

## L<sup>p</sup> BOUNDS FOR THE FUNCTION OF MARCINKIEWICZ

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### 1. Introduction

Let  $\Omega$  denote a homogeneous function of degree 0 on  $\mathbf{R}^n$  which is locally integrable and satisfies

$$(1.1) \quad \int_{\mathbf{S}^{n-1}} \Omega(y) d\sigma(y) = 0,$$

where  $d\sigma$  represents the normalized Lebesgue measure on the unit sphere  $\mathbf{S}^{n-1}$ . For  $n \geq 2$  and  $f \in L^1_{loc}(\mathbf{R}^n)$ , the Marcinkiewicz function of  $f$  is given by

$$(1.2) \quad \mu_\Omega(f)(x) = \left( \int_0^\infty \left| \int_{|y|\leq t} \frac{\Omega(y)}{|y|^{n-1}} f(x-y) dy \right|^2 \frac{dt}{t^3} \right)^{1/2}.$$

The above operator was introduced by E.M. Stein in [7] as an extension of the notion of Marcinkiewicz function from one dimension to higher dimensions. By using the  $L^p$  boundedness of the 1-dimensional Marcinkiewicz function, Stein showed that  $\mu_\Omega$  is bounded on  $L^p(\mathbf{R}^n)$  for  $1 < p < \infty$  whenever  $\Omega$  is odd.

For a general kernel function  $\Omega$ , the  $L^p$  boundedness of  $\mu_\Omega$  has been established under various conditions on  $\Omega$ . For example, Stein proved that  $\mu_\Omega$  is bounded on  $L^p(\mathbf{R}^n)$  for  $1 < p \leq 2$  if  $\Omega \in Lip(\mathbf{S}^{n-1})$ . Benedek, Calderón and Panzone proved in [2] that the  $L^p$  boundedness of  $\mu_\Omega$  holds for  $1 < p < \infty$  under the condition that  $\Omega \in C^1(\mathbf{S}^{n-1})$ .

In 1972 T. Walsh showed that the  $L^p$  boundedness of  $\mu_\Omega$  can still hold even if  $\Omega$  is quite rough.

**Theorem 1** (Walsh [11]). *Suppose that  $p \in (1, \infty)$ ,  $r = \min\{p, p'\}$ , and  $\Omega \in L(\log L)^{1/r}(\log \log L)^{2(1-2/r')}(\mathbf{S}^{n-1})$ . Then  $\mu_\Omega$  is bounded on  $L^p(\mathbf{R}^n)$ .*

When  $p = 2$ , the condition in Theorem 1 is simply  $\Omega \in L(\log L)^{1/2}(\mathbf{S}^{n-1})$ , which was shown by Walsh to be optimal in the sense that the exponent  $1/2$  in  $L(\log L)^{1/2}$  cannot be replaced by any smaller numbers.

On the other hand, Walsh did not consider his condition to be in any sense optimal when  $p \neq 2$ . Indeed, by comparing with the result of Calderón and Zygmund on singular integrals, one is naturally led to the question whether the condition  $\Omega \in L(\log L)^{1/2}(\mathbf{S}^{n-1})$  is also sufficient for the  $L^p$  boundedness of  $\mu_\Omega$  even when  $p \neq 2$ . This problem, which was formally proposed by Y. Ding in [4], is resolved by our next theorem.

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Received June 24, 2002.

**Theorem 2.** *If  $\Omega \in L(\log L)^{1/2}(\mathbf{S}^{n-1})$  and  $p \in (1, \infty)$ , then  $\mu_\Omega$  is bounded on  $L^p(\mathbf{R}^n)$ .*

The method employed in this paper is based in part on ideas from [1], [3], [5] and [10], among others. A great deal more can be obtained by applying variations of this scheme to more general integral operators of Marcinkiewicz type. An extensive discussion of these results will appear in a forthcoming paper.

Throughout the rest of the paper the letter  $C$  will stand for a constant but not necessarily the same one in each occurrence.

### 2. The Main Lemma and Proof of Theorem 2

For a suitable family of measures  $\tau = \{\tau_t : t \in \mathbf{R}\}$  on  $\mathbf{R}^n$ , we define the operators  $\Delta_\tau$  and  $\tau^*$  by

$$(2.1) \quad \Delta_\tau(f)(x) = \left( \int_{\mathbf{R}} |\tau_t * f(x)|^2 dt \right)^{1/2}$$

and

$$(2.2) \quad \tau^*(f)(x) = \sup_{t \in \mathbf{R}} (|\tau_t| * |f|)(x).$$

The following is our main lemma:

**Lemma 3.** *Let  $a \geq 2$ ,  $A > 0$ ,  $\gamma > 0$ ,  $q > 1$  and  $C_q > 0$ . Suppose that the family of measures  $\{\tau_t : t \in \mathbf{R}\}$  satisfies the following:*

- (i)  $\|\tau_t\| \leq A$  for  $t \in \mathbf{R}$ ;
- (ii)  $|\hat{\tau}_t(\xi)| \leq A[\min\{a^t|\xi|, (a^t|\xi|)^{-1}\}]^{\gamma/\ln a}$  for  $\xi \in \mathbf{R}^n$  and  $t \in \mathbf{R}$ ;
- (iii)  $\|\tau^*(f)\|_q \leq C_q A \|f\|_q$  for  $f \in L^q(\mathbf{R}^n)$ .

*Then, for every  $p$  satisfying  $|1/p - 1/2| < 1/(2q)$ , there exists a positive constant  $C_p$  which is independent of  $a$  and  $A$  such that*

$$(2.3) \quad \|\Delta_\tau(f)\|_p \leq C_p A \|f\|_p$$

for  $f \in L^p(\mathbf{R}^n)$ .

This lemma can be viewed as a continuous analogue of Theorem B in [5]. The novel feature, which keys its application to the current problem, is the uniformness of the bound on the operator norm with respect to the parameter  $a$ .

*Proof of Theorem 2.* Let  $\Omega \in L(\log L)^{1/2}(\mathbf{S}^{n-1})$  and satisfy (1.1). For  $k \in \mathbf{N}$  let  $E_k = \{y \in \mathbf{S}^{n-1} : 2^{k-1} \leq |\Omega(y)| < 2^k\}$  and

$$\Omega_k(y) = \Omega(y)\chi_{E_k}(y) - \int_{E_k} \Omega d\sigma.$$

Thus

$$(2.4) \quad \int_{\mathbf{S}^{n-1}} \Omega_k(y) d\sigma(y) = 0$$

for  $k \in \mathbf{N}$ . Let  $\Lambda = \{k \in \mathbf{N} : \sigma(E_k) > 2^{-4k}\}$  and

$$\Omega_0 = \Omega - \sum_{k \in \Lambda} \Omega_k.$$

It then follows that  $\Omega_0 \in L^2(\mathbf{S}^{n-1})$  and

$$\int_{\mathbf{S}^{n-1}} \Omega_0(y) d\sigma(y) = 0.$$

For every  $k \in \Lambda$  we define the family of measures  $\tau^{(k)} = \{\tau_{k,t} : t \in \mathbf{R}\}$  on  $\mathbf{R}^n$  by

$$\int_{\mathbf{R}^n} f d\tau_{k,t} = 2^{-kt} \int_{|y| \leq 2^{kt}} \frac{\Omega_k(y)}{|y|^{n-1}} f(y) dy.$$

If we set  $a_k = 2^k$ ,  $A_k = 2 \int_{E_k} |\Omega(y)| d\sigma(y)$  and  $\gamma = \frac{\ln 2}{6}$ , then the following holds for  $t \in \mathbf{R}$ ,  $\xi \in \mathbf{R}^n$ , and  $p > 1$ :

$$(2.5) \quad \begin{cases} \text{(i)} & \|\tau_{k,t}\| \leq A_k, \\ \text{(ii)} & |\hat{\tau}_{k,t}(\xi)| \leq A_k (a_k^t |\xi|)^{\gamma / \ln a_k}, \\ \text{(iii)} & |\hat{\tau}_{k,t}(\xi)| \leq C A_k (a_k^t |\xi|)^{-\gamma / \ln a_k}, \\ \text{(iv)} & \|(\tau^{(k)})^*\|_{p,p} \leq C_p A_k, \end{cases}$$

where  $C$  and  $C_p$  are independent of  $k$ .

While (2.5.i) is obvious, (2.5.ii) follows immediately from (2.4) and (2.5.i). In addition, (2.5.iv) can be obtained in a straightforward manner (see, for example, Page 823 in [6]).

On the other hand, by the proof of Corollary 4.1 on P. 551 of [5],

$$(2.6) \quad |\hat{\tau}_{k,t}(\xi)| \leq C \|\Omega_k\|_2 (a_k^t |\xi|)^{-1/6}.$$

Thus, by (2.5.i), (2.6) and the inequality  $\|\Omega_k\|_2 \leq 2^{2k+2} A_k$ ,

$$\begin{aligned} |\hat{\tau}_{k,t}(\xi)| &\leq A_k^{(k-1)/k} [C 2^{2k+2} A_k (a_k^t |\xi|)^{-1/6}]^{1/k} \\ &\leq C A_k (a_k^t |\xi|)^{-\gamma / \ln a_k}, \end{aligned}$$

which proves (2.5.iii).

By Minkowski's inequality,

$$(2.7) \quad \mu_\Omega(f) \leq \mu_{\Omega_0}(f) + \sum_{k \in \Lambda} (k \ln 2)^{1/2} \Delta_{\tau^{(k)}}(f).$$

Finally, by (2.5), (2.7), Theorem 1 and Lemma 3, we obtain

$$\begin{aligned} \|\mu_\Omega(f)\|_p &\leq C_p \left( 1 + \sum_{k \in \Lambda} \sqrt{k} A_k \right) \|f\|_p \\ &\leq C_p (1 + \|\Omega\|_{L(\log L)^{1/2}}) \|f\|_p \end{aligned}$$

for  $1 < p < \infty$ . The proof of Theorem 2 is now complete. □

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