# ASYMPTOTIC LINEARITY OF REGULARITY AND a ∗ -INVARIANT OF POWERS OF IDEALS

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ABSTRACT. Let  $X = \text{Proj } R$  be a projective scheme over a field k, and let  $I \subseteq R$  be an ideal generated by forms of the same degree d. Let  $\pi : \widetilde{X} \to X$  be the blowing up of X along the subscheme defined by I, and let  $\phi : \tilde{X} \to \bar{X}$  be the projection given by the divisor  $dE_0 - E$ , where E is the exceptional divisor of  $\pi$  and  $E_0$  is the pullback of a general hyperplane in  $X$ . We investigate how the asymptotic linearity of the regularity and the  $a^*$ -invariant of  $I^q$  (for  $q \gg 0$ ) is related to invariants of fibers of  $\phi$ .

#### 1. Introduction

Let k be a field and let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be a projective scheme over k. Let  $I \subseteq R$  be a homogeneous ideal. It is well known (cf. [1, 4, 6, 7, 9, 12, 18, 20]) that reg( $I^q$ ) =  $aq + b$ , a linear function in q, for  $q \gg 0$ . While the linear constant a is quite well understood from reduction theory (see  $[20]$ ), the free constant b remains mysterious (see [10, 19] for partial results). Recently, Eisenbud and Harris [10] showed that when I is generated by general forms of the same degree, whose zeros set is empty in  $X$ ,  $b$  can be related to a set of *local* data, namely, the regularity of fibers of the projection map defined by the generators of I. The aim of this paper is to exhibit a similar phenomenon in a more general situation, when  $I$  is generated by arbitrary forms of the same degree. In this case, the generators of  $I$  do not necessarily give a morphism. The projection map that we will examine is the map from the blowup of  $X$  along the subscheme defined by  $I$ , considered as a bi-projective scheme, to its second coordinate.

Let  $I = (F_0, \ldots, F_m)$ , where  $F_0, \ldots, F_m$  are homogeneous elements of degree d in R. Let  $\pi : \tilde{X} \to X$  be the blowing up of X along the subscheme defined by I. Let  $\mathcal{R} = R[It]$  be the Rees algebra of I. By letting deg  $F_i t = (d, 1)$ , the Rees algebra  $\mathcal R$  is naturally bi-graded with  $\mathcal{R} = \bigoplus_{p,q \in \mathbb{Z}} \mathcal{R}_{(p,q)}$ , where  $\mathcal{R}_{(p,q)} = (I^q)_{p+q} t^q$ . Under this bi-gradation of R, we can identify  $\widetilde{X}$  with Proj  $\mathcal{R} \subseteq \mathbb{P}^n \times \mathbb{P}^m$  (cf. [8, 15, 16]). Also, the projection  $\phi : \text{Proj } \mathcal{R} \to \mathbb{P}^m$  is in fact the morphism given by the divisor  $D = dE_0 - E$ , where E is the exceptional divisor of  $\pi$  and  $E_0$  is the pullback of a general hyperplane in X. For a close point  $\varphi \in \overline{X} = \text{image}(\phi)$ , let  $X_{\varphi} = \overline{X} \times_{\overline{X}} \text{Spec } \mathcal{O}_{\overline{X},\varphi}$  be the fiber of  $\phi$  over the affine neighborhood Spec  $\mathcal{O}_{\bar{X},\wp}$  of  $\wp$ . Then  $X_\wp = \text{Proj } \mathcal{R}_{(\wp)},$  where  $\mathcal{R}_{(\wp)}$ is the homogeneous localization of R at  $\varphi$ . We define the *regularity* of  $\widetilde{X}_{\varphi}$ , denoted by reg $(X_{\wp})$ , to be that of  $\mathcal{R}_{(\wp)}$ . Inspired by the work of Eisenbud and Harris [10], we propose the following conjecture.

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**Conjecture 1.1.** Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be a projective scheme, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Let  $reg(\phi) = \max\{reg(\tilde{X}_{\varphi}) \mid \varphi \in$  $\{X\}$ . Then for  $q \gg 0$ ,

$$
reg(I^q) = qd + reg(\phi).
$$

We provide a strong evidence<sup>1</sup> for Conjecture 1.1. More precisely, we prove a similar statement to Conjecture 1.1 for the  $a^*$ -invariant, a closely related variant of the regularity. For a closed point  $\varphi \in \bar{X}$ , we define the  $a^*$ -invariant of  $\widetilde{X}_{\varphi}$ , denoted by  $a^*(\tilde{X}_{\wp})$ , to be the  $a^*$ -invariant of its homogeneous coordinate ring  $\mathcal{R}_{(\wp)}$ . Our first main result is stated as follows.

**Theorem 1.2** (Theorems 2.6). Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be a projective scheme, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Let  $a^*(\phi) =$  $\max\{a^*(\widetilde{X}_{\wp}) \mid \wp \in \overline{X}\}$ . Then for  $q \gg 0$ , we have

$$
a^*(I^q) = qd + a^*(\phi).
$$

As a consequence of Theorem 1.2, we obtain in Theorem 3.1 an upper and a lower bounds for the asymptotic linear function reg( $I<sup>q</sup>$ ). We prove that for  $q \gg 0$ ,

$$
qd + a^*(\phi) \le \operatorname{reg}(I^q) \le qd + a^*(\phi) + \dim R.
$$

This, in particular, allows us to settle Conjecture 1.1 in an important case. A fiber  $\tilde{X}_{\varphi}$  is said to be *arithmetically Cohen-Macaulay* if its homogeneous coordinate ring  $\mathcal{R}_{\varphi}$  is Cohen-Macaulay. Our next result shows that Conjecture 1.1 holds under the additional condition that each fiber  $X_{\varphi}$  is arithmetically Cohen-Macaulay. This hypothesis is satisfied, for instance, when the Rees algebra  $R$  is a Cohen-Macaulay ring.

**Theorem 1.3** (Theorem 3.2). Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be an irreducible projective scheme of dimension at least 1, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Let  $\text{reg}(\phi) = \max\{\text{reg}(\widetilde{X}_{\varphi}) \mid \varphi \in \overline{X}\}\)$ . Assume that each fiber  $\widetilde{X}_{\varphi}$ is an arithmetically Cohen-Macaulay scheme. Then for  $q \gg 0$ , we have

$$
reg(I^q) = qd + reg(\phi).
$$

Our method in proving Theorem 1.2, and subsequently Theorem 1.3, is based upon investigating different graded structures of the Rees algebra  $R$ . More precisely, beside the natural bi-graded structure mentioned above, R possesses two other N-graded structures; namely

$$
\mathcal{R} = \bigoplus_{q \in \mathbb{Z}} \mathcal{R}_q^1, \text{ where } \mathcal{R}_q^1 = \bigoplus_{p \in \mathbb{Z}} \mathcal{R}_{(p,q)}, \text{ and}
$$

$$
\mathcal{R} = \bigoplus_{p \in \mathbb{Z}} \mathcal{R}_p^2, \text{ where } \mathcal{R}_p^2 = \bigoplus_{q \in \mathbb{Z}} \mathcal{R}_{(p,q)}.
$$

Under these N-graded structures, it can be seen that  $R = \mathcal{R}_0^1 \hookrightarrow \mathcal{R}, \mathcal{R}_q^1$  is a graded R-modules for any  $q \in \mathbb{Z}$ ,  $S = \mathcal{R}_0^2 \hookrightarrow \mathcal{R}$ , and  $\mathcal{R}_p^2$  is a graded S-modules for any  $p \in \mathbb{Z}$ . Let  $\mathcal{R}_q^1$  be the coherent sheaf associated to  $\mathcal{R}_q^1$  on X, and let  $\mathcal{R}_p^2$  be the coherent

<sup>&</sup>lt;sup>1</sup>Marc Chardin in a recent preprint [5] has proved that Conjecture 1.1 holds in general.

sheaf associated to  $\mathcal{R}_p^2$  on  $\bar{X}$ . Observe further that  $\mathcal{R}_q^1 = \bigoplus_{p \in \mathbb{Z}} [I^q]_{p+qd} = I^q(qd)$ , the module  $I^q$  shifted by qd. As a consequence, for any  $p, q \in \mathbb{Z}$  we have

$$
\mathcal{R}_q^1(p) = \widetilde{I}^q(p+qd).
$$

Thus, to study the regularity of  $I^q$ , we examine sheaf cohomology groups of  $\mathcal{R}_q^1(p)$ . Our results are obtained by investigating how these sheaf cohomology groups behave by pulling back via the blowup map  $\pi$  and pushing forward through the projection map  $\phi$ .

Our paper is outlined as follows. In the next section, we consider  $\widetilde{X}$  as a biprojective scheme and prove a similar statement to Conjecture 1.1 for the  $a^*$ -invariant. In the last section, we prove an important case of Conjectures 1.1.

## 2. Bi-projective schemes and  $a^*$ -invariants

The goal of this section is to give a similar statement to Conjecture 1.1 for the a ∗ -invariant of powers of an ideal. We first recall the definition of regularity and a ∗ -invariant.

**Definition 2.1.** For any N-graded algebra T, let  $T_+$  denote its irrelevant ideal. For  $i \geq 0$ , let  $a^{i}(T) = \max\{l \mid [H_{T_{+}}^{i}(T)]_{l} \neq 0\}$  (if  $H_{T_{+}}^{i}(T) = 0$  then take  $a^{i}(T) = -\infty$ ). The  $a^*$ -invariant and the regularity of T are defined to be

$$
a^*(T) = \max_{i \ge 0} \{a^i(T)\}\
$$
and  $reg(T) = \max_{i \ge 0} \{a^i(T) + i\}.$ 

Note that  $H^i_{T_+}(T) = 0$  for  $i > \dim T$ , so  $a^*(T)$  and reg(T) are well-defined and finite invariants.

Let S denote the homogeneous coordinate ring of  $\bar{X} \subseteq \mathbb{P}^m$ . For each closed point  $\varphi \in \bar{X}$ , i.e.,  $\varphi$  is a homogeneous prime ideal in S, let  $\mathcal{R}_{\varphi}$  be the localization of  $\mathcal R$  at  $\wp$ ; that is,  $\mathcal{R}_{\wp} = \mathcal{R} \otimes_S S_{\wp}$ . The *homogeneous localization* of  $\mathcal{R}$  at  $\wp$ , denoted by  $\mathcal{R}_{(\wp)}$ , is the collection of all element of degree 0 (in t) of  $\mathcal{R}_{\varphi}$ . Observe that homogeneous localization at  $\wp$  annihilates the grading with respect to powers of t. Thus, inheriting from the bi-graded structure of  $\mathcal{R}$ , the homogeneous localization  $\mathcal{R}_{(\wp)}$  is a N-graded ring. The regularity and  $a^*$ -invariant of  $\mathcal{R}_{(p)}$  are therefore defined as usual.

Associated to  $\phi : \widetilde{X} \to \overline{X}$ , let

$$
a^{i}(\phi) = \max \{ a^{i}(\mathcal{R}_{(\wp)}) \mid \wp \in \bar{X} \} \text{ for } i \ge 0,
$$
  
\n
$$
a^{*}(\phi) = \max \{ a^{*}(\mathcal{R}_{(\wp)}) \mid \wp \in \bar{X} \}, \text{ and}
$$
  
\n
$$
\operatorname{reg}(\phi) = \max \{ \operatorname{reg}(\mathcal{R}_{(\wp)}) \mid \wp \in \bar{X} \}.
$$

**Remark 2.2.** By definition,  $a^*(\phi) = \max_{i \geq 0} \{a^i(\phi)\}\$ and  $\text{reg}(\phi) = \max_{i \geq 0} \{a^i(\phi) + i\}.$ Note that  $H_{\mathcal{R}_{(\wp)+}}^i(\mathcal{R}_{(\wp)}) = [H_{\mathcal{R}_+}^i(\mathcal{R})]_{(\wp)},$  where on the right hand side we view  $\mathcal{R}_{\wp}$ under its N-graded structure  $\mathcal{R} = \bigoplus_{p \in \mathbb{Z}} \mathcal{R}_p^2$ , which induces the embedding  $S \hookrightarrow \mathcal{R}$ . Thus,  $a^{i}(\phi)$  is a well-defined and finite invariant for any  $i \geq 0$ . As a consequence,  $a^*(\phi)$  and reg( $\phi$ ) are well-defined and finite invariants. These invariants are defined in a similar fashion to the *projective*  $a^*$ -invariant that was introduced in [16]. We shall also let  $r_{\phi}$  denote the smallest integer r such that

$$
a^*(\phi) = a^r(\phi).
$$

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Recall further that the Rees algebra  $\mathcal{R} = R[It]$  of I is naturally bi-graded with  $\mathcal{R}_{(p,q)} = (\mathcal{I}^q)_{p+q}d^q$ , and we identify  $\widetilde{X}$  with Proj $\mathcal{R} \subseteq \mathbb{P}^n \times \mathbb{P}^m$ . It can also be seen that  $\tilde{X}$  and  $\bar{X}$  can be realized as the (closure of the) graph and the (closure of the) image of the rational map  $\varphi : X \dashrightarrow \mathbb{P}^m$  given by  $P \mapsto [F_0(P) : \cdots : F_m(P)]$ (cf. [8, 15, 16]). Under this identification,  $\pi$  and  $\phi$  are restrictions on  $\tilde{X}$  of natural projections  $\mathbb{P}^n \times \mathbb{P}^m \to \mathbb{P}^n$  and  $\mathbb{P}^n \times \mathbb{P}^m \to \mathbb{P}^m$ . We have the following diagram:

$$
\begin{array}{ccccc}\n\widetilde{X} & \subseteq & \mathbb{P}^n \times \mathbb{P}^m \\
\pi & \swarrow & \searrow & \phi \\
X & & \xrightarrow{-\rightarrow} & \bar{X}\n\end{array}
$$

Let *I* be the ideal sheaf of *I*, and let  $\mathcal{L} = \mathcal{I}\mathcal{O}_{\widetilde{X}} = \mathcal{O}_{\widetilde{X}}(0, 1)$ .

Lemma 2.3. With notations as above.

- (1) The homogeneous coordinate ring of  $\bar{X}$  is  $S \simeq k[F_0t, \ldots, F_mt]$ .
- $(2) \phi^* \mathcal{O}_{\bar{X}}(q) = \mathcal{L}^q \otimes \pi^* \mathcal{O}_X(qd) \ \forall \ q \in \mathbb{Z}.$
- (3)  $\mathcal{O}_{\widetilde{X}}(p,q) = \pi^* \mathcal{O}_X(p) \otimes \phi^* \mathcal{O}_{\widetilde{X}}(q) \simeq \mathcal{L}^q \otimes \pi^* \mathcal{O}_X(p+qd) \ \forall \ p,q \in \mathbb{Z}.$

*Proof.* (1) follows from the construction of  $\varphi$ . (2) and (3) follow from the graded structures of  $R, R$  and  $S$ .

The next few lemmas exhibit how the  $a^*$ -invariant of fibers of  $\phi$  governs sheaf cohomology groups via a push forward along  $\phi$ .

**Lemma 2.4.** Let  $p > a^*(\phi)$ . Then

 $(1)$   $\phi_*\mathcal{O}_{\widetilde{\mathfrak{X}}}(p,q) = \widetilde{\mathcal{R}}_p^2(q)$  and  $R^j\phi_*\mathcal{O}_{\widetilde{\mathfrak{X}}}(p,q) = 0$  for any  $j > 0$  and any  $q \in \mathbb{Z}$ , (2)  $H^i(\tilde{X}, \mathcal{O}_{\tilde{X}}(p, q)) = 0$  for  $i > 0$  and  $q \gg 0$ .

Proof. By Lemma 2.3 and the projection formula we have

$$
\phi_*\mathcal{O}_{\widetilde{X}}(p,q)=\phi_*\mathcal{O}_{\widetilde{X}}(p,0)\otimes\mathcal{O}_{\widetilde{X}}(q) \text{ and } R^j\phi_*\mathcal{O}_{\widetilde{X}}(p,q)=R^j\phi_*\mathcal{O}_{\widetilde{X}}(p,0)\otimes\mathcal{O}_{\widetilde{X}}(q).
$$

Thus, to show (1) it suffices to prove the assertion for  $q = 0$ .

Let  $\varphi$  be any closed point of  $\bar{X}$ , and consider the restriction  $\phi_{\varphi} : \bar{X}_{\varphi} = \text{Proj } \mathcal{R}_{(\varphi)} \to$ Spec  $\mathcal{O}_{\bar{X},\wp}$  of  $\phi$  over an open affine neighborhood Spec  $\mathcal{O}_{\bar{X},\wp}$  of  $\wp$ . We have

$$
(2.1) \qquad R^j \phi_* \mathcal{O}_{\widetilde{X}}(p,0) \Big|_{\text{Spec } \mathcal{O}_{\widetilde{X},\wp}} = R^j \phi_* \left( \widetilde{\mathcal{R}_{(\wp)}}(p) \right) = H^j \left( \widetilde{X}_{\wp}, \widetilde{\mathcal{R}_{(\wp)}}(p) \right) \qquad \forall \ j \ge 0.
$$

For any  $j \geq 0$  and any  $\wp \in \overline{X}$ , we have  $p > a^*(\phi) \geq a^j(\mathcal{R}_{(\wp)})$ ; and thus,  $\left[H_{\mathcal{R}_{(\wp)+}}^{j}(\mathcal{R}_{(\wp)})\right]_{p}=0.$  Moreover, the Serre-Grothendieck correspondence give us an exact sequence

$$
0 \to \left[ H_{\mathcal{R}_{(p)+}}^0(\mathcal{R}_{(p)}) \right]_p \to \left[ \mathcal{R}_{(p)} \right]_p = \left( \mathcal{R}_p^2 \right)_{(p)} \n\to H^0(\widetilde{X}_p, \widetilde{\mathcal{R}_{(p)}}(p)) \to \left[ H_{\mathcal{R}_{(p)+}}^1(\mathcal{R}_{(p)}) \right]_p \to 0
$$

and isomorphisms

$$
H^i(\widetilde{X}_{\wp}, \widetilde{\mathcal{R}_{(\wp)}}(p)) \simeq \big[H^{i+1}_{\mathcal{R}_{(\wp)+}}(\mathcal{R}_{(\wp)})\big]_p \text{ for } i > 0.
$$

Therefore, for any  $j \geq 0$  and any  $\wp \in \overline{X}$ ,

$$
R^j \phi_* \mathcal{O}_{\widetilde{X}}(p,0) \Big|_{\text{Spec } \mathcal{O}_{\widetilde{X},\wp}} = H^j(\widetilde{X}_{\wp}, \widetilde{\mathcal{R}_{(\wp)}}(p)) \widetilde{\phantom{X}} = \begin{cases} (\widetilde{\mathcal{R}^2_p})_{(\wp)} & \text{for } j = 0 \\ 0 & \text{for } j > 0. \end{cases}
$$

This is true for any  $\wp \in \overline{X}$ , and so (1) is proved.

Now, it follows from (1) that the Leray spectral sequence  $H^i(\bar{X}, R^j \phi_* \mathcal{O}_{\tilde{X}}(p, q)) \Rightarrow$  $H^{i+j}(\tilde{X}, \mathcal{O}_{\tilde{X}}(p,q))$  degenerates. Thus, for any  $j \geq 0$ ,

$$
H^{j}(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(p, q)) = H^{j}(\overline{X}, \widetilde{\mathcal{R}_{p}^{2}}(q)).
$$

Moreover, since  $\mathcal{O}_{\bar{X}}(1)$  is a very ample divisor, we have  $H^j(\bar{X}, \widetilde{\mathcal{R}}_p^2(q)) = 0$  for all  $q \gg 0$ , and (2) is proved.

**Lemma 2.5.** Let  $r_{\phi}$  be defined as above.

(1) If  $r_{\phi} \leq 1$  then  $H^0(\tilde{X}, \mathcal{O}_{\tilde{X}}(a^*(\phi), q)) \neq \mathcal{R}_{(a^*(\phi), q)}$  for  $q \gg 0$ . (2) If  $r_{\phi} \geq 2$  then  $H^{r_{\phi}-1}(\tilde{X}, \mathcal{O}_{\tilde{X}}(a^*(\phi), q)) \neq 0$  for  $q \gg 0$ .

*Proof.* For simplicity, let  $a = a^*(\phi)$ . By the definition of  $r_{\phi}$ , we have

(2.2) 
$$
\begin{cases} \left[H_{\mathcal{R}_{(\wp)+}}^{i}(\mathcal{R}_{(\wp)})\right]_{a}=0 & \text{for } i < r_{\phi} \text{ and any } \wp \in \bar{X} \\ \left[H_{\mathcal{R}_{(\mathfrak{q})+}}^{r_{\phi}}(\mathcal{R}_{(\mathfrak{q})})\right]_{a} \neq 0 & \text{for some } \mathfrak{q} \in \bar{X}. \end{cases}
$$

(1) If  $r_{\phi} \leq 1$  then it follows from (2.2) and the Serre-Grothendieck correspondence that  $H^0(\tilde{X}_{\mathfrak{q}}, \tilde{\mathcal{R}}_{\mathfrak{q}})(a)) \neq [\mathcal{R}_{\mathfrak{q}}]_a = (\mathcal{R}_a^2)_{\mathfrak{q}}$ . This and (2.1) imply that  $\phi_* \mathcal{O}_{\tilde{X}}(a, 0) \neq \tilde{X}_{\mathfrak{q}}(a)$  $\mathcal{R}_a^2$ , and so

$$
\phi_* \mathcal{O}_{\widetilde{X}}(a,q) \neq \widetilde{\mathcal{R}_a^2}(q) \text{ for any } q \in \mathbb{Z}.
$$

Since both  $\phi_*\mathcal{O}_{\tilde{X}}(a,q) = \phi_*\mathcal{O}_{\tilde{X}}(a,0) \otimes \mathcal{O}_{\tilde{X}}(q)$  (by Lemma 2.3 and the projection formula) and  $\mathcal{R}_a^2(q)$  are generated by global sections for  $q \gg 0$ , we must have

$$
H^{0}(\bar{X}, \phi_{*}\mathcal{O}_{\widetilde{X}}(a,q)) \neq H^{0}(\bar{X}, \widetilde{\mathcal{R}}_{a}^{2}(q)) = \mathcal{R}_{(a,q)} \ \forall \ q \gg 0.
$$

Moreover,  $H^0(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(a,q)) = H^0(\overline{X}, \phi_*\mathcal{O}_{\widetilde{X}}(a,q)).$  Thus,

$$
H^{0}(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(a, q)) \neq \mathcal{R}_{(a,q)}
$$
 for  $q \gg 0$ .

(2) If  $r_{\phi} \geq 2$ , then it follows from (2.2) and (2.1) that

(2.3) 
$$
\begin{cases} R^j \phi_* \mathcal{O}_{\widetilde{X}}(a, q) = 0 \text{ for } 0 < j < r_{\phi} - 1, \\ R^{r_{\phi} - 1} \phi_* \mathcal{O}_{\widetilde{X}}(a, q) \neq 0. \end{cases}
$$

By Lemma 2.3 and the projection formula,  $\phi_* \mathcal{O}_{\tilde{X}}(a,q) = \phi_* \mathcal{O}_{\tilde{X}}(a,0) \otimes \mathcal{O}_{\tilde{X}}(q)$ . Thus, for  $q \gg 0$  we have  $H^{r_{\phi}-1}(\bar{X}, \phi_*\mathcal{O}_{\tilde{X}}(a,q)) = 0$ . From this, together with (2.3) and the Leray spectral sequence  $H^i(\bar{X}, R^j \phi_* \mathcal{O}_{\tilde{X}}(a,q)) \Rightarrow H^{i+j}(\tilde{X}, \mathcal{O}_{\tilde{X}}(a,q)),$  we can deduce that

$$
H^{r_{\phi}-1}(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(a, q)) = H^{0}(\overline{X}, R^{r_{\phi}-1}\phi_{*}\mathcal{O}_{\widetilde{X}}(a, q)) \text{ for } q \gg 0.
$$

It then follows, since  $R^{r_{\phi}-1}\phi_*\mathcal{O}_{\tilde{X}}(a,q) = R^{r_{\phi}-1}\phi_*\mathcal{O}_{\tilde{X}}(a,0) \otimes \mathcal{O}_{\tilde{X}}(q)$  is globally generated for  $s \geq 0$ , that erated for  $q \gg 0$ , that

$$
H^{r_{\phi}-1}(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(a,q)) \neq 0 \text{ for } q \gg 0.
$$



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Our first main result is a similar statement to Conjecture 1.1 for the  $a^*$ -invariant.

**Theorem 2.6.** Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be a projective scheme, and let  $I \subseteq R$  be a  $homogeneous\ ideal\ generated\ by\ forms\ of\ degree\ d.\ Let\ a^*(\phi)=\max\{a^*(\widetilde{X}_\wp)\mid \wp\in \bar{X}\}.$ Then for  $q \gg 0$ , we have

$$
a^*(I^q) = qd + a^*(\phi).
$$

*Proof.* By a similar argument as in Lemma 2.4, considering  $\pi_*$  instead of  $\phi_*$ , we can show that for  $q \gg 0$ ,

(2.4) 
$$
\pi_* \mathcal{O}_{\widetilde{X}}(p,q) = \widetilde{\mathcal{R}}_q^1(p) = \widetilde{I}^q(p+qd) \text{ and } R^j \pi_* \mathcal{O}_{\widetilde{X}}(p,q) = 0 \ \forall \ j > 0.
$$

This implies that for  $q \gg 0$ , the Leray spectral sequence  $H^i(X, R^j \pi_* \mathcal{O}_{\widetilde{X}}(p,q)) \Rightarrow$  $H^{i+j}(\tilde{X}, \mathcal{O}_{\tilde{X}}(p,q))$  degenerates and we have

$$
H^j(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(p, q)) = H^j(X, \widetilde{I}^q(p+qd)) \ \forall \ j \ge 0.
$$

Therefore, for  $j > 0$ ,  $q \gg 0$  and  $p > a^*(\phi)$ , it follows from Lemma 2.4 that  $H^{j}(X, \tilde{I}^{q}(p+qd)) = 0$ . That is,

(2.5) 
$$
\left[H_{R_{+}}^{j+1}(I^{q})\right]_{p+qd} = 0.
$$

Furthermore, for  $j = 0$  and  $q \gg 0$ , we have  $H^0(\bar{X}, \widetilde{\mathcal{R}}_p^2(q)) = H^0(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(p,q)) =$  $H^0(X, \overline{I^q}(p + qd))$ , where the first equality follows from Lemma 2.4. On the other hand, for  $q \gg 0$ ,  $H^0(\bar{X}, \widetilde{\mathcal{R}_p^2}(q)) = (\mathcal{R}_p^2)_{q} = \mathcal{R}_{(p,q)} = [I^q]_{p+qd}$ . Thus, for  $q \gg 0$ ,  $H^0(X, \tilde{I}^q(p+qd)) = [I^q]_{p+qd}$ . This and (2.5) imply that for  $q \gg 0$ ,

$$
a^*(I^q) \le qd + a^*(\phi).
$$

To prove the other inequality, let  $r_{\phi}$  be defined as in Remark 2.2. We consider two cases:  $r_{\phi} \leq 1$  and  $r_{\phi} \geq 2$ . If  $r_{\phi} \leq 1$  then by Lemma 2.5,  $H^0(\tilde{X}, \mathcal{O}_{\tilde{X}}(a^*(\phi), q)) \neq$  $\mathcal{R}_{(a^*(\phi),q)}$  for all  $q \gg 0$ . This implies that  $H^0(X, \pi_*\mathcal{O}_{\widetilde{X}}(a^*(\phi), q)) \neq \mathcal{R}_{(a^*(\phi), q)}$  for  $q \gg 0$ . That is,

$$
H^{0}(X, \tilde{I}^{q}(a^{*}(\phi) + qd)) \neq [I^{q}]_{a^{*}(\phi) + qd} \ \forall \ q \gg 0.
$$

By the Serre-Grothendieck correspondence, for  $q \gg 0$ , we have either

$$
\left[H_{R_+}^0(I^q)\right]_{(a^*(\phi)+qd,q)} \neq 0 \text{ or } \left[H_{R_+}^1(I^q)\right]_{(a^*(\phi)+qd,q)} \neq 0.
$$

It then follows that  $a^*(I^q) \ge qd + a^*(\phi)$  for  $q \gg 0$ .

If  $r_{\phi} \geq 2$ , then by Lemma 2.5,  $H^{r_{\phi}-1}(\tilde{X}, \mathcal{O}_{\tilde{X}}(a^*(\phi), q)) \neq 0$  for  $q \gg 0$ . Moreover, for  $q \gg 0$ , it follows from (2.4) that the Leray spectral sequence

$$
H^i(X, R^j \pi_* \mathcal{O}_{\widetilde{X}}(p, q)) \Rightarrow H^{i+j}(\widetilde{X}, \mathcal{O}_{\widetilde{X}}(p, q))
$$

degenerates. Thus, for  $q \gg 0$ , we have  $H^{r_{\phi}-1}(X, \tilde{I}^q(a^*(\phi) + qd)) \neq 0$ . By the Serre-Grothendieck correspondence, we have  $[H_R^{r_\phi}]$  $\binom{r_{\phi}}{R_+}(I^q)_{a^*(\phi)+qd} \neq 0$  for  $q \gg 0$ . This implies that  $a^*(I^q) \ge qd + a^*(\phi)$  for  $q \gg 0$ .

**Example 2.7.** Let  $R = \bigoplus_{n \geq 0} R_n$  be a Cohen-Macaulay standard graded domain, and let  $A = (a_{ij})_{1 \leq i \leq r, 1 \leq j \leq s}$  be an  $r \times s$  matrix  $(r \leq s)$  of entries in  $R_1$ . Let  $I_t(A)$ denote the ideal generated by  $t \times t$  minors of A, and let  $I = I_r(A)$ . Assume that for any  $1 \leq t \leq r$ , ht  $I_t(A) \geq (r - t + 1)(s - r) + 1$ . Let  $\iota(\omega_R)$  be the least generating degree of  $\omega_R$ , the canonical module of R. Then for  $q \gg 0$ ,

$$
a^*(I^q) = qr - \iota(\omega_R).
$$

Indeed, let  $S = k[It]$  denote the homogeneous coordinate ring of  $\overline{X}$ , let  $\wp$  be any point in  $\bar{X}$ , and let  $T = \mathcal{R}_{(\wp)}$ . By [11, Theorem 3.5], the Rees algebra  $\mathcal R$  is Cohen-Macaulay. Thus,  $\mathcal{R}_{(\wp)}$  is Cohen-Macaulay. This implies that

$$
a^*(T) = a^{\dim T}(T) = -\min\{s \mid [\omega_T]_s \neq 0\}.
$$

Furthermore, by [17, Example 3.8],

$$
\omega_{\mathcal{R}} = \omega_R(1,t)^{g-2} = \omega_R \oplus \omega_R t \oplus \cdots \oplus \omega_R t^{g-2} \oplus \omega_R I t^{g-1} \oplus \ldots,
$$

where  $g = \text{ht } I$ . Hence, by localizing at  $\wp$ , we obtain

$$
\omega_T = \big(\omega_{\mathcal{R}}\big)_{(\wp)} = \big(\omega_R(1,t)^{g-2}\big)_{(\wp)}.
$$

Observe that the homogeneous localization at  $\wp$  annihilates the grading inherited from powers of t, so it follows that the degrees of  $\omega_T$  arise from the degrees of  $\omega_R$ . That is,  $i(\omega_T) = i(\omega_R)$ , and the conclusion follows from Theorem 2.6.

### 3. Regularity of powers of ideals

In this section, we investigate the asymptotic linearity of regularity and prove a special case of Conjecture 1.1.

We start by giving an upper and a lower bound for the free constant of reg( $I<sup>q</sup>$ ) in terms of  $a^*(\phi)$ .

**Theorem 3.1.** Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be a projective scheme, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Let  $a^*(\phi) = \max\{a^*(\tilde{X}_{\wp}) \mid \wp \in \tilde{X}_{\wp}\}$  $X$ . Then there exists an integer  $0 \leq r \leq \dim R$  such that for  $q \gg 0$ , we have  $reg(I^q) = qd + a^*(\phi) + r$ . In particular, for  $q \gg 0$ ,

$$
qd + a^*(\phi) \le \operatorname{reg}(I^q) \le qd + a^*(\phi) + \dim R.
$$

*Proof.* Suppose  $reg(I^q) = aq + b$  for  $q \gg 0$ . It can be easily seen from the definition of the regularity and  $a^*$ -invariant of graded R-modules that  $a^*(I^q) \leq \text{reg}(I^q) \leq$  $a^*(I^q) + \dim R$  for any q. This and Theorem 2.6 imply that  $a = d$ ; that is, reg( $I^q$ ) =  $qd + b$  for  $q \gg 0$ . Let  $r = b - a^*(\phi)$ . Then  $reg(I^q) = qd + a^*(\phi) + r$ , and since  $a^*(I^q) \leq \text{reg}(I^q) \leq a^*(I^q) + \dim R$ , we have  $0 \leq r \leq \dim R$ .

Our next result shows that Conjecture 1.1 holds under an extra condition that each fiber  $X_{\varphi}$  is an arithmetically Cohen-Macaulay scheme.

**Theorem 3.2.** Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be an irreducible projective scheme of dimension at least 1, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Let  $reg(\phi) = \max\{reg(X_{\varphi}) \mid \varphi \in \overline{X}\}.$  Assume that each fiber  $X_{\varphi}$  is an arithmetically Cohen-Macaulay scheme. Then for  $q \gg 0$ , we have

$$
reg(I^q) = qd + reg(\phi).
$$

*Proof.* Let  $l = \dim X \geq 1$ . Since X is irreducible,  $\widetilde{X}$  is also irreducible. Moreover, for any point  $\varphi \in \bar{X}$ , Spec  $\mathcal{O}_{\bar{X},\varphi}$  is an open neighborhood of  $\varphi$ , and so  $\bar{X}_{\varphi} =$  $\phi^{-1}(\text{Spec } \mathcal{O}_{\bar{X},\wp})$  is an open subset in  $\tilde{X}$ . Thus,  $\dim \tilde{X}_{\wp} = \dim \tilde{X} = \dim X$ .

By the hypothesis, for each  $\wp \in \bar{X}$ ,  $\mathcal{R}_{(\wp)}$  is a Cohen-Macaulay ring of dimension  $\dim \widetilde{X}_{\wp} + 1 = l + 1$ . This implies that  $a^*(\mathcal{R}_{(\wp)}) = a^{l+1}(\mathcal{R}_{(\wp)})$  and  $\text{reg}(\mathcal{R}_{(\wp)}) =$  $a^{l+1}(\mathcal{R}_{(\wp)}) + (l+1)$ . Therefore,

(3.1) 
$$
a^*(\phi) = a^{l+1}(\phi),
$$

(3.2) 
$$
reg(\phi) = a^*(\phi) + l + 1.
$$

It follows from (3.1) that  $r_{\phi} = l + 1 \geq 2$ . By the same arguments as the last part of the proof of Theorem 2.6, we have that for  $q \gg 0$ , reg( $I^q$ )  $\geq qd + a^*(\phi) +$  $r_{\phi} = qd + a^*(\phi) + \dim R$ . This, together with Theorem 3.1, implies that for  $q \gg 0$ ,  $reg(I^q) = qd + a^*(\phi) + \dim R$ . The conclusion now follows from (3.2).

**Corollary 3.3.** Let  $X = \text{Proj } R \subseteq \mathbb{P}^n$  be an irreducible projective scheme of dimension at least 1, and let  $I \subseteq R$  be a homogeneous ideal generated by forms of degree d. Assume that  $R$  is a Cohen-Macaulay ring. Then for  $q \gg 0$ ,

$$
reg(I^q) = qd + reg(\phi).
$$

*Proof.* Since  $\mathcal{R}$  is Cohen-Macaulay, so is  $\mathcal{R}_{(\wp)}$  for any  $\wp \in \overline{X}$ . Thus, each fiber  $\widetilde{X}_{\wp}$  is arithmetically Cohen-Macaulay. The conclusion follows from Theorem 3.2.

We shall end the paper with a number of examples in which the hypotheses of Corollary 3.3 are satisfied.

**Example 3.4.** Let R and I be as in Example 2.7. In this case, I is generated in degree r. As noted before, the Rees algebra  $R$  is Cohen-Macaulay. Notice further that  $X = \text{Proj } R$  is an irreducible projective scheme. Thus, by Corollary 3.3, we have

$$
reg(Iq) = qr + reg(\phi) \ \forall \ q \gg 0.
$$

**Example 3.5.** Let  $R = k[x_{ij}]_{1 \leq i \leq r, 1 \leq j \leq s}$  and let I be the ideal generated by  $t \times t$ minors of  $M = (x_{ij})_{1 \leq i \leq r, 1 \leq j \leq s}$  for some  $1 \leq t \leq \min\{r, s\}$ . By [11, Theorem 3.5] and [3, Corollary 3.3], the Rees algebra  $R$  of I is Cohen-Macaulay. Also,  $X = \text{Proj } R$ is an irreducible projective scheme. It follows from Corollary 3.3 that

$$
reg(Iq) = qt + reg(\phi) \ \forall \ q \gg 0.
$$

Example 3.6. Let R be a Cohen-Macaulay graded domain of dimension at least 2. Let I be either a complete intersection, or an almost complete intersection that is also generically a complete intersection. Assume that  $I$  is generated in degree  $d$ . Then the Rees algebra  $\mathcal R$  of I is Cohen-Macaulay (cf. [2, 21]). By Corollary 3.3, we have

$$
reg(Iq) = qd + reg(\phi) \ \forall \ q \gg 0.
$$

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