# **THE FUGLEDE SPECTRAL CONJECTURE HOLDS FOR CONVEX PLANAR DOMAINS**

Alex Iosevich, Nets Katz, and Terence Tao

ABSTRACT. Let  $\Omega$  be a compact convex domain in the plane. We prove that  $L^2(\Omega)$  has an orthogonal basis of exponentials if and only if  $\Omega$  tiles the plane by translation.

## **0. Introduction**

Let  $\Omega$  be a domain in  $\mathbb{R}^d$ , i.e.,  $\Omega$  is a Lebesgue measurable subset of  $\mathbb{R}^d$  with finite non-zero Lebesgue measure. We say that a set  $\Lambda \subset \mathbb{R}^d$  is a *spectrum* of  $\Omega$ if  $\{e^{2\pi ix \cdot \lambda}\}_{{\lambda \in \Lambda}}$  is an orthogonal basis of  $L^2(\Omega)$ .

**Fuglede Conjecture.** ([Fug74]) A domain  $\Omega$  admits a spectrum if and only if it is possible to tile  $\mathbb{R}^d$  by a family of translates of  $\Omega$ .

If a tiling set or a spectrum set is assumed to be a lattice, then the Fuglede Conjecture follows easily by the Poisson summation formula. In general, this conjecture is nowhere near resolution, even in dimension one. However, there is some recent progress under an additional assumption that  $\Omega$  is convex. In [IKP99], the authors prove that the ball does not admit a spectrum in any dimension greater than one. In [Kol99], Kolountzakis proves that a nonsymmetric convex body does not admit a spectrum. In [IKT00], the authors prove that any convex body in  $\mathbb{R}^d$ ,  $d > 1$ , with a smooth boundary, does not admit a spectrum. In two dimensions, the same conclusion holds if the boundary is piece-wise smooth and has at least one point of non-vanishing curvature. The main result of this paper is the following:

**Theorem 0.1.** The Fuglede conjecture holds in the special case where  $\Omega$  is a convex compact set in the plane. More precisely,  $\Omega$  admits a spectrum if and only if  $\Omega$  is either a quadrilateral or a hexagon.

Our task is simplified by the following result due to Kolountzakis. See [Kol99].

Received May 1, 2001.

The research of Alex Iosevich is partially supported by the NSF Grants DMS00-87339 and DMS02-45369.

The research of Nets Katz is partially supported by the NSF Grant DMS-9801410.

Terry Tao isa Clay prize fellow and issupported by grantsfrom the Packard and Sloan foundations.

# **Theorem 0.2.** Convex non-symmetric subsets of  $\mathbb{R}^d$  do not admit a spectrum.

Thus, it suffices to prove Theorem 0.1 for symmetric sets. Recall that a set  $\Omega$  is symmetric with respect to the origin when  $x \in \partial\Omega$  if and only if  $-x \in \partial\Omega$ .

This paper is organized as follows. The first section deals with basic properties of spectra. The second section is dedicated to the properties of the Fourier transform of the characteristic function of a convex set. In the third section we prove Theorem 0.1 for polygons, and in the fourth section we prove that any convex set which is not a polygon does not admit a spectrum, thus completing the proof of Theorem 0.1.

#### **1. Basic properties of spectra**

Let

(1.1) 
$$
Z_{\Omega} = \left\{ \xi \in \mathbb{R}^d : \hat{\chi}_{\Omega}(\xi) = \int_{\Omega} e^{-2\pi i \xi \cdot x} dx = 0 \right\}.
$$

The orthogonality of a spectrum  $\Lambda$  means precisely that

(1.2) 
$$
\lambda - \lambda' \in Z_{\Omega} \text{ for all } \lambda, \lambda' \in \Lambda, \lambda \neq \lambda'.
$$

It follows that the points of a spectrum  $\Lambda$  are separated in the sense that

(1.3) 
$$
|\lambda - \lambda'| \gtrsim 1 \text{ for all } \lambda \neq \lambda', \ \lambda, \lambda' \in \Lambda.
$$

Here, and throughout the paper,  $a \lesssim b$  means that there exists a positive constant *C* such that  $a \leq Cb$ . We say that  $a \approx b$  if  $a \leq b$  and  $a \geq b$ .

The following result is due to Landau. See [Lan67]. Let

(1.4) 
$$
D_R^+ = \max_{x \in \mathbb{R}^n} \# \{ \Lambda \cap Q_R(x) \},
$$

where  $Q_R(x)$  is a cube of sidelength 2*R* centered at *x*, and let

(1.5) 
$$
D_R^- = \min_{x \in \mathbb{R}^n} \# \{ \Lambda \cap Q_R(x) \}.
$$

Then

(1.6) 
$$
\limsup_{R \to \infty} \frac{D_R^{\pm}}{(2R)^n} = |\Omega|.
$$

It is at times convenient to use the following related result. We only state the special case we need for the proof of Theorem 0.1. For a more general version see [IosPed99].

**Theorem 1.1.** Let  $\Omega$  be a convex domain in  $\mathbb{R}^2$ . Then there exists a universal constant *C* such that if

(1.7) 
$$
R \ge C\left(\frac{|\partial\Omega|}{|\Omega|}\right),\,
$$

then

$$
(1.8)\qquad \qquad \Lambda \cap Q_R(\mu) \neq \emptyset
$$

for every  $\mu \in \mathbb{R}^2$ , and any set  $\Lambda$  such that  $E_{\Lambda}$  is an exponential basis for  $L^2(\Omega)$ , where  $Q_R(\mu)$  denotes the cube of sidelength 2*R* centered at  $\mu$ .

The proofs of Theorem 1.1, and the preceding result due to Landau, are not difficult. Both proofs follow, with some work, from the fact that  $\Omega$  admits a spectrum  $\Lambda$  if and only if

(1.9) 
$$
\sum_{\Lambda} |\widehat{\chi}_{\Omega}(x-\lambda)|^2 \equiv 1,
$$

and some averaging arguments. To say that  $\Omega$  admits a spectrum  $\Lambda$  means that the Bessel formula  $||f||^2_{L^2(\Omega)} = \sum_{\Lambda} |\hat{f}(\lambda)|^2$  holds. Since the exponentials are dense, it is enough to establish such a formula with  $f = e^{2\pi i x \cdot \xi}$ , which is precisely the formula (1*.*9).

# **2. Basic properties of** *<sup>χ</sup>*<sup>Ω</sup> **and related properties of convex sets**

Throughout this section, and the rest of the paper,  $\Omega$  denotes a convex compact planar domain. The first two results in this section are standard and can be found in many books on harmonic analysis or convex geometry. See, for example, [Sogge93].

**Lemma 2.1.**  $|\widehat{\chi}_{\Omega}(\xi)| \lesssim \frac{\text{diam}\Omega}{|\xi|}$ . Moreover, if  $\Omega$  is contained in a ball of radius *r* centered at the origin, then  $|\nabla \widehat{\chi}_{\Omega}(\xi)| \lesssim \frac{r^2}{|\xi|}$ .

The lemma follows from the divergence theorem which reduces the integral over Ω to the integral over  $\partial\Omega$  with a factor of  $\frac{1}{|\xi|}$ , and the fact that convexity implies that the measure of the boundary *∂*Ω is bounded by a constant multiple of the diameter. The second assertion follows similarly.

**Lemma 2.2.** Suppose that *ξ* makes an angle of at least *θ* with every vector normal to the boundary of  $\Omega$ . Then

$$
(2.1) \t\t |\widehat{\chi}_{\Omega}(\xi)| \lesssim \frac{1}{\theta |\xi|^2}.
$$

Moreover, if  $\Omega$  is contained in a ball of radius *r*, then  $|\nabla \widehat{\chi}_{\Omega}(\xi)| \lesssim \frac{r}{|\theta|\xi|}$  $\frac{r}{|\theta|\xi|^2|}$ .

To prove this, one can again reduce the integral to the boundary while gaining a factor  $\frac{1}{|\xi|}$ . We may parameterize a piece of the boundary in the form  $\{(s, -\gamma(s) + c) : a \leq s \leq b\}$ , where  $\gamma$  is a convex function, and, without loss of generality,  $c = 0$ ,  $a = 0$ ,  $b = 1$ , and  $\gamma(0) = \gamma'(0) = 0$ . We are left to compute

(2.2) 
$$
\int_0^1 e^{i(s\xi_1 - \gamma(s)\xi_2)} J(s) ds,
$$

where  $J(s)$  is a nice bounded function that arises in the application of the divergence theorem. The gradient of the phase function  $s\xi_1-\gamma(s)\xi_2$  is  $\xi_2\left(\frac{\xi_1}{\xi_2}-\gamma'(s)\right)$ , and our assumption that  $\xi$  makes an angle of at least  $\theta$  with every vector normal to the boundary of  $\Omega$  means that the absolute value of this expression is bounded from below by  $|\xi_2|\theta$ . Integrating by parts once we complete the proof in the case  $|\xi_1| \lesssim |\xi_2|$ . If  $|\xi_1| >> |\xi_2|$ , the absolute value of the derivative of  $s\xi_1 - \gamma(s)\xi_2$  is bounded below by  $|\xi_1|$ , so integration by parts completes the proof. The second assertion follows similarly.

**Lemma 2.3.** Let *f* be a non-negative concave function on an interval  $[-1/2, 1/2]$ . Then, for every  $0 < \delta \lesssim 1$ , there exists  $R \approx \frac{1}{\delta}$  such that  $|\hat{f}(R)| \gtrsim \delta f(\frac{1}{2} - \delta)$ .

To see this, let  $\phi$  be a positive function such that  $\phi(x) \lesssim (1 + |x|)^{-2}$ ,  $\widehat{\phi}$  is compactly supported, and  $\phi(0) = 1$  in a small neighborhood of the origin. (This just amounts to constructing a smooth positive compactly supported  $\phi$  with  $\int \phi = 1$ ). Consider

(2.3) 
$$
\int f\left(\frac{1}{2} - \delta t\right) (\phi(t+1) - K\phi(K(t+1)))dt,
$$

where f is defined to be 0 outside of  $[a, b]$  and K is a large positive number. If *K* is sufficiently large, concavity guarantees that  $(\phi(t+1) - K\phi(K(t+1)))$  is positive for  $t > 0$ , and  $\approx 1$  on  $\left[\frac{1}{2}, 1\right]$ . It follows that

(2.4) 
$$
\int f\left(\frac{1}{2} - \delta t\right) (\phi(t+1) - K\phi(K(t+1)))dt \gtrsim f\left(\frac{1}{2} - \delta\right).
$$

Taking Fourier transforms, we see that

(2.5) 
$$
\int \frac{1}{\delta} \hat{f}\left(\frac{r}{\delta}\right) e^{i\pi r} \left(\hat{\phi}(r) - \hat{\phi}\left(\frac{r}{K}\right)\right) dr \gtrsim f\left(\frac{1}{2} - \delta\right).
$$

Multiplying both sides by  $\delta$  and using the compact support of  $\hat{\phi}(r) - \hat{\phi}\left(\frac{r}{K}\right)$ , we complete the proof.

**Corollary 2.4.** Let  $\Omega$  be a convex body of the form

(2.6) 
$$
\Omega = \{(x, y) : a \le x \le b, -g(x) \le y \le f(x)\},\
$$

where  $f$  and  $g$  are non-negative concave functions on  $[a, b]$ . Then for every  $0 < \delta \lesssim b - a$ , there exists  $R \approx \frac{1}{\delta}$  such that

(2.6) 
$$
|\widehat{\chi}_{\Omega}| \gtrsim \delta \left(f\left(\frac{1}{2} - \delta\right) + g\left(\frac{1}{2} - \delta\right)\right).
$$

## **3. Lattice properties of spectra**

Let  $\Omega$  be a compact convex body in  $\mathbb{R}^2$  which is symmetric around the origin, but is not a quadrilateral. Let  $\Lambda$  be a spectrum of  $\Omega$  which contains the origin. The aim of this section is to prove the following two propositions which show that if a spectrum exists, it must be very lattice-like in the following sense.

**Proposition 3.1.** Let *I* be a maximal closed interval in *∂*Ω with midpoint *x*. Then

$$
(3.1) \qquad \qquad \xi \cdot 2x \in \mathbf{Z}
$$

for all  $\xi \in \Lambda$ .

**Proposition 3.2.** Let *x* be an element of *∂*Ω which has a unit normal *n* and which is not contained in any closed interval in  $\Omega$ . Then

$$
(3.2) \qquad \qquad \xi \cdot 2x \in \mathbf{Z}
$$

for all  $\xi \in \Lambda$ .

In the next Section we shall show how these facts can be used to show that the only convex bodies which admit spectra are quadrilaterals and hexagons.

**Proof of Proposition 3.1.** We may rescale so that  $x = e_1$ , the coordinate direction  $(1, 0, \ldots, 0)$ , and *I* is the interval from  $(e_1 - e_2)/2$  to  $(e_1 + e_2)/2$ . Thus, we must show that

(3.3) Λ ⊂ **Z** × **R***.*

We may assume after perhaps applying an affine transformation that the set  $\Omega$  thus contains the unit square  $Q := [-1/2, 1/2]^2$ . (In general, it only contains a parallelogram). Since we are assuming  $\Omega$  is not a quadrilateral, we therefore have  $|\Omega| > 1$ . In particular,  $\Lambda$  has asymptotic density strictly greater than 1, i.e. the expression (1*.*6) is strictly greater than 1.

A direct computation shows that

(3.4) 
$$
\hat{\chi}_Q(\xi_1, \xi_2) = \frac{\sin(\pi \xi_1) \sin(\pi \xi_2)}{\pi^2 \xi_1 \xi_2}.
$$

The zero set of this is

(3.5) 
$$
Z_Q := \{ (\xi_1, \xi_2) : \xi_1 \in \mathbf{Z} - \{0\} \text{ or } \xi_2 \in \mathbf{Z} - \{0\} \}.
$$

Note that  $Z_Q \subset G$ , where *G* is the Cartesian grid

(3.6) 
$$
G := (\mathbf{Z} \times \mathbf{R}) \cup (\mathbf{R} \times \mathbf{Z}).
$$

Heuristically, we expect the zero set  $Z_{\Omega}$  of  $\hat{\chi}_{\Omega}$  to approximate  $Z_Q$  in the region  $|\xi_1| \gg |\xi_2|$ . The following result shows that this indeed the case.

**Lemma 3.3.** For every  $A \gg 1$  and  $0 < \varepsilon \ll 1$ , there exists an  $R \gg A$  depending **Definite 3.3.** For every  $A \gg 1$  and  $0 < \varepsilon \ll 1$ , there exists an  $R \gg A$  depending on  $A$ ,  $\varepsilon$ ,  $\Omega$ , such that  $Z_{\Omega} \cap S_{A,R}$  lies within an  $O(\sqrt{\varepsilon})$  neighborhood of  $Z_Q$ , where *SA,R* is the slab

$$
(3.7) \tS_{A,R} := \{ (\xi_1, \xi_2) : |\xi_1| \ge R; |\xi_2| \le A \}.
$$

Proof. Fix *A*, *ε*. We may write

(3.8) 
$$
\hat{\chi}_{\Omega} = \hat{\chi}_{\Omega_{-}} + \hat{\chi}_{Q} + \hat{\chi}_{\Omega_{+}}
$$

where  $\Omega_{-}$  is the portion of  $\Omega$  below  $x_2 = -1/2$ , and  $\Omega_{+}$  is the portion above  $x_2 = 1/2$ . In light of (3.4), it thus suffices to show that

$$
(3.9) \qquad |\hat{\chi}_{\Omega_{\pm}}(\xi_1, \xi_2)| \lesssim \varepsilon/|\xi_1|
$$

on  $S_{A,R}$ . By symmetry it suffices to do this for  $\Omega_{+}$ .

We may write  $\Omega_{+}$  as

$$
(3.10) \qquad \Omega_+ = \{(x, y) : -1/2 \le x \le 1/2; 1/2 \le y \le 1/2 + f(x)\}
$$

where *f* is a concave function on  $[-1/2, 1/2]$  such that  $f(\pm 1/2) = 0$ .

By continuity of *f*, we can find a  $0 < \delta \ll \varepsilon$  such that

(3.11) 
$$
f(1/2-\delta), f(\delta - 1/2) \leq \varepsilon.
$$

The line segment from  $(1/2, 1/2)$  to  $(1/2 - \delta, 1/2 + f(1/2 - \delta))$ , and the line segment from  $(-1/2, 1/2)$  to  $(-1/2 + \delta, 1/2 + f(-1/2 + \delta))$  divide  $\Omega_+$  into two small convex bodies and one large convex body. The diameter of the small convex bodies is  $O(\varepsilon)$ , and so their contribution to (3.9) is acceptable by Lemma 2.1. If *R* is sufficiently large depending on *A*, then  $(\xi_1, \xi_2)$  will always make an angle

of  $\gtrsim \delta/\varepsilon$  with the normals of the large convex body. By Lemma 2.2, the contribution of this large body is therefore  $O(\delta/\varepsilon |\xi|^2)$ , which is acceptable if *R* is sufficiently large.

Let  $A \gg 1$  and  $0 < \varepsilon \ll 1$ , and let *R* be as in Lemma 3.3. Since  $\Lambda - \Lambda \subset Z_{\Omega}$ , Let  $A \gg 1$  and  $0 < \varepsilon \ll 1$ , and let  $R$  be as in Lemma 3.3. Since  $R - R \subset \mathbb{Z}\Omega$ ,<br>then by Lemma 3.3 we see that  $\Lambda \cap (\xi + S)$  lies in an  $O(\sqrt{\varepsilon})$  neighborhood of *ZQ* + *ξ* for all *ξ* ∈ Λ. Suppose that we could find *ξ*, *ξ*<sup> $\prime$ </sup> ∈ Λ such that  $|ξ - ξ|$  ≪ *A* and

(3.12) 
$$
\operatorname{dist}(\xi - \xi', G) \gg \sqrt{\varepsilon}.
$$

It follows that

$$
(3.13)\qquad \qquad \Lambda \cap (\xi + S_{A,R}) \cap (\xi' + S_{A,R})
$$

lies in an  $O(\sqrt{\varepsilon})$  neighborhood of  $G + \xi$  and in an  $O(\sqrt{\varepsilon})$  neighborhood of  $G + \xi'$ .

Since Λ has separation  $\geq 1$ , it follows that Λ has density at most  $1 + O(1/A)$ in the set  $(\xi + S_{A,R}) \cap (\xi' + S_{A,R})$ . However, this is a contradiction for *A* large enough since  $\Lambda$  needs to have asymptotic density  $1/|\Omega| < 1/|\Omega| = 1$ .

By letting  $\varepsilon \to 0$  and  $A \to \infty$  we see that

$$
(3.14) \qquad \qquad \xi - \xi' \in G
$$

for all  $\xi, \xi' \in \Lambda$ . In particular,  $\Lambda \subset G$ since  $(0,0) \in \Lambda$ .

Now suppose for contradiction that (3.3) failed. Then there exists  $(\xi_1, \xi_2) \in \Lambda$ such that  $\xi_1 \notin \mathbf{Z}$ . Since  $\Lambda \subset G$ , we thus have that  $\xi_2 \in \mathbf{Z}$ . From (3.14) we thus see that

(3.15) Λ ⊂ **R** × **Z***.*

For each integer *k*, let  $R_k$  denote the intersection of  $\Lambda$  with  $\mathbf{R} \times \{k\}$ .

Let  $A \gg 1$  and  $0 < \varepsilon \ll 1$ , and let *R* be as in Lemma 3.3. If  $\xi, \xi' \in R_k$ and  $|\xi - \xi'| \gg R$ , then by Lemma 3.3 we see that  $\xi - \xi'$  lies in an  $O(\sqrt{\varepsilon})$ neighbourhood of **Z**. From this and the separation of  $\Lambda$  we see that one has

(3.16) 
$$
\#\{(\xi_1,k)\in R_k: |\xi_1|\leq M\}\lesssim M+R
$$

for all *k* and *M*. Summing this for  $-M < k < M$  and then letting  $M \to \infty$  we see that  $\Lambda$  has asymptotic density at most 1, a contradiction. This proves  $(3.3)$ , and Proposition 3.1 is proved.

**Proof of Proposition 3.2.** By an affine rescaling we may assume that  $x = e_1/2$ and  $n = e_1$ , so that our task is again to show  $(3.3)$ . We shall prove the following analogue of Lemma 3.3.

**Lemma 3.4.** For all  $A \gg 1$ ,  $0 < \varepsilon \ll 1$  there exists an  $R \gg 1$  depending on A,  $\varepsilon$ ,  $\Omega$  such that  $Z_{\Omega} \cap B(Re_1, A)$  lies within  $O(\varepsilon)$  of  $\mathbb{Z} \times \mathbb{R}$ .

*Proof.* Fix  $A, \varepsilon$ . We can write  $\Omega$  as

$$
(3.17) \qquad \qquad \Omega = \{(x, y) : -1/2 \le x \le 1/2; -f(-x) \le y \le f(x)\}\
$$

where  $f(x)$  is a concave function on  $[-1/2, 1/2]$  which vanishes at the endpoints of this interval but is positive on the interior.

For each  $0 < \delta \ll 1$ , define

(3.18) 
$$
S(\delta) := \frac{f(1/2 - \delta) + f(-1/2 + \delta)}{\delta}.
$$

The function  $\delta S(\delta)$  is decreasing to 0 as  $\delta \to 0$ . Thus we may find a  $0 < \delta_0 \ll \varepsilon/A$ such that  $\delta_0 S(\delta_0) \lesssim \varepsilon / A$ .

Fix  $\delta_0$ , and let  $l_+$ ,  $l_-$  be the line segments from  $(1/2 - 2\delta_0, 0)$  to  $(1/2 - 1)$  $\delta_0, f(1/2 - \delta_0)$  and  $(1/2 - \delta_0, -f(-1/2 + \delta_0))$  respectively, and let  $-l_+, -l_-$  be the reflections of these line segments through the origin.

By symmetry we have

(3.19) 
$$
\hat{\chi}_{\Omega} = 2\Re(\hat{\chi}_{\Omega_{+}} + \hat{\chi}_{\Gamma_{0}})
$$

where  $\Omega_+$  is the portion of  $\Omega$  above  $l_+$ ,  $-l_-$ , and the  $e_1$  axis, and  $\Gamma_0$  is the small portion of Ω between  $l_+$  and  $l_-$ .

Since we are assuming  $\Omega$  to have normal  $e_1$  at  $e_1/2$ , we see that  $S(\delta) \to \infty$ as  $\delta \to 0$ . Thus we may find a  $0 < \delta \ll \delta_0$  such that

(3.20) 
$$
S(\delta) \gg 1 + \frac{1}{\varepsilon} S(\delta_0).
$$

Fix this  $\delta$ . By Corollary 2.4 we may find an  $R \sim 1/\delta$  such that

(3.21) 
$$
|\hat{\chi}_{\Gamma_0}(Re_1)| \gtrsim (f(1/2 - \delta) + f(-1/2 + \delta))\delta = \delta^2 S(\delta).
$$

Fix this *R*. Let  $m_+$ ,  $m_-$  be the line segments from  $(1/2-2\delta, 0)$  to  $(1/2$ *δ, f*(1/2 − *δ*)) and (1/2 − *δ*<sub>0</sub>*,* − *f*(−1/2 + *δ*)) respectively. We can partition

$$
\hat{\chi}_{\Gamma_0} = \hat{\chi}_{\Gamma_+} + \hat{\chi}_{\Gamma_-} + \hat{\chi}_{\Gamma}
$$

where  $\Gamma_+$  is the portion of  $\Gamma$  above  $m_+$  and the  $e_1$  axis,  $\Gamma_-$  is the portion below  $m_$ − and the  $e_1$  axis, and  $\Gamma$  is the portion between  $m_+$  and  $m_-$ .

The convex body  $\Gamma - e_1/2$  is contained inside a ball of radius  $O(S(\delta)\delta)$ , hence by  $(0.2)$  we have

(3.23) 
$$
|\nabla \hat{\chi}_{\Gamma-e_1/2}(\xi)| \lesssim (\delta S(\delta))^2/R \lesssim (\delta_0 S(\delta_0))\delta^2 S(\delta) \lesssim \frac{\varepsilon}{A} \delta^2 S(\delta)
$$

### for  $\xi \in B(Re_1, A)$ .

If  $\xi \in B(Re_1, A)$ , then  $\xi$  makes an angle of

$$
(3.24) \qquad O(A/R) = O(A\delta) \ll O(\delta/(\delta_0 S(\delta_0))) = O(\delta/(\delta S(\delta))) = O(1/S(\delta))
$$

with the  $e_1$  axis, and hence makes an angle of  $\gtrsim 1/S(\delta)$  with the convex bodies  $\Gamma_+ - e_1/2$ ,  $\Gamma_- - e_1/2$ . Since these bodies are in a ball of radius  $O(S(\delta_0)\delta_0)$  =  $O(\varepsilon/A)$ , we see from Lemma 2.2 that

(3.25) 
$$
|\nabla \hat{\chi}_{\Gamma_{\pm} - e_1/2}(\xi)| \lesssim \frac{\varepsilon}{A} S(\delta) / R^2 \sim \frac{\varepsilon}{A} \delta^2 S(\delta).
$$

Summing, we obtain

(3.26) 
$$
|\nabla(e^{\pi i\xi_1}\hat{\chi}_{\Gamma_0}(\xi))| \lesssim \frac{\varepsilon}{A}\delta^2 S(\delta).
$$

Integrating this and (3*.*21) we get

(3.27) 
$$
\hat{\chi}_{\Gamma}(\xi) = \hat{\chi}_{\Gamma_0}(Re_1)(e^{\pi i(R-\xi_1)} + O(\varepsilon)).
$$

If  $\xi \in B(Re_1, A)$ , then  $\xi$  makes an angle of  $\gtrsim 1/S(\delta_0)$  with every normal of  $\Omega_{+}$ . From Lemma 2.2 we get

(3.28) 
$$
|\hat{\chi}_{\Omega_+}(\xi)| \lesssim S(\delta_0)/R^2 \sim S(\delta_0)\delta^2 \ll \varepsilon \delta^2 S(\delta)
$$

on  $B(Re_1, A)$ . From this,  $(3.20), (3.22),$  and  $(3.23)$  we obtain

(3.29) 
$$
\hat{\chi}_{\Omega}(\xi) = 2\Re(\hat{\chi}_{\Gamma}(Re_1)(e^{\pi i(R-\xi_1)} + O(\varepsilon)))
$$

on  $B(Re_1, A)$ , and the Lemma follows.

Let  $A \gg 1$ ,  $0 < \varepsilon \ll 1$ , and let *R* be as in Lemma 3.4. If  $\xi \in \Lambda$  are such that  $|\xi| \ll A$ , then from Lemma 3.4 we see that

(3.30) 
$$
Z_{\Omega} \cap B(Re_1 + \xi, A) \cap B(Re_1, A)
$$

lies within  $O(\varepsilon)$  of  $(\mathbf{Z} \times \mathbf{R})$ , and within  $O(\varepsilon)$  of  $(\mathbf{Z} \times \mathbf{R}) + \xi$ . Since  $Z_{\Omega}$  has asymptotic density  $1/|\Omega|$ , it has a non-empty intersection with  $B(Re_1, A) \cap$ *B*( $Re_1 + \xi, A$ ), and thus  $\xi$  must lie within  $O(\varepsilon)$  neighbourhood of  $\mathbf{Z} \times \mathbf{R}$ . Taking  $\varepsilon \to 0$  and then  $A \to \infty$  we obtain (3.3), and Proposition 3.2 is proved.

### **Conclusion of the argument**

We now use Proposition 3.1 and 3.2 to show that the only convex symmetric bodies with spectra are the quadrilaterals and hexagons. We may assume of course that  $\Omega$  is not a quadrilateral or a hexagon.

Suppose that there are two points *x*,  $x'$  in  $\partial\Omega$  for which either Proposition 3.1 or Proposition 3.2 applies. From elementary geometry we thus see that  $\Lambda$  must live in a lattice of density  $|2x \wedge 2x'|$ . It follows that

(4.1) 4|*x* ∧ *x* |≥|Ω|

for all such  $x, x'$ . Since  $|x| \sim 1$  on  $\partial\Omega$ , this implies that there are only a finite number of x for which Proposition 3.1 and Proposition 3.2 applies. Since almost every point in  $\partial\Omega$  has a unit normal, the only possibility left is that  $\Omega$  is a polygon.

Label the vertices of  $\Omega$  cyclically by  $x_1, \ldots, x_{2n}$ . Since  $\Omega$  is not a quadrilateral or a hexagon, we have  $n \geq 4$ . By symmetry we have  $x_{n+i} = -x_i$  for all *i* (here we use the convention that  $x_{2n+i} = x_i$ .

From Proposition 3.1 we have

$$
(4.2) \qquad \qquad \xi \cdot (x_i - x_{n+i-1}) \in \mathbf{Z}
$$

for all  $\xi \in \Lambda$ . First suppose that *n* is even. Then  $n-1$  is coprime to 2*n*, and by repeated application of (4*.*2) we see that

$$
(4.3) \qquad \qquad \xi \cdot (x_i - x_j) \in \mathbf{Z}
$$

for all *i, j*. Arguing as in the derivation of (4*.*1) we thus see that

$$
(4.4) \qquad \qquad |(x_i - x_j) \cdot (x_i - x_k)| \geq |\Omega|
$$

for all  $i, j, k$ . In other words, the triangle with vertices  $x_i, x_j, x_k$  has area at least |Ω|*/*2 for all *i,j,k*. But Ω can be decomposed into 2*n* − 2 such triangles, a contradiction since  $n \geq 4$ .

Now suppose that *n* is odd, so that  $n \geq 5$ . Then  $n-1$  and  $2n$  have the common factor of 2. Arguing as before we see that  $(4.3)$  holds for all  $i, j, k$  of the same parity. But  $\Omega$  contains the three disjoint triangles with vertices  $(x_1, x_3, x_5)$ ,  $(x_1, x_5, x_7)$ , and  $(x_1, x_7, x_9)$  respectively, and we have a contradiction.

### **References**

- [Fug74] B. Fuglede, *Commuting self-adjoint partial differential operators and a group theoretic problem*, J. Funct. Anal. **16** (1974), 101–121.
- [Herz64] C. S. Herz, *Fourier transforms related to convex sets*, Ann. of Math. (2) **75** (1962), 81–92.
- [IKP99] A. Iosevich, N. Katz, S. Pedersen, *Fourier bases and a distance problem of Erdös*, Math. Res. Lett. **6** (1999), 251–255.
- [IKT01] A. Iosevich, N. Katz, T. Tao, *Convex bodies with a point of curvature do not have Fourier bases*, Amer. J. Math. **123** (2001), 115–120.
- [IosPed99] A. Iosevich, S. Pedersen, *How large are the spectral gaps?*, Pacific J. Math. **192** (2000), 307–314.
- [Kol00] M. Kolountzakis, *Non-symmetric convex domains have no basis of exponentials*, IllinoisJ. Math. **44** (2000), 542–550.
- [Lab01] I. Laba, *Fuglede's conjecture for a union of two intervals*, Proc. Amer. Math. Soc. **129** (2001), 2965–2972.
- [Lan67] H. Landau, *Necessary density conditions for sampling and interpolation of certain entire functions*, Acta Math. **117** (1967), 37–52.
- [Sogge93] C. D. Sogge, *Fourier integrals in classical analysis*, Cambridge University Press, Cambridge, 1993.

MATHEMATICS DEPARTMENT, 201 MATHEMATICAL SCIENCES BLDG., UNIVERSITY OF MISsouri Columbia, MO 65211, U.S.A.

*E-mail address*: iosevich@math.missouri.edu

Department of Mathematics, Campus Box 1146, Washington University in St. Louis, St. Louis, MO 63130, U.S.A.

*E-mail address*: nets@math.wustl.edu

UCLA Department of Mathematics, Los Angeles, CA 90095-1596, U.S.A. *E-mail address*: tao@math.ucla.edu