# A generalization of a 1998 unimodality conjecture of Reiner and Stanton

RICHARD P. STANLEY AND FABRIZIO ZANELLO

An interesting, and still wide open, conjecture of Reiner and Stanton predicts that certain "strange" symmetric differences of q-binomial coefficients are always nonnegative and unimodal. We extend their conjecture to a broader, and perhaps more natural, framework, by conjecturing that, for each  $k \geq 5$ , the polynomials

$$f(k, m, b)(q) = {m \choose k}_q - q^{\frac{k(m-b)}{2} + b - 2k + 2} \cdot {b \choose k - 2}_q$$

are nonnegative and unimodal for all  $m \gg_k 0$  and  $b \leq \frac{km-4k+4}{k-2}$  such that  $kb \equiv km \pmod 2$ , with the only exception of  $b = \frac{km-4k+2}{k-2}$  when this is an integer.

Using the KOH theorem, we combinatorially show the case k=5. In fact, we completely characterize the nonnegativity and unimodality of f(k,m,b) for  $k \leq 5$ . (This also provides an isolated counterexample to Reiner-Stanton's conjecture when k=3.) Further, we prove that, for each k and m, it suffices to show our conjecture for the largest 2k-6 values of b.

AMS 2000 SUBJECT CLASSIFICATIONS: Primary 05A15; secondary 05A19, 05A17.

KEYWORDS AND PHRASES: q-binomial coefficient, Gaussian polynomial, unimodality, KOH theorem, positivity.

## 1. Introduction and statement of the conjecture

An intriguing conjecture of Reiner and Stanton, that has remained open for nearly 20 years, predicts the nonnegativity and unimodality of certain symmetric differences of q-binomial coefficients (see [6], Conjecture 9 or [10], Conjecture 7). The goal of this note is to frame their admittedly "strange" statement into a broader and more natural combinatorial setting, and show, by means of Zeilberger's KOH theorem, several special cases of our conjecture.

arXiv: 1711.10033

For integers  $m \geq k \geq 0$ , define the q-binomial coefficient

$$\binom{m}{k}_q = \frac{(1-q)(1-q^2)\cdots(1-q^m)}{(1-q)(1-q^2)\cdots(1-q^k)\cdot(1-q)(1-q^2)\cdots(1-q^{m-k})}.$$

It is a well-known fact in combinatorics that  $\binom{m}{k}_q$  is a unimodal, symmetric polynomial in q of degree k(m-k) with nonnegative integer coefficients (see e.g. [2, 5, 7, 11]).

Now let

$$f(k, m, b)(q) = {m \choose k}_q - q^{\frac{k(m-b)}{2} + b - 2k + 2} \cdot {b \choose k - 2}_q,$$

for integers  $k \geq 2$ ,  $m \geq k$ , and  $k-2 \leq b \leq \frac{km-4k+4}{k-2}$  for  $k \geq 3$ , where k(m-b) is even.

Note that f(k, m, b) is also a symmetric polynomial, since both terms in the difference are symmetric about the same degree, k(m-k)/2.

Conjecture 1.1. Let k, m, and b be as above. We have:

- (i) If k = 2, then f(k, m, b) is nonnegative and unimodal if and only if m is even.
- (ii) If k = 3, then f(k, m, b) is nonnegative and unimodal if and only if  $b \neq 3m 10$  and:  $b \neq 2$  if m is even;  $b \neq 1, 5$  if  $m \equiv 1 \pmod{4}$ ; and  $b \neq 3$  if  $m \equiv 3 \pmod{4}$ .
- (iii) If k = 4, then f(k, m, b) is nonnegative and unimodal if and only if b is even and  $m \neq 5$ .
- (iv) If  $k \geq 5$ , then f(k, m, b) is nonnegative and unimodal for all  $m \gg_k 0$  and all b, with the only exception of  $b = \frac{km-4k+2}{k-2}$  when this is an integer (i.e., when k-2 divides 2m-6).

Notice that, when  $b=\frac{km-4k+2}{k-2}$ , the q-binomial coefficient  $\binom{b}{k-2}_q$  is shifted by exactly one degree, and therefore, for m large enough,  $\binom{m}{k}_q-q\cdot\binom{b}{k-2}_q=1+0q+q^2+\ldots$  is never unimodal.

Hence, what our conjecture claims is essentially that, for any  $k \geq 5$ , f(k,m,b) is unimodal "as often as possible," provided that m be sufficiently large. The small values of m, perhaps not unexpectedly, may still allow the shifted first difference of  $\binom{b}{k-2}_q$  to grow faster than that of  $\binom{m}{k}_q$ . Computationally, however, these values do not appear to be too large relatively to k. (For instance, it seems safe to assume  $m \geq 20$  for k = 5;  $m \geq 32$  for k = 6;  $m \geq 18$  for k = 7 and  $k \in 7$  and  $k \in 7$  and  $k \in 7$  for k = 9;  $k \in 7$  for  $k \in 7$  and  $k \in 7$  for  $k \in 7$  for k

Also note that Reiner-Stanton's conjecture ([6], Conjecture 9) essentially corresponds to the special case m even,  $b \ge m-4$ , and  $b \equiv m \pmod 4$  of our conjecture. Their Theorems 1 and 5 correspond to the cases b=m even, and b=m-2 with m-k even, respectively.

Our main results will be a proof of parts (i) to (iii) of our conjecture, and of part (iv) for k=5. As a pithy application of the KOH theorem, we will also show that in order to prove the conjecture for any given k and m, it suffices to do so for the 2k-6 largest values of b (or for only k-3 such values when k is odd). In general, however, our conjecture remains open.

We finally note that the original conjecture of Reiner and Stanton has a counterexample when k = 3, corresponding to their parameters n = 7 and r = 0 (for us, m = 6 and b = 2), which give the nonunimodal polynomial

$$\binom{6}{3}_q - q^4 \binom{2}{1}_q = 1 + q + 2q^2 + 3q^3 + 2q^4 + 2q^5 + 3q^6 + 2q^7 + q^8 + q^9.$$

Our results imply that, for  $k \leq 5$ , this is the unique counterexample to their conjecture.

#### 2. Proofs

We begin with a crucial lemma, namely Zeilberger's KOH theorem [15]. It provides an algebraic reformulation of O'Hara's combinatorial proof of the unimodality of q-binomial coefficients [2], by decomposing  $\binom{a+k}{k}_q$  into suitable finite sums of nonnegative, unimodal polynomials, all symmetric about degree ak/2.

We fix positive integers a and k, and for any partition  $\lambda = (\lambda_1, \lambda_2, \ldots) \vdash k$ , define  $Y_i = \sum_{1 \le j \le i} \lambda_j$  for  $i \ge 1$ , and  $Y_0 = 0$ . Then:

**Lemma 2.1** ([15]). We have  $\binom{a+k}{k}_q = \sum_{\lambda \vdash k} F_{\lambda}(q)$ , where

$$F_{\lambda}(q) = q^{2\sum_{i \ge 1} {\lambda_i \choose 2}} \prod_{j \ge 1} {j(a+2) - Y_{j-1} - Y_{j+1} \choose \lambda_j - \lambda_{j+1}}_q.$$

**Theorem 2.1.** Conjecture 1.1 is true for  $k \leq 4$ .

*Proof.* Set

$$\binom{m}{k}_q = \sum_{i=0}^{k(m-k)} a_i q^i$$

and

$$q^{\frac{k(m-b)}{2} + b - 2k + 2} \cdot \binom{b}{k-2}_q = \sum_{i=0}^{k(m-k)} b_i q^i.$$

(Thus, in particular,  $b_i = 0$  for  $i < \frac{k(m-b)}{2} + b - 2k + 2$ .) For the sake of simplicity, we will identify, with some slight abuse of notation, the first difference of a symmetric polynomial with its truncation after the middle degree; hence  $(1-q)\binom{m}{k}_q$  will denote the polynomial  $1 + \sum_{i=1}^{\lfloor k(m-k)/2 \rfloor} (a_i - a_{i-1})q^i$ , and similarly,

$$(1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot \binom{b}{k-2}_q = q^{\frac{k(m-b)}{2}+b-2k+2} + \sum_{i=\frac{k(m-b)}{2}+b-2k+3}^{\lfloor k(m-k)/2 \rfloor} (b_i-b_{i-1})q^i.$$

It is clear that showing the theorem is tantamount to proving

$$(1-q)\binom{m}{k}_q \ge (1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot \binom{b}{k-2}_q,$$

where the partial order on polynomials is defined by setting  $\sum \alpha_i q^i \geq \sum \beta_i q^i$  whenever  $\alpha_i \geq \beta_i$  for all i.

(i) The case k=2 is trivial. We have

$$f(2, m, b)(q) = {m \choose 2}_q - q^{m-2},$$

which is independent of b. Note that  $\binom{m}{2}_q = \sum_{i=0}^{2m-4} a_i q^i$  satisfies  $a_i = \lfloor (i+2)/2 \rfloor$  for all  $i \leq m-2$ . Therefore,  $(1-q)\binom{m}{2}_q$  equals 0 in the odd degrees and 1 in the even degrees. Since the first difference of  $q^{m-2}$  (defined up until degree m-2) is clearly 1 in degree m-2 and 0 elsewhere, it immediately follows that f(2, m, b) is unimodal if and only if m-2 is even.

(ii) Let k = 3. We have:

(1) 
$$f(3, m, b)(q) = {m \choose 3}_q - q^{\frac{3m-b-8}{2}} \cdot {b \choose 1}_q,$$

for  $m \geq 3$ ,  $1 \leq b \leq 3m - 8$ , and  $b \equiv m \pmod{2}$ . Note that (1) can be rewritten as

$$\binom{m}{3}_q - \left(q^{\frac{3m-b-8}{2}} + q^{\frac{3m-b-6}{2}} + \dots + q^{\frac{3m+b-10}{2}}\right).$$

It follows that f(3, m, b) is unimodal whenever  $\binom{m}{3}_q$  strictly increases from degree (3m-b-10)/2 to (3m-b-8)/2. Note that this never happens when (3m-b-8)/2=1, i.e., b=3m-10.

It is now easy to see that the theorem is proven if we show that  $\binom{m}{3}_q$  does not strictly increase (i.e., it is constant) from degree j-1 to j precisely for the following values of j in the range  $2 \le j \le (3m-9)/2$ :

$$j = (3m - 10)/2, \text{ if } m \equiv 0 \pmod{2};$$

$$(2) \qquad j = (3m - 9)/2 \text{ and } (3m - 13)/2, \text{ if } m \equiv 1 \pmod{4};$$

$$j = (3m - 11)/2, \text{ if } m \equiv 3 \pmod{4}.$$

The q-binomials  $\binom{m}{3}_q$  are fairly well understood (we even know explicit symmetric chain decompositions for the corresponding Young lattice L(3,m-3); see e.g. [1]), so there are several ways to prove (2). Given that we are going to employ the KOH theorem extensively later on, it is illustrative to give a proof using Theorem 2.1. We first note that  $\binom{m}{3}_q$  can be decomposed as

$$(3) \qquad \binom{m}{3}_q = q^6 \binom{m-4}{3}_q + q^2 \binom{m-4}{1}_q \binom{2m-7}{1}_q + \binom{3m-8}{1}_q,$$

where the first summand on the right side corresponds to the partition (3) of 3, the second to (2,1), and the third to (1,1,1).

We next iterate Theorem 2.1 a total of  $c = \lfloor m/4 \rfloor$  times on the right side of (3), noting that  $\binom{m-4c}{3}_q = 0$  unless  $m \equiv 3 \pmod 4$ , in which case  $\binom{m-4c}{3}_q = \binom{3}{3}_q = 1$ . We obtain:

$$\binom{m}{3}_q = \epsilon q^{(3m-9)/2}$$

$$+ \sum_{i=0}^{c-1} \left( q^{6i+2} \binom{m-4i-4}{1}_q \binom{2m-8i-7}{1}_q + q^{6i} \binom{3m-12i-8}{1}_q \right),$$

where  $\epsilon = 1$  for  $m \equiv 3 \pmod{4}$  and  $\epsilon = 0$  otherwise.

Let us now compute the first difference  $(1-q)\binom{m}{3}_q$  using (4). Since (6i+2)+(2m-8i-7) and 6i+(3m-12i-8) are both greater than (3m-9)/2 for  $i \leq c-1$ , and  $(1-q)\binom{m}{3}_q$  is truncated after the middle degree (3m-9)/2, we have:

$$(1-q) \binom{m}{3}_q = \epsilon q^{(3m-9)/2}$$

$$+ \sum_{i=0}^{c-1} \left( (1-q)q^{6i+2} \binom{m-4i-4}{1}_q \cdot \frac{1-q^{2m-8i-7}}{1-q} + (1-q)q^{6i} \cdot \frac{1-q^{3m-12i-8}}{1-q} \right)$$

$$= \epsilon q^{(3m-9)/2} + \sum_{i=0}^{c-1} \left( q^{6i+2} (1+q+\cdots+q^{m-4i-5}) + q^{6i} \right)$$

$$= \epsilon q^{(3m-9)/2} + \sum_{i=0}^{c-1} \left( q^{6i} + q^{6i+2} + q^{6i+3} + \cdots + q^{m+2i-3} \right) .$$

It is now a simple exercise for the reader to check that the degrees  $j \leq (3m-9)/2$  where the last displayed summation has coefficient zero are exactly the values of j indicated in (2). This completes the proof for k=3.

(iii) Let k = 4. We have:

$$f(4, m, b)(q) = {m \choose 4}_q - q^{2m-b-6} \cdot {b \choose 2}_q,$$

where  $m \ge 4$  and  $2 \le b \le 2m - 6$ . We want to show that

$$(1-q)\binom{m}{4}_q \ge (1-q)q^{2m-b-6} \cdot \binom{b}{2}_q$$

(where as usual the polynomials on both sides are set to be zero after degree 2m-8).

One moment's thought gives that

(5) 
$$(1-q)q^{2m-b-6} \cdot \binom{b}{2}_q = \sum_{i=0}^{\left\lfloor \frac{b-2}{2} \right\rfloor} q^{(2m-b-6)+2i}.$$

It is easy to check the result directly for  $m \leq 5$  (in particular, notice that for m = 5 and b = 4,  $f(4, 5, 4)(q) = -q^2$  has a negative coefficient).

Thus, assume  $m \geq 6$ . We want to determine when  $\binom{m}{4}_q$  strictly increases from degree (2m-b-6)+2i-1 to (2m-b-6)+2i, for all  $0 \leq i \leq \lfloor \frac{b-2}{2} \rfloor$ .

The growth of  $\binom{m}{4}_q$  can be studied in a few different ways (for instance, using our own [8], Lemma 2.1, or again via the KOH theorem, or, perhaps most instructively, using a symmetric chain decomposition for L(4, m-4) [12]). In particular, it can be seen that  $\binom{m}{4}_q$  strictly increases from degree j-1 to j for all even values of  $j \leq 2m-8$ , and that it is always constant from degree 2m-10 to 2m-9. By (5), this easily gives that for  $m \geq 6$ , f(4, m, b) is nonnegative and unimodal if and only if b is even, as desired.

Our next result is an especially elegant application of the KOH theorem.

**Theorem 2.2.** Let  $k \geq 4$ , and m and b be as in Conjecture 1.1. Assume that f(k, m, b) is nonnegative and unimodal. Then f(k, m, b - (2k - 6)) is also nonnegative and unimodal.

*Proof.* We want to show that if

$$(1-q)\binom{m}{k}_q \ge (1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot \binom{b}{k-2}_q,$$

then  $(1-q)\binom{m}{k}_q$  also dominates

$$(1-q)q^{\frac{k(m-(b-2k+6))}{2}+(b-2k+6)-2k+2} \cdot \binom{b-2k+6}{k-2}_{q}$$

$$= (1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_{q}.$$

To this end, it suffices to show

$$(1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot \binom{b}{k-2}_q$$

$$\geq (1-q)q^{\frac{k(m-b)}{2}+b-2k+2} \cdot q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_q,$$

or equivalently,

(6) 
$$(1-q) \binom{b}{k-2}_q \ge (1-q)q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_q.$$

Consider the KOH decomposition of  $\binom{b}{k-2}_q = \binom{(b-k+2)+(k-2)}{k-2}_q$ , as in Theorem 2.1. The term corresponding to the partition (k-2) of k-2 is given by

$$q^{2\binom{k-2}{2}} \cdot \binom{(b-k+4)-(k-2)}{k-2}_q = q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_q.$$

Thus,  $\binom{b}{k-2}_q$  decomposes as:

$$\binom{b}{k-2}_q = q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_q + \sum_{\lambda \neq (k-2)} F_{\lambda}(q),$$

where the sum on the right side is indexed over all partitions  $\lambda \neq (k-2)$  of k-2. The crucial observation is that all the  $F_{\lambda}(q)$  are also unimodal and symmetric about the same degree, (k-2)(b-k+2), and therefore their first differences are nonnegative.

We conclude that

$$(1-q)\binom{b}{k-2}_{q} = (1-q)q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_{q} + (1-q)\sum_{\lambda \neq (k-2)} F_{\lambda}(q)$$
$$\geq (1-q)q^{2\binom{k-2}{2}} \cdot \binom{b-2k+6}{k-2}_{q},$$

which is precisely (6).

We next show our conjecture for k=5. Note that since our argument will explicitly assume  $m \geq 20$  (and there exist only finitely many polynomials f(5, m, b) when  $5 \leq m \leq 19$ , all of which can easily be computed), this will completely characterize the nonnegativity and unimodality of f(k, m, b) also for k=5.

**Theorem 2.3.** Conjecture 1.1 is true for k = 5.

*Proof.* We will show that, for all  $m \ge 20$  and  $3 \le b \le (5m - 16)/3$  such that b - m is even,

$$f(5, m, b)(q) = {m \choose 5}_q - q^{\frac{5m-3b-16}{2}} \cdot {b \choose 3}_q$$

is nonnegative and unimodal, where for  $m \equiv 0 \pmod{3}$  we further assume that  $b \neq (5m-18)/3$ , i.e.,  $b \leq (5m-21)/3$ .

Note that by Theorem 2.2, since 2k-6=4, for each m it is enough to show the theorem for the two largest values of b satisfying the above conditions. More explicitly, standard computations give that, if we write  $m=6n+j\geq 20$  according to its residue class modulo 6, we can reduce the problem to proving the nonnegativity and unimodality of the following twelve symmetric q-binomial differences:

(8) 
$$\binom{6n}{5}_q - q^7 \binom{10n - 10}{3}_q$$

$$(10) \qquad \qquad {6n+1 \choose 5}_q - q^5 {10n-7 \choose 3}_q$$

(18) 
$${\binom{6n+5}{5}}_{q} - q^{3} {\binom{10n+1}{3}}_{q}.$$

We present below the proof of (7), using the KOH theorem. We will show that for any  $n \geq 4$  (in fact, the result is true for any n),

(19) 
$$(1-q) \binom{6n}{5}_q \ge (1-q)q^4 \binom{10n-8}{3}_q,$$

where as usual both sides of (19) are defined up until degree  $\lfloor (5(6n-5)/2) \rfloor = 15n - 13$ .

By Theorem 2.1, we can see that  $\binom{6n}{5}_q = \binom{(6n-5)+5}{5}_q$  decomposes as:

where the seven terms on the right side are all unimodal and symmetric about degree (30n - 25)/2, and correspond to the following partitions of 5, respectively: (5), (4,1), (3,2), (3,1,1), (2,2,1), (2,1,1,1), and (1,1,1,1,1).

Again by Theorem 2.1, 
$$q^4 \binom{10n-8}{3}_q = q^4 \binom{(10n-11)+3}{3}_q$$
 decomposes as

$$q^{4} {10n-8 \choose 3}_{q} = q^{10} {10n-12 \choose 3} + q^{6} {10n-12 \choose 1} {20n-23 \choose 1} + q^{4} {30n-32 \choose 1},$$
(21)

where the terms on the right side are contributed by, respectively, the partitions (3), (2,1), and (1,1,1) of 3.

By iterating Theorem 2.1 a total of  $c = \lfloor 5n/2 \rfloor - 2$  times on the right side of (21), similarly to what we did in the proof of Theorem 2.1 for k = 3, we eventually obtain:

$$q^{4} {10n-8 \choose 3}_{q} = \sum_{i=0}^{c-1} q^{6i+6} {10n-4i-12 \choose 1}_{q} {20n-8i-23 \choose 1}_{q} + q^{6i+4} {30n-12i-32 \choose 1}_{q}.$$

Likewise, note that both

$$(6i+6) + (20n-8i-23) = 20n-2i-17$$

and

$$(6i+4) + (30n-12i-32) = 30n-6i-28$$

are greater than 15n - 13 for  $i \le c - 1$ . Thus,

$$(1-q)q^{4} {10n-8 \choose 3}_{q}$$

$$= \sum_{i=0}^{c-1} (1-q)q^{6i+6} \left(1+q+\cdots+q^{10n-4i-13}\right) \cdot \frac{1-q^{20n-8i-23}}{1-q}$$

$$+ \sum_{i=0}^{c-1} (1-q)q^{6i+4} \cdot \frac{1-q^{30n-12i-32}}{1-q}$$

$$= \sum_{i=0}^{c-1} q^{6i+6} \left(1+q+\cdots+q^{10n-4i-13}\right) + q^{6i+4}$$

$$= \sum_{i=0}^{c-1} q^{6i+4} + q^{6i+6} + q^{6i+7} + \cdots + q^{10n+2i-7}.$$
(22)

Now denote by  $\sum_{i=0}^{15n-13} d_i q^i$  the summation in (22). Our goal is to show that

$$(1-q)\binom{6n}{5}_q \ge \sum_{i=0}^{15n-13} d_i q^i.$$

We claim that the first difference of the contribution given by the partition (3,2) to the KOH decomposition (20) of  $\binom{6n}{5}_q$ , namely

$$q^{8} {6n-8 \choose 1}_{q} {12n-14 \choose 2}_{q},$$

will suffice to dominate  $\sum_{i=0}^{15n-13} d_i q^i$ , in every degree  $i \geq 8$ . In other words, if we let

$$(1-q)q^{8}\binom{6n-8}{1}_{q}\binom{12n-14}{2}_{q} = \sum_{i=0}^{15n-13} c_{i}q^{i},$$

then we want to show that

$$c_i \geq d_i$$

for all i = 8, ..., 15n - 13. This will prove the theorem, since it is easy to see directly that  $(1-q)\binom{6n}{5}_q$  dominates (22) in each degree  $i \le 7$  (or, to overkill, one can employ the first difference of the term  $q^4\binom{12n-13}{1}_q\binom{18n-18}{1}_q$  in those degrees).

We first determine the  $c_i$ . Letting  $\binom{12n-14}{2}_q = \sum_{i=0}^{24n-32} e_i q^i$ , we have:

$$\sum_{i=0}^{15n-13} c_i q^i = (1-q)q^8 \binom{6n-8}{1}_q \binom{12n-14}{2}_q$$
$$= q^8 (1-q^{6n-8}) \sum_{i=0}^{24n-32} e_i q^i = (q^8 - q^{6n}) \sum_{i=0}^{24n-32} e_i q^i$$

(where the last expression is also considered to be zero after degree 15n-13).

Thus,  $c_i$  equals  $e_{i-8}$  for  $i \leq 6n-1$ , and it equals  $e_{i-8}-e_{i-6n}$  for  $i \geq 6n$ . Standard computations therefore give us that:

$$c_{i} = \left\lfloor \frac{i - 8 + 2}{2} \right\rfloor = \left\lfloor \frac{i}{2} \right\rfloor - 3, \text{ for } 8 \le i \le 6n - 1;$$

$$c_{i} = \left\lfloor \frac{i - 8 + 2}{2} \right\rfloor - \left\lfloor \frac{i - 6n + 2}{2} \right\rfloor = 3n - 4, \text{ for } 6n \le i \le 12n - 8;$$

$$c_{i} = \left\lfloor \frac{(24n - 32) - (i - 8) + 2}{2} \right\rfloor - \left\lfloor \frac{i - 6n + 2}{2} \right\rfloor = 15n - 12 - i,$$
for  $12n - 7 \le i \le 15n - 13$ .

Moving on to the coefficients of (22), an elementary (but careful) computation explicitly determines the  $d_i$ , yielding:

$$d_{i} = \left\lfloor \frac{i+2}{6} \right\rfloor, \text{ for } i \leq 10n - 7, i \not\equiv 5 \pmod{6};$$

$$(23) \qquad d_{i} = \left\lfloor \frac{i+2}{6} \right\rfloor - 1, \text{ for } i \leq 10n - 7, i \equiv 5 \pmod{6};$$

$$d_{i} = \left\lceil \frac{15n - 13 - i}{3} \right\rceil, \text{ for } 10n - 6 \leq i \leq 15n - 13.$$

It is now a trivial exercise to verify that  $c_i \ge d_i$  for all i = 8, ..., 15n-13, as we wanted to show. This proves (7).

We leave most of the proof of the other eleven cases as an exercise to the interested reader, since except for three of them, the idea is entirely the same, though obviously some of the computations will differ. (Notice that for the two differences that present no shift, (11) and (17), the result is already known as a special case of [14], Theorem 2.4.)

The three cases that present one substantial difference in the proof are: (10) when n is odd, (14) when n is even, and (18) when n is odd. Here, the same approach as above, using the term contributed by (3, 2) to the KOH decomposition of the corresponding q-binomial  $\binom{6n+j}{5}_q$ , suffices to prove nonnegativity and unimodality all the way up to the middle degree, except for the middle degree itself. In particular, in all three cases, the corresponding first difference equals 0, while we would like at least 1. This issue can be solved by also employing the KOH contribution of (4, 1). We will outline this explicitly for (10), the other two cases being entirely similar.

Let n = 2t + 1 be odd, with  $t \ge 2$ . We can see that

$$(1-q)q^5 \binom{10n-7}{3}_q = (1-q)q^5 \binom{20t+3}{3}_q$$

equals 1 in the largest degree, 30t + 5 (for instance, this follows from the proof of Theorem 2.1, since  $20t+3 \equiv 3 \pmod{4}$ ). However, when we consider the term contributed by (3,2) to the KOH decomposition of

$$\binom{6n+1}{5}_q = \binom{12t+7}{5}_q,$$

similarly to what we did in the proof of (7), its first difference in degree 30t + 5 turns out to be zero. (In all previous degrees, the desired inequality on the first differences holds.) Thus, we claim that the KOH contribution of the partition (4, 1), namely

$$q^{12} \binom{12t-1}{3}_q \binom{24t-1}{1}_q$$

has a first difference of at least 1 in degree 30t + 5, which will complete the proof.

Setting

$$\binom{12t-1}{3}_{q} = \sum_{i=0}^{36t-12} \alpha_{i} q^{i}$$

and

$$(1-q)q^{12}\binom{12t-1}{3}_q\binom{24t-1}{1}_q = \sum_{i=0}^{30t+5} \delta_i q^i,$$

we obtain:

$$\sum_{i=0}^{30t+5} \delta_i q^i = (1-q)q^{12} \cdot \frac{1-q^{24t-1}}{1-q} \sum_{i=0}^{36t-12} \alpha_i q^i$$
$$= (q^{12} - q^{24t+11}) \sum_{i=0}^{36t-12} \alpha_i q^i$$

(where, as usual, the last expression is also set to be zero after degree 30t+5). We want to show that  $\delta_{30t+5} > 0$ .

Notice that, by symmetry,  $\alpha_i = \alpha_{36t-12-i}$  for all i. Therefore,

$$\delta_{30t+5} = \alpha_{30t-7} - \alpha_{6t-6}$$
$$= \alpha_{(36t-12)-(30t-7)} - \alpha_{6t-6} = \alpha_{6t-5} - \alpha_{6t-6}.$$

Since  $\binom{12t-1}{3}_q$  strictly increases from degree 6t-6 to 6t-5 (see for instance the proof of Theorem 2.1 for k=3), the proof is complete.

**Remark 2.1.** It seems likely that, with considerably more effort (and very tedious computations), an approach involving the KOH theorem might also work for the case k = 6 of Conjecture 1.1. For larger values of k, a new idea will most likely be necessary.

Remark 2.2. While in this paper we focused on what we believe to be a proper level of generality for symmetric differences of q-binomial coefficients whose denominators differ by 2, as in Reiner-Stanton's original conjecture, in general it seems natural to continue to expect most symmetric differences of q-binomials to be nonnegative and unimodal. For the case where the two q-binomial coefficients have the same degree (i.e., no shift by powers of q is required), see the second author's [14], where it is conjectured that all such differences are nonnegative and unimodal. That conjecture is also open in general.

When it comes to problems of this nature, a significant source of difficulty — and of interest — is that we are still far from having a complete understanding of the growth of the coefficients of  $\binom{m}{k}_q$ , a basic question in this area of combinatorics. For recent progress in this direction, we refer the reader to some of our own work or that by Pak and Panova: [3, 4, 9, 13].

### Acknowledgements

This paper was written during a visiting professorship of the second author in Fall 2017, for which he warmly thanks the first author and the MIT Math Department. We wish to thank François Bergeron, William Keith, and Greta Panova for various comments. The second author was partially supported by a Simons Foundation grant (#274577).

#### References

- [1] B. Lindström: A partition of L(3,n) into saturated symmetric chains, European J. Combin. 1 (1980), 61–63. MR0576767
- [2] K. O'Hara: Unimodality of Gaussian coefficients: a constructive proof,
   J. Combin. Theory Ser. A 53 (1990), 29–52. MR1031611
- [3] I. Pak and G. Panova: Strict unimodality of q-binomial coefficients, C.
   R. Math. Acad. Sci. Paris 351 (2013), no. 11–12, 415–418. See also a corrigendum at arXiv:1306.5085v2. MR3090120
- [4] I. Pak and G. Panova: Bounds on certain classes of Kronecker and q-binomial coefficients, J. Combin. Theory Ser. A 147 (2017), 1–17. MR3589885
- [5] R. Proctor: Solution of two difficult combinatorial problems using linear algebra, Amer. Math. Monthly 89 (1982), no. 10, 721–734. MR0683197
- [6] V. Reiner and D. Stanton: Unimodality of differences of specialized Schur functions, J. Algebraic Combin. 7 (1998), 91–107. MR1606176
- [7] R.P. Stanley: Weyl groups, the hard Lefschetz theorem, and the Sperner property, SIAM J. Algebraic Discrete Methods 1 (1980), no. 2, 168–184. MR0578321
- [8] R.P. Stanley and F. Zanello: Unimodality of partitions with distinct parts inside Ferrers shapes, European J. Combin. 49 (2015), 194–202. MR3349534
- [9] R.P. Stanley and F. Zanello: Some asymptotic results on q-binomial coefficients, Ann. Comb. 20 (2016), no. 3, 623–634. MR3537923
- [10] D. Stanton: Open positivity conjectures for integer partitions, Trends Math. 2 (1999), 19–25. Available at www-users.math.umn.edu/~stant001/PAPERS/korea99.pdf.

- [11] J.J. Sylvester: Proof of the hitherto undemonstrated fundamental theorem of invariants, Collect. Math. Papers, Vol. 3, Chelsea, New York (1973), 117–126.
- [12] D. West: A symmetric chain decomposition of L(4, n), European J. Combin. 1 (1980), 379–383. See also Stanford University report STAN-CS-79-763 (1979), 15 pp. MR0595939
- [13] F. Zanello: Zeilberger's KOH theorem and the strict unimodality of q-binomial coefficients, Proc. Amer. Math. Soc. 143 (2015), no. 7, 2795–2799. MR3336605
- [14] F. Zanello: On Bergeron's positivity problem for q-binomial coefficients, Electron. J. Combin. 25 (2018), no. 2, Paper P2.17. MR3799435
- [15] D. Zeilberger: Kathy O'Hara's constructive proof of the unimodality of the Gaussian polynomials, Amer. Math. Monthly 96 (1989), no. 7, 590– 602. MR1008789

RICHARD P. STANLEY
DEPARTMENT OF MATHEMATICS
MIT
CAMBRIDGE, MA 02139
USA

E-mail address: rstan@math.mit.edu

FABRIZIO ZANELLO
DEPARTMENT OF MATHEMATICAL SCIENCES
MICHIGAN TECH
HOUGHTON, MI 49931
USA

E-mail address: zanello@mtu.edu

RECEIVED NOVEMBER 27, 2017