

# Many cliques in $H$ -free subgraphs of random graphs

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For two fixed graphs  $T$  and  $H$  let  $ex(G(n, p), T, H)$  be the random variable counting the maximum number of copies of  $T$  in an  $H$ -free subgraph of the random graph  $G(n, p)$ . We show that for the case  $T = K_m$  and  $\chi(H) > m$  the behavior of  $ex(G(n, p), K_m, H)$  depends strongly on the relation between  $p$  and  $m_2(H) = \max_{H' \subseteq H, |V(H')|' \geq 3} \left\{ \frac{e(H')-1}{v(H')-2} \right\}$ .

When  $m_2(H) > m_2(K_m)$  we prove that with high probability, depending on the value of  $p$ , either one can maintain almost all copies of  $K_m$ , or it is asymptotically best to take a  $\chi(H) - 1$  partite subgraph of  $G(n, p)$ . The transition between these two behaviors occurs at  $p = n^{-1/m_2(H)}$ . When  $m_2(H) < m_2(K_m)$  we show that the above cases still exist, however for  $\delta > 0$  small at  $p = n^{-1/m_2(H)+\delta}$  one can typically still keep most of the copies of  $K_m$  in an  $H$ -free subgraph of  $G(n, p)$ . Thus, the transition between the two behaviors in this case occurs at some  $p$  significantly bigger than  $n^{-1/m_2(H)}$ .

To show that the second case is not redundant we present a construction which may be of independent interest. For each  $k \geq 4$  we construct a family of  $k$ -chromatic graphs  $G(k, \epsilon_i)$  where  $m_2(G(k, \epsilon_i))$  tends to  $\frac{(k+1)(k-2)}{2(k-1)} < m_2(K_{k-1})$  as  $i$  tends to infinity. This is tight for all values of  $k$  as for any  $k$ -chromatic graph  $G$ ,  $m_2(G) > \frac{(k+1)(k-2)}{2(k-1)}$ .

KEYWORDS AND PHRASES: Turán type problems, random graphs, chromatic number.

## 1. Introduction

The well known Turán function, denoted  $ex(n, H)$ , counts the maximum number of edges in an  $H$ -free subgraph of the complete graph on  $n$  vertices

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(see for example [22] for a survey). A natural generalization of this question is to change the base graph and instead of taking a subgraph of the complete graph consider a subgraph of a random graph. More precisely let  $G(n, p)$  be the random graph on  $n$  vertices where each edge is chosen randomly and independently with probability  $p$ . Let  $ex(G(n, p), H)$  denote the random variable counting the maximum number of edges in an  $H$ -free subgraph of  $G(n, p)$ .

The behavior of  $ex(G(n, p), H)$  is studied in [8], and additional results appear in [18], [13], [11], [12] and more. Taking an extremal graph  $G$  which is  $H$ -free on  $n$  vertices with  $ex(n, H)$  edges and then keeping each edge of  $G$  randomly and independently with probability  $p$  shows that w.h.p., that is, with probability tending to 1 as  $n$  tends to infinity,

$$ex(G(n, p), H) \geq (1 + o(1))ex(n, H)p.$$

In [13] Kohayakawa, Łuczak and Rödl and in [11] Haxell, Kohayakawa and Łuczak conjectured that the opposite inequality is asymptotically valid for values of  $p$  for which each edge in  $G(n, p)$  takes part in a copy of  $H$ .

This conjecture was proved by Conlon and Gowers in [6], for the balanced case, and by Schacht in [20] for general graphs (see also [5] and [19]). Motivated by the condition that each edge is in a copy of  $H$ , define the *2-density* of a graph  $H$ , denoted by  $m_2(H)$ , to be

$$m_2(H) = \max_{H' \subseteq H, v(H') \geq 3} \left\{ \frac{e(H') - 1}{v(H') - 2} \right\}.$$

The Erdős-Simonovits-Stone theorem states that  $ex(n, H) = \binom{n}{2} \times \left(1 - \frac{1}{\chi(H)-1} + o(1)\right)$ , and so the theorem proved in the papers above, restated in simpler terms is the following

**Theorem 1.1** ([6], [20]). *For any fixed graph  $H$  the following holds w.h.p.*

$$ex(G(n, p), H) = \begin{cases} \left(1 - \frac{1}{\chi(H)-1} + o(1)\right) \binom{n}{2} p & \text{for } p \gg n^{-1/m_2(H)} \\ (1 + o(1)) \binom{n}{2} p & \text{for } p \ll n^{-1/m_2(H)} \end{cases}$$

where here and in what follows we write  $f(n) \gg g(n)$  when  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ .

Another generalization of the classical Turán question is to ask for the maximum number of copies of a graph  $T$  in an  $H$ -free subgraph of the complete graph on  $n$  vertices. This function, denoted  $ex(n, T, H)$ , is studied in [3] and in some special cases in the references therein. Combining

both generalizations we define the following. For two graphs  $T$  and  $H$ , let  $ex(G(n, p), T, H)$  be the random variable whose value is the maximum number of copies of  $T$  in an  $H$ -free subgraph of  $G(n, p)$ . Note that as before the expected value of  $ex(G(n, p), T, H)$  is at least  $ex(n, T, H)p^{e(T)}$  for any  $T$  and  $H$ .

In [3] it is shown that for any  $H$  with  $\chi(H) = k > m$ ,  $ex(n, K_m, H) = (1 + o(1))\binom{k-1}{m}\left(\frac{n}{k-1}\right)^m$ . This motivates the following question analogous to the one answered in Theorem 1.1: *For which values of  $p$  is it true that  $ex(G(n, p), K_m, H) = (1 + o(1))\binom{k-1}{m}\left(\frac{n}{k-1}\right)^m p^{\binom{m}{2}}$  w.h.p.?*

We show that the behavior of  $ex(G(n, p), K_m, H)$  depends strongly on the relation between  $m_2(K_m)$  and  $m_2(H)$ . When  $m_2(H) > m_2(K_m)$  there are two regions in which the random variable behaves differently. If  $p$  is much smaller than  $n^{-1/m_2(H)}$  then the  $H$ -free subgraph of  $G \sim G(n, p)$  with the maximum number of copies of  $K_m$  has w.h.p. most of the copies of  $K_m$  in  $G$  as only a negligible number of edges take part in a copy of  $H$ . When  $p$  is much bigger than  $n^{-1/m_2(H)}$  we can no longer keep most of the copies of  $K_m$  in an  $H$ -free subgraph and it is asymptotically best to take a  $(k - 1)$ -partite subgraph of  $G(n, p)$ . The last part also holds when  $m_2(H) = m_2(K_m)$ . Our first theorem is the following:

**Theorem 1.2.** *Let  $H$  be a fixed graph with  $\chi(H) = k > m$ . If  $p$  is such that  $\binom{n}{m}p^{\binom{m}{2}}$  tends to infinity as  $n$  tends to infinity then w.h.p.*

$$ex(G(n, p), K_m, H) = \begin{cases} (1 + o(1))\binom{k-1}{m}\left(\frac{n}{k-1}\right)^m p^{\binom{m}{2}} & \text{for } p \gg n^{-1/m_2(H)} \\ & \text{provided } m_2(H) \geq m_2(K_m) \\ (1 + o(1))\binom{n}{m}p^{\binom{m}{2}} & \text{for } p \ll n^{-1/m_2(H)} \\ & \text{provided } m_2(H) > m_2(K_m) \end{cases}$$

Theorem 1.2 is valid when  $m_2(H) > m_2(K_m)$ . What about graphs  $H$  with  $\chi(H) = k > m$  as before but  $m_2(H) < m_2(K_m)$ ? Do such graphs  $H$  exist at all?

A graph  $H$  is  $k$ -critical if  $\chi(H) = k$  and for any subgraph  $H' \subset H$ ,  $\chi(H') < k$ . In [15] Kostochka and Yancey show that if  $k \geq 4$  and  $H$  is  $k$ -critical, then

$$e(H) \geq \left\lceil \frac{(k + 1)(k - 2)v(H) - k(k - 3)}{2(k - 1)} \right\rceil.$$

This implies that for every  $k$ -critical  $n$ -vertex graph  $H$ ,

$$(1) \quad \frac{e(H) - 1}{v(H) - 2} \geq \frac{(k + 1)(k - 2)n - k(k - 3) - 2(k - 1)}{2(k - 1)(n - 2)} > \frac{(k + 1)(k - 2)}{2(k - 1)}.$$

Therefore for any  $H$  with  $\chi(H) = k$  one has

$$m_2(H) > \frac{(k + 1)(k - 2)}{2(k - 1)}.$$

This implies that Theorem 1.2 covers any graph  $H$  for which  $\chi(H) \geq m + 2$ , since  $m_2(K_m) = \frac{m+1}{2}$ .

When  $\chi(H) = m + 1$  the situation is more complicated. Before investigating the function  $ex(G(n, p), K_m, H)$  for these graphs we show that the case  $m_2(H) < m_2(K_m)$  and  $\chi(H) = m + 1$  is not redundant. To do so we prove the following theorem, which may be of independent interest. The theorem strengthens the result in [1] for  $m = 3$ , expands it to any  $m$ , and by [15] it is tight.

**Theorem 1.3.** *For every fixed  $k \geq 4$  and  $\epsilon > 0$  there exist infinitely many  $k$ -chromatic graphs  $G(k, \epsilon)$  with*

$$m_2(G(k, \epsilon)) \leq (1 + \epsilon) \frac{(k + 1)(k - 2)}{2(k - 1)}.$$

This theorem shows that there are infinitely many  $m + 1$  chromatic graphs  $H$  with  $m_2(H) < m_2(K_m)$ . For these graphs there are three regions of interest for the value of  $p$ :  $p$  much bigger than  $n^{-1/m_2(K_m)}$ ,  $p$  much smaller than  $n^{-1/m_2(H)}$ , and  $p$  in the middle range.

One might suspect that as before the function  $ex(G(n, p), K_m, H)$  will change its behavior at  $p = n^{-1/m_2(H)}$  but this is no longer the case. We prove that for some graphs  $H$  when  $p$  is slightly bigger than  $n^{-1/m_2(H)}$  we can still take w.h.p. an  $H$ -free subgraph of  $G(n, p)$  that contains most of the copies of  $K_m$ :

**Theorem 1.4.** *Let  $H$  be a graph such that  $\chi(H) = m + 1 \geq 4$ ,  $m_2(H) < c$  for some  $c < m_2(K_m)$  and there exists  $H_0 \subseteq H$  for which  $\frac{e(H_0) - 1}{v(H_0) - 2} = m_2(H)$  and  $v(H_0) > M(m, c)$  where  $M(m, c)$  is large enough. If  $p \leq n^{-\frac{1}{m_2(H)} + \delta}$  for  $\delta := \delta(m, c) > 0$  small enough and  $\binom{n}{m} p^{\binom{m}{2}}$  tends to infinity as  $n$  tends to infinity, then w.h.p.*

$$ex(G(n, p), K_m, H) = (1 + o(1)) \binom{n}{m} p^{\binom{m}{2}}.$$

On the other hand, we prove that for big enough values of  $p$  one cannot find an  $H$ -free subgraph of  $G(n, p)$  with  $(1 + o(1))\binom{n}{m}p^{\binom{m}{2}}$  copies of  $K_m$  and it is asymptotically best to take a  $k - 1$ -partite subgraph of  $G(n, p)$ .

As an example we show that the theorem above can be applied to the graphs constructed in Theorem 1.3.

**Lemma 1.5.** *For every two integers  $k$  and  $N$  there is  $\epsilon > 0$  small enough such that  $v(G_0(k, \epsilon)) > N$ , where  $G_0(k, \epsilon)$  is a subgraph of  $G(k, \epsilon)$  for which  $\frac{\epsilon(G_0(k, \epsilon)) - 1}{v(G_0(k, \epsilon)) - 2} = m_2(G(k, \epsilon))$ .*

The rest of the paper is organized as follows. In Section 2 we establish some general results for  $G(n, p)$ . In Section 3 we prove Theorem 1.2. In Section 4 we describe the construction of sparse graphs with a given chromatic number and prove Theorem 1.3. In Section 5 we prove Theorem 1.4 and Lemma 1.5. We finish with some concluding remarks and open problems in Section 6.

## 2. Auxiliary results

We need the following well known Chernoff bounds on the upper and lower tails of the binomial distribution (see e.g. [4], [17])

**Lemma 2.1.** *Let  $X \sim \text{Bin}(n, p)$  then*

1.  $\mathbb{P}(X < (1 - a)\mathbb{E}X) < e^{\frac{-a^2\mathbb{E}X}{2}}$  for  $0 < a < 1$
2.  $\mathbb{P}(X > (1 + a)\mathbb{E}X) < e^{\frac{-a^2\mathbb{E}X}{3}}$  for  $0 < a < 1$
3.  $\mathbb{P}(X > (1 + a)\mathbb{E}X) < e^{\frac{-a\mathbb{E}X}{3}}$  for  $a > 1$

The following known result is used a few times

**Theorem 2.2** (see, e.g., Theorem 4.4.5 in [4]). *Let  $H$  be a fixed graph. For every subgraph  $H'$  of  $H$  (including  $H$  itself) let  $X_{H'}$  denote the number of copies of  $H'$  in  $G(n, p)$ . Assume  $p$  is such that  $\mathbb{E}[X_{H'}] \rightarrow \infty$  for every  $H' \subseteq H$ . Then w.h.p.*

$$X_H = (1 + o(1))\mathbb{E}[X_H].$$

In addition we prove technical lemmas to be used in Sections 3 and 5. From here on for two graphs  $G$  and  $H$  we denote by  $\mathcal{N}(G, H)$  the number of copies of  $H$  in  $G$ .

**Lemma 2.3.** *Let  $G \sim G(n, p)$  with  $p \gg n^{-1/m_2(K_m)}$  then w.h.p.*

1. Every set of  $o(pn^2)$  edges takes part in  $o(\mathcal{N}(G, K_m))$  copies of  $K_m$ ,

2. For every  $\epsilon > 0$  small enough every set of  $n^{-\epsilon}pn^2$  edges takes part in at most  $n^{-\epsilon/3}\mathcal{N}(G, K_m)$  copies of  $K_m$ .

*Proof.* Let  $G \sim G(n, p)$  and let  $X$  be the random variable counting the number of copies of  $K_m$  on a randomly chosen edge of  $G(n, p)$ . First we show that  $\mathbb{E}[X^2] \leq O(\mathbb{E}^2[X])$ . Given an edge let  $\{A_1, \dots, A_l\}$  be all the possible copies of  $K_m$  using this edge in  $K_n$  and let  $|A_i \cap A_j|$  be the number of vertices the copies share. Let  $X_{A_i}$  be the indicator of the event  $A_i \subset G$ . Then  $X = \sum X_{A_i}$  and we get that

$$\begin{aligned} \mathbb{E}^2[X] &= \left(\sum \mathbb{E}[X_{A_i}]\right)^2 = \Theta([n^{m-2}p^{\binom{m}{2}}]^{-1})^2 \\ \mathbb{E}[X^2] &= \mathbb{E}\left[\sum_{k=2}^m \sum_{|A_i \cap A_j|=k} X_{A_i} X_{A_j}\right] \\ &\leq \sum_{k=2}^m n^{2m-k-2} p^{\binom{m}{2} + \binom{m-k}{2} + (m-k)k-1} \end{aligned}$$

Put  $S_k = n^{2m-k-2}p^{\binom{m}{2} + \binom{m-k}{2} + (m-k)k-1}$  and note that  $S_2 = \Theta(\mathbb{E}^2[X])$ . Furthermore, for any  $2 < k \leq m$  the following holds  $S_2/S_k = n^{k-2}p^{\binom{k}{2}-1} \xrightarrow{n \rightarrow \infty} \infty$  as  $p \gg n^{-1/m_2(K_m)} \geq n^{-1/m_2(K_k)}$  and from this

$$(2) \quad \mathbb{E}[X^2] \leq O(\mathbb{E}^2[X]).$$

(Note that in fact  $\mathbb{E}[X^2] = (1 + o(1))\mathbb{E}^2[X]$  but the above estimate suffices for our purpose here.)

Let  $M = \mathcal{N}(G, K_m)$ . To prove the first part assume towards a contradiction that there is a set of edges,  $E_0 \subseteq E(G)$ , which is of size  $o(n^2p)$  and that there exists  $c > 0$  such that there are  $cM$  copies of  $K_m$  containing at least one edge from it.

On one hand,  $\mathbb{E}^2[X] = [M\binom{m}{2}\frac{1}{e(G)}]^2$ . On the other hand by Jensen's inequality

$$\begin{aligned} \mathbb{E}[X^2] &\geq \mathbb{E}[X^2 \mid e \in E_0]\mathbb{P}[e \in E_0] \geq \left(\frac{cM}{|E_0|}\right)^2 \cdot \frac{|E_0|}{e(G)} = \\ &= \left(\frac{M\binom{m}{2}}{e(G)}\right)^2 \frac{c^2}{\binom{m}{2}^2} \frac{e(G)}{|E_0|} = \omega(\mathbb{E}^2[X]) \end{aligned}$$

where the last equality holds as  $|E_0| = o(e(G))$ . This is a contradiction to (2) and so the first part of the Lemma holds.

For the second part assume there is a set  $E_0$  such that  $|E_0| = n^{-\epsilon}pn^2$  and the set of copies of  $K_m$  using edges of  $E_0$  is of size at least  $n^{-\epsilon/3}M$ . Note that w.h.p.  $e(G) \geq \frac{1}{4}n^2p$ . Repeating the calculation above we get that

$$\begin{aligned} \mathbb{E}[X^2] &\geq \mathbb{E}[X^2 \mid e \in E_0]\mathbb{P}[e \in E_0] \\ &= \left(\frac{n^{-\epsilon/3}M}{n^{-\epsilon}e(G)}\right)^2 \cdot \frac{n^{-\epsilon}}{4} = \frac{M^2}{e(G)^2} \frac{n^{\epsilon/3}}{4} = \omega(\mathbb{E}^2[X]) \end{aligned}$$

which is again a contradiction, and thus the second part of the lemma holds. □

**Lemma 2.4.** *Let  $G \sim G(n, p)$  for  $p = n^{-a}$  with  $-a < -1/m_2(K_m)$ . Then w.h.p. the number of copies of  $K_m$  sharing an edge with other copies of  $K_m$  is  $o(n^m p^{\binom{m}{2}})$ .*

*Proof.* First note that  $n^{m-2}p^{\binom{m}{2}-1} = (np^{(m+1)/2})^{m-2} = n^{-\alpha(m-2)}$  for some  $\alpha > 0$ . The expected number of pairs of copies of  $K_m$  sharing  $a$  vertices, where  $m - 1 \geq a \geq 2$  is at most

$$\begin{aligned} n^{2m-a}p^{\binom{m}{2}+(\binom{m-a}{2}+(m-a)a)} &= n^m p^{\binom{m}{2}} \cdot (np^{\frac{m+a-1}{2}})^{(m-a)} \\ &< n^m p^{\binom{m}{2}} np^{\frac{m+1}{2}} \\ &= n^m p^{\binom{m}{2}} n^{-\alpha}. \end{aligned}$$

Here we used the fact that  $np^{\frac{m+1}{2}} < 1$  and  $p < 1$ .

Using Markov's inequality we get that the probability that  $G$  has more than  $2n^m p^{\binom{m}{2}} n^{-\alpha/2}$  copies of  $K_m$  sharing an edge is no more than  $n^{-\alpha/2}$ . □

### 3. Proof of Theorem 1.2

To prove Theorem 1.2, we prove three lemmas for three ranges of values of  $p$  using different approaches. Lemmas 3.1 and 3.2 are stated in a more general form as they are also used in Section 5. An explanation on how the lemmas prove Theorem 1.2 follows after the statements.

**Lemma 3.1.** *Let  $H$  be a fixed graph with  $\chi(H) = k > m$  and let  $p \gg \max\{n^{-\frac{1}{m_2(H)}}, n^{-\frac{1}{m_2(K_m)}}\}$ . Then*

$$ex(G(n, p), K_m, H) = (1 + o(1)) \binom{k-1}{m} \left(\frac{n}{k-1}\right)^m p^{\binom{m}{2}}.$$

**Lemma 3.2.** *Let  $H$  be a fixed graph with  $\chi(H) = k > m$ , let  $p < \min\{n^{-\frac{1}{m_2(H)}-\delta}, n^{-\frac{1}{m_2(K_m)}-\delta}\}$  for some fixed  $\delta > 0$  and assume  $n^m p^{\binom{m}{2}}$  tends to infinity as  $n$  tends to infinity. Then*

$$ex(G(n, p), K_m, H) = (1 + o(1)) \binom{n}{m} p^{\binom{m}{2}}.$$

**Lemma 3.3.** *Let  $H$  be a fixed graph with  $\chi(H) = k > m$  and let  $n^{-1/m_2(K_m)-\epsilon} < p \ll n^{-1/m_2(H)}$  where  $\epsilon > 0$  is sufficiently small. Then*

$$ex(G(n, p), K_m, H) = (1 + o(1)) \binom{n}{m} p^{\binom{m}{2}}.$$

Lemma 3.1 takes care of the first part of Theorem 1.2. If  $m_2(H) \geq m_2(K_m)$  then  $n^{-1/m_2(H)} \geq n^{-1/m_2(K_m)}$  and this lemma covers values of  $p$  for which  $p \gg n^{-1/m_2(H)}$ .

For the second part of Theorem 1.2 we have Lemmas 3.2 and 3.3. If  $m_2(H) > m_2(K_m)$  Lemma 3.2 covers values of  $p$  for which  $p < n^{-1/m_2(K_m)-\delta}$  and Lemma 3.3 covers the range  $n^{-1/m_2(K_m)-\epsilon} < p \ll n^{-1/m_2(H)}$ . Choosing  $\epsilon > \delta$  makes sure we do not miss values of  $p$ .

We mostly focus on the proof of Lemma 3.1, as the other two are simpler. Lemmas 3.1 and 3.2 are also relevant for the case  $m_2(H) < m_2(K_m)$ , and are used again in Section 5. For the proof of Lemma 3.1 we need several tools.

**Lemma 3.4.** *Let  $G$  be a  $k$ -partite complete graph with each side of size  $n$ , let  $p \in [0, 1]$  and let  $G'$  be a random subgraph of  $G$  where each edge is chosen randomly and independently with probability  $p$ . If  $n^m p^{\binom{m}{2}}$  goes to infinity together with  $n$  then the number of copies of  $K_m$  for  $m < k$  with each vertex in a different  $V_i$  is w.h.p.*

$$(1 + o(1)) \binom{k}{m} n^m p^{\binom{m}{2}}.$$

To prove the lemma, we use the following concentration result:

**Lemma 3.5** (see, e.g., Corollary 4.3.5 in [4]). *Let  $X_1, X_2, \dots, X_r$  be indicator random variables for events  $A_i$ , and let  $X = \sum_{i=1}^r X_i$ . Furthermore assume  $X_1, \dots, X_r$  are symmetric (i.e. for every  $i \neq j$  there is a measure preserving mapping of the probability space that sends event  $A_i$  to  $A_j$ ). Write  $i \sim j$  for  $i \neq j$  if the events  $A_i$  and  $A_j$  are not independent. Set  $\Delta^* = \sum_{i \sim j} \mathbb{P}(A_j | A_i)$  for some fixed  $i$ . If  $\mathbb{E}[X] \rightarrow \infty$  and  $\Delta^* = o(\mathbb{E}[X])$  then  $X = (1 + o(1))\mathbb{E}(X)$ .*



*Proof of lemma 3.4.* The expected number of copies of  $K_m$  in  $G'$  is  $(1 + o(1))\binom{k}{m}n^m p^{\binom{m}{2}}$ . So we only need to show that it is indeed concentrated around its expectation. To do so we use Lemma 3.5.

Let  $A_i$  be the event that a specific copy of  $K_m$  appears in  $G'$ , and  $X_i$  be its indicator function. Clearly the number of copies of  $K_m$  in  $G'$  is  $X = \sum X_i$ . In this case  $i \sim j$  if the corresponding copies of  $K_m$  share edges. We write  $i \cap j = a$  if the two copies share exactly  $a$  vertices. It is clear that the variables  $X_i$  are symmetric. By the definition in the lemma,

$$\begin{aligned} \Delta^* &= \sum_{i \sim j} \mathbb{P}(A_j | A_i) \\ &= \sum_{2 \leq a \leq m-1} \sum_{i \cap j = a} \mathbb{P}(A_j | A_i) \\ &\leq \sum_{2 \leq a \leq m-1} \binom{m}{a} \binom{k-a}{m-a} n^{m-a} p^{\binom{m-a}{2} + (m-a)a} \\ &= o\left(\binom{k}{m} n^m p^{\binom{m}{2}}\right). \end{aligned}$$

The last inequality holds as  $n^m p^{\binom{m}{2}} = n^{m-a} p^{\binom{m-a}{2} + (m-a)a} \cdot n^a p^{\binom{a}{2}}$  and  $n^a p^{\binom{a}{2}} = (np^{\frac{a-1}{2}})^a$  tends to infinity as  $n$  tends to infinity for  $a < m$ .  $\square$

To prove the upper bound in Lemma 3.1 we use a standard technique for estimating the number of copies of a certain graph inside another. This is done by applying Szemerédi’s regularity lemma and then a relevant counting lemma. The regularity lemma allows us to find an equipartition of any graph into a constant number of sets  $\{V_i\}$ , such that most of the pairs of sets  $\{V_i, V_j\}$  are regular (i.e. the densities between large subsets of sets  $V_i$  and  $V_j$  do not deviate by more than  $\epsilon$  from the density between  $V_i$  and  $V_j$ ).

In a sparse graph (such as a dense subgraph of a sparse random graph) we need a stronger definition of regularity than the one used in dense graphs. Let  $U$  and  $V$  be two disjoint subsets of  $V(G)$ . We say that they form an  $(\epsilon, p)$ -regular pair if for any  $U' \subseteq U, V' \subseteq V$  such that  $|U'| \geq \epsilon|U|$  and  $|V'| \geq \epsilon|V|$ :

$$|d(U', V') - d(U, V)| \leq \epsilon p,$$

where  $d(X, Y) = \frac{|E(X, Y)|}{|X||Y|}$  is the edge density between two disjoint sets  $X, Y \subseteq V(G)$ .

Furthermore, an  $(\epsilon, p)$ -partition of the vertex set of a graph  $G$  is an equipartition of  $V(G)$  into  $t$  pairwise disjoint sets  $V(G) = V_1 \cup \dots \cup V_t$  in which all but at most  $\epsilon t^2$  pairs of sets are  $(\epsilon, p)$ -regular. For a dense graph, Szemerédi’s regularity lemma assures us that we can always find a regular partition of the graph into at most  $t(\epsilon)$  parts, but this is not enough for sparse graphs. For the case of subgraphs of random graphs, one can use a variation by Kohayakawa and Rödl [14] (see also [21], [2] and [16] for some related results).

In this regularity lemma we add an extra condition. We say that a graph  $G$  on  $n$  vertices is  $(\eta, p, D)$ -upper-uniform if for all disjoint sets  $U_1, U_2 \subset V(G)$  such that  $|U_i| > \eta n$  one has  $d(U_1, U_2) \leq Dp$ . Given this definition we can now state the needed lemma:

**Theorem 3.6** ([14]). *For every  $\epsilon > 0$ ,  $t_0 > 0$  and  $D > 0$ , there are  $\eta, T$  and  $N_0$  such that for any  $p \in [0, 1]$ , each  $(\eta, p, D)$ -upper-uniform graph on  $n > N_0$  vertices has an  $(\epsilon, p)$ -regular partition into  $t \in [t_0, T]$  parts.*

In order to estimate the number of copies of a certain graph after finding a regular partition one needs counting lemmas. We use a proposition from [7] to show that a certain cluster graph is  $H$ -free, and to give a direct estimate on the number of copies of  $K_m$ . To state the proposition we need to introduce some notation. For a graph  $H$  on  $k$  vertices,  $\{1, \dots, k\}$ , and for a sequence of integers  $\mathbf{m} = (m_{ij})_{ij \in E(H)}$ , we denote by  $\mathcal{G}(H, n', \mathbf{m}, \epsilon, p)$  the following family of graphs. The vertex set of each graph in the family is a disjoint union of sets  $V_1, \dots, V_k$  such that  $|V_i| = n'$  for all  $i$ . As for the edges, for each  $ij \in E(H)$  there is an  $(\epsilon, p)$ -regular bipartite graph with  $m_{ij}$  edges between the sets  $V_i$  and  $V_j$ , and these are all the edges in the graph. For any  $G \in \mathcal{G}(H, n', \mathbf{m}, \epsilon, p)$  denote by  $G(H)$  the number of copies of  $H$  in  $G$  in which every vertex  $i$  is in the set  $V_i$ .

**Proposition 3.7** ([7]). *For every graph  $H$  and every  $\delta, d > 0$ , there exists  $\xi > 0$  with the following property. For every  $\eta > 0$ , there is a  $C > 0$  such that if  $p \geq Cn^{-1/m_2(H)}$  then w.h.p. the following holds in  $G(n, p)$ .*

1. For every  $n' \geq \eta n$ ,  $\mathbf{m}$  with  $m_{ij} \geq dp(n')^2$  for all  $ij \in H$  and every subgraph  $G$  of  $G(n, p)$  in  $\mathcal{G}(H, n', \mathbf{m}, \epsilon, p)$ ,

$$(3) \quad G(H) \geq \xi \left( \prod_{ij \in E(H)} \frac{m_{ij}}{(n')^2} \right) (n')^{v(H)}.$$

2. Moreover, if  $H$  is strictly balanced, i.e. for every proper subgraph  $H'$  of  $H$  one has  $m_2(H) > m_2(H')$ , then

$$(4) \quad G(H) = (1 \pm \delta) \left( \prod_{ij \in E(H)} \frac{m_{ij}}{(n')^2} \right) (n')^{v(H)}.$$

Note that the first part tells us that if  $G$  is a subgraph of  $G(n, p)$  in  $\mathcal{G}(H, n', \mathbf{m}, \epsilon, p)$ , then it contains at least one copy of  $H$  with vertex  $i$  in  $V_i$ .

We can now proceed to the proof of Lemma 3.1, starting with a sketch of the argument. Note that the same steps can be applied to determine  $ex(G(n, p), T, H)$  for graphs  $T$  and  $H$  for which  $ex(n, T, H) = \Theta(n^{v(T)})$  and  $p \gg \max\{n^{-1/m_2(H)}, n^{-1/m_2(T)}\}$ .

Let  $G$  be an  $H$ -free subgraph of  $G(n, p)$  maximizing the number of copies of  $K_m$ . First apply the sparse regularity lemma (Theorem 3.6) to  $G$  and observe using Chernoff and properties of the regular partition that there are only a few edges inside clusters and between sparse or irregular pairs. By lemma 2.3 these edges do not contribute significantly to the count of  $K_m$ . We can thus consider only graphs  $G$  which do not have such edges.

By Proposition 3.7 the cluster graph must be  $H$ -free and taking  $G$  to be maximal we can assume all pairs in the cluster graph have the maximal possible density. Applying Proposition 3.7 again to count the number of copies of  $K_m$  reduces the problem to the dense case solved in [3].

We continue with the full details of the proof.

*Proof of Lemma 3.1.* A  $(k - 1)$ -partite graph with sides of size  $\frac{n}{k-1}$  each is an  $n$ -vertex  $H$ -free graph containing  $(1 + o(1))\binom{k-1}{m}(\frac{n}{k-1})^m$  copies of  $K_m$ . We can get a random subgraph of it by keeping each edge with probability  $p$ , independently of the other edges. Then by Lemma 3.4 the number of copies of  $K_m$  in it is  $(1 + o(1))\binom{k-1}{m}(\frac{n}{k-1})^m p^{\binom{m}{2}}$  w.h.p., proving the required lower bound on  $ex(G(n, p), K_m, H)$ .

For the upper bound we need to show that no  $H$ -free subgraph of  $G(n, p)$  has more than  $(1 + o(1))\binom{k-1}{m}(\frac{n}{k-1})^m p^{\binom{m}{2}}$  copies of  $K_m$ . Let  $G$  be an  $H$ -free subgraph of  $G(n, p)$  with the maximum number copies of  $K_m$ . To use Theorem 3.6, we need to show that  $G$  is  $(\eta, p, D)$ -upper-uniform for some constant  $D$ , say  $D = 2$ , and  $\eta > 0$ . Indeed, taking any two disjoint subsets  $V_1, V_2$  of size  $\geq \eta n$ , we get that the number of edges between them is bounded by the number of edges between them in  $G(n, p)$ , which is distributed like  $Bin(|V_1| \cdot |V_2|, p)$ . Applying Part 3 of Lemma 2.1 and the union bound gives us that w.h.p. the number of edges between any two such sets is  $\leq 2|V_1| \cdot |V_2|p$

and so  $d(V_1, V_2) < 2p$  as needed. Thus by Theorem 3.6,  $G$  admits an  $(\epsilon, p)$ -regular partition into  $t$  parts  $V(G) = V_1 \cup \dots \cup V_t$ .

Define the *cluster graph of  $G$*  to be the graph whose vertices are the sets  $V_i$  of the partition and there is an edge between two sets if the density of the bipartite graph induced by them is at least  $\delta p$  for some fixed small  $\delta > 0$ , and they form an  $(\epsilon, p)$ -regular pair.

First we show that w.h.p. the cluster graph is  $H$ -free. Assume that there is a copy of  $H$  in the cluster graph, induced by the sets  $V_1, \dots, V_{v(H)}$ . Consider these sets in the original graph  $G$ . To apply Part 1 of Proposition 3.7 first note that indeed  $p \geq Cn^{-1/m_2(H)}$ . Furthermore if  $ij \in E(H)$  then by the definition of the cluster graph  $V_i$  and  $V_j$  form an  $(\epsilon, p)$ -regular pair and there are at least  $\delta p(\frac{n}{t})^2$  edges between them. Thus the graph spanned by the edges between  $V_1, \dots, V_{v(H)}$  in  $G$  is in  $\mathcal{G}(H, \frac{n}{t}, \mathbf{m}, \epsilon, p)$  where  $m_{ij} \geq \delta p(\frac{n}{t})^2$ , and so w.h.p. it contains a copy of  $H$  with vertex  $i$  in the set  $V_i$ . This contradicts the fact that  $G$  was  $H$ -free to start with.

If the cluster graph is indeed  $H$ -free, as proven in [3], Proposition 2.2, since  $\chi(H) > m$  then  $ex(t, K_m, H) = (1 + o(1))\binom{k-1}{m}(\frac{t}{k-1})^m$ . This gives a bound on the number of copies of  $K_m$  in the cluster graph. For sets  $V_1, \dots, V_m$  that span a copy of  $K_m$  in the cluster graph we would like to bound the number of copies of  $K_m$  with a vertex in each set in the original graph  $G$ .

To do this, we use Part 2 of Proposition 3.7. Note that we cannot use Lemma 3.4 as we need it for every subgraph of  $G(n, p)$  and not only for a specific one. Part 2 can be applied only to balanced graphs, and indeed any subgraph of  $K_m$  is  $K_{m'}$  for some  $m' < m$  and  $m_2(K_{m'}) = \frac{m'+1}{2} < \frac{m+1}{2} = m_2(K_m)$ . As we would like to have an upper bound on the number of copies of  $K_m$  with a vertex in each set, we can assume that the bipartite graph between  $V_i$  and  $V_j$  has all of the edges from  $G(n, p)$ .

By Parts 1 and 2 of Lemma 2.1, w.h.p. for any  $V_i$  and  $V_j$  of size  $\frac{n}{t}$ ,  $|E(V_i, V_j)| = (1 + o(1))p(\frac{n}{t})^2$ . Thus the graph induced by the sets  $V_1, \dots, V_m$  in  $G(n, p)$  is in  $\mathcal{G}(K_m, \frac{n}{t}, \mathbf{m}, \epsilon, p)$  where  $m_{ij} = (1 + o(1))p(\frac{n}{t})^2$  for any pair  $ij$ . From this the number of copies of  $K_m$  in  $G$  with a vertex in every  $V_i$  is at most  $(1 + o(1))p^{\binom{m}{2}}(\frac{n}{t})^m$ . Plugging this into the bound on the number of copies of  $K_m$  in the cluster graph implies that the number of copies of  $K_m$  coming from copies of  $K_m$  in the cluster is w.h.p. at most

$$(1 + o(1))\binom{k-1}{m}\left(\frac{t}{k-1}\right)^m \cdot p^{\binom{m}{2}}\left(\frac{n}{t}\right)^m = (1 + o(1))\binom{k-1}{m}\left(\frac{n}{k-1}\right)^m \cdot p^{\binom{m}{2}}.$$

It is left to show that the number of copies of  $K_m$  coming from other parts of the graph is negligible.

To do this we show that the number of edges inside clusters and between non-dense or irregular pairs is negligible. By Chernoff (Part 3 of Lemma 2.1) the number of edges inside a cluster is at most  $2p\binom{n/t}{2}t \leq 2p\frac{n^2}{t}$ . The number of irregular pairs is at most  $\epsilon t^2$ , and again by Chernoff there are no more than  $2p\left(\frac{n}{t}\right)^2 \cdot \epsilon t^2 = 2\epsilon pn^2$  edges between these pairs. Finally, the number of edges between non-dense pairs is at most  $\delta p\left(\frac{n}{t}\right)^2 t^2 = \delta pn^2$ .

As  $\epsilon$ ,  $\delta$  and  $\frac{1}{t}$  can be chosen as small as needed we get that the number of such edges is  $o(n^2p)$ . Thus we may apply Lemma 2.3 and conclude that the number of copies of  $K_m$  containing at least one of these edges is  $o(n^m p\binom{m}{2})$ .

Therefore, for any  $H$ -free  $G \subset G(n, p)$  the number of copies of  $K_m$  in  $G$  is at most  $(1 + o(1))\binom{k-1}{m}\left(\frac{n}{k-1}\right)^m p\binom{m}{2}$  as needed.  $\square$

The proofs of the other two lemmas are a bit simpler.

*Proof of Lemma 3.2.* As  $p < n^{-1/m_2(K_m)-\delta}$  we can first delete all copies of  $K_m$  sharing an edge with other copies and by Lemma 2.4 we deleted w.h.p. only  $o(n^m p\binom{m}{2})$  copies of  $K_m$ . Let  $H'$  be a subgraph of  $H$  for which  $\frac{e(H')-1}{v(H')-1} = m_2(H)$ . Let  $e$  be an edge of  $H'$  and define  $\{H_i\}$  to be the family of all graphs obtained by gluing a copy of  $K_m$  to the edge  $e$  in  $H'$  and allowing any further intersection. Note that the number of graphs in  $\{H_i\}$  depends only on  $H'$  and  $m$ . One can make  $G$  into an  $H$ -free graph by deleting the edge  $e$  from every copy of a graph from  $\{H_i\}$  and every edge that does not take part in a copy of  $K_m$ . As we may assume every edge takes part in at most one copy of  $K_m$  it is enough to show that the number of copies of graphs from  $\{H_i\}$  is  $o(n^m p\binom{m}{2})$ .

For a fixed graph  $J$ , let  $X_J$  be the random variable counting the number of copies of  $J$  in  $G \sim G(n, p)$ . With this notation,

$$\begin{aligned} \mathbb{E}(X_{K_m}) &= \Theta(n^m p\binom{m}{2}) = \Theta(n^2 p (np^{m_2(K_m)})^{m-2}), \\ \mathbb{E}(X_{H_i}) &= \Theta(n^2 p (np^{m_2(H_i)})^{v(H_i)-2}). \end{aligned}$$

As  $m_2(H_i) \geq m_2(K_m)$  and  $p < n^{-1/m_2(K_m)}$ , we get  $np^{m_2(H_i)} \leq np^{m_2(K_m)} \ll 1$ . Furthermore as  $v(H_i) > m$  (otherwise  $H'$  would be a subgraph of  $K_m$ ) we get that  $(np^{m_2(H_i)})^{v(H_i)-2} = o((np^{m_2(K_m)})^{m-2})$  and thus  $\mathbb{E}(X_{H_i}) = o(\mathbb{E}(X_{K_m}))$ .

If  $p$  is such that the expected number of copies of  $K_m$ , the graphs  $\{H_i\}$  and any of their subgraphs goes to infinity as  $n$  goes to infinity we can apply Theorem 2.2 and get that  $X_{K_m} = (1 + o(1))\binom{n}{m}p\binom{m}{2}$  and the number of copies of  $H_i$  is w.h.p.  $(1 + o(1))\mathbb{E}(X_{H_i}) = o(\mathbb{E}(X_{K_m}))$ . Thus if we remove

all edges playing the part of  $e$  in any  $H_i$  the number of copies of  $K_m$  will still be  $(1 + o(1))\binom{n}{m}p^{\binom{m}{2}}$ .

Finally, if the number of copies of some subgraph of  $H_i$  does not tend to infinity as  $n$  tends to infinity we can remove all of the edges taking part in it, and the number of edges removed is  $o(\binom{n}{m}p^{\binom{m}{2}})$ . As each edge takes part in a single copy of  $K_m$ , we still get that the number of copies of  $K_m$  in this graph is  $(1 + o(1))\binom{n}{m}p^{\binom{m}{2}}$ , as needed.  $\square$

*Proof of Lemma 3.3.* Let  $n^{-1/m_2(K_m)-\epsilon} < p \ll n^{-1/m_2(H)}$  and  $G \sim G(n, p)$ . Let  $H'$  be a subgraph of  $H$  for which  $\frac{e(H')-1}{v(H')-2} = m_2(H)$ . We show that if  $G$  is made  $H$ -free by removing a single edge from every copy of  $H'$  then the number of copies of  $K_m$  deleted is  $o(\binom{n}{m}p^{\binom{m}{2}})$ . Theorem 2.2 assures us that the number of copies of  $K_m$  in  $G$  is  $(1 + o(1))\binom{n}{m}p^{\binom{m}{2}}$  and so it stays essentially the same after removing all copies of  $H'$ .

The expected number of copies of  $H'$  in  $G$  is

$$\begin{aligned} \mathbb{E}[\mathcal{N}(G, H')] &= \Theta(n^2 p (np^{m_2(H')})^{v(H')-2}) = o(n^2 p). \end{aligned}$$

Thus by Markov's inequality w.h.p.  $\mathcal{N}(G, H') = o(n^2 p)$ . If  $p \gg n^{-1/m_2(K_m)}$  then by Lemma 2.3 deleting all these edges removes only  $o(n^m p^{\binom{m}{2}})$  copies of  $K_m$ .

As for smaller values of  $p$ , namely  $p \leq O(n^{-1/m_2(K_m)})$ , it follows that

$$\begin{aligned} \mathbb{E}[\mathcal{N}(G, H')] &= \Theta(n^2 p (np^{m_2(H')})^{v(H')-2}) \leq n^{-\beta} n^2 p \end{aligned}$$

for some  $\beta > 0$ . By Markov's inequality w.h.p. the number of edges taking part in a copy of  $H'$  in  $G$  is at most  $n^{-\alpha} n^2 p$  for, say,  $\alpha = \frac{\beta}{2}$ .

Since  $p \leq O(n^{-1/m_2(K_m)})$  Lemma 2.3 cannot be applied directly. To take care of this, define  $q = n^{2\epsilon} p \gg n^{-1/m_2(K_m)}$ . Lemma 2.3 applied to  $G(n, q)$  implies that a set of at most  $n^{-\alpha} q n^2$  edges takes part in no more than  $n^{-\delta} n^m q^{\binom{m}{2}}$  copies of  $K_m$ , where  $\delta = \delta(\alpha) > 0$ .

The number of copies of  $K_m$  containing a member of a set of edges in  $G(n, p)$  is monotone in  $p$  and in the size of the set. Thus when deleting a single edge from each copy of  $H'$  in  $G(n, p)$  the number of copies of  $K_m$  removed is w.h.p. at most  $n^{-\delta} n^m q^{\binom{m}{2}} = n^{-\delta+2\binom{m}{2}\epsilon} n^m p^{\binom{m}{2}}$ . Choosing  $\epsilon$  small enough implies that the number of copies of  $K_m$  removed is  $o(n^m p^{\binom{m}{2}})$  as needed.  $\square$

### 4. Construction of graphs with small 2-density

In the proof of Theorem 1.3 we construct a family of graphs  $\{G(k, \epsilon)\}$  that are  $k$ -critical and  $m_2(G(k, \epsilon)) = (1 + \epsilon)M_k$  where  $M_k$  is the smallest possible value of  $m_2$  for a  $k$ -chromatic graph. The following notation will be useful. For a graph  $G$  and  $A \subseteq V(G)$  such that  $|A| \geq 3$ , let  $d_G^{(2)}(A) = \frac{\epsilon(G[A]) - 1}{|A| - 2}$ . By definition,  $m_2(G) = \min_{A \subseteq V(G): |A| \geq 3} d_G^{(2)}(A)$ .

*Proof of Theorem 1.3.* We construct the graphs  $G(k, \epsilon)$  in three steps. In Step 1 we construct so called  $(k, t)$ -towers and derive some useful properties of them. In Step 2 we make from  $(k, t)$ -towers more complicated  $(k, t)$ -complexes and supercomplexes, and in Step 3 we replace each edge in a copy of  $K_k$  with a supercomplex and prove the needed.

**Step 1: towers** Let  $t = t(\epsilon) = \lceil k^3/\epsilon \rceil$ . The  $(k, t)$ -tower with base  $\{v_{0,0}v_{0,1}\}$  is the graph  $T_{k,t}$  defined as follows. The vertex set of  $T_{k,t}$  is  $V_0 \cup V_1 \cup \dots \cup V_t$ , where  $V_0 = \{v_{0,0}, v_{0,1}\}$  and for  $1 \leq i \leq t$ ,  $V_i = \{v_{i,0}, v_{i,1}, \dots, v_{i,k-2}\}$ . For  $i = 1, \dots, t$ ,  $T_{k,t}[V_i]$  induces  $K_{k-1} - e$  with the missing edge  $v_{i,0}v_{i,1}$ . Also for  $i = 1, \dots, t$ , vertex  $v_{i-1,0}$  is adjacent to  $v_{i,j}$  for all  $0 \leq j \leq (k-2)/2$  and vertex  $v_{i-1,1}$  is adjacent to  $v_{i,j}$  for all  $(k-1)/2 \leq j \leq k-2$ . There are no other edges.

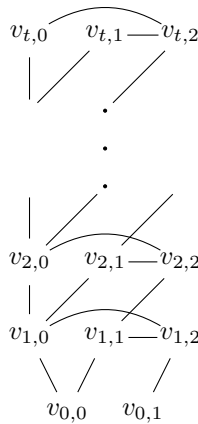


Figure 1:  $T_{4,t}$ .

By construction,  $|E(T_{k,t})| = t \left( \binom{k-1}{2} - 1 + (k-1) \right) = t \frac{(k+1)(k-2)}{2} = (|V(T_{k,t})| - 2) \frac{(k+1)(k-2)}{2(k-1)}$ , that is,

$$(5) \quad d_{T_{k,t}}^{(2)}(V(T_{k,t})) = \frac{(k+1)(k-2)}{2(k-1)} - \frac{1}{|V(T_{k,t})| - 2}.$$

Also, since for each  $i = 1, \dots, t$ ,  $|N(v_{i-1,1}) \cap V_i| \leq (k-1)/2$  and among the  $\lceil (k-1)/2 \rceil$  neighbors of  $v_{i-1,0}$  in  $V_i$ ,  $v_{i,0}$  and  $v_{i,1}$  are not adjacent to each other,

$$(6) \quad \omega(T_{k,t}) = k - 2.$$

Our first goal is to show that  $T_{k,t}$  has no dense subgraphs. We will use the language of potentials to prove this. For a graph  $H$  and  $A \subseteq V(H)$ , let

$$\rho_{k,H}(A) = (k+1)(k-2)|A| - 2(k-1)|E(H[A])|$$

be the *potential of  $A$  in  $H$* .

A convenient property of potentials is that if  $|A| \geq 3$ , then

$$(7) \quad \rho_{k,H}(A) \geq 2(k+1)(k-2) - 2(k-1) \text{ if and only if } d_H^{(2)}(A) \leq \frac{(k+1)(k-2)}{2(k-1)},$$

but potentials are also well defined for sets with cardinality two or less.

**Lemma 4.1.** *Let  $T = T_{k,t}$ . For every  $A \subseteq V(T)$ ,*

$$(8) \quad \text{if } |A| \geq 2, \text{ then } \rho_{k,T}(A) \geq 2(k+1)(k-2) - 2(k-1).$$

Moreover,

$$(9) \quad \text{if } V_0 \subseteq A, \text{ then } \rho_{k,T}(A) \geq 2(k+1)(k-2).$$

*Proof.* Suppose the lemma is not true. Among  $A \subseteq V(T)$  with  $|A| \geq 2$  for which (8) or (9) does not hold, choose  $A_0$  with the smallest size. Let  $a = |A_0|$ .

If  $a = 2$ , then  $\rho_{k,T}(A_0) = 2(k+1)(k-2) - 2(k-1)|E(T[A_0])| \geq 2(k+1)(k-2) - 2(k-1)$ . Moreover, if  $a = 2$  and  $V_0 \subseteq A$ , then  $V_0 = A_0$  and so  $E(T[A_0]) = \emptyset$ . This contradicts the choice of  $A_0$ . So

$$(10) \quad a \geq 3.$$

Let  $i_0$  be the maximum  $i$  such that  $A_0 \cap V_i \neq \emptyset$ . By (10),  $i_0 \geq 1$ . Let  $A' = A_0 \cap V_{i_0}$  and  $a' = |A'|$ .

**Case 1:**  $a' \leq k-2$  and  $a - a' \geq 2$ . Since  $|(A_0 - A') \cap V_0| = |A_0 \cap V_0|$ , by the minimality of  $a$ , (8) and (9) hold for  $A_0 - A'$ . Thus,



$$\begin{aligned} \rho_{k,T}(A_0) &\geq \rho_{k,T}(A_0 - A') + a'(k+1)(k-2) - 2(k-1) \left( a' + \binom{a'}{2} \right) \\ &= \rho_{k,T}(A_0 - A') + a' [(k^2 - k - 2) - 2k + 2 - (k-1)(a' - 1)]. \end{aligned}$$

Since  $k \geq 4$  and  $a' \leq k - 2$ , the expression in the brackets is at least  $k^2 - 3k - (k-1)(k-3) = k - 3 > 0$ , contradicting the choice of  $A_0$ .

**Case 2:**  $A' = V_{i_0}$  and  $a - a' \geq 2$ . Then  $a' = k - 1$ . As in Case 1, (8) and (9) hold for  $A_0 - A'$ . Thus,

$$\begin{aligned} \rho_{k,T}(A_0) &\geq \rho_{k,T}(A_0 - A') + a'(k+1)(k-2) - 2(k-1) \left( a' + \binom{a'}{2} - 1 \right) \\ &= \rho_{k,T}(A_0 - A') + (k-1) [(k^2 - k - 2) - 2(k-1) - (k-1)((k-1) - 1) + 2] \\ &\geq \rho_{k,T}(A_0 - A') + (k-1)^2 [(k-2) - (k-2)] = \rho_{k,T}(A_0 - A'), \end{aligned}$$

contradicting the minimality of  $A_0$ .

**Case 3:**  $a = a'$ , i.e.,  $A_0 = A'$ . Then  $V_0 \not\subseteq A_0$  and  $a' \geq 3$ . If  $a \leq k - 2$ , then

$$(11) \quad \rho_{k,T}(A_0) \geq a(k+1)(k-2) - 2(k-1) \binom{a}{2} = a[(k+1)(k-2) - (k-1)(a-1)].$$

Since the RHS of (11) is quadratic in  $a$  with the negative leading coefficient, it is enough to evaluate the RHS of (11) for  $a = 2$  and  $a = k - 2$ . For  $a = 2$ , it is  $2(k+1)(k-2) - 2(k-1)$ , exactly as in (8). For  $a = k - 2$ , it is

$$(k-2)[(k+1)(k-2) - (k-1)(k-2-1)] = (3k-5)(k-2),$$

and  $(3k-5)(k-2) \geq 2(k+1)(k-2) - 2(k-1)$  for  $k \geq 4$ . If  $a = k - 1$ , then  $A_0 = V_i$  and

$$\begin{aligned} \rho_{k,T}(A_0) &= a(k+1)(k-2) - 2(k-1) \left( \binom{a}{2} - 1 \right) \\ &= (k-1)((k+1)(k-2) - (k-1)(k-2) + 2) \\ &= 2(k-1)^2 > 2(k+1)(k-2) - 2(k-1). \end{aligned}$$

**Case 4:**  $a - a' = 1$ . As in Case 3,  $V_0 \not\subseteq A_0$  and  $a' \geq 2$ . Let  $\{z\} = A_0 - A'$ . Repeating the argument of Case 3, we obtain that  $\rho_{k,T}(A') \geq 2(k+1)(k -$

2)  $- 2(k - 1)$ . So, if  $d_{T[A_0]}(z) \leq \frac{k-1}{2}$ , then

$$\begin{aligned} \rho_{k,T}(A_0) &\geq \rho_{k,T}(A') + (k + 1)(k - 2) - 2(k - 1)\frac{k - 1}{2} \\ &= \rho_{k,T}(A') + k - 3 > \rho_{k,T}(A'), \end{aligned}$$

a contradiction to the choice of  $A_0$ . And the only way that  $d_{T[A_0]}(z) > \frac{k-1}{2}$ , is that  $z = v_{i-1,0}$ ,  $k$  is even, and  $A' \supseteq \{v_{i,0}, \dots, v_{i,(k-2)/2}\}$ . Then edge  $v_{i,0}v_{i,1}$  is missing in  $T[A']$ , and hence

$$(12) \quad \rho_{k,T}(A_0) = (a' + 1)(k + 1)(k - 2) - 2(k - 1) \left( \binom{a'}{2} - 1 + k/2 \right).$$

Since the RHS of (12) is quadratic in  $a'$  with the negative leading coefficient and  $a' \geq k/2$ , it is enough to evaluate the RHS of (12) for  $a' = k/2$  and  $a' = k - 1$ . For  $a' = k/2$ , it is

$$\frac{k + 2}{2}(k + 1)(k - 2) - (k - 1) \left( \frac{k(k - 2)}{4} - 2 + k \right) = \frac{k - 2}{4}(k^2 + 3k + 8).$$

Since  $\frac{k^2+3k+8}{4} > 2k$  for  $k \geq 4$  and  $2k(k - 2) > 2(k + 1)(k - 2) - 2(k - 1)$ , we satisfy (8). If  $a' = k - 1$ , then the RHS of (12) is

$$\begin{aligned} &k(k + 1)(k - 2) - (k - 1)[(k - 1)(k - 2) - 2 + k] \\ &= (k - 2)[k(k + 1) - (k - 1)^2 - k + 1] \\ &= 2k(k - 2) > 2(k + 1)(k - 2) - 2(k - 1). \quad \square \end{aligned}$$

Graph  $T_{k,t}$  also has good coloring properties.

**Lemma 4.2.** *Suppose  $T_{k,t}$  has a  $(k - 1)$ -coloring  $f$  such that*

$$(13) \quad f(v_{0,1}) = f(v_{0,0}).$$

*Then for every  $1 \leq i \leq t$ ,*

$$(14) \quad f(v_{i,1}) = f(v_{i,0}).$$

*Proof.* We prove (14) by induction on  $i$ . For  $i = 0$ , this is (13). Suppose (14) holds for  $i = j < t$ . Since  $V_{j+1} \subseteq N(v_{j,0}) \cup N(v_{j,1})$ , the color  $f(v_{j,1}) = f(v_{j,2})$  is not used on  $V_{j+1}$  and thus  $f(v_{j+1,1}) = f(v_{j+1,0})$ , as claimed.  $\square$

**Step 2: tower complexes** A tower complex  $C_{k,t}$  is the union of  $k$  copies  $T_{k,t}^1, \dots, T_{k,t}^k$  of the tower  $T_{k,t}$  such that every two of them have the common base  $V_0^1 = \dots = V_0^k = V^k$ , are vertex-disjoint apart from that, and have no edges between  $T_{k,t}^i - V_0^i$  and  $T_{k,t}^j - V_0^j$  for  $j \neq i$ . This common base  $V^0 = \{v_{0,0}, v_{0,1}\}$  will be called *the base of  $C_{k,t}$* .

Lemma 4.1 naturally extends to complexes as follows.

**Lemma 4.3.** *Let  $C = C_{k,t}$ . For every  $A \subseteq V(C)$ ,*

$$(15) \quad \text{if } |A| \geq 2, \text{ then } \rho_{k,C}(A) \geq 2(k+1)(k-2) - 2(k-1).$$

Moreover,

$$(16) \quad \text{if } A \supseteq V_0, \text{ then } \rho_{k,C}(A) \geq 2(k+1)(k-2).$$

*Proof.* Let  $A \subseteq V(C)$  with  $|A| \geq 2$ , and  $A_0 = A \cap V_0$ . Let  $A_i = A \cap V(T_{k,t}^i)$  if  $A \cap V(T_{k,t}^i) - V_0 \neq \emptyset$ , and  $A_i = \emptyset$  otherwise. Let  $I = \{i \in [t] : A_i \neq \emptyset\}$ . If  $|I| \leq 1$ , then  $A$  is a subset of one of the towers, and we are done by Lemma 4.1. So let  $|I| \geq 2$ .

**Case 1:**  $V_0 \subseteq A$ . Then for each nonempty  $A_i$ ,  $|A_i| \geq 3$  and by Lemma 4.1,  $\rho_{k,C}(A_i) \geq 2(k+1)(k-2)$ . So, by the definition of the potential,

$$\begin{aligned} \rho_{k,C}(A) &= \sum_{i \in I} \rho_{k,C}(A_i) - (|I| - 1)2(k+1)(k-2) \geq \\ &|I|2(k+1)(k-2) - (|I| - 1)2(k+1)(k-2) = 2(k+1)(k-2). \end{aligned}$$

**Case 2:**  $V_0 \cap A = \{v_{0,j}\}$ , where  $j \in \{1, 2\}$ . Then for each nonempty  $A_i$ ,  $|A_i| \geq 2$  and by Lemma 4.1,  $\rho_{k,C}(A_i) \geq 2(k+1)(k-2) - 2(k-1)$ . So, by the definition of the potential and the fact that  $|I| \geq 2$ ,

$$\begin{aligned} \rho_{k,C}(A) &= \sum_{i \in I} \rho_{k,C}(A_i) - (k+1)(k-2)(|I| - 1) \\ &\geq |I|(2(k+1)(k-2) - 2(k-1)) - (k+1)(k-2)(|I| - 1) \\ &= |I|((k+1)(k-2) - 2(k-1)) + (k+1)(k-2) \\ &\geq 2((k+1)(k-2) - 2(k-1)) + (k+1)(k-2) \\ &> 2(k+1)(k-2) - 2(k-1), \end{aligned}$$

when  $k \geq 4$ .

**Case 3:**  $V_0 \cap A = \emptyset$ . Then  $\rho_{k,C}(A) = \sum_{i \in I} \rho_{k,C}(A_i)$ . Since  $\rho_{k,C}(A_i) \geq (k + 1)(k - 2)$  for every  $i \in I$  and  $|I| \geq 2$ ,  $\rho_{k,C}(A) \geq 2(k + 1)(k - 2)$ , as claimed.  $\square$

Given a tower complex  $C_{k,t}$ , let  $W_0 = \{v_{t,0}^1, \dots, v_{t,0}^k\}$  and  $W_1 = \{v_{t,1}^1, \dots, v_{t,1}^k\}$ . Then the auxiliary *bridge graph*  $B_{k,t}$  is the bipartite graph with parts  $W_0$  and  $W_1$  whose edges are defined as follows. For each pair  $(i, j)$  with  $1 \leq i < j \leq k$ , if  $j - i \leq k/2$ , then  $B_{k,t}$  contains edge  $v_{t,0}^i v_{t,1}^j$ , otherwise it contains edge  $v_{t,1}^i v_{t,0}^j$ . There are no other edges.

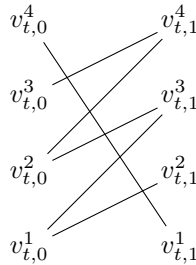


Figure 2:  $B_{4,t}$ .

By construction,  $B_{k,t}$  has exactly  $\binom{k}{2}$  edges, and the maximum degree of  $B_{k,t}$  is  $\lfloor k/2 \rfloor$ . It is important that

$$(17) \quad \text{for each } 1 \leq i < j \leq k, \text{ an edge in } B_{k,t} \text{ connects } \{v_{t,0}^i, v_{t,1}^i\} \text{ with } \{v_{t,0}^j, v_{t,1}^j\}.$$

The *supercomplex*  $S_{k,t}$  is obtained from a tower complex  $C_{k,t}$  by adding to it all edges of  $B_{k,t}$ . The main properties of  $S_{k,t}$  are stated in the next three lemmas.

**Lemma 4.4.** *For each  $(k - 1)$ -coloring  $f$  of  $S_{k,t}$ ,*

$$(18) \quad f(v_{0,1}) \neq f(v_{0,0}).$$

*Proof.* Suppose  $S_{k,t}$  has a  $(k - 1)$ -coloring  $f$  with  $f(v_{0,1}) = f(v_{0,0})$ . Then by Lemma 4.2,  $f(v_{t,1}^i) = f(v_{t,0}^i)$  for every  $1 \leq i \leq k$ . Thus by (17), the  $k$  colors  $f(v_{t,0}^1), f(v_{t,0}^2), \dots, f(v_{t,0}^k)$  are all distinct, a contradiction.  $\square$

**Lemma 4.5.** *Let  $S = S_{k,t}$  with base  $V_0$ . For every  $A \subseteq V(S) - V_0$ ,*

$$(19) \quad \text{if } |A| \geq 2, \text{ then } \rho_{k,S}(A) \geq 2(k + 1)(k - 2) - 2(k - 1).$$

*Proof.* Suppose the lemma is not true. Let  $C$  be the copy of  $C_{k,t}$  from which we obtained  $S$  by adding the edges of  $B = B_{k,t}$ . Among  $A \subseteq V(S) - V_0$  with  $|A| \geq 2$  and  $\rho_{k,S}(A) < 2(k+1)(k-2) - 2(k-1)$ , choose  $A_0$  with the smallest size. Let  $a = |A_0|$ . Let  $I = \{i \in [t] : A_0 \cap V(T_{k,t}^i) \neq \emptyset\}$ . If  $|I| \leq 1$ , then  $A$  is a subset of one of the towers, and we are done by Lemma 4.1. So let  $|I| \geq 2$ .

If  $a = 2$ , then

$\rho_{k,S}(A_0) = a(k+1)(k-2) - 2(k-1)|E(S[A_0])| \geq 2(k+1)(k-2) - 2(k-1)$ , contradicting the choice of  $A_0$ . So  $a \geq 3$ . Furthermore, if  $a = 3$ , then since  $|I| \geq 2$ ,  $B_{k,t}$  is bipartite, and  $v_{t,0}^i v_{t,1}^i \notin E(S)$  for any  $i$ , the graph  $S[A_0]$  has at most two edges and so  $\rho_{k,S}(A_0) \geq 3(k+1)(k-2) - 2(2(k-1)) > 2(k+1)(k-2) - 2(k-1)$ . Thus

$$(20) \quad a \geq 4.$$

If  $d_{S[A_0]}(w) \leq \frac{k-1}{2}$  for some  $w \in A_0$ , then

$$\begin{aligned} \rho_{k,S}(A_0 - w) &\leq \rho_{k,S}(A_0) - (k+1)(k-2) + \frac{k-1}{2}2(k-1) \\ &= \rho_{k,S}(A_0) + 3 - k < \rho_{k,S}(A_0). \end{aligned}$$

By (20), this contradicts the minimality of  $a$ . So,

$$(21) \quad \delta(S[A_0]) \geq \frac{k}{2}. \text{ In particular, } a \geq 1 + \frac{k}{2}.$$

Let  $E(A_0, B)$  denote the set of edges of  $B$  both ends of which are in  $A_0$ . Then since  $A_0 \cap V_0 = \emptyset$ ,

$$(22) \quad \rho_{k,S}(A_0) = \rho_{k,C}(A_0) - 2(k-1)|E(A_0, B)| = \sum_{i \in I} \rho_{k,C}(A_i) - 2(k-1)|E(A_0, B)|.$$

Let  $I_1 = \{i \in I : |A_0 \cap V(T_{k,t}^i)| = 1\}$  and  $I_2 = I - I_1$ . By Lemma 4.1, for each  $i \in I_2$ ,  $\rho_{k,S}(A_i) \geq 2(k+1)(k-2) - 2(k-1)$ . Thus if  $I_1 = \emptyset$ , then by (22) and the fact that  $|E(A_0, B)| \leq \binom{|I|}{2}$ , we have

$$\begin{aligned} \rho_{k,S}(A_0) &\geq |I|(2(k+1)(k-2) - 2(k-1)) - \binom{|I|}{2}2(k-1) \\ &= |I|(2k^2 - 3k - 3 - |I|(k-1)). \end{aligned}$$

The minimum of the last expression is achieved either for  $|I| = 2$  or for  $|I| = k$ . If  $|I| = 2$ , this is  $2(2k^2 - 5k - 1) > 2(k+1)(k-2) - 2(k-1)$ . If  $|I| = k$ , this is  $k(k^2 - 2k - 3)$ , which is again greater than  $2(k+1)(k-2) - 2(k-1)$ . Thus  $|I_1| \neq \emptyset$ .

Suppose  $i, i' \in I_1$ ,  $w \in A_i$ ,  $w' \in A_{i'}$  and  $ww' \in E(S)$ . Let  $A' = A_0 - w - w'$ . By the definition of  $I_1$ , all edges of  $S[A_0]$  incident with  $w$  or  $w'$  are in  $E(B)$ . Since  $\Delta(B) \leq \frac{k}{2}$ ,  $|E(S[A_0])| - |E(S[A'])| \leq k - 1$ . Thus

$$\rho_{k,S}(A') \leq \rho_{k,S}(A_0) - 2(k + 1)(k - 2) + (k - 1)2(k - 1) = \rho_{k,S}(A_0) - 2k + 6.$$

But by (20),  $|A'| \geq 2$ , a contradiction to the minimality of  $a$ . It follows that for every  $i \in I_1$ , each neighbor in  $A_0$  of the vertex  $w \in A_i$  is in some  $A_j$  for  $j \in I_2$ . This implies  $|E(A_0, B)| \leq \binom{|I|}{2} - \binom{|I_1|}{2}$ . Together with (21) and  $\Delta(B) = \lfloor k/2 \rfloor$ , this yields that for each  $i \in I_1$ , the vertex  $w \in A_i$  has exactly  $k/2$  neighbors in  $B$ , and all these neighbors are in  $A$ . In particular,  $|I_2| \geq \frac{k}{2}$  and  $k$  is even. Moreover, if  $i, i' \in I_1$ ,  $w \in A_i$  and  $w' \in A_{i'}$ , then their neighborhoods in  $B$  are distinct, and thus in this case  $|I_2| > \frac{k}{2}$ . Since  $k$  is even, this implies

$$(23) \quad |I_2| \geq \frac{k + 2}{2}.$$

Since the potential of a single vertex is  $(k + 1)(k - 2)$ ,

$$(24) \quad \begin{aligned} \rho_{k,S}(A_0) &\geq |I|(2(k + 1)(k - 2) - 2(k - 1)) \\ &\quad - |I_1|((k + 1)(k - 2) - 2(k - 1)) - \left( \binom{|I|}{2} - \binom{|I_1|}{2} \right) 2(k - 1). \end{aligned}$$

The expression  $-|I_1|((k + 1)(k - 2) - 2(k - 1)) + \binom{|I_1|}{2} 2(k - 1)$  in (24) decreases when  $|I_1|$  grows but is at most  $\frac{k-2}{2}$ . Thus by (23), it is enough to let  $|I_1| = |I| - \frac{k+2}{2}$  in (24). So,

$$\begin{aligned} \rho_{k,S}(A_0) &\geq |I|(k + 1)(k - 2) + \frac{k + 2}{2}((k + 1)(k - 2) - 2(k - 1)) \\ &\quad - (k - 1)(k + 2)\left(|I| - \frac{k + 4}{4}\right) \\ &= -2k|I| + \frac{k + 2}{2} \left[ k^2 - 3k + \frac{k^2 + 3k - 4}{2} \right] \\ &\geq -2k^2 + \frac{(k + 2)(3k^2 - 3k - 4)}{4} \\ &> 2(k + 1)(k - 2) - 2(k - 1) \end{aligned}$$

for  $k \geq 4$ . □

**Lemma 4.6.** *Let  $S = S_{k,t}$  with base  $V_0$ . Let  $A \subseteq V(S)$  and  $|A| \leq t + 1$ .*

$$(25) \quad \text{If } |A| \geq 2, \text{ then } \rho_{k,S}(A) \geq 2(k+1)(k-2) - 2(k-1).$$

Moreover,

$$(26) \quad \text{if } A \supseteq V_0, \text{ then } \rho_{k,S}(A) \geq 2(k+1)(k-2).$$

*Proof.* Suppose the lemma is not true. Among  $A \subseteq V(S)$  with  $|A| \geq 2$  for which (25) or (26) does not hold, choose  $A_0$  with the smallest size. Let  $a = |A_0|$ . By Lemma 4.3,  $S[A_0]$  contains an edge  $ww'$  in  $B$ . By Lemma 4.5,  $A_0$  contains a vertex  $v \in V_0$ . In particular,  $a \geq 3$ .

If  $S[A_0]$  is disconnected, then  $A_0$  is the disjoint union of nonempty  $A'$  and  $A''$  such that  $S$  has no edges connecting  $A'$  with  $A''$ . Since  $a \geq 3$ , we may assume that  $|A'| \geq 2$ . By the minimality of  $A_0$ ,  $\rho_{k,S}(A') \geq 2(k+1)(k-2) - 2(k-1)$ . Also,  $\rho_{k,S}(A'') \geq (k+1)(k-2)$ . Thus

$$\begin{aligned} \rho_{k,S}(A_0) &= \rho_{k,S}(A') + \rho_{k,S}(A'') \\ &\geq 2(k+1)(k-2) - 2(k-1) + (k+1)(k-2) \\ &> 2(k+1)(k-2), \end{aligned}$$

contradicting the choice of  $A_0$ . Therefore,  $S[A_0]$  is connected.

Since the distance in  $S$  between  $v \in V_0$  and  $\{w, w'\} \subset V(B)$  is at least  $t$ ,  $a \geq t + 2$ , a contradiction.  $\square$

**Step 3: completing the construction** Let  $G = G(k, \epsilon)$  be obtained from a copy  $H$  of  $K_k$  by replacing every edge  $uv$  in  $H$  by a copy  $S(uv)$  of  $S_{k,t}$  with base  $\{u, v\}$  so that all other vertices in these graphs are distinct. Suppose  $G$  has a  $(k-1)$ -coloring  $f$ . Since  $|V(H)| = k$ , for some distinct  $u, v \in V(H)$ ,  $f(u) = f(v)$ . This contradicts Lemma 4.4. Thus  $\chi(G) \geq k$ .

Suppose there exists  $A \subseteq V(G)$  with

$$(27) \quad |A| \geq 2 \text{ and } |E(G[A])| > 1 + (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (|A| - 2).$$

Choose a smallest  $A_0 \subseteq V(G)$  satisfying (27) and let  $a = |A_0|$ . Since a 2-vertex (simple) graph has at most one edge,  $a \geq 3$ . We claim that

$$(28) \quad G[A_0] \text{ is 2-connected.}$$

Indeed, if not, then since  $a \geq 3$ , there are  $x \in A_0$  and subsets  $A_1, A_2$  of  $A_0$  such that  $A_1 \cap A_2 = \{x\}$ ,  $A_1 \cup A_2 = A_0$ ,  $|A_1| \geq 2$ ,  $|A_2| \geq 2$ , and there are no

edges between  $A_1 - x$  and  $A_2 - x$  (this includes the case that  $G[A_0]$  is disconnected). By the minimality of  $a$ ,  $|E(G[A_j])| \leq 1 + (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (|A_j| - 2)$  for  $j = 1, 2$ . So,

$$\begin{aligned} |E(G[A_0])| &= |E(G[A_1])| + |E(G[A_2])| \\ &\leq 2 + (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (|A_1| + |A_2| - 4) \\ &= 2 + (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (a - 3) \\ &\leq 1 + (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (a - 2), \end{aligned}$$

contradicting (27). This proves (28).

Let  $J = \{uv \in E(H) : A_0 \cap (V(S(uv) - u - v)) \neq \emptyset\}$ . For  $uv \in J$ , let  $A_{uv} = A_0 \cap (V(S(uv)))$ . Since  $G[A_0]$  is 2-connected, for each  $uv \in J$ ,

$$(29) \quad \{u, v\} \subset A_{uv} \text{ and } G[A_{uv}] \text{ is connected. In particular, } |A_{uv}| \geq 4.$$

Our next claim is that for each  $uv \in J$ ,

$$(30) \quad |E(G[A_{uv}])| \leq (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} (|A_{uv}| - 2).$$

Indeed, if  $|A_{uv}| \leq t + 1$ , this follows from Lemma 4.6. If  $|A_{uv}| \geq t + 2$ , then by the part of Lemma 4.3 dealing with  $A \supseteq V_0$ ,

$$\begin{aligned} |E(G[A_{uv}])| &\leq |E(B_{k,t})| + \frac{(k+1)(k-2)}{2(k-1)} (|A_{uv}| - 2) \\ &= \binom{k}{2} + \frac{(k+1)(k-2)}{2(k-1)} (|A_{uv}| - 2). \end{aligned}$$

But since  $t \geq k^3/\epsilon$ ,  $\binom{k}{2} < \epsilon t \frac{(k+1)(k-2)}{2(k-1)}$ . This proves (30).

By (30),

$$(31) \quad |E(G[A_0])| = \sum_{uv \in J} |E(G[A_{uv}])| \leq (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)} \sum_{uv \in J} (|A_{uv}| - 2)$$

Since each  $A_{uv}$  has at most two vertices in common with the union of all other  $A_{u'v'}$ ,  $\sum_{uv \in J} (|A_{uv}| - 2) \leq a - 2$ . Thus (31) contradicts the choice of  $A_0$ . It follows that no  $A \subseteq V(G)$  satisfies (27), which exactly means that  $m_2(G) \leq (1 + \epsilon) \frac{(k+1)(k-2)}{2(k-1)}$ .  $\square$



### 5. The case $m_2(H) < m_2(K_m)$

When  $m_2(H) < m_2(K_m)$  we show that as in the previous case there are two typical behaviors of the function  $ex(G(n, p), K_m, H)$ . For small values of  $p$  Lemma 3.2 shows that there exists w.h.p. an  $H$ -free subgraph of  $G(n, p)$  which contains all but a negligible part of the copies of  $K_m$ . For large values of  $p$  Lemma 3.1 shows that w.h.p. every  $H$ -free graph will have to contain a much smaller proportion of the copies of  $K_m$ .

However, unlike in the case  $m_2(H) > m_2(K_m)$  discussed in Section 3, the change between the behaviors for  $p = n^{-a}$  does not happen at  $-a = -1/m_2(H)$ . Theorem 1.4 shows that if  $p = n^{-a}$  and  $-a$  is slightly bigger than  $-1/m_2(H)$  we can still take all but a negligible number of copies of  $K_m$  into an  $H$ -free subgraph. As for a conjecture about where the change happens (and if there are indeed two regions of different behavior and not more) see the discussion in the last section.

*Proof of Theorem 1.4.* Let  $G \sim G(n, p)$  with  $p = n^{-a}$  where  $-a = -c + \delta$  for some small  $\delta > 0$  to be chosen later. Let  $G'$  be the graph obtained from  $G$  by first removing all pairs of copies of  $K_m$  sharing an edge and then removing all edges that do not take part in a copy of  $K_m$ . As  $\delta$  is small, we may assume that  $-a < -1/m_2(K_m)$ , apply Lemma 2.4 and deduce that w.h.p. the number of copies of  $K_m$  removed in the first step is  $o(\binom{n}{m} p^{\binom{m}{2}})$ . In the second step there are no copies of  $K_m$  removed, and thus w.h.p.  $\mathcal{N}(G, K_m) = (1 + o(1))\mathcal{N}(G', K_m)$ . Furthermore, if there is a copy of  $H_0$  in  $G'$  then each edge of it must be contained in a copy of  $K_m$  and not in two or more such copies.

Let  $\mathcal{H}_m$  be the family of the following graphs. Every graph in  $\mathcal{H}_m$  is an edge disjoint union of copies of  $K_m$ , it contains a copy of  $H_0$  and removing any copy of  $K_m$  makes it  $H_0$ -free. Note that if  $G$  is  $\mathcal{H}_m$ -free then  $G'$  is  $H_0$ -free.

To show that  $G$  is indeed  $\mathcal{H}_m$ -free w.h.p. we prove that for any  $H' \in \mathcal{H}_m$  the expected number of copies of it in  $G$  is  $o(1)$ . We will show this for  $p = n^{-\frac{1}{m_2(H)} + \delta}$ , and it will thus clearly hold for smaller values of  $p$  as well. For every  $H'$  the expected number of copies of it in  $G(n, p)$  is  $\Theta(p^{e(H')} n^{v(H')}) = \Theta(n^{-\frac{1}{m_2(H)} e(H') + v(H')} n^{\delta \cdot e(H')})$  and we want to show that it is equal  $o(1)$  for any  $H'$ . For this it is enough to show that  $-\frac{e(H')}{v(H')} + m_2(H) - \delta \frac{e(H')}{v(H')} m_2(H) < 0$ . We first prove that

$$d(H') := \frac{e(H')}{v(H')} > m_2(H) + \delta'$$

for some  $\delta' := \delta'(m, c)$  and then to finish show that  $\frac{e(H')}{v(H')}m_2(H) \leq g(m)$  for some function  $g$ .

Note that every  $H' \in \mathcal{H}_m$  contains a copy of  $H_0$  and that  $H_0$  itself does not contain a copy of  $K_m$  as  $m_2(H_0) < m_2(K_m)$ . The vertices of copies of  $K_m$  in  $H'$  can be either all from  $H_0$  or use some external vertices. Let  $E_1$  be the edges between two vertices of  $H_0$  that are not part of the original  $H_0$  and let  $|E_1| = e_1$ . Furthermore, let  $V_1 \cup \dots \cup V_k = V(H') \setminus V(H_0)$  be the external vertices, where each  $V_i$  creates a copy of  $K_m$  with the other vertices from  $H_0$  and let  $|V_i| = v_i$ .

Each edge in  $H_0$  must be a part of a copy of  $K_m$ . An edge in  $E_1$  takes care of at most  $\binom{m}{2} - 1$  edges from  $H_0$ , and each  $V_i$  takes care of at most  $\binom{m-v_i}{2}$  edges. From this we get that

$$\begin{aligned} e(H_0) &\leq \sum_{i=1}^k \binom{m-v_i}{2} + e_1 \left( \binom{m}{2} - 1 \right) \\ &\leq k \binom{m-1}{2} + e_1 \left( \binom{m}{2} - 1 \right) \\ &\leq \frac{m^2}{2} (k + e_1). \end{aligned}$$

We will take care of two cases, either  $e_1 \geq \frac{e(H_0)}{m^2}$  or  $k \geq \frac{e(H_0)}{m^2}$ . In the first case let  $H_1$  be the graph  $H_0$  together with the edges in  $E_1$ . Then

$$\frac{e(H_1)}{v(H_1)} = \frac{e(H_0) + e_1}{v(H_0)} \geq \left( 1 + \frac{1}{m^2} \right) \frac{e(H_0)}{v(H_0)}.$$

We can assume  $v(H_0)$  is large enough so that  $\frac{e(H_0)}{v(H_0)} / \frac{e(H_0)-1}{v(H_0)-2} \geq \left( 1 - \frac{1}{2m^2} \right)$  and as  $m_2(H_0)$  is bounded from below by a function of  $m$ , we get that for some  $\delta' := \delta'(m)$  small enough we get

$$\frac{e(H_1)}{v(H_1)} \geq m_2(H_0) + \delta'.$$

Hence w.h.p. there is no copy of  $H_1$  in  $G$ , and thus no copy of  $H'$ .

Now let us assume that  $k \geq \frac{e(H_0)}{m^2}$  and let  $\gamma = m_2(K_m) - m_2(H) \geq m_2(K_m) - c$ . The expression  $\frac{\binom{v_i}{2} + v_i(m-v_i)}{v_i}$  decreases with  $v_i$ , and as  $V_i$  creates a copy of  $K_m$  with an edge of  $H_0$ , we get that  $v_i \leq m - 2$  and so  $\frac{\binom{v_i}{2} + v_i(m-v_i)}{v_i} \geq \frac{\binom{m}{2} - 1}{m-2}$ . It follows that

$$(32) \quad \sum_{i=0}^k \binom{v_i}{2} + v_i(m-v_i) \geq \sum_{i=0}^k v_i \frac{\binom{m}{2} - 1}{m-2} = \sum_{i=0}^k v_i (m_2(H_0) + \gamma).$$

Every set of vertices  $V_i$  uses at least one edge in  $H_0$  for a copy of  $K_m$ , and as there are no two copies of  $K_m$  sharing an edge, it follows that:

$$v(H') = v(H_0) + \sum_{i=0}^k v_i \leq e(H_0) + (m - 1)e(H_0) = m \cdot e(H_0).$$

Combining this with the assumption on  $k$  we conclude

$$(33) \quad \sum_{i=0}^k v_i \geq k \geq \frac{e(H_0)}{m^2} \geq \frac{v(H')}{m^3}.$$

Finally a direct calculation yields

$$(34) \quad e(H_0) + e_1 > e(H_0) - 1 = \frac{e(H_0) - 1}{v(H_0) - 2} (v(H_0) - 2) = m_2(H_0)(v(H_0) - 2).$$

Applying the above inequalities we get

$$\begin{aligned} e(H') &= e(H_0) + e_1 + \sum_{i=0}^k \binom{v_i}{2} + v_i(m - v_i) \\ &\stackrel{32,34}{\geq} m_2(H) \left( \sum_{i=0}^k v_i + v(H_0) - 2 \right) + \sum_{i=0}^k v_i \gamma \\ &= m_2(H)(v(H') - 2) + \sum_{i=0}^k v_i \gamma \\ &\stackrel{33}{\geq} m_2(H)(v(H') - 2) + \frac{v(H')}{m^3} \gamma \\ &\geq v(H')(m_2(H_0) + \frac{1}{2m^3} \gamma). \end{aligned}$$

The last inequality holds if  $2m_2(H) \leq v(H') \frac{\gamma}{2m^3}$ , but this is true as  $v(H_0)$  is large enough. Thus, for  $\delta' := \delta'(m, c)$  small enough,

$$\frac{e(H')}{v(H')} \geq m_2(H_0) + \frac{1}{2m^3} \gamma \geq m_2(H_0) + \frac{1}{2m^3} (m_2(K_m) - c) \geq m_2(H_0) + \delta'$$

and again, w.h.p.  $G$  will not have a copy of  $H'$ .

It is left to show that indeed  $\frac{e(H')}{v(H')} m_2(H) \leq g(m)$ . By the definition of  $H'$  we get that  $\frac{e(H')}{v(H')} < \frac{e(H_0) + (m-2)e(H_0)}{v(H')} = (m - 1) \frac{e(H_0)}{v(H_0)}$ . As we may assume that  $v(H_0)$  is large, it follows that  $\frac{e(H_0)}{v(H_0)} \leq m_2(H_0)(1 + \frac{1}{m})$ , and as  $m_2(H) < m_2(K_m)$ , we conclude that for some  $g(m)$  the needed inequality holds. □

To finish this section, we show that indeed the theorem can be applied to  $G(m + 1, \epsilon)$ .

*Proof of Lemma 1.5.* To prove this we will use the following fact. If  $\frac{a}{b}$  and  $\frac{p}{q}$  are rational numbers such that  $0 < |\frac{a}{b} - \frac{p}{q}| \leq \frac{1}{bM}$  then  $p \geq M$ . Indeed, assume towards a contradiction that  $q < M$ , but then  $|\frac{a}{b} - \frac{p}{q}| = |\frac{aq - bp}{bq}| \geq \frac{1}{bq} > \frac{1}{bM}$ .

Let  $G_0 := G_0(m + 1, \epsilon)$ , and take  $\frac{a}{b} = \frac{(m+2)(m-1)}{2m}$  and  $\frac{p}{q} = \frac{e(G_0)-1}{v(G_0)-2}$ . By Theorem 1.3 it follows that  $|\frac{a}{b} - \frac{p}{q}| \leq \epsilon \frac{(m+2)(m-1)}{2m}$ . Choosing  $\epsilon$  small enough will make  $v(G_0)$  as large as needed. □

### 6. Concluding remarks and open problems

- It is interesting to note that there are two main behaviors of the function  $ex(G(n, p), K_m, H)$  that we know of. For  $K_m$  and  $H$  with  $\chi(H) = k > m$  for small  $p$  one gets that an  $H$ -free subgraph of  $G \sim G(n, p)$  can contain w.h.p. most of the copies of  $K_m$  in the original  $G$ . On the other hand, when  $p > \max\{n^{-1/m_2(H)}, n^{-1/m_2(K_m)}\}$  then an  $H$ -free graph with the maximal number of  $K_m$ s is essentially w.h.p.  $k - 1$  partite, thus has a constant proportion less copies of  $K_m$  than  $G$ .

If  $m_2(H) > m_2(K_m)$  then Theorem 1.2 shows that the behavior changes at  $p = n^{-1/m_2(H)}$ , but if  $m_2(H) < m_2(K_m)$  the critical value of  $p$  is bounded away from  $n^{-1/m_2(H)}$  and it is not clear where exactly it is. Looking at the graph  $G \sim G(n, p)$  and taking only edges that take part in a copy of  $K_m$  yields another random graph  $G|_{K_m}$ . The probability of an edge to take part in  $G|_{K_m}$  is  $\Theta(p \cdot n^{m-2} p^{\binom{m}{2}-1})$ . A natural conjecture is that if  $n^{m-2} p^{\binom{m}{2}}$  is much bigger than  $n^{-1/m_2(H)}$  then when maximizing the number of  $K_m$  in an  $H$ -free subgraph we cannot avoid a copy of  $H$  by deleting a negligible number of copies of  $K_m$  and when  $n^{m-2} p^{\binom{m}{2}}$  is much smaller than  $n^{-1/m_2(H)}$  we can keep most of the copies of  $K_m$  in an  $H$ -free subgraph of  $G \sim G(n, p)$ . It would be interesting to decide if this is indeed the case.

- Another possible model of a random graph, tailored specifically to ensure that each edge lies in a copy of  $K_m$ , is the following. Each  $m$ -subset of a set of  $n$  labeled vertices, randomly and independently, is taken as an  $m$ -clique with probability  $p(n)$ . In this model the resulting random graph  $G$  is equal to its subgraph  $G|_{K_m}$  defined in the previous paragraph, and one can study the behavior of the maximum possible number of copies of  $K_m$  in an  $H$ -free subgraph of it for all admissible values of  $p(n)$ .

- There are other graphs  $T$  and  $H$  for which  $ex(n, T, H)$  is known, and one can study the behavior of  $ex(G(n, p), T, H)$  in these cases. For example in [10] and independently in [9] it is shown that  $ex(n, C_5, K_3) = (n/5)^5$  when  $n$  is divisible by 5.

Using some of the techniques in this paper we can prove that for  $p \gg n^{-1/2} = n^{-1/m_2(K_3)}$ ,  $ex(n, C_5, K_3) = (1 + o(1))(np/5)^5$  w.h.p. whereas if  $p \ll n^{-1/2}$  then w.h.p.  $ex(n, C_5, K_3) = (\frac{1}{10} + o(1))(np)^5$ . Similar results can be proved in additional cases for which  $ex(n, T, H) = \Omega(n^t)$  where  $t$  is the number of vertices of  $T$ . As observed in [3], these are exactly all pairs of graphs  $T, H$  where  $H$  is not a subgraph of any blowup of  $T$ .

- When investigating  $ex(G(n, p), T, H)$  here we focused on the case that  $T$  is a complete graph. It is possible that a variation of Theorem 1.2 can be proved for any  $T$  and  $H$  satisfying  $m_2(T) > m_2(H)$ , even without knowing the exact value of  $ex(n, T, H)$ .
- In the cases studied here for non-critical values of  $p$ ,  $ex(G(n, p), T, H)$  is always either almost all copies of  $T$  in  $G(n, p)$  or  $(1 + o(1))ex(n, T, H)p^{e(T)}$ . It would be interesting to decide if such a phenomenon holds for all  $T, H$ .
- As with the classical Turán problem, the question studied here can be investigated for a general graph  $T$  and finite or infinite families  $\mathcal{H}$ .

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