

## A MODULARITY LIFTING THEOREM FOR WEIGHT TWO HILBERT MODULAR FORMS

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ABSTRACT. We prove a modularity lifting theorem for potentially Barsotti-Tate representations over totally real fields, generalising recent results of Kisin.

### 1. Introduction

In [Kis04] Mark Kisin introduced a number of new techniques for proving modularity lifting theorems, and was able to prove a very general lifting theorem for potentially Barsotti-Tate representations over  $\mathbb{Q}$ . In [Kis05] this was generalised to the case of  $p$ -adic representations of the absolute Galois group of a totally real field in which  $p$  splits completely. In this note, we further generalise this result to:

**Theorem.** *Let  $p > 2$ , let  $F$  be a totally real field in which  $p$  is unramified, and let  $E$  be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho : G_F \rightarrow \mathrm{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the  $p$ -adic cyclotomic character. Suppose that*

- (1)  $\rho$  is potentially Barsotti-Tate at each  $v|p$ .
- (2)  $\bar{\rho}$  is modular.
- (3)  $\bar{\rho}|_{F(\zeta_p)}$  is absolutely irreducible.

*Then  $\rho$  is modular.*

We emphasise that the techniques we use are entirely those of Kisin. Our only new contributions are some minor technical improvements; specifically, we are able to prove a more general connectedness result than Kisin for certain local deformation rings, and we replace an appeal to a result of Raynaud by a computation with Breuil modules with descent data.

The motivation for studying this problem was the work reported on in [Gee06], where we apply the main theorem of this paper to the conjectures of [BDJ05]. In these applications it is crucial to have a lifting theorem valid for  $F$  in which  $p$  is unramified, rather than just totally split.

### 2. Connected components

Firstly, we recall some definitions and theorems from [Kis04]. We make no attempt to put these results in context, and the interested reader should consult section 1 of [Kis04] for a more balanced perspective on this material.

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Received by the editors January 26, 2006.  
2000 *Mathematics Subject Classification.* 11F33.

Let  $p > 2$  be prime. Let  $k$  be a finite extension of  $\mathbb{F}_p$  of cardinality  $q = p^r$ , and let  $W = W(k)$ ,  $K_0 = W(k)[1/p]$ . Let  $K$  be a totally ramified extension of  $K_0$  of degree  $e$ . We let  $\mathfrak{S} = W[[u]]$ , equipped with a Frobenius map  $\phi$  given by  $u \mapsto u^p$ , and the natural Frobenius on  $W$ . Fix an algebraic closure  $\overline{K}$  of  $K$ , and fix a uniformiser  $\pi$  of  $K$ . Let  $E(u)$  denote the minimal polynomial of  $\pi$  over  $K_0$ .

Let  $'(\text{Mod}/\mathfrak{S})$  denote the category of  $\mathfrak{S}$ -modules  $\mathfrak{M}$  equipped with a  $\phi$ -semilinear map  $\phi : \mathfrak{M} \rightarrow \mathfrak{M}$  such that the cokernel of  $\phi^*(\mathfrak{M}) \rightarrow \mathfrak{M}$  is killed by  $E(u)$ . For any  $\mathbb{Z}_p$ -algebra  $A$ , set  $\mathfrak{S}_A = \mathfrak{S} \otimes_{\mathbb{Z}_p} A$ . Denote by  $'(\text{Mod}/\mathfrak{S})_A$  the category of pairs  $(\mathfrak{M}, \iota)$  where  $\mathfrak{M}$  is in  $'(\text{Mod}/\mathfrak{S})$  and  $\iota : A \rightarrow \text{End}(\mathfrak{M})$  is a map of  $\mathbb{Z}_p$ -algebras.

We let  $(\text{Mod FI}/\mathfrak{S})_A$  denote the full subcategory of  $'(\text{Mod}/\mathfrak{S})_A$  consisting of objects  $\mathfrak{M}$  such that  $\mathfrak{M}$  is a projective  $\mathfrak{S}_A$ -module of finite rank.

Choose elements  $\pi_n \in \overline{K}$  ( $n \geq 0$ ) so that  $\pi_0 = \pi$  and  $\pi_{n+1}^p = \pi_n$ . Let  $K_\infty = \bigcup_{n \geq 1} K(\pi_n)$ . Let  $\mathcal{O}_\mathcal{E}$  be the  $p$ -adic completion of  $\mathfrak{S}[1/u]$ . Let  $\text{Rep}_{\mathbb{Z}_p}(G_{K_\infty})$  denote the category of continuous representations of  $G_{K_\infty}$  on finite  $\mathbb{Z}_p$ -algebras. Let  $\Phi \text{M}_{\mathcal{O}_\mathcal{E}}$  denote the category of finite  $\mathcal{O}$ -modules  $M$  equipped with a  $\phi$ -semilinear map  $M \rightarrow M$  such that the induced map  $\phi^*M \rightarrow M$  is an isomorphism. Then there is a functor

$$T : \Phi \text{M}_{\mathcal{O}_\mathcal{E}} \rightarrow \text{Rep}_{\mathbb{Z}_p}(G_{K_\infty})$$

which is in fact an equivalence of abelian categories (see section 1.1.12 of [Kis04]). Let  $A$  be a finite  $\mathbb{Z}_p$ -algebra, and let  $\text{Rep}'_A(G_{K_\infty})$  denote the category of continuous representations of  $G_{K_\infty}$  on finite  $A$ -modules, and let  $\text{Rep}_A(G_{K_\infty})$  denote the full subcategory of objects which are free as  $A$ -modules. Let  $\Phi \text{M}_{\mathcal{O}_\mathcal{E},A}$  denote the category whose objects are objects of  $\Phi \text{M}_{\mathcal{O}_\mathcal{E}}$  equipped with an action of  $A$ .

**Lemma 2.1.** *The functor  $T$  above induces an equivalence of abelian categories*

$$T_A : \Phi \text{M}_{\mathcal{O}_\mathcal{E},A} \rightarrow \text{Rep}'_A(G_{K_\infty}).$$

The functor  $T_A$  induces a functor

$$T_{\mathfrak{S},A} : (\text{Mod FI}/\mathfrak{S})_A \rightarrow \text{Rep}_A(G_{K_\infty}); \mathfrak{M} \mapsto T_A(\mathcal{O}_\mathcal{E} \otimes_{\mathfrak{S}} \mathfrak{M}).$$

*Proof.* Lemmas 1.2.7 and 1.2.9 of [Kis04]. □

Fix  $\mathbb{F}$  a finite extension of  $\mathbb{F}_p$ , and a continuous representation of  $G_K$  on a 2-dimensional  $\mathbb{F}$ -vector space  $V_\mathbb{F}$ . We suppose that  $V_\mathbb{F}$  is the generic fibre of a finite flat group scheme, and let  $M_\mathbb{F}$  denote the preimage of  $V_\mathbb{F}(-1)$  under the equivalence  $T_\mathbb{F}$  of Lemma 2.1.

In fact, from now on we assume that the action of  $G_K$  on  $V_\mathbb{F}$  is trivial, that  $k \subset \mathbb{F}$ , and that  $k \neq \mathbb{F}_p$ . In applications we will reduce to this case by base change.

Recall from Corollary 2.1.13 of [Kis04] that we have a projective scheme  $\mathcal{GR}_{V_\mathbb{F},0}$ , such that for any finite extension  $\mathbb{F}'$  of  $\mathbb{F}$ , the set of isomorphism classes of finite flat models of  $V_{\mathbb{F}'} = V_\mathbb{F} \otimes_{\mathbb{F}} \mathbb{F}'$  is in natural bijection with  $\mathcal{GR}_{V_\mathbb{F},0}(\mathbb{F}')$ . We work below with the closed subscheme  $\mathcal{GR}_{V_\mathbb{F},0}^\vee$  of  $\mathcal{GR}_{V_\mathbb{F},0}$ , defined in Lemma 2.4.3 of [Kis04], which parameterises isomorphism classes of finite flat models of  $V_{\mathbb{F}'}$  with cyclotomic determinant.

As in section 2.4.4 of [Kis04], if  $\mathbb{F}^{\text{sep}}$  is the residue field of  $K_0^{\text{sep}}$ , and  $\sigma \in \text{Gal}(K_0/\mathbb{Q}_p)$ , we denote by  $\epsilon_\sigma \in k \otimes_{\mathbb{F}_p} \mathbb{F}'$  the idempotent which is 1 on the kernel of the map  $1 \otimes \sigma : k \otimes_{\mathbb{F}_p} \mathbb{F}' \rightarrow \mathbb{F}^{\text{sep}}$  corresponding to  $\sigma$ , and 0 on the other maximal ideals of  $k \otimes_{\mathbb{F}_p} \mathbb{F}'$ .

**Lemma 2.2.** *If  $\mathbb{F}'$  is a finite extension of  $\mathbb{F}$ , then the elements of  $\mathcal{GR}_{V_{\mathbb{F}',0}}^{\vee}(\mathbb{F}')$  naturally correspond to free  $k \otimes_{\mathbb{F}_p} \mathbb{F}'[[u]]$ -submodules  $\mathcal{M}_{\mathbb{F}'} \subset M_{\mathbb{F}'} := M_{\mathbb{F}} \otimes_{\mathbb{F}} \mathbb{F}'$  of rank 2 such that:*

- (1)  $\mathcal{M}_{\mathbb{F}'}$  is  $\phi$ -stable.
- (2) For some (so any) choice of  $k \otimes_{\mathbb{F}_p} \mathbb{F}'[[u]]$ -basis for  $\mathcal{M}_{\mathbb{F}'}$ , for each  $\sigma$  the map

$$\phi : \epsilon_{\sigma} \mathcal{M}_{\mathbb{F}'} \rightarrow \epsilon_{\sigma \circ \phi^{-1}} \mathcal{M}_{\mathbb{F}'}$$

has determinant  $\alpha u^e$ ,  $\alpha \in \mathbb{F}'[[u]]^{\times}$ .

*Proof.* This follows just as in the proofs of Lemma 2.5.1 and Proposition 2.2.5 of [Kis04]. More precisely, the method of the proof of Proposition 2.2.5 of [Kis04] allows one to “decompose” the determinant condition into the condition that for each  $\sigma$  we have

$$\dim_{\mathbb{F}'}(\epsilon_{\sigma \circ \phi^{-1}} \mathcal{M}_{\mathbb{F}'} / \phi(\epsilon_{\sigma} \mathcal{M}_{\mathbb{F}'})) = e,$$

and then an identical argument to that in the proof of Lemma 2.5.1 [Kis04] shows that this condition is equivalent to the stated one. □

We now number the elements of  $\text{Gal}(K_0/\mathbb{Q}_p)$  as  $\sigma_1, \dots, \sigma_r$ , in such a way that  $\sigma_{i+1} = \sigma_i \circ \phi^{-1}$  (where we identify  $\sigma_{r+1}$  with  $\sigma_1$ ). For any sublattice  $\mathfrak{M}_{\mathbb{F}}$  in  $(\text{Mod}/\mathfrak{S})_{\mathbb{F}}$  and any  $(A_1, \dots, A_r) \in \mathcal{M}_2(\mathbb{F}((u)))^r$ , we write  $\mathfrak{M}_{\mathbb{F}} \sim A$  if there exist bases  $\{\mathbf{e}_1^i, \mathbf{e}_2^i\}$  for  $\epsilon_{\sigma_i} \mathcal{M}_{\mathbb{F}}$  such that

$$\phi \left( \begin{matrix} \mathbf{e}_1^i \\ \mathbf{e}_2^i \end{matrix} \right) = A_i \left( \begin{matrix} \mathbf{e}_1^{i+1} \\ \mathbf{e}_2^{i+1} \end{matrix} \right).$$

If we have fixed such a choice of basis, then for any  $(B_1, \dots, B_r) \in \text{GL}_2(k_r((u)))^r$  we denote by  $B\mathfrak{M}$  the module generated by  $\left\langle B_i \left( \begin{matrix} \mathbf{e}_1^i \\ \mathbf{e}_2^i \end{matrix} \right) \right\rangle$ , and consider  $B\mathfrak{M}$  with respect to the basis given by these entries.

**Proposition 2.3.** *Let  $\mathbb{F}'/\mathbb{F}$  be a finite extension. Suppose that  $x_1, x_2 \in \mathcal{GR}_{V_{\mathbb{F}',0}}^{\vee}(\mathbb{F}')$  and that the corresponding objects of  $(\text{Mod}/\mathfrak{S})_{\mathbb{F}'}$ ,  $\mathfrak{M}_{\mathbb{F}',1}$  and  $\mathfrak{M}_{\mathbb{F}',2}$  are both non-ordinary. Then (the images of)  $x_1$  and  $x_2$  both lie on the same connected component of  $\mathcal{GR}_{V_{\mathbb{F}',0}}^{\vee}(\mathbb{F}')$ .*

*Proof.* Replacing  $V_{\mathbb{F}}$  by  $\mathbb{F}' \otimes_{\mathbb{F}} v_{\mathbb{F}}$ , we may assume that  $\mathbb{F}' = \mathbb{F}$ . Suppose that  $\mathfrak{M}_{\mathbb{F},1} \sim A$ . Then  $\mathfrak{M}_{\mathbb{F},2} = B \cdot \mathfrak{M}_{\mathbb{F},1}$  for some  $B \in \text{GL}_2(k_r((u)))^r$ , and  $\mathfrak{M}_{\mathbb{F},2} \sim (\phi(B_i) \cdot A_i \cdot B_{i+1}^{-1})$ . Each  $B_i$  is uniquely determined up to left multiplication by elements of  $\text{GL}_2(\mathbb{F}[[u]])$ , so by the Iwasawa decomposition we may assume that each  $B_i$  is upper triangular. By Lemma 2.2,  $\det \phi(B_i) \det B_{i+1}^{-1} \in \mathbb{F}[[u]]^{\times}$  for all  $i$ , which implies that  $\det(B_i) \in \mathbb{F}[[u]]^{\times}$  for all  $i$ , so that the diagonal elements of  $B_i$  are  $\mu_1^i u^{-a_i}, \mu_2^i u^{a_i}$  for  $\mu_1^i, \mu_2^i \in \mathbb{F}[[u]]^{\times}$ ,  $a_i \in \mathbb{Z}$ . Replacing  $B_i$  with  $\text{diag}(\mu_1^i, \mu_2^i)^{-1} B_i$ , we may assume that  $B_i$  has diagonal entries  $u^{-a_i}$  and  $u^{a_i}$ .

We now show that  $x_1$  and  $x_2$  are connected by a chain of rational curves, using the following lemma:

**Lemma 2.4.** *Suppose that  $(N_i)$  are nilpotent elements of  $M_2(\mathbb{F}((u)))$  such that  $\mathfrak{M}_{\mathbb{F},2} = (1 + N) \cdot \mathfrak{M}_{\mathbb{F},1}$ . If  $\phi(N_i)AN_{i+1}^{\text{ad}} \in M_2(\mathbb{F}[[u]])$  for all  $i$ , then there is a map  $\mathbb{P}^1 \rightarrow \mathcal{GR}_{V_{\mathbb{F},0}}^{\vee}$  sending 0 to  $x_1$  and 1 to  $x_2$ .*

*Proof.* Exactly as in the proof of Lemma 2.5.7 of [Kis04]. □

In fact, we will only apply this lemma in situations where all but one of the  $N_i$  are zero, so that the condition of the lemma is automatically satisfied.

**Lemma 2.5.** *With respect to some basis,  $\phi : M_{\mathbb{F}} \rightarrow M_{\mathbb{F}}$  is given by  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .*

*Proof.* This is immediate from the definition of  $M_{\mathbb{F}}$  (recall that we have assumed that the action of  $G_K$  on  $V_{\mathbb{F}}$  is trivial). □

Let  $v_1, v_2$  be a basis as in the lemma, and let  $\mathfrak{M}_{\mathbb{F}}$  be the sub- $k \otimes_{\mathbb{F}_p} \mathbb{F}[[u]]$ -module generated by  $u^{e/(p-1)}v_1$  and  $v_2$  (note that the assumption that the action of  $G_K$  on  $V_{\mathbb{F}}$  is trivial guarantees that  $e|(p-1)$ ). Then  $\mathfrak{M}_{\mathbb{F}}$  corresponds to an object of  $\mathcal{GR}_{V_{\mathbb{F}},0}^{\vee}(\mathbb{F}')$ , and  $\mathfrak{M}_{\mathbb{F}} \sim (A_i)$  where each  $A_i = \begin{pmatrix} u^e & 0 \\ 0 & 1 \end{pmatrix}$ , so that  $\mathfrak{M}_{\mathbb{F}}$  is ordinary.

Furthermore, every object of  $\mathcal{GR}_{V_{\mathbb{F}},0}^{\vee}(\mathbb{F}')$  is given by  $B \cdot \mathfrak{M}_{\mathbb{F}}$  for some  $B = (B_i)$ , where  $B_i = \begin{pmatrix} u^{-a_i} & v_i \\ 0 & u^{a_i} \end{pmatrix}$ , and  $\phi(B_i)A_iB_{i+1}^{-1} \in M_2(\mathbb{F}[[u]])$  for all  $i$ . Examining the diagonal entries of  $\phi(B_i)A_iB_{i+1}^{-1}$ , we see that we must have  $e \geq pa_i - a_{i+1} \geq 0$  for all  $i$ .

**Lemma 2.6.** *We have  $e/(p-1) \geq a_i \geq 0$  for all  $i$ . Furthermore, if any  $a_i = 0$  then all  $a_i = 0$ ; and if any  $a_i = e/(p-1)$ , then all  $a_i = e/(p-1)$ .*

*Proof.* Suppose that  $a_j \leq 0$ . Then  $pa_j \geq a_{j+1}$ , so  $a_{j+1} \leq 0$ . Thus  $a_i \leq 0$  for all  $i$ . But adding the inequalities gives  $(p-1)(a_1 + \dots + a_r) \geq 0$ , so in fact  $a_1 = \dots = a_r = 0$ . The other half of the lemma follows in a similar fashion. □

Note that the ordinary objects are precisely those with all  $a_i = 0$  or all  $a_i = e/(p-1)$ . We now show that there is a chain of rational curves linking any non-ordinary point to the point corresponding to  $C \cdot \mathfrak{M}_{\mathbb{F}}$ , where  $C_i = \begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix}$ .

Choose a non-ordinary point  $D \cdot \mathfrak{M}_{\mathbb{F}}$ ,  $D_i = \begin{pmatrix} u^{-b_i} & w_i \\ 0 & u^{b_i} \end{pmatrix}$ . We claim that there is a chain of rational curves linking this to the point  $D' \cdot \mathfrak{M}_{\mathbb{F}}$ ,  $D'_i = \begin{pmatrix} u^{-b_i} & 0 \\ 0 & u^{b_i} \end{pmatrix}$ . Clearly, it suffices to demonstrate that there is a rational curve from  $D \cdot \mathfrak{M}_{\mathbb{F}}$  to the point  $D^j \cdot \mathfrak{M}_{\mathbb{F}}$ , where

$$D_i^j = \begin{cases} D_i, & i \neq j \\ \begin{pmatrix} u^{-b_j} & 0 \\ 0 & u^{b_j} \end{pmatrix}, & i = j. \end{cases}$$

But this is easy; just apply Lemma 2.4 with  $N = (N_i)$ ,

$$N_i = \begin{cases} 0, & i \neq j \\ \begin{pmatrix} 0 & -w_j u^{-b_j} \\ 0 & 0 \end{pmatrix}, & i = j. \end{cases}$$

It now suffices to show that there is a chain of rational curves linking  $D' \cdot \mathfrak{M}_{\mathbb{F}}$  to  $C \cdot \mathfrak{M}_{\mathbb{F}}$ . Suppose that  $D'' \cdot \mathfrak{M}_{\mathbb{F}}$  also corresponds to a point of  $\mathcal{GR}_{V_{\mathbb{F}},0}^{\vee}(\mathbb{F})$ , where for some  $j$  we have

$$D''_i = \begin{cases} D'_i, & i \neq j \\ \begin{pmatrix} u^{1-b_j} & 0 \\ 0 & u^{b_j-1} \end{pmatrix}, & i = j. \end{cases}$$

Then we claim that there is a rational curve linking  $D' \cdot \mathfrak{M}_{\mathbb{F}}$  and  $D'' \cdot \mathfrak{M}_{\mathbb{F}}$ . Note that  $D'' = ED'$ , where

$$E_i = \begin{cases} 1, & i \neq j \\ \begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix}, & i = j. \end{cases}$$

Since  $\begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 2u \end{pmatrix} \begin{pmatrix} 2 & -u \\ u^{-1} & 0 \end{pmatrix}$ , and  $\begin{pmatrix} 0 & 1 \\ -1 & 2u \end{pmatrix} \in \text{GL}_2(\mathbb{F}[[u]])$ , we can apply Lemma 2.4 with

$$N_i = \begin{cases} 0, & i \neq j \\ \begin{pmatrix} 1 & -u \\ u^{-1} & -1 \end{pmatrix}, & i = j. \end{cases}$$

Proposition 2.3 now follows from:

**Lemma 2.7.** *If  $e/(p-1) > a_i > 0$  and  $e \geq pa_i - a_{i+1} \geq 0$  for all  $i$ , and not all the  $a_i$  are equal to 1, then for some  $j$  we can define*

$$a'_i = \begin{cases} a_i, & i \neq j \\ a_j - 1, & i = j \end{cases}$$

and we have  $e \geq pa'_i - a'_{i+1} \geq 0$  for all  $i$ .

*Proof.* Suppose not. Then for each  $i$ , either  $pa_{i-1} - (a_i - 1) > e$ , or  $p(a_i - 1) - a_{i+1} < 0$ ; that is, either  $pa_{i-1} - a_i = e$ , or  $p - 1 \geq pa_i - a_{i+1} \geq 0$ . Comparing the statements for  $i, i + 1$ , we see that either  $pa_i - a_{i+1} = e$  for all  $i$ , or  $p - 1 \geq pa_i - a_{i+1} \geq 0$  for all  $i$ . In the former case we have  $a_i = e/(p - 1)$  for all  $i$ , a contradiction. In the latter case, summing the inequalities gives  $r(p - 1) \geq (p - 1)(a_1 + \dots + a_r) \geq (r + 1)(p - 1)$ , a contradiction. □

□

### 3. Modularity lifting theorems

The results of section 2 can easily be combined with the machinery of [Kis04] to yield modularity lifting theorems. For example, we have the following:

**Theorem 3.1.** *Let  $p > 2$ , let  $F$  be a totally real field, and let  $E$  be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho : G_F \rightarrow \text{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the  $p$ -adic cyclotomic character. Suppose that*

- (1)  $\rho$  is potentially Barsotti-Tate at each  $v|p$ .
- (2) There exists a Hilbert modular form  $f$  of parallel weight 2 over  $F$  such that  $\bar{\rho}_f \sim \bar{\rho}$ , and for each  $v|p$ ,  $\rho$  is potentially ordinary at  $v$  if and only if  $\rho_f$  is.
- (3)  $\bar{\rho}|_{F(\zeta_p)}$  is absolutely irreducible, and if  $p > 3$  then  $[F(\zeta_p) : F] > 3$ .

Then  $\rho$  is modular.

*Proof.* The proof of this theorem is almost identical to the proof of Theorem 3.5.5 of [Kis04]. Indeed, the only changes needed are to replace property (iii) of the field  $F'$  chosen there by “(iii) If  $v|p$  then  $\bar{\rho}|_{G_{F_v}}$  is trivial, and the residue field at  $v$  is not  $\mathbb{F}_p$ ”, and to note that Theorem 3.4.11 of [Kis04] is still valid in the context in which we need it, by Proposition 2.3. □

For the applications to mod  $p$  Hilbert modular forms in [Gee06] it is important not to have to assume that  $\rho$  is potentially ordinary at  $v$  if and only if  $\rho_f$  is. Fortunately, in [Gee06] it is only necessary to work with totally real fields in which  $p$  is unramified, and in that case we are able, following [Kis05], to remove this assumption.

**Theorem 3.2.** *Let  $p > 2$ , let  $F$  be a totally real field in which  $p$  is unramified, and let  $E$  be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho : G_F \rightarrow \mathrm{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the  $p$ -adic cyclotomic character. Suppose that*

- (1)  $\rho$  is potentially Barsotti-Tate at each  $v|p$ .
- (2)  $\bar{\rho}$  is modular.
- (3)  $\bar{\rho}|_{F(\zeta_p)}$  is absolutely irreducible.

*Then  $\rho$  is modular.*

*Proof.* Firstly, note that by a standard result (see e.g. [BDJ05]) we have  $\bar{\rho} \sim \bar{\rho}_f$ , where  $f$  is a form of parallel weight 2. We now follow the proof of Corollary 2.13 of [Kis05]. Let  $\mathcal{S}'$  denote the set of  $v|p$  such that  $\rho|_{G_v}$  is potentially ordinary. After applying Lemma 3.3 below, we may assume that  $\bar{\rho} \sim \bar{\rho}_f$ , where  $f$  is a form of parallel weight 2, and  $\rho_f$  is potentially ordinary and potentially Barsotti-Tate for all  $v \in \mathcal{S}'$ .

We may now make a solvable base change so that the hypotheses on  $F$  in Theorem 3.1 are still satisfied, and in addition  $[F : \mathbb{Q}]$  is even, and at every place  $v|p$   $f$  is either unramified or special of conductor 1. By our choice of  $f$ ,  $\rho_f|_{G_v}$  is Barsotti-Tate and ordinary at each place  $v \in \mathcal{S}'$ . In order to apply Theorem 3.1, we need to check that we can replace  $f$  by a form  $f'$  such that  $\bar{\rho} \sim \bar{\rho}_{f'}$ , and  $\rho_{f'}$  is Barsotti-Tate at all  $v|p$  and is ordinary if and only if  $\rho$  is. That is, we wish to choose  $f'$  so that  $\rho_{f'}$  is Barsotti-Tate and ordinary at all places  $v \in \mathcal{S}'$ , and is Barsotti-Tate and non-ordinary at all other places dividing  $p$ . The existence of such an  $f'$  follows at once from the proof of Theorem 3.5.7 of [Kis04]. The theorem then follows from Theorem 3.1.  $\square$

**Lemma 3.3.** *Let  $F$  be a totally real field in which  $p$  is unramified, and  $\mathcal{S}'$  a set of places of  $F$  dividing  $p$ . Let  $f$  be a Hilbert modular cusp form over  $F$  of parallel weight 2, with  $\bar{\rho}_f$  absolutely irreducible, and suppose that for  $v \in \mathcal{S}'$   $\bar{\rho}_f|_{G_{F_v}}$  is the reduction of a potentially Barsotti-Tate representation of  $G_{F_v}$  which is also potentially ordinary.*

*Then there is a Hilbert modular cusp form  $f'$  over  $F$  of parallel weight 2 with  $\bar{\rho}_{f'} \sim \bar{\rho}_f$ , and such that for all  $v \in \mathcal{S}'$ ,  $\rho_{f'}$  becomes ordinary and Barsotti-Tate over some finite extension of  $F_v$ .*

*Proof.* We follow the proof of Lemma 2.14 of [Kis05], indicating only the modifications that need to be made. Replacing the appeal to [CDT99] with one to Proposition 1.1 of [Dia05], the proof of Lemma 2.14 of [Kis05] shows that we can find  $f'$  such that  $\bar{\rho}_{f'} \sim \bar{\rho}_f$ , and such that for all  $v \in \mathcal{S}'$ ,  $\rho_{f'}$  becomes Barsotti-Tate over  $F_v(\zeta_{q_v})$ , where  $q_v$  is the degree of the residue field of  $F$  at  $v$ . Furthermore, we can assume that the type of  $\rho_{f'}|_{G_{F_v}}$  is  $\tilde{\omega}_1 \oplus \tilde{\omega}_2$ , where  $\bar{\rho}_{f'}|_{G_{F_v}} \sim \begin{pmatrix} \omega_1^\chi & * \\ 0 & \omega_2 \end{pmatrix}$ , where  $\chi$  is the cyclotomic character, and a tilde denotes the Teichmüller lift. Let  $\mathcal{G}$  denote the  $p$ -divisible group over  $\mathcal{O}_{F_v(\zeta_{q_v})}$  corresponding to  $\rho_{f'}|_{F_v(\zeta_{q_v})}$ . Then by a scheme-theoretic closure argument,  $\mathcal{G}[p]$  fits into a short exact sequence

$$0 \rightarrow \mathcal{G}_1 \rightarrow \mathcal{G}[p] \rightarrow \mathcal{G}_2 \rightarrow 0.$$

The information about the type then determines the descent data on the Breuil modules corresponding to  $\mathcal{G}_1$  and  $\mathcal{G}_2$ . We will be done if we can show that  $\mathcal{G}_1$  is multiplicative and  $\mathcal{G}_2$  is étale. However, by the hypothesis on  $\mathcal{S}'$  we can write down a multiplicative group scheme  $\mathcal{G}'_1$  with the same descent data and generic fibre as  $\mathcal{G}_1$ .

Then Lemma 3.4 below shows that  $\mathcal{G}_1$  is indeed multiplicative. The same argument shows that  $\mathcal{G}_2$  is étale.  $\square$

**Lemma 3.4.** *Let  $k$  be a finite field of characteristic  $p$ , and let  $L = W(k)[1/p]$ . Fix  $\pi = (-p)^{1/(p^d-1)}$  where  $d = [k : \mathbb{F}_p]$ , and let  $K = L(\pi)$ . Let  $E$  be a finite field containing  $k$ . Let  $\mathcal{G}$  and  $\mathcal{G}'$  be finite flat rank one  $E$ -module schemes over  $\mathcal{O}_K$  with generic fibre descent data to  $L$ . Suppose that the generic fibres of  $\mathcal{G}$  and  $\mathcal{G}'$  are isomorphic as  $G_L$ -representations, and that  $\mathcal{G}$  and  $\mathcal{G}'$  have the same descent data. Then  $\mathcal{G}$  and  $\mathcal{G}'$  are isomorphic.*

*Proof.* This follows from a direct computation using Breuil modules with descent data. Specifically, it follows at once from Example A.3.3 of [Sav06], which computes the generic fibre of any finite flat rank one  $E$ -module scheme over  $\mathcal{O}_K$  with generic fibre descent data to  $L$ .  $\square$

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