

## CORRECTIONS TO: SPACE FILLING CURVES OVER FINITE FIELDS

NICHOLAS M. KATZ

### 1. Introduction

Ofer Gabber has kindly pointed out to me that the proof of Lemma 5 in my article, “Space filling curves over finite fields,” which appeared in *Mathematical Research Letters*, Volume 6, Number 5-6, pp. 613–624, is wrong. The error occurs in the last paragraph of the proof. The first sentence of that paragraph makes a false statement about Frobenius elements (starting with “so every...”). This false statement is used in the following sentence (“Therefore...”) to assert that, for  $r \geq r_0$ , the conditions  $(\star \star r, \mathcal{D}_r, \mathcal{C}_r)$  and  $(\star \star r, \mathcal{E}/W)$  are equivalent. It is indeed trivially true that the first condition implies the second, but the converse need only hold if in addition  $r$  is divisible by  $N$ , the order of the cyclic group  $\Gamma/\Gamma_{geom}$ . The effect of correcting this error is that in Lemmas 4, 5, and 6, and in Corollary 7, what is asserted to hold for  $r$  sufficiently large holds only for  $r$  sufficiently large *and sufficiently divisible*. Indeed, Gabber has constructed examples to show that Lemma 6 and Corollary 7 can be false without this extra proviso. In the corrections below, we also modify the statement of Lemma 5 so that its new, weaker conclusion applies in a more general setting.

### 2. Corrections and modifications to statements of results

page 616, assertion 2) of Lemma 4: should read “For all sufficiently large *and sufficiently divisible*  $r$ ,  $\mathcal{D}_r/k$  is geometrically connected.”

page 617, lines 7-8 of the statement of Lemma 5 (i.e., lines 13-14 on the page): should read “ $r \geq r_0$ , a smooth, geometrically connected  $k$ -scheme  $\mathcal{C}_r$  and a  $k$ -morphism  $i_r : \mathcal{C}_r \rightarrow W$  which is surjective on  $k_r$ -valued points. Form the fibre”

page 617, line 12 of the statement of Lemma 5 (i.e., line 18 on the page): the label of the map in the diagram should be  $i_r$  and not  $i_{r,W}$ .

page 617, last line of the statement of Lemma 5: should read “Then for  $r$  sufficiently large *and sufficiently divisible*, the fibre product  $\mathcal{D}_r/k$  is geometrically connected.”

page 619, assertion a) of Lemma 6: should read “For  $r$  sufficiently large *and sufficiently divisible*, we have an equality of images of geometric fundamental

---

Received September 1, 2001.

groups

$$\rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)) = \rho(\pi_1^{\text{geom}}(W, w))$$

(equality inside  $G$ )."

page 619, assertion b) of Lemma 6: should read (in its entirety) "For  $r$  sufficiently large *and sufficiently divisible*, we have an equality of images of fundamental groups

$$\rho_r(\pi_1(\mathcal{C}_r, c_r)) = \rho(\pi_1(W, w))$$

(equality inside  $G$ )."

page 620, lines -5 and -2 in the statement of Corollary 7 (i.e. lines -10 and -6 on the page): should read respectively "Then (resp. then) for  $r$  sufficiently large *and sufficiently divisible*, the pullback sheaf  $(f_r)^*(\mathcal{F})$  on  $\mathcal{C}_r$  has the same..."

### 3. Corrections to the proof of Lemma 5

page 618, line 15: should read "We will show that for any  $r \geq r_0$  *which is divisible by  $N$  and which is large enough* that  $(\star\star r, \mathcal{E}/W)$  holds,  $\mathcal{D}_r$  is"

page 618, line -2, through page 619, line 2: Delete the last paragraph of the proof. Replace it by the following text.

We will show that for any  $r \geq r_0$  which is divisible by  $N$  and for which  $(\star\star r, \mathcal{E}/W)$  holds, the condition  $(\star\star r, \mathcal{D}_r, \mathcal{C}_r)$  holds. We now fix one such  $r$ .

The subgroup  $\pi_1(W \otimes_k k_r, w)$  of  $\pi_1(W, w)$  maps, by  $\rho$ , to the subgroup  $\Gamma(0) = \Gamma_{\text{geom}}$ , simply because  $N$  divides  $r$ . Because this subgroup contains  $\pi_1^{\text{geom}}(W, w)$ , it maps onto  $\Gamma_{\text{geom}}$ . This subgroup contains all the Frobenius elements in  $\pi_1(W, w)$  attached to  $k_r$ -valued points of  $W$ . By  $(\star\star r, \mathcal{E}/W)$ , the images of these elements fill  $\Gamma_{\text{geom}}$ . By the spacefilling property, each of these elements is  $\pi_1(W \otimes_k k_r, w)$ -conjugate to the image of a Frobenius element in  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$  attached to a  $k_r$ -valued point of  $\mathcal{C}_r$ . The group  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$  maps to  $\Gamma_{\text{geom}}$ , and the images of its Frobenius elements attached to  $k_r$ -valued points of  $\mathcal{C}_r$  meet every conjugacy class in  $\Gamma_{\text{geom}}$ . So by Jordan's theorem, we conclude that  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$  maps onto  $\Gamma_{\text{geom}}$ . Thus every element in  $\Gamma_{\text{geom}}$  is the image of a  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$ -conjugate of some Frobenius element attached to a  $k_r$ -valued point of  $\mathcal{C}_r$  (because the images of these Frobenius elements meet every conjugacy class in  $\Gamma_{\text{geom}}$ , and  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$  maps onto  $\Gamma_{\text{geom}}$ .) But the  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$ -conjugates of Frobenius elements attached to  $k_r$ -valued points of  $\mathcal{C}_r$  are themselves such Frobenius elements, and thus  $(\star\star r, \mathcal{D}_r, \mathcal{C}_r)$  holds.

We remark that from the fact that  $\pi_1(\mathcal{C}_r \otimes_k k_r, c_r)$  maps onto  $\Gamma_{\text{geom}}$ , it follows that  $\pi_1(\mathcal{C}_r, c_r)$  maps onto  $\Gamma$ , simply because any element of degree one in the source maps onto a generator of the cyclic quotient  $\Gamma/\Gamma_{\text{geom}}$ .

### 4. Correction to the proof of Lemma 6

page 619, line -3: the sentence should end "for  $r$  *divisible by  $N$  and  $r \gg 0$* ."

page 619, line -1: the sentence should end "whence a) *and b)*, cf. *the corrected proof of Lemma 5*."

page 620, delete lines 1-4.

page 620, line 10: add the words “*and sufficiently divisible*” after the phrase “for  $r \gg 0$ ”.

**5. Correction to the proof of Theorem 8**

page 621, line 7: should read “By Lemma 4, for large  $r$  *which is sufficiently divisible*, this closed subscheme  $\mathcal{D}_{rd}$  of  $V$  is a smooth”

**6. Counterexamples**

In this section, we construct, following ideas of Ofer Gabber, counterexamples to the uncorrected versions of Lemma 6 and Corollary 7. We work over the finite field  $k = \mathbb{F}_q$ . Let  $G/k$  be a smooth, geometrically connected, commutative group-scheme of dimension  $n \geq 1$ , and

$$\phi : G \rightarrow G$$

a finite etale homomorphism of  $k$ -group-schemes of degree  $\text{deg}(\phi) \geq 2$  with the following property: the finite etale commutative  $k$ -group-scheme  $\text{Ker}(\phi)$  has no nontrivial  $k$ -rational points, i.e.,  $\text{Ker}(\phi)(k) = \{e\}$ . Here are three elementary examples of such situations  $(G, \phi)$ .

- (1) Pick  $\alpha \neq 1$  in  $\mathbb{F}_q^\times$ , take  $G = \mathbb{G}_a, \phi(x) := x^q - \alpha x$ . Here  $\text{deg}(\phi) = q$ .
- (2) Pick a prime number  $l \geq q + 1$ , take  $G = \mathbb{G}_m, \phi(x) := x^l$ . Here  $\text{deg}(\phi) = l$ .
- (3) Pick a prime number  $l \geq 2 + q + 2\sqrt{q}$  and an elliptic curve  $E/\mathbb{F}_q$ , take  $G = E, \phi(P) := lP$ . Here  $\text{deg}(\phi) = l^2$ .

The geometric Frobenius  $F_k$  in  $\text{Gal}(\bar{k}/k)$  acts as a group-automorphism of the finite group  $\text{Ker}(\phi)(\bar{k})$ . Denote by  $N$  the order of this automorphism. By hypothesis,  $F_k$  has no fixed points in  $\text{Ker}(\phi)(\bar{k}) - \{e\}$ . Therefore, for any integer  $r \geq 1$  with  $(r, N) = 1$ ,  $(F_k)^r$  has no fixed points in  $\text{Ker}(\phi)(\bar{k}) - \{e\}$ . So for any such  $r$ ,  $\text{Ker}(\phi)(k_r) = \{e\}$ , i.e., the map

$$\phi : G \rightarrow G$$

is injective, and hence bijective, on  $k_r$ -valued points.

In the notations of Lemma 6 and Corollary 7, take  $W = G$ , with base point  $w = e$ . For  $r \geq 1$  with  $(r, N) = 1$ , take  $f_r : \mathcal{C}_r \rightarrow W$  to be  $\phi : G \rightarrow G$ ; for other  $r$ , take it to be  $id : G \rightarrow G$ . Take  $c_r = e$  as base point in  $\mathcal{C}_r = G$ , and take as “chemin” from  $f_r(c_r) = e$  to  $e$  the identity. To make counterexamples to Lemma 6 and Corollary 7, we need only exhibit a finite etale covering

$$\pi : \mathcal{E} \rightarrow G$$

which is geometrically nontrivial, but whose pullback by  $\phi : G \rightarrow G$  is geometrically trivial. For the monodromy representation of such a covering will violate conclusion a) of Lemma 6 for every  $r \geq 1$  with  $(r, N) = 1$ , and the lisse sheaf  $\mathcal{F} := \pi_* \bar{\mathbb{Q}}_l$  on  $G$  will violate the first conclusion of Lemma 7 for the same  $r$ . Such a  $\pi : \mathcal{E} \rightarrow G$  is given by  $\phi : G \rightarrow G$ .