcal{O}(\mathcal{G})/\mathcal{I}^m)$ , defining a topological Hopf algebra structure on  $\mathcal{O}(\mathcal{G})$ , such that  $\mathcal{I}$  is a Hopf ideal, and the isomorphism  $\mathcal{O}(\mathcal{G})/\mathcal{I} \rightarrow \mathcal{O}(G)$  is a Hopf superalgebra isomorphism.

Let  $\mathcal{G}$  be an affine supergroup scheme. The ordinary commutative Hopf algebra  $\mathcal{O}(\overline{\mathcal{G}})$  is isomorphic to  $\mathcal{O}(G)$  for some affine group scheme  $G$ , which is referred to as the *even part* of  $\mathcal{G}$ .

Let  $\mathcal{G}$  be an affine supergroup scheme over  $k$ , or, more generally, a formal supergroup over  $k$ , and let  $\text{Rep}(\mathcal{G})$  denote the category of finite-dimensional algebraic representations of  $\mathcal{G}$  in  $\text{SuperVect}$  over  $k$ . Then  $\text{Rep}(\mathcal{G})$  is a  $k$ -linear abelian symmetric rigid tensor category with  $\text{End}(\mathbf{1}) = k$ , where  $\mathbf{1}$  denotes the unit object, which admits a fiber functor (= a symmetric tensor functor) to the full  $k$ -linear abelian symmetric rigid tensor subcategory of  $\text{SuperVect}$  whose objects are the finite-dimensional supervector spaces. Just like in the even case,  $\text{Rep}(\mathcal{G})$  is equivalent to  $\text{Corep}(\mathcal{O}(\mathcal{G}))$ .

**6.3. The proof of Theorem 2.7.** Let  $\mathcal{G}$  be an affine supergroup scheme over  $k$ , let  $\mathcal{H} := \mathcal{O}(\mathcal{G})$  be the commutative Hopf superalgebra representing the group functor  $\mathcal{G}$ , and let  $\mathcal{H}'$  be the ordinary Hopf algebra associated with  $\mathcal{H}$ . Then the quotient  $\mathcal{H}'/(k[\mathbb{Z}_2] \rtimes J(\mathcal{H}))$  is a commutative Hopf algebra representing the group functor  $\mathbb{Z}_2 \times G$ , where  $G$  is the even part of  $\mathcal{G}$ . Therefore  $\text{Corep}(\mathcal{H}'/(k[\mathbb{Z}_2] \rtimes J(\mathcal{H})))$  is virtually indecomposable by Theorem 2.3, and it follows from Theorems 2.2 and 6.1 that  $\text{Corep}(\mathcal{H})$  is virtually indecomposable, as claimed.

For formal supergroups  $\mathcal{G}$  over  $k$  the proof is completely parallel using Theorem 2.4 about formal groups over  $k$ .

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