

## GENERIC GALOIS EXTENSIONS FOR $\mathrm{SL}_2(\mathbb{F}_5)$ OVER $\mathbb{Q}$

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ABSTRACT. Let  $G_n$  be a double cover of either the alternating group  $A_n$  or the symmetric group  $S_n$ , and let  $G_{n-1}$  be the corresponding double cover of  $A_{n-1}$  or  $S_{n-1}$ . For every odd  $n \geq 3$  and every field  $k$  of characteristic 0, we prove that the following are equivalent: (i) there exists a generic extension for  $G_{n-1}$  over  $k$ , (ii) there exists a generic extension for  $G_n$  over  $k$ . As a consequence, there exists a generic extension over  $\mathbb{Q}$  for the group  $\widetilde{A}_5 \cong \mathrm{SL}_2(\mathbb{F}_5)$ .

### 1. Introduction

The problem originating the present paper concerns generic Galois extensions, as introduced by D. Saltman [14], for the non-trivial double cover  $\widetilde{A}_n$  of the alternating group  $A_n$  ( $n \geq 4$ ). More concretely, one may ask whether such extensions do exist over  $\mathbb{Q}$ .

In [13], Y. Rikuna obtains a positive answer to this question in the case  $n = 4$ . In [10], J-F. Mestre gives a different proof of this same result.

On the other hand, J-P. Serre [17, Thm. 33.26] proved that the answer is ‘no’ for  $n = 6$  and  $n = 7$ .

Our initial purpose was to fill the gap corresponding to  $n = 5$ . It may be worth mentioning that, in the context of Noether’s problem, this case is positively solved over a field  $k$  (instead of  $\mathbb{Q}$ ) provided  $\widetilde{A}_5$  can be embedded into  $\mathrm{GL}_2(k)$  (cf. [11] and, e.g., [15]); actually, this is possible for  $k := \mathbb{Q}[e^{2\pi i/5}]$ , but *not* for  $k := \mathbb{Q}$ .

Serre’s result fits well our Thm. 3.1: there exists a generic extension for  $\widetilde{A}_{2m}$  over  $\mathbb{Q}$  if and only if so happens for  $\widetilde{A}_{2m+1}$ .

This, together with Rikuna’s result, solves in the affirmative the case  $n = 5$ . That is, the binary icosahedral group  $\widetilde{A}_5 \cong \mathrm{SL}_2(\mathbb{F}_5)$  does admit a generic Galois extension over  $\mathbb{Q}$ .

Section 3 contains the proof of Thm. 3.1, as well as a generalization to other central extensions of  $A_n$ . In addition, almost the same proofs produce analogous results for the symmetric group  $S_n$ .

Previously, in Section 2, it is convenient to introduce the concept of “generic” Galois extension (resp. polynomial) for a finite group extension  $\widetilde{G} \rightarrow G$ . As a consequence of the main result of Section 2 (Prop. 2.5), such an extension exists for a double cover  $\widetilde{G} \rightarrow G$  if and only if there exists a generic extension for  $\widetilde{G}$ .

All of the above is relative to a fixed base field  $k$ . In Section 2, it can be an arbitrary *infinite* field. In Section 3 we add the extra hypothesis, which comes from [9], that the characteristic of  $k$  is 0.

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Received by the editors July 10, 2006.

2000 *Mathematics Subject Classification.* 12F10, 12F12, 13A50.

## 2. Generic embeddable extensions

In what follows  $G$  denotes a finite group.

By a  $G$ -extension  $S/R$  we mean a Galois extension of commutative rings  $R \subset S$  with group  $G$  as defined, for example, in [4]. In particular, this implicitly assumes that a faithful action of  $G$  on  $S$  (by  $R$ -algebra automorphisms) has been fixed. Let us mention that, in this paper,  $S$  and  $R$  will always be  $k$ -algebras for some field  $k$ .

Usually, we deal with  $G$ -extensions *up to Galois isomorphism*. Recall that a *Galois isomorphism* between two  $G$ -extensions  $S_1/R$  and  $S_2/R$  is an  $R$ -algebra isomorphism  $S_1 \rightarrow S_2$  which commutes with the action of  $G$ .

Let  $\tilde{G} \xrightarrow{\pi} G$  denote a fixed epimorphism of finite groups and let  $C$  denote its kernel.

The embedding problem given by  $\pi$  and a  $G$ -extension  $S/R$  will be denoted by  $(S/R, \pi)$ . A *solution to  $(S/R, \pi)$*  is a  $\tilde{G}$ -extension  $\tilde{S}/R$  such that  $S/R$  is Galois isomorphic to the (quotient)  $G$ -extension  $\tilde{S}^C/R$  obtained from  $\tilde{S}/R$  and  $\pi$ .

A  $G$ -extension  $S/R$  such that the embedding problem  $(S/R, \pi)$  is solvable will be called a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension.

Let  $k$  be a field.

**Definition 2.1.** A  $(\tilde{G} \xrightarrow{\pi} G)$ -extension  $S/R$  is called a **generic extension for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$**  if

- (i)  $R$  is a *localised polynomial ring over  $k$* , i.e.,  $R = k[\underline{U}][1/u]$  for some set of indeterminates  $\underline{U} = (U_1, \dots, U_m)$  and some  $u \in k[\underline{U}] \setminus \{0\}$ .
- (ii) If  $K$  is a field containing  $k$  and  $L/K$  is a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension, then there exists a homomorphism  $\varphi : R \rightarrow K$  of  $k$ -algebras (called a *specialization*) such that  $L/K$  is Galois isomorphic to the natural  $G$ -extension  $S \otimes_{\varphi} K/K$  obtained from  $S/R$ .

**Definition 2.2.** A monic polynomial  $F(\underline{U}; X) \in k(\underline{U})[X]$ , where  $\underline{U} = (U_1, \dots, U_m)$  is a set of indeterminates, is called a **generic polynomial for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$**  if

- (i)  $F(\underline{U}; X)$  is a separable polynomial and its splitting field over  $k(\underline{U})$  is a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension of  $k(\underline{U})$ .
- (ii) If  $L/K$  is a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension of *fields* containing  $k$ , then there exists  $\underline{v} \in K^m$  such that the polynomial  $F(\underline{v}; X) \in K[X]$  is separable and its splitting field over  $K$  is  $L$ .

**Definition 2.3.** We say that the **lifting property for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$  holds** if, for every local  $k$ -algebra  $(A, \mathfrak{m})$  with residue field  $K := A/\mathfrak{m}$  and every  $(\tilde{G} \xrightarrow{\pi} G)$ -extension of *fields*  $L/K$ , there exists a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension  $B/A$  such that the  $G$ -extensions  $L/K$  and  $B \otimes_A K/K$  are Galois isomorphic.

*Remark 2.4.* If we take  $\tilde{G} = G$  and  $\pi = id$ , then the above definitions correspond to the usual concepts of *generic extension* (resp. *generic polynomial*) for  $G$  over  $k$  as defined, for example, in [4]. This is also true for the *lifting property* if  $k$  is an *infinite* field, although the usual definition (as in [4]) does not require that  $L$  is a field.

**Proposition 2.5.** *Let  $k$  be an infinite field. Let  $\tilde{G} \xrightarrow{\pi} G$  be an epimorphism of finite groups with kernel  $C := \text{Ker}(\pi)$  contained in the center of  $\tilde{G}$ . Assume that there exists a generic extension for  $C$  over  $k$ . Then, the following properties are equivalent:*

- (i) *There exists a generic extension for  $\tilde{G}$  over  $k$ .*
- (ii) *There exists a generic polynomial for  $\tilde{G}$  over  $k$ .*
- (iii) *The lifting property for  $\tilde{G}$  over  $k$  holds.*
- (i)' *There exists a generic extension for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$ .*
- (ii)' *There exists a generic polynomial for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$ .*
- (iii)' *The lifting property for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$  holds.*

*Proof.* The equivalences (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii) are well-known. See, for example, [4, Sec. 5.2] or [1]. Moreover, the proofs of (i)  $\Rightarrow$  (iii) and (ii)  $\Rightarrow$  (iii) given in [1, Thm. 1] are easily adapted in order to obtain (i)'  $\Rightarrow$  (iii)' and (ii)'  $\Rightarrow$  (iii)'.

It is also clear that (i)  $\Rightarrow$  (i)'. Indeed, if  $\tilde{S}/R$  is a generic extension for  $\tilde{G}$  over  $k$ , then the  $G$ -extension  $\tilde{S}^C/R$  is generic for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$ .

A stronger form of (i)  $\Rightarrow$  (ii)' will be proved later in Lemma 2.8.

We are now going to prove (iii)'  $\Rightarrow$  (iii), thus establishing Proposition 2.5.

Let  $(A, \mathfrak{m})$  be a local  $k$ -algebra with residue field  $K := A/\mathfrak{m}$  and let be given a  $\tilde{G}$ -extension of fields  $\tilde{L}/K$ .

Let us define  $L := (\tilde{L})^C$ . Then,  $L/K$  is a  $G$ -extension (via  $\pi$ ) and  $\tilde{L}/K$  is a solution to the embedding problem  $(L/K, \pi)$ . Now, hypothesis (iii)' ensures the existence of a  $(\tilde{G} \xrightarrow{\pi} G)$ -extension  $B/A$  such that the  $G$ -extensions  $L/K$  and  $B \otimes_A K/K$  are Galois isomorphic.

Let  $\tilde{B}_1/A$  be (a  $\tilde{G}$ -extension which is) a solution to  $(B/A, \pi)$  and let us define  $\tilde{L}_1 := \tilde{B}_1 \otimes_A K$ . Clearly, the (specialized)  $\tilde{G}$ -extension  $\tilde{L}_1/K$  is a solution to  $(L/K, \pi)$ .

Since  $\tilde{L}/K$  and  $\tilde{L}_1/K$  are solutions to the same embedding problem  $(L/K, \pi)$ , it follows from [3, Thm. 3.15.4] (and our centrality assumption) that  $\tilde{L}/K$  must be Galois isomorphic to the *composition* of  $\tilde{L}_1/K$  and a solution to the trivial (central) embedding problem  $(L/K, C \times G \rightarrow G)$ .

Here, the term *composition* refers to composition of solutions to embedding problems as defined, for example, in [3, 1.15], and “corresponds” to the Baer sum of group extensions. Explicitly, the last paragraph says that there exists a  $C$ -extension  $L_2/K$  such that  $\tilde{L}/K$  is Galois isomorphic to the  $\tilde{G}$ -extension obtained as follows.

First, consider  $L_2 \otimes_K \tilde{L}_1/K$ , which we view as a  $(C \times \tilde{G})$ -extension via the action  $(c, g)(l_1 \otimes l_2) := l_1^c \otimes l_2^g$  (cf. [4, Thm. 4.2.9]).

Now, if  $C_1$  denotes the kernel of the epimorphism

$$C \times \tilde{G} \longrightarrow \tilde{G}, \quad (c, g) \longmapsto cg,$$

then  $(L_2 \otimes_K \tilde{L}_1)^{C_1}/K$  is a  $\tilde{G}$ -extension (solution to  $(L/K, \pi)$ ) via the corresponding isomorphism  $\tilde{G} \cong (C \times \tilde{G})/C_1$ . This  $\tilde{G}$ -extension is the one which must be Galois isomorphic to  $\tilde{L}/K$ .

On the other hand, since we are assuming that the lifting property holds for  $C$  over  $k$ , there exists a  $C$ -extension  $B_2/A$  such that the  $C$ -extensions  $L_2/K$  and  $B_2 \otimes_A K/K$  are Galois isomorphic.

Thus,  $B_2 \otimes_A \tilde{B}_1/A$  is a  $(C \times \tilde{G})$ -extension and  $L_2 \otimes_K \tilde{L}_1/K$  is Galois isomorphic to  $(B_2 \otimes_A \tilde{B}_1) \otimes_A K/K$ .

As above,  $(B_2 \otimes_A \tilde{B}_1)^{C_1}/A$  is a  $\tilde{G}$ -extension and, certainly,  $\tilde{L}/K$  is Galois isomorphic to  $(B_2 \otimes_A \tilde{B}_1)^{C_1} \otimes_A K/K$ .

□

*Remark 2.6.* It is well-known that, over  $\mathbb{Q}$ , there exists a generic extension for  $C$  if and only if the (finite abelian) group  $C$  has no elements of order 8 (cf. [14, Thm. 2.1, Thm. 5.11]).

*Remark 2.7.* Proposition 2.5 also holds for an epimorphism  $\tilde{G} \xrightarrow{\pi} G$  with abelian kernel  $C$  not necessarily contained in the center of  $\tilde{G}$ , under the assumption that there exists a generic extension over  $k$  for the semidirect product of  $C$  and  $G$  with respect to the  $G$ -action given by  $\pi$ . Note that this hypothesis implies the existence of a generic extension for  $G$  over  $k$  (cf. [14, Thm. 3.1]).

The following fact will also be used in the next section.

**Lemma 2.8.** *In Proposition 2.5, we can replace (ii)' by (ii)'':*

*Given a transitive embedding  $G \hookrightarrow S_n$ , there exists a generic polynomial  $F(\underline{U}; X)$  for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$  with the following additional properties:*

- (a)  $\deg_X(F(\underline{U}; X)) = n$  and  $\text{Gal}_{k(\underline{U})}(F)$  is conjugate to  $G$  in  $S_n$ .
- (b) For every subgroup  $H \subseteq G$  and every  $(\pi^{-1}(H) \xrightarrow{\pi} H)$ -extension  $L/K$  of fields containing  $k$ , there exists  $\underline{v} \in K^m$  such that the polynomial  $F(\underline{v}; X) \in K[X]$  is separable, its splitting field over  $K$  is  $L$  and, on the (suitably ordered) set of roots of  $F(\underline{v}; X)$ , the permutation action of  $H$  (from the fixed embedding  $H \hookrightarrow S_n$ ) coincides with the Galois action of  $H$  (from the given  $H$ -extension  $L/K$ ).

*Proof.* It suffices to show that  $(i) \Rightarrow (ii)''$ .

Recall that we are assuming that  $k$  is an infinite field.

Let  $R := k[\underline{U}][1/u]$  be a localised polynomial ring over  $k$ , and let  $\tilde{S}/R$  be a generic extension for  $\tilde{G}$  over  $k$ . It is known that we can assume that  $\tilde{S}/R$  has a normal basis  $\underline{\alpha} = \{\alpha_{\tilde{g}}\}_{\tilde{g} \in \tilde{G}}$ , as shown in [8, Rem. 2.1]. Moreover, given a set of indeterminates  $\underline{Y} = \{Y_{\tilde{g}}\}_{\tilde{g} \in \tilde{G}}$  and a non-zero polynomial  $d(\underline{Y}) \in k[\underline{Y}]$ , we can assume too that  $d(\underline{\alpha})$  is a unit in  $S$ . This also follows from the argument given in [8, Rem. 2.1], as a consequence of a result of Kuyk [7] (or [2, Lemma 3]).

For every  $g \in G$ , let us define

$$\beta_g := \sum_{\tilde{g} \in \pi^{-1}(g)} \alpha_{\tilde{g}}.$$

Obviously,  $\{\beta_g\}_{g \in G}$  is a normal basis for the  $G$ -extension  $\tilde{S}^C/R$ , which is generic for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$ .

Let  $G_1 \subset G$  be the stabilizer of 1 with respect to the fixed (faithful and transitive) action of  $G$  on  $\{1, \dots, n\}$ . Given a set  $\{g_1, \dots, g_n\}$  of representatives of the left cosets of  $G_1$  in  $G$ , we define:

$$\gamma_i := \sum_{g \in g_i G_1} \beta_g, \quad i \in \{1, \dots, n\}.$$

We are going to show that  $(ii)''$  holds with the polynomial

$$F(\underline{U}; X) := \prod_{1 \leq i \leq n} (X - \gamma_i) \in k[\{\beta_g\}_{g \in G}]^G[X] \subset R[X],$$

whose discriminant can (and will) be assumed to being a unit in  $R$ .

Only property (b) deserves some explanation.

Given a subgroup  $H \subseteq G$ , let us define  $\tilde{H} := \pi^{-1}(H)$  and let  $\pi_H : \tilde{H} \rightarrow H$  denote the restriction of  $\pi$  to  $\tilde{H}$ .

Let be given a  $(\tilde{H} \xrightarrow{\pi} H)$ -extension  $L/K$  of fields containing  $k$ .

Let  $\text{Ind}_H^G(L)/K$  be the  $G$ -extension induced from the  $H$ -extension  $L/K$  and the inclusion  $H \subseteq G$ . Recall (e.g., [4, p.89]) that, up to Galois isomorphism,  $\text{Ind}_H^G(L)/K$  is the direct product of  $r := (G : H)$  copies of  $L$  with the following  $G$ -action. Let  $\{\sigma_1, \dots, \sigma_r\}$  be a set of representatives of the left cosets of  $H$  in  $G$ . If  $g \in G$  satisfies  $g\sigma_i = \sigma_j h \in \sigma_j H$ , then the  $j$ -th component of  $g((l_1, \dots, l_r))$  is  $h(l_i)$ .

Since we are assuming that the embedding problem  $(L/K, \pi_H)$  is solvable, so must be  $(\text{Ind}_H^G(L)/K, \pi)$ . More precisely, if  $\tilde{L}/K$  is a solution to  $(L/K, \pi_H)$ , then one easily checks that  $\text{Ind}_{\tilde{H}}^G(\tilde{L})/K$  is a solution to  $(\text{Ind}_H^G(L)/K, \pi)$ .

Then, because the  $G$ -extension  $\tilde{S}^C/R$  is generic for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$ , there exists a specialization  $\varphi : R \rightarrow K$  such that the  $G$ -extensions  $\text{Ind}_H^G(L)/K$  and  $\tilde{S}^C \otimes_{\varphi} K/K$  are Galois isomorphic.

Let us take  $\underline{v} := \varphi(\underline{U}) \in K^m$ .

Note that the (specialised) polynomial  $F(\underline{v}; X) \in K[X]$  must be separable, since its discriminant belongs to  $\varphi(R^*)$ .

One can show that  $L$  is the splitting field of  $F(\underline{v}; X)$  over  $K$  as follows (see also the proof of [1, Thm. 2]).

The elements  $\{\gamma_i \otimes 1\}_{1 \leq i \leq n}$  generate the  $K$ -algebra  $\tilde{S}^C \otimes_{\varphi} K$  and they satisfy  $F(\underline{v}; X) = \prod_i (X - (\gamma_i \otimes 1))$ . Thus, if

$$f : \tilde{S}^C \otimes_{\varphi} K \xrightarrow{\cong} \text{Ind}_H^G(L) = L \times \overset{r}{\cdots} \times L$$

defines a Galois isomorphism between  $G$ -extensions of  $K$ , and  $\theta_i \in L$  denotes the (say) first component of  $f(\gamma_i \otimes 1) \in L \times \cdots \times L$ , then  $L = K[\theta_1, \dots, \theta_n]$  and  $F(\underline{v}; X) = \prod_i (X - \theta_i)$ .

Moreover, the (given) Galois action of  $H$  on  $\{\theta_i\}_i$  is conjugate in  $S_n$  to the fixed  $H$ -action on  $\{1, \dots, n\}$ . In fact, if we choose  $\sigma_1 = id$ , then the  $H$ -actions on  $\{\theta_i\}_i$  and on  $\{\gamma_i\}_i$  coincide. □

*Remark 2.9.* In accordance with the usual terminology in the case of  $G$ -extensions (see [5]), a generic polynomial for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$  with property (b) may be called *descent-generic for  $\tilde{G} \xrightarrow{\pi} G$  over  $k$* .

### 3. The cases $G = A_n$ and $G = S_n$

We first consider, for  $n \geq 4$ , the central extension

$$1 \rightarrow \{\pm 1\} \rightarrow \tilde{A}_n \xrightarrow{\pi} A_n \rightarrow 1,$$

which is the unique non-split extension of the alternating group  $A_n$  by  $\{\pm 1\}$ . As above, if  $H$  is a subgroup of  $A_n$ , then we define  $\tilde{H} := \pi^{-1}(H)$  and  $\pi_H : \tilde{H} \rightarrow H$  denotes the restriction of  $\pi$  to  $\tilde{H}$ .

**Theorem 3.1.** *Let  $k$  be a field of characteristic 0. For every odd integer  $n \geq 5$ , the following properties are equivalent:*

- (i) *There exists a generic extension for  $\widetilde{A}_{n-1}$  over  $k$ .*
- (ii) *There exists a generic extension for  $\widetilde{A}_n$  over  $k$ .*

*Proof.* In this proof we use the following terminology. Given a characteristic 0 field  $K$ , we say that a separable monic polynomial  $f(X) \in K[X]$  of degree  $n$  is a *\*-polynomial over  $K$*  if:

- (1) The discriminant of  $f(X)$  is a square in  $K$ . Equivalently, the Galois group of  $f(X)$  over  $K$  is a subgroup  $H$  of  $A_n$ .
- (2) If  $K_f/K$  denotes the ( $H$ -extension defined by the) splitting field of  $f(X)$  over  $K$ , then the embedding problem  $(K_f/K, \pi_H)$  is solvable.

This is equivalent to the vanishing of the two first Stiefel-Whitney invariants of the (quadratic) *trace form*  $\text{Tr}(X^2)$  of  $K[X]/(f(X))$  over  $K$  (see [17, 33.18]).

In addition, we are going to view monic polynomials as points in affine space. Namely, a monic polynomial  $f(X) := X^n + a_1X^{n-1} + \dots + a_n \in K[X]$  of degree  $n$  over  $K$  will be identified with the point  $(a_1, \dots, a_n) \in \mathbb{A}^n(K)$ .

From Proposition 2.5, it suffices to prove the equivalence between:

- (i) There exists a generic polynomial for  $\widetilde{A}_{n-1} \xrightarrow{\pi} A_{n-1}$  over  $k$ .
- (ii) There exists a generic polynomial for  $\widetilde{A}_n \xrightarrow{\pi} A_n$  over  $k$ .

(i)  $\Rightarrow$  (ii).

Let  $F(\underline{U}; X)$  be a generic polynomial for  $\widetilde{A}_{n-1} \xrightarrow{\pi} A_{n-1}$  over  $k$ . We can (and will) assume that  $F(\underline{U}; X)$  satisfies properties (a) and (b) of Lemma 2.8 with respect to  $A_{n-1} \subset S_{n-1}$ . In particular, its degree is  $n - 1$ .

Recall that, given a monic polynomial  $\prod_{1 \leq i \leq m} (X - \theta_i)$  of degree  $m$ , its *Tschirnhaus transformation* with respect to a polynomial  $\varphi(X)$  of degree  $< m$  is the polynomial  $\prod_{1 \leq i \leq m} (X - \varphi(\theta_i))$  (see, e.g., [4, p.141]).

Let  $\underline{S} := (S_1, \dots, S_{n-1})$  be a set of indeterminates and let us define  $F_{\underline{S}}(\underline{U}; X)$  as the (“generic”) Tschirnhaus transformation of  $F(\underline{U}; X)$  with respect to the polynomial  $\varphi_{\underline{S}}(X) := S_1X^{n-2} + \dots + S_{n-2}X + S_{n-1}$ . That is,

$$F_{\underline{S}}(\underline{U}; X) = \text{Res}_Y (F(\underline{U}; Y), X - \varphi_{\underline{S}}(Y)) \in k(\underline{U}, \underline{S})[X],$$

where  $\text{Res}_Y(\cdot, \cdot)$  denotes the resultant with respect to  $Y$ .

The polynomials  $F_{\underline{S}}(\underline{U}; X)$  and  $F(\underline{U}; X)$  have the same splitting field over  $k(\underline{U}, \underline{S})$ , and they satisfy  $F(\underline{U}; X) = F_{\underline{s}}(\underline{U}; X)$  for  $\underline{s} := (0, \dots, 0, 1, 0)$ . Hence, the polynomial  $F_{\underline{S}}(\underline{U}; X) \in k(\underline{U}, \underline{S})[X]$  is also generic for  $\widetilde{A}_{n-1} \xrightarrow{\pi} A_{n-1}$  over  $k$ , and satisfies properties (a) and (b) of Lemma 2.8.

Moreover,  $F_{\underline{S}}(\underline{U}; X)$  satisfies the following stronger property:

Every \*-polynomial  $f(X)$  of degree  $n - 1$  over a field  $K \supseteq k$  arises as  $F_{\underline{s}}(\underline{v}; X)$ , for some  $\underline{v} \in K^m$  and  $\underline{s} \in K^{n-1}$ .

This is clear since, from property (b) of Lemma 2.8,  $f(X)$  must be Tschirnhaus equivalent over  $K$  to  $F(\underline{v}; X)$  for some  $\underline{v} \in K^m$ .

Now, [9] produces a “ $P(X) - TQ(X)$  polynomial” corresponding to  $P(X) = X.F_{\underline{S}}(\underline{U}; X)$ . We are going to prove that this polynomial is generic for  $\widetilde{A}_n \xrightarrow{\pi} A_n$  over  $k$ . For this purpose, we rephrase (some of) the properties of Mestre’s construction [9] as follows (see also [12]).

Let us define  $H := \{(x_1, \dots, x_n) \in \mathbb{A}^n \mid x_n = 0\}$  and let  $T$  be an indeterminate. Then, one deduces from [9] that there exist a Zariski open set  $W \subseteq \mathbb{A}^n$  and a rational map

$$\Theta : \mathbb{A}^n \times \mathbb{A}^1 \longrightarrow \mathbb{A}^n,$$

both defined over  $\mathbb{Q}$ , with the following properties:

- (I)  $W(\mathbb{Q}) \cap H(\mathbb{Q}) \neq \emptyset$ .
- (II) For every field  $K$  of characteristic 0 and every  $f \in W(K)$ ,  $f$  is a separable polynomial in  $K[X]$  and  $\Theta(f, T)$  is a (well-defined) separable polynomial in  $K(T)[X]$  such that:
  - (II.1)  $\Theta(f, T) \in K[T, X]$  and  $\Theta(f, 0) = f$ .
  - (II.2) The trace form of  $K(T)[X]/(\Theta(f, T))$  over  $K(T)$  is constant, i.e. it is  $K(T)$ -equivalent to a quadratic form over  $K$ .
  - (II.3) The Galois group of  $\Theta(f, T)$  over  $K(T)$  contains  $A_n$ .
- (III) Restriction of  $\Theta$  to  $H \times \mathbb{A}^1$  defines a  $\mathbb{Q}$ -birational map between  $H \times \mathbb{A}^1$  and  $\mathbb{A}^n$ . Moreover, if we denote its inverse by

$$(\Psi, \lambda) : \mathbb{A}^n \longrightarrow H \times \mathbb{A}^1,$$

then  $\Psi|_H = id_H$ , as rational maps.

It may be convenient to mention here that the map  $\Theta$  above corresponds, with Mestre’s notation, to the assignment  $(P(X), T) \mapsto P(X) - TQ(X)$ . In particular,  $\Theta$  is linear in  $T$ .

Let us denote  $X.F_{\underline{S}}(\underline{U}; X)$  simply by  $G$ . We claim that  $\Theta(G, T)$ , as a polynomial in  $k(\underline{U}, \underline{S}, T)[X]$ , is generic for  $\widetilde{A}_n \xrightarrow{\pi} A_n$  over  $k$ .

First, note that  $G$  is a  $*$ -polynomial over  $k(\underline{U}, \underline{S})$  which, as a consequence of property (I), must belong to  $W(k(\underline{U}, \underline{S}))$ . Thus,  $\Theta(G, T)$  is a  $*$ -polynomial over  $k(\underline{U}, \underline{S}, T)$  by (II.1) and (II.2), with Galois group  $A_n$  by (II.3).

Secondly, let  $L/K$  be a  $(\widetilde{A}_n \xrightarrow{\pi} A_n)$ -extension of fields containing  $k$ .

We can view  $L/K$  as the splitting field of some  $*$ -polynomial  $f \in K[X]$  of degree  $n$ . Moreover, “Kuyk’s Lemma” (see, e.g., [2, Lemma 3]) shows that the set of such polynomials is Zariski-dense in  $\mathbb{A}^n(K)$ .

Hence, we can assume that  $(\Theta \circ (\Psi, \lambda))(f)$  is well-defined and equal to  $f$ , and that  $\Psi(f)$  belongs to  $W(K)$ .

Then,  $\Psi(f)$  is a  $*$ -polynomial over  $K$  of degree  $n$  by (II). Since  $\Psi(f)$  belongs to  $H(K)$ , it must be  $\Psi(f) = X.F_{\underline{s}}(\underline{v}; X)$ , for some  $\underline{v} \in K^m$  and  $\underline{s} \in K^{n-1}$ . This shows that  $f$  arises by specialization from  $\Theta(G, T)$  at  $(\underline{U}, \underline{S}, T) = (\underline{v}, \underline{s}, \lambda(f))$ .

(ii)  $\Rightarrow$  (i).

This implication can be proved in a similar (but simpler) manner to the above one, and the details are left to the reader. We only mention that the equality  $\Psi|_H = id_H$  in (III) can be used to show that, for a suitable generic polynomial  $F$  for  $\widetilde{A}_n \xrightarrow{\pi} A_n$  over  $k$ , the polynomial  $\frac{1}{X}\Psi(F)$  must be generic for  $\widetilde{A}_{n-1} \xrightarrow{\pi} A_{n-1}$  over  $k$ .

□

In [13], Y. Rikuna gives a generic polynomial for  $SL_2(\mathbb{F}_3) \cong \widetilde{A}_4$  over  $\mathbb{Q}$ . We thus have

**Theorem 3.2.** *There exists a generic extension for  $SL_2(\mathbb{F}_5) \cong \widetilde{A}_5$  over  $\mathbb{Q}$ .*

*Remark 3.3.* In [12] we established the analogue of Thm. 3.1 for  $A_n$  (resp.  $A_{n-1}$ ) instead of  $\widetilde{A}_n$  (resp.  $\widetilde{A}_{n-1}$ ). In fact, from [12], the following stronger result holds: for every faithful finite-dimensional linear representation  $V_n$  of  $A_n$  ( $n$  odd) over a field  $k$  of characteristic 0, the invariant fields  $k(V_n)^{A_n}$  and  $k(V_n)^{A_{n-1}}$  are  $k$ -stably isomorphic. We don't know, however, whether this remains valid when replacing  $A_n$  (resp.  $A_{n-1}$ ) by  $\widetilde{A}_n$  (resp.  $\widetilde{A}_{n-1}$ ). If it were, then  $\mathbb{Q}(\widetilde{V}_5)^{A_5}$  would be  $\mathbb{Q}$ -stably rational for every faithful finite-dimensional linear representation  $\widetilde{V}_5$  of  $\widetilde{A}_5$  over  $\mathbb{Q}$ . This is because  $\mathbb{Q}(\widetilde{V}_5)^{\widetilde{A}_4}$  is known to be  $\mathbb{Q}$ -stably rational by [13, Thm. 5.2]. What we have proved (Thm. 3.2) is that  $\mathbb{Q}(\widetilde{V}_5)^{A_5}$  is  $\mathbb{Q}$ -retract rational. See, e.g., [15].

Let us finally note that Theorem 3.1 can be generalized as follows.

**Theorem 3.4.** *Let  $k$  be a field of characteristic 0. Let  $n \geq 3$  be an odd positive integer and let  $G$  denote either the alternating group  $A_n$  or the symmetric group  $S_n$ . Let*

$$1 \rightarrow C \rightarrow \widetilde{G} \xrightarrow{\pi} G \rightarrow 1$$

*be a finite central extension. Assume that there exists a generic extension for  $C$  over  $k$ . In the case  $G = A_7$  assume, moreover, that 3 does not divide the order of  $C$ . Then, the following properties are equivalent:*

- (i) *There exists a generic extension for  $\widetilde{G}$  over  $k$ .*
- (ii) *There exists a generic extension for  $\pi^{-1}(G \cap S_{n-1})$  over  $k$ .*

*Proof.* We first note that the result is immediate in the case  $G = A_3$ ,  $\widetilde{G}$  being abelian. So, from now on we assume  $G \neq A_3$ .

Note also that, if the given central extension splits, then the result is a direct consequence of [12] and the following fact: given finite groups  $G_1$  and  $G_2$ , there exists a generic extension for  $G_1 \times G_2$  over  $k$  if and only if so happens for both  $G_1$  and  $G_2$  (cf. [14, Thm. 1.5, Thm. 3.1]).

Now, we claim that Thm. 3.4 can be reduced to the case:

- (\*)  $C$  is a 2-group.

This reduction step, which is similar to [6, Thm. 6], can be proved as follows.

Let  $\widetilde{G}'$  (resp.  $G'$ ) denote the derived subgroup of  $\widetilde{G}$  (resp.  $G$ ). Note that, since we excluded the case  $G = A_3$ , we have  $G' = A_n$ .

Let  $C_2$  be the 2-Sylow subgroup of  $C$ , which we view as a subgroup of  $\widetilde{G}$ . One easily checks that it is possible to find an element  $\tau \in \widetilde{G}$  such that  $\tau^2 \in C_2$  and  $\langle \pi(\tau) \rangle \cdot G' = G$ .

It is well-known that  $C \cap \widetilde{G}'$  must be isomorphic to a subgroup of the Schur multiplier of  $G$ . Because of our extra hypothesis in the case  $G = A_7$ , it must be  $C \cap \widetilde{G}' \subseteq C_2$ . It follows that, if we define  $\overline{G} := C_2 \cdot \langle \tau \rangle \cdot \widetilde{G}'$ , then  $C \cap \overline{G} = C_2$ . From this, a



complement  $C_0$  of  $C_2$  in  $C$  must be a (central) complement of  $\overline{G}$  in  $\widetilde{G}$ . Hence,  $\widetilde{G}$  is isomorphic to the direct product  $C_0 \times \overline{G}$ , and the claim is proved.

Finally, under assumption (\*), Theorem 3.4 can be proved analogously to Theorem 3.1. The main point here is that, from [9] and [16, II.Annexe], it follows that in the proof of Theorem 3.1 one can replace property (II.2) by (II.2)+(II.2)', where

(II.2)' Let  $G$  be the Galois group of  $\Theta(f, T)$  over  $K(T)$  and let  $L_T/K(T)$  denote the corresponding  $G$ -extension. Let  $1 \rightarrow C \rightarrow \widetilde{G} \xrightarrow{\pi} G \rightarrow 1$  be a finite central extension. If 3 does not divide the order of  $C$ , then the embedding problem  $(L_T/K(T), \pi)$  has constant obstruction.

□

*Remark 3.5.* It is obvious from the given proof that the extra hypothesis in the case  $G = A_7$  can be replaced by the weaker assumption that 3 does not divide the order of  $C \cap \widetilde{G}'$ .

*Remark 3.6.* If  $\widetilde{G}$  is a finite central extension of  $S_3$ , then  $\pi^{-1}(S_2)$  is an abelian group which contains a 2-Sylow subgroup of  $\widetilde{G}$ . Hence, as a direct consequence of Thm. 3.4, there exists a generic extension for  $\widetilde{G}$  over  $\mathbb{Q}$  if and only if  $\widetilde{G}$  does not contain an element of order 8.

### Acknowledgements

Research partially supported by MCYT grant BFM2003-01898.

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