PD_4 -COMPLEXES: CONSTRUCTIONS, COBORDISMS AND SIGNATURES

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Abstract

The oriented topological cobordism group $\Omega_4(P)$ of an oriented PD₄-complex P is isomorphic to $\mathbb{Z} \oplus \mathbb{Z}$. The invariants of an element $\{f: X \to P\} \in \Omega_4(P)$ are the signature of Xand the degree of f. We prove an analogous result for the Poincaré duality cobordism group $\Omega_4^{\text{PD}}(P)$: If $\pi_1(P)$ does not contain nontrivial elements of order 2, then $\Omega_4^{\text{PD}}(P)$ is isomorphic to $L^0(\Lambda) \oplus \mathbb{Z}$, where $L^0(\Lambda)$ is the Witt group of nondegenerated hermitian forms on finitely generated stably free Λ modules. The component of an element $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ in $L^0(\Lambda)$ is related to the symmetric signature of X. Then we construct explicitly PD₄-complexes, define the well-known map $L_4(\pi_1(P)) \to \Omega_4^{\text{PD}}(P)$, and characterize the image of the map $\Omega_4^{\text{PD}}(P) \to \Omega_4^N(P)$. The results are summarized in Theorems 1.1 and 1.2 stated in the introduction.

Prolog: background and motivation

We want to explain how the results fit in with the prior knowledge and the contributions in this area. The paper is occupied with 4-dimensional topology. An outstanding problem in 4-dimensional surgery theory is the following: Given a degree-1 normal map $M \to P$, M a topological 4-manifold, can it be transformed by surgeries to a homotopy equivalence, provided an obstruction in the Wall group $L_4(\pi_1(P))$ vanishes? The analogous problem is solved if the dimension of M is equal to or greater than 5. It is expressed in the exact surgery sequence

(/)
$$S(P) \to [P, G/TOP] \to L_n(\pi_1(P)),$$

where S(P) is the so-called structure set of P. It consists of equivalence classes of homotopy equivalences $N \to P$, where N is a topological *n*-manifold and dim M = $n \ge 5$. Here P has to be a CW-complex satisfying *n*-Poincaré duality, called shortly a PD_n-complex. If there is at least one degree-1 normal map $M \to P$, then the homotopy classes [P, G/TOP] classify all degree-1 normal maps up to normal cobordism. Ranicki

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[16] associates to a PD_n -complex P a total surgery obstruction s(P) which belongs to an algebraically defined structure set $S_n(P)$. A weaker problem as above is the following: Given a PD_4 -complex P with s(P) = 0, is P then cobordant to a topological 4-manifold? We show that this is true and establish an exact sequence

$$(//) \qquad 0 \longrightarrow \Omega_4^{TOP}(P) \longrightarrow \Omega_4^{PD}(P) \xrightarrow{s} \mathcal{S}_4(P) \longrightarrow 0.$$

The decorations TOP resp. PD indicate topological resp. Poincaré duality cobordism groups. So, in particular, if s(P) = 0, then P is cobordant (in the PD sense) to a topological manifold. The sequence (/) can be extended on the right as $L_n(\pi_1(P)) \rightarrow S_n(P)$ by the well-known Wall realization of surgery obstructions. However, this works only if dim $M = n \ge 5$ for technical reasons and also because one needs (n - 1)manifolds with fundamental group isomorphic to $\pi_1(P)$. But $\pi_1(P)$ is not necessarily a 3-manifold fundamental group. We address this problem and define a map $L_4(\pi_1(P)) \rightarrow \Omega_4^{TOP}(P)$ by using a modified Wall realization. Moreover, with this map we establish the Levitt–Jones–Quinn exact sequence in the appropriate dimension. It also provides us with a tool to calculate $\Omega_4^{TOP}(P)$. For example, $\Omega_4^{TOP}(P) = \mathbb{Z}^{(p+3)/2}$ if $\pi_1(P) = \mathbb{Z}_p$, p an odd prime. First examples of such PD₄'s were discovered by Wall [19]. Another result of the paper, which is substantial for calculations, is the isomorphism

$$\Omega_4^{TOP}(P) \cong L^0(\Lambda) \oplus \mathbb{Z}$$

provided $\pi_1(P)$ does not contain elements of order 2. Here $L^0(\Lambda)$ is the Witt group of non-degenerated hermitian forms on the group ring $\Lambda = \mathbb{Z}[\pi_1(P)]$. This generalizes the classical result $\Omega_4^{TOP}(P) \cong \mathbb{Z} \oplus \mathbb{Z}$, where an element $\{f : M \to P\}$ is determined by the pair (signature M, deg f).

1. Introduction

Let P^4 be an oriented closed Poincaré duality complex of dimension 4 in the sense of [20], shortly a PD₄-complex. The oriented topological cobordism group $\Omega_4(P)$ is isomorphic to $\mathbb{Z} \oplus \mathbb{Z}$. An element $\{f : M \to P\} \in \Omega_4(P)$ is determined by the signature of M and the degree of f. Our main result is an analogous characterization for the PD-cobordism group $\Omega_4^{\text{PD}}(P)$.

Theorem 1.1. Let P be an oriented PD_4 -complex. Then

(1) There is a morphism $\Omega_4^{\text{PD}}(P) \to L^0(\Lambda) \oplus \mathbb{Z}$;

(2) If $\pi_1(P)$ does not contain elements $g \neq 1$ of order 2, then the morphism in (1) is an isomorphism.

Here $\Lambda = \mathbb{Z}[\pi_1(P)]$ and $L^0(\Lambda)$ is the Witt group of non-degenerated hermitian forms on finitely generated stably free Λ -modules.

The analogous Witt group $L_{pr}^0(\Lambda)$ based on finitely generated projective Λ -modules is the zero-th symmetric *L*-group [17]. Under composition with the periodicity map $L_{pr}^0(\Lambda) \to L_{pr}^4(\Lambda)$, which in general is not an isomorphism, the element

$$\{f: X \to P\} \in \Omega_4^{\mathrm{PD}}(P)$$

corresponds to the pair

$$(\sigma^*(X) - \sigma^*(P), \deg f) \in L^4_{pr}(\Lambda) \oplus \mathbb{Z},$$

where $\sigma^*(X)$ and $\sigma^*(P)$ denote the symmetric signatures of X and P, respectively. The proof of Theorem 1.1 is given in Section 2. Section 3 is concerned with the Poincaré surgery sequence of Levitt, Jones and Quinn:

$$L_4(\pi_1(P)) \to \Omega_4^{\mathrm{PD}}(P) \to \Omega_4^N(P),$$

where $\Omega_4^N(P)$ is the cobordism group of normal spaces (see [11, 12, 15]). We study the map $\Omega_4^{\text{PD}}(P) \to \Omega_4^N(P)$ in Section 3 (II). In part (III) of Section 3 we construct PD₄-complexes X_G and degree 1 maps $\Phi_G \colon X_G \to X$ for any non-singular quadratic form (G, λ, μ) , where G is stably Λ -free. The triple (G, λ, μ) represents an element $\{G, \lambda, \mu\} \in L_4(\pi_1(X))$. The construction of X_G uses a modified Wall realization construction on a certain 3-manifold. The usual construction as described in [18, p. 203], is not applicable since $\pi_1(P)$ is not necessarily the fundamental group of a 3-manifold. The results of Section 3 are summarized in the following

Theorem 1.2. Let P be an oriented PD_4 -complex. Then

(1) There is an action

$$L_4(\pi_1(P)) \times \Omega_4^{\rm PD}(P) \to \Omega_4^{\rm PD}(P)$$

given by

 $(\{G,\lambda,\mu\},\{f\colon X\to P\})\to \{f\circ\Phi_G\colon X_G\to P\}.$

(2) If
$$L_4(\pi_1(P)) \to \Omega_4^{\text{PD}}(P)$$
 is defined by
 $\{G, \lambda, \mu\} \to \{\Phi_G \colon P_G \to P\} - \{\text{Id} \colon P \to P\},$

then the sequence

$$L_4(\pi_1(P)) \to \Omega_4^{\mathrm{PD}}(P) \to \Omega_4^N(P)$$

is exact.

(3) The image of $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ in $\Omega_4^N(P)$ is essentially the obstruction $\omega_X \in H^3(X, \pi_2(G/TOP))$ to a TOP-reduction of the Spivak normal fibration ν_X . "Essentially" means that besides ω_X there is the degree of f and the ordinary signature of $X \mod 8$ appearing in the image of the element $\{f: X \to P\}$ in $\Omega_4^N(P)$.

We recall some properties and constructions. Basic in all proofs are the following two facts proved in [19].

Let X be a PD_4 -complex. Then

- (I) X is homotopy equivalent to $K \cup_{\varphi} D^4$, where K is a 3-complex and $\varphi \colon \mathbb{S}^3 \to K$ is the attaching map of the (unique) 4-cell (called *disc property*);
- (II) X is homotopy equivalent to $Z \cup_{\partial H} H$, where Z is dominated by a 2-complex and $H = \#_1^r \mathbb{S}^1 \times D^3$, hence $\partial H = \#_1^r \mathbb{S}^1 \times \mathbb{S}^2$. Moreover, the homomorphism $\pi_1(\partial H) \to \pi_1(Z) \cong \pi_1(X)$ is surjective (manifold structure of the 1-skeleton). There is also a relative version of it (see [20, remark after 2.8]).

If $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ is given, we can write it as a sum

$$\{f': X' \to P\} - (d-1)\{Id: P \to P\},\$$

where deg f = d and deg f' = 1 (obvious but this is described at the end of Section 2). Elements $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ with deg f = 1 are important for the following reasons. First, $f_*: \pi_1(X) \to \pi_1(P)$ is surjective [1]. Then one uses the manifold structure of the 2-skeleton of X to obtain by surgeries a cobordant 2-connected map $g: Y \to P$. Hence, given $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ with deg f = 1, we can assume that f is 2-connected. Then $H_2(X, \Lambda) \to H_2(P, \Lambda)$ is surjective with kernel a stably Λ -free module $K_2(f, \Lambda)$. Moreover, the intersection form $\lambda_X : H_2(X, \Lambda) \times H_2(X, \Lambda) \to \Lambda$ is non-degenerated when restricted to $K_2(f, \Lambda)$, denoted by λ_f [20]. We obtain the class $\{K_2(f, \Lambda), \lambda_f\} \in L^0(\Lambda)$. Then the map $\Omega_4^{\text{PD}}(P) \to L^0(\Lambda) \oplus \mathbb{Z}$ is defined by sending $\{f: X \to P\}$ to $(\{K_2(f', \Lambda), \lambda_{f'}\}, \deg f)$ using the above decomposition. The disc property is used to form connected sums as $P\#(\mathbb{S}^2 \times \mathbb{S}^2)$ or, for example, X # P.

We recall now the semi-simplicially defined spectra $\underline{\Omega}^{\text{PD}}$ and $\underline{\Omega}^{N}$ (also denoted MSG) of adic PD-complexes and adic normal complexes, respectively (see [18], for details). Transversality of normal complexes implies $\Omega_*^N(Y) = H_*(Y, MSG)$ for any complex Y. Moreover, MSG is a product of Eilenberg–Mc Lane spectra. In the PD-case, the assembly map $H_*(Y, \underline{\Omega}^{\text{PD}}) \to \Omega_*^{\text{PD}}(Y)$ is not an isomorphism. This map fits into a long exact sequence

$$\cdots \longrightarrow \mathcal{S}_{n+1}(Y) \longrightarrow H_n(Y, \underline{\Omega}^{\mathrm{PD}}) \longrightarrow \Omega_n^{\mathrm{PD}}(Y) \xrightarrow{s} \mathcal{S}_n(Y) \longrightarrow \cdots,$$

where $S_*(Y)$ are structure sets (see [18]) and $s(\{f: X \to Y\}) = f_*(s(X))$ with $s(X) \in S_n(X)$ the total surgery obstruction of the PD_n-complex X.

For other relevant papers on the homotopy classification of PD_4 -complexes and the *s*-cobordism classification of 4-manifolds through the group of homotopy self-equivalences see [6, 7, 9, 10].

2. Proof of Theorem 1.1

We fix a PD₄-complex *P*. Let $L^0(\Lambda)$ be the Witt group of $\Lambda = \mathbb{Z}[\pi_1(P)]$ based on finitely generated stably free Λ -modules. There is an obvious map $L^0(\Lambda) \to L^0_{pr}(\Lambda)$ into the Witt group based on finitely generated projective Λ -modules (see [17, I, Chp. 9], for intermediate *L*-groups). Recall that two non-singular forms $\varphi \colon M \times M \to$ Λ and $\varphi' \colon M' \times M' \to \Lambda$ define the same element in $L^0(\Lambda)$ if there are metabolic forms

$$(N,\Theta) = \left(R^* \oplus R, \begin{pmatrix} 0 & 1 \\ 1 & \theta \end{pmatrix}\right), \qquad (N',\Theta') = \left(R^{'*} \oplus R', \begin{pmatrix} 0 & 1 \\ 1 & \theta' \end{pmatrix}\right),$$

such that

$$(M,\varphi) \oplus (N,\Theta) \cong (M',\varphi') \oplus (N',\Theta').$$

Notation. If there is no confusion, in the above matrices, $1 = \mathbf{I}_m$ the $m \times m$ identity matrix, $0 = \mathbf{0}_m$ the $m \times m$ zero matrix, and θ is an $m \times m$ matrix with entries in Λ (here $m = \operatorname{rank} R$).

The element $\{M, \varphi\} \in L^0(\Lambda)$ is trivial if (M, φ) admits a Lagrangian $H \subset M$, that is, $\varphi(H \times H) = 0$ and the morphism $\overline{\varphi} \colon M/H \to \operatorname{Hom}_{\Lambda}(H, \Lambda) = H^*$, induced from the adjoint of φ , is an isomorphism. To prove Theorem 1 we have to show that if $f: X \to P$ and $g: Y \to P$ are cobordant 2-connected degree 1 maps, then

$$\{K_2(f,\Lambda),\lambda_f\} = \{K_2(g,\Lambda),\lambda_g\} \in L^0(\Lambda).$$

Let $F: (W, \partial W) \to (P \times I, \partial (P \times I))$ be a PD-cobordism which can be assumed to be 2-connected. Observe that F is of degree 1. One can write down the following diagram:

where $K_*()$ and $K^*()$ denote various kernels and cokernels of F_* and F^* (see [20]). All Λ -modules in the diagram turn out to be stably Λ -free and

$$H = \operatorname{Im}(K_3(W, \partial W) \to K_2(\partial W)) \subset K_2(\partial W) = K_2(f, \Lambda) \oplus K_2(g, \Lambda)$$

is a Lagrangian, that is,

$$\{(K_2(f,\Lambda),\lambda_f)\oplus (-K_2(g,\Lambda),\lambda_g)\}=0\in L^0(\Lambda).$$

Therefore, the correspondence $\{f : X \to P\} \to \{K_2(f', \Lambda), \lambda_{f'}\}$ is well-defined. We shall now prove surjectivity. Let $\{G, \lambda\} \in L^0(\Lambda)$ be given. We assume first that $G \cong \bigoplus_{i=1}^{r} \Lambda$, that is, G is Λ -free. Our hypothesis implies that the Tate cohomology group

$$\hat{H}^{1}(\mathbb{Z}_{2}, G, -1) = \{ a \in G \colon a = \bar{a} \} / \{ b + \bar{b} \colon b \in G \} \cong \hat{H}^{1}(\mathbb{Z}_{2}, \oplus_{1}^{r} \mathbb{Z}, -1) \cong \oplus_{1}^{r} \mathbb{Z}_{2}$$

(see [17, I, p. 168]). Let $e_1, \ldots, e_r \in G$ be a base, and set $\lambda_{ij} = \lambda(e_i, e_j)$, where $\lambda_{ii} = \overline{\lambda}_{ii}$. Therefore, it can be written as $\lambda_{ii} = b_i + \overline{b}_i + \delta_i$, with $\delta_i \in \mathbb{Z}_2 = \{0, 1\}$. We consider $K' = K \vee \{\vee_1^r \mathbb{S}^2\}$, where $P = K \cup_{\varphi} D^4$ (disc property of P). Let

$$i_j: \mathbb{S}^2 \to K \vee \{\vee_1^r \mathbb{S}^2\}$$

be the jth inclusion, and consider

$$\sum_{1 \leq i < j \leq r} [\lambda_{ij} \, i_i, i_j] + \sum_{j=1}^r ([b_j \, i_j, i_j] + \delta_j \, \eta_j) \in \Gamma(\pi_2(K')) \subset \pi_3(K').$$

Here $\Gamma(\pi_2)$ is the Whitehead quadratic group of $\pi_2(P)$, [,] denotes the Whitehead bracket, and $\eta_j \colon \mathbb{S}^3 \to \mathbb{S}^2 \xrightarrow{i_j} K'$ is the Hopf map composed with i_j . If $\varphi_0 \colon \mathbb{S}^3 \to K'$ represents this element, then $X = K' \cup_{\varphi + \varphi_0} D^4$ is a PD₄-complex. There is a 2connected map $f \colon X \to P$ of degree 1 such that $(K_2(f, \Lambda), \lambda_f) \cong (G, \lambda)$ (see [9]). In general, G is stably Λ -free. We can stabilize it with

$$\left(\Lambda^s \oplus \Lambda^s, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right),$$

for s sufficiently large. Then we have

$$\left\{ G \oplus \Lambda^s \oplus \Lambda^s, \lambda \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\} = \{G, \lambda\} \in L^0(\Lambda).$$

By above we obtain a 2-connected degree 1 map $g \colon Y \to P$ with

$$(K_2(g,\Lambda),\lambda_g) \cong \left(G \oplus \Lambda^s \oplus \Lambda^s, \lambda \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right).$$

Along the generators $\{e_i, f_j\}$ of $\Lambda^s \oplus \Lambda^s \subset K_2(g, \Lambda) \subset \pi_2(Y)$, we attach 3-cells obtaining $X = Y \cup \{\bigcup_{i,j} D^3 \cup D^3\}$. Now X is a PD₄-complex, and there is a 2-connected degree 1 map $q: Y \to X$ with

$$(K_2(q,\Lambda),\lambda_q) \cong \left(\Lambda^s \oplus \Lambda^s, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right).$$

Moreover, $g: Y \to P$ factorizes over a 2-connected degree 1 map $f: X \to P$, that is, $f \circ q \sim g$ (see [8]). Hence $\{f: X \to P\} \in \Omega_4^{\text{PD}}(P)$ maps to $\{G, \lambda\} \oplus 1 \in L^0(\Lambda) \oplus \mathbb{Z}$. Forming connected sums $X # \#_1^d(\pm P) \to P$, we obtain all elements of $L^0(\Lambda) \oplus \mathbb{Z}$.

Proof of injectivity. Let $\{f : X \to P\} \in \Omega_4^{\mathrm{PD}}(P)$ be given such that it maps to the pair $(0,1) \in L^0(\Lambda) \oplus \mathbb{Z}$.

Claim. We obtain $\{f : X \to P\} = \{Id : P \to P\} \in \Omega_4^{\text{PD}}(P)$. Injectivity will follow from this claim. After taking connected sums with $\mathbb{S}^2 \times \mathbb{S}^2$, we can assume that $K_2(f, \Lambda)$ is Λ -free. Now, since $\{K_2(f, \Lambda), \lambda_f\} = 0 \in L^0(\Lambda)$, we obtain isomorphisms

$$(K_2(f,\Lambda),\lambda_f)\oplus \left(\Lambda^k\oplus\Lambda^k, \begin{pmatrix} 0 & 1\\ 1 & \theta \end{pmatrix}\right)\cong \left(\Lambda^m\oplus\Lambda^m, \begin{pmatrix} 0 & 1\\ 1 & \omega \end{pmatrix}\right).$$

First step. By our hypothesis we have $\theta_{ii} = b_i + \bar{b}_i + \delta_i$ as above, so we can construct a PD₄-complex Y from $K \vee \{ \bigvee_1^k (\mathbb{S}^2 \vee \mathbb{S}^2) \}$ with attaching map $\varphi + \varphi_0$, where φ_0 is determined by $\begin{pmatrix} 0 & 1 \\ 1 & \theta \end{pmatrix}$, and a 2-connected degree 1 map $g \colon Y \to P$ with

$$(K_2(g,\Lambda),\lambda_g) \cong (K_2(f,\Lambda),\lambda_f) \oplus \left(\Lambda^k \oplus \Lambda^k, \begin{pmatrix} 0 & 1\\ 1 & \theta \end{pmatrix}\right) \cong \left(\Lambda^m \oplus \Lambda^m, \begin{pmatrix} 0 & 1\\ 1 & \omega \end{pmatrix}\right).$$

Hence Y can also be obtained from $K \vee \{ \bigvee_{1}^{m} (\mathbb{S}^{2} \vee \mathbb{S}^{2}) \}$ by attaching a 4-cell with $\varphi + \varphi_{1}$, where φ_{1} is determined by $\begin{pmatrix} 0 & 1 \\ 1 & \omega \end{pmatrix}$. Here it follows that $\omega_{ii} = c_{i} + \bar{c}_{i} + \delta_{i}$, for $i = 1, \ldots, m$, as a consequence of our hypothesis. Let $\{e_{1}, \ldots, e_{m}, f_{1}, \ldots, f_{m}\} \subset K_{2}(Y, g)$ be the base according to

$$\left(\Lambda^m\oplus\Lambda^m,\begin{pmatrix}0&1\\1&\omega\end{pmatrix}\right),$$

that is, $\lambda_g(e_i, f_j) = \delta_{ij}$, $\lambda_g(f_r, f_s) = \omega_{rs}$, and λ_g is zero otherwise. If we perform the transformation of the base $\{e_1, \ldots, e_m, f_1, \ldots, f_m\}$ given by $e_i \to e_i$, for $i = 1, \ldots, m$, and $f_r \to f'_r = f_r - \sum_{s < r} \omega_{rs} e_s - c_r e_r$, then the intersection form with respect to $\{e_1, \ldots, e_m, f'_1, \ldots, f'_m\}$ is defined by the $(2m) \times (2m)$ matrix $\begin{pmatrix} \mathbf{0}_m & \mathbf{I}_m \\ \mathbf{I}_m & \mathbf{\Delta}_m \end{pmatrix}$, where $\mathbf{0}_m$ is the $m \times m$ null matrix, \mathbf{I}_m is the $m \times m$ identity matrix, and $\mathbf{\Delta}_m =$ diag $(\delta_1, \ldots, \delta_m)$. Since this Λ -intersection form is extended from the corresponding \mathbb{Z} -intersection form, Y is homotopy equivalent to a connected sum (see [8]). More precisely, since

$$\begin{pmatrix} \mathbf{0}_m & \mathbf{I}_m \\ \mathbf{I}_m & \mathbf{\Delta}_m \end{pmatrix} \cong \begin{pmatrix} 0 & 1 \\ 1 & \delta_1 \end{pmatrix} \oplus \cdots \oplus \begin{pmatrix} 0 & 1 \\ 1 & \delta_m \end{pmatrix}$$

we get $P \# (\#_{i=1}^m Q_i)$, where $Q_i = \mathbb{S}^2 \times \mathbb{S}^2$ if $\delta_i = 0$, and $Q_i = \mathbb{C}P^2 \# (-\mathbb{C}P^2)$ if $\delta_i = 1$. Note that $\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \cong \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. Now $\#_{i=1}^m Q_i$ bounds obviously a 5-manifold V. Connected sum with $P \times I$ along $P \times 1$ gives a PD-cobordism W between P and $P \# (\#_{i=1}^m Q_i)$. But the last one is homotopy equivalent to Y over P. So we have proved that $\{g \colon Y \to P\} = \{Id \colon P \to P\} \in \Omega_4^{\mathrm{PD}}(P)$.

Second step. It remains to prove that

$$\{g\colon Y\to P\}=\{f\colon X\to P\}\in \Omega_4^{\mathrm{PD}}(P).$$

We proceed as in the first step with the form

$$\left(\Lambda^k \oplus \Lambda^k, \begin{pmatrix} 0 & 1 \\ 1 & \theta \end{pmatrix}\right)$$

and X instead of P. One obtains Y homotopy equivalent to $X \# (\#_{i=1}^k Q_i)$, where Q_i is as above according to $\delta_i \in \{0, 1\}$. This proves the above claim. To prove injectivity of $\Omega_4^{\text{PD}}(P) \to L^0(\Lambda) \oplus \mathbb{Z}$, which sends $\{f \colon X \to P\}$ to $(\{K_2(f', \Lambda), \lambda_{f'}\}, \deg f)$, we recall that

$$f' \colon X \# (\#_1^{|d-1|} P) \to X \lor (\lor_1^{|d-1|} P) \to \lor_1^d P \to P$$

is cobordant to $\{f \colon X \to P\} - (d-1)\{Id \colon P \to P\}$. If d-1 < 0, it means that $X \# (\#_1^{|d-1|}(-P))$. If $\{f \colon X \to P\}$ maps to $(0,0) \in L^0(\Lambda) \oplus \mathbb{Z}$, then we get $f' \colon X' = X \# P \to P$, that is,

$$\{f'\colon X'\to P\}=\{f\colon X\to P\}+\{Id\colon P\to P\}.$$

From the above claim we have $\{f': X' \to P\} = \{Id: P \to P\}$, hence $\{f: X \to P\} = 0 \in \Omega_4^{\text{PD}}(P)$. This proves Theorem 1.1 (2).

3. The geometric Poincaré surgery sequence of Levitt, Jones and Quinn revisited

(I) The map $\Omega_4^{\text{PD}}(P) \to \Omega_4^N(P)$

We fix again an oriented PD₄-complex P with $\pi_1 = \pi_1(P)$. For $n \ge 4$, there is an exact sequence

(3.1)
$$\cdots \to \Omega_{n+1}^N(P) \to L_n(\pi_1) \to \Omega_n^{\mathrm{PD}}(P) \to \Omega_n^N(P) \to L_{n-1}(\pi_1) \to \cdots$$

(see [11, 12, 15]). Here $\Omega_n^N(P) = H_n(P, MSG)$ is the cobordism group of normal spaces X and reference maps $f: X \to P$. We recall that X comes with an oriented (k-1)-spherical fibration ξ over X, and a map

$$\alpha \colon \mathbb{S}^{n+k} \to T(\xi),$$

where $T(\xi)$ is the Thom space of ξ . One obtains a "fundamental class" $[X] = \mathcal{U}_{\xi} \cap \alpha_*[\mathbb{S}^{n+k}] \in H_n(X,\mathbb{Z})$, where $\mathcal{U}_{\xi} \in H^k(T(\xi),\mathbb{Z})$ is the Thom class. Of course, the map

 $\cap[X]: H^p(X, \Lambda) \to H_{n-p}(X, \Lambda)$ is not required to be an isomorphism. If it is, then X is a PD₄-complex and $\xi \cong \nu_X$ is the Spivak fibration over X. The sequence (3.1) is derived from the sequence

$$\cdots \to \Omega_{n+1}^N(P) \to \Omega_{n+1}^{\text{NPD}}(P) \to \Omega_n^{\text{PD}}(P) \to \Omega_n^N(P) \to \Omega_n^{\text{NPD}}(P) \to \cdots.$$

Namely, it is proved that for $n \ge 3$, $\Omega_n^{\text{NPD}}(P) \cong L_n(\pi_1)$ (see references above). A proof in the critical dimension n = 3 resp. 4 can also be found in [5] by using Poincaré surgery and stabilization as in [3]. In the above, P can be replaced by a finite complex K but our interest concerns the sequence

$$\cdots \to \Omega_5^N(P) \to L_4(\pi_1) \to \Omega_4^{\mathrm{PD}}(P) \to \Omega_4^N(P) \to L_3(\pi_1) \to \cdots$$

Since the differentials in the Atiyah–Hirzebruch spectral sequence for the groups $H_*(P, MSG)$ are trivial, we get

$$\Omega_4^N(P) = H_4(P, MSG)$$

= $H_0(P, \pi_4(MSG)) \oplus H_1(P, \pi_3(MSG)) \oplus H_4(P, \pi_0(MSG))$
= $\mathbb{Z}_8 \oplus H_1(P, \mathbb{Z}_2) \oplus \mathbb{Z}.$

For calculations of $\pi_q(MSG)$ we refer to [14, 12] in case $q \leq 3$. For q = 4, it is shown in [2]. We determine next the image of an element

$$\{f\colon X\to P\}\in \Omega_4^{\rm PD}(P),$$

in $\mathbb{Z}_8 \oplus H_1(P, \mathbb{Z}_2) \oplus \mathbb{Z}$. By naturality, it is the image of $\{Id \colon X \to X\} \in \Omega_4^{\mathrm{PD}}(X)$ in $\mathbb{Z}_8 \oplus H_1(X, \mathbb{Z}_2) \oplus \mathbb{Z}$ under the induced map f_* of f.

Lemma 3.1. The \mathbb{Z}_8 component of $\{Id: X \to X\}$ in $\Omega_4^N(X)$ is the signature of $X \mod 8$.

Proof. This follows from naturality, that is, from the diagram:

$$L_{4}(\pi_{1}) \longrightarrow \Omega_{4}^{\mathrm{PD}}(X) \longrightarrow \Omega_{4}^{N}(P)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$L_{4}(1) \longrightarrow \Omega_{4}^{\mathrm{PD}}(\{*\}) \longrightarrow \Omega_{4}^{N}(\{*\}) = \pi_{4}(MSG)$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \qquad \parallel$$

$$\mathbb{Z} \xrightarrow{\times 8} \mathbb{Z} \longrightarrow \mathbb{Z}_{8}.$$

Here $\Omega_4^{\text{PD}}(\{*\}) \cong \Omega_4(\{*\}) \cong \mathbb{Z}$ is given by the signature (see [2]).

To determine the second component, we note

$$H_1(X, \pi_3(MSG)) \cong H^3(X, \pi_3(MSG)).$$

 \square

Since $\pi_q(MSO) = 0$ for q = 1, 2, 3, one obtains as in [14]

$$\pi_3(MSG) \cong \pi_3(MSG, MSO) \cong H_3(MSG, MSO)$$
$$\cong H_3(BSG, BSO) \cong \pi_3(BSG, BSO)$$
$$\cong \pi_2(G/O) \cong \pi_2(G/TOP).$$

The third isomorphism is the Thom isomorphism. The others are the Hurewicz isomorphisms. Note that $\pi_1(MSG) = \{1\}$.

Lemma 3.2. Under the above identification

$$H_1(X, \pi_3(MSG)) \cong H^3(X, \pi_2(G/TOP)),$$

the component of $\{Id: X \to X\}$ is the obstruction to lifting the Spivak fibration ν_X to BTOP.

Proof. This can be seen from the diagram

$$\begin{array}{cccc} \Omega_4^{\rm PD}(X) & \longrightarrow & \Omega_4^N(X) \\ s & & & \downarrow \\ \mathcal{S}_4(X) & \longrightarrow & H_3(X,\mathbb{L}) \end{array}$$

(see [16]), where \mathbb{L} is the connected \mathbb{L} -spectrum and s is the total surgery obstruction. Hence we have

$$H_3(X, \mathbb{L}) \cong H_1(X, \pi_2(G/TOP)) \cong H_1(X, \pi_3(MSG)).$$

The image of s(X) in $H_3(X, \mathbb{L})$ is the obstruction to lift ν_X to the map $\tilde{\nu}_X \colon X \to BTOP$.

The component of $\{Id: X \to X\} \in \Omega_4^{\text{PD}}(X)$ in $H_4(X, \pi_0(MSG)) = H_4(X, \mathbb{Z})$ is the fundamental class. If we denote by

$$\omega_X \in H_1(X, \pi_3(MSG)) \cong H^3(X, \pi_2(G/TOP))$$

the obstruction of Lemma 3.2, then we have the following result:

Corollary 3.3. The morphism

$$\Omega_4^{\rm PD}(P) \to \Omega_4^N(P) = \mathbb{Z}_8 \oplus H_1(P, \pi_3(MSG)) \oplus H_4(P, \mathbb{Z})$$

sends $\{f: X \to P\}$ to $(\operatorname{sign} X \pmod{8}, f_*(\omega_X), f_*[X]).$

Remark 3.4. Because $\pi_3(G/TOP) = 0$, ω_X is the unique obstruction for a TOP-reduction of ν_X .

(II) The map $L_4(\pi_1) \to \Omega_4^{\text{PD}}(P)$

In higher dimensions this map is defined by the Wall realization construction on an (n-1)-manifold M^{n-1} (see [16]). This cannot be assumed for n = 4 because π_1 is not supposed to be a 3-manifold fundamental group. We use instead the manifold structure of the 1-skeleton of P to realize elements of $L_4(\pi_1)$. Let $P = Z \cup H$, where $H = \#_1^r (\mathbb{S}^1 \times D^3)$, and Z is a complex dominated by a 2-complex. Moreover, $\pi_1(\partial H) \to \pi_1(Z)$ is surjective. Let be given an element of $L_4(\pi_1)$ represented by (G, λ, μ) . As in [20, pp. 53–54], one can realize (G, λ, μ) on the part $\partial H \times 0 \subset$ $Z \cup (\partial H \times I)$. More precisely, we may add a small collar to Z, say $Z \cup (\partial H \times [0, \epsilon])$. Using the collar we write $\partial Z = \partial H$. Then we realize (G, λ, μ) in the part $\partial H \times [\epsilon, 1]$. This is possible because loops in Z can be lifted to ∂H . A very good description of Wall's realization construction is given in [13, pp. 141–142]. It applies to our situation. One gets framed embeddings $t_j: \mathbb{S}^1 \times D^2 \to \partial H \times \{1\}$ on which one attaches 2-handles h_j^2 , for $j = 1, ..., \ell$. Here ℓ is the rank of G which we can assume to be Λ -free. We write

$$Z_G = Z \cup (\partial H \times I) \cup (\cup_1^{\ell} h_i^2),$$

hence

$$\partial Z_G = \partial H \times \{1\} \setminus \left(\bigcup_{j=1}^{\ell} t_j(\mathbb{S}^1 \times D^2) \right) \cup \left(\bigcup_{j=1}^{\ell} (D^2 \times \mathbb{S}^1) \right)$$

is obtained from $\partial Z = \partial H$ by surgeries on the link $\cup_{j=1}^{\ell} t_j(\mathbb{S}^1 \times 0) \subset \partial H$. Contrary to the higher dimensional case, we have $\pi_1(\partial Z_G) \neq \pi_1(\partial Z)$; in particular, ∂Z_G is not homotopy equivalent to ∂Z . However, let

$$\phi \colon (Z_G, \partial Z_G) \to (Z \cup (\partial H \times I), \partial H \times 1)$$

be the map coming from the above construction. Then we prove

Lemma 3.5. The map ϕ induces an isomorphism

$$H_*(\partial Z_G, \Lambda) \xrightarrow{\cong} H_*(\partial Z, \Lambda).$$

Note that $\Lambda = \mathbb{Z}[\pi_1(P)]$ is a module over $\mathbb{Z}[\pi_1(\partial Z_G)]$, and over $\mathbb{Z}[\pi_1(\partial Z)]$, induced via $\pi_1(\partial Z_G) \to \pi_1(Z) = \pi_1(P)$, and via $\pi_1(\partial Z) \to \pi_1(Z) = \pi_1(P)$.

Proof of Lemma 3.5. Note first that $\phi_* \colon H_k(Z_G, \Lambda) \to H_k(Z, \Lambda)$ is an isomorphism for $k \neq 2$. By construction, Z_G is a PD₄-complex with boundary ∂Z_G and the map $\phi \colon (Z_G, \partial Z_G) \to (Z, \partial Z)$ is of degree 1. Hence by Poincaré duality we have

$$\begin{array}{ccc} H_3(Z_G, \partial Z_G, \Lambda) & \stackrel{\phi_*}{\longrightarrow} & H_3(Z, \partial Z, \Lambda) \\ \cong & & & \uparrow & & \uparrow \cong \\ H^1(Z_G, \Lambda) & \xleftarrow{\phi^*} & H^1(Z, \Lambda) \end{array}$$

so $H_3(Z_G, \partial Z_G, \Lambda) \to H_3(Z, \partial Z, \Lambda)$ is an isomorphism. Note that the Λ -modules

$$G = K_2(Z_G, \Lambda) = \operatorname{Ker} (H_2(Z_G, \Lambda) \to H_2(Z, \Lambda))$$

and

$$\operatorname{Ker}\left(H_2(Z_G, \partial Z_G, \Lambda) \to H_2(Z, \partial Z, \Lambda)\right) = K_2(Z_G, \partial Z_G, \Lambda)$$

can be identified with

$$\operatorname{Hom}_{\Lambda}(G,\Lambda) \cong K^{2}(Z_{G},\Lambda) = \operatorname{Coker}\left(H^{2}(Z,\Lambda) \to H^{2}(Z_{G},\Lambda)\right),$$

via duality

Consider now the diagram of exact sequences of rows and vertical lines

Note that $\hat{\lambda}$ (i.e., the adjoint of $\lambda: G \times G \to \Lambda$) is an isomorphism. Hence $H_q(\partial Z_G, \Lambda) \to H_q(\partial Z, \Lambda)$ is an isomorphism for q = 1, 2. For q = 3 it follows from

$$\begin{array}{cccc} H_4(Z_G, \partial Z_G, \Lambda) & & \longrightarrow & H_4(Z, \partial Z, \Lambda) \\ & & & & \downarrow \\ & & & \downarrow \\ H_3(\partial Z_G, \Lambda) & & \longrightarrow & H_3(\partial Z, \Lambda) \\ & & & \downarrow \\ & & & \downarrow \\ H_3(Z_G, \Lambda) & & \longrightarrow & H_3(Z, \Lambda). \end{array}$$

This completes the proof of the lemma.

We form now the complex

$$Z'_G = Z_G \cup (\partial Z_G \times [0,1]) \cup_{\varphi} (\partial H \times [1,2]),$$

where $\varphi : \partial Z_G \to \partial Z = \partial H$ is the restriction of ϕ , that is, we identify the pair $(z, 1) \in \partial Z_G \times \{1\}$ with $(\varphi(z), 1) \in \partial H \times \{1\}$. Obviously, $Z_G \subset Z'_G$ is a deformation retract. Lemma 3.5 implies that

$$H_*(Z_G, \partial Z_G, \Lambda) \xrightarrow{\simeq} H_*(Z'_G, \partial H, \Lambda).$$

By the Wall realization construction, the maps $\phi: (Z_G, \partial Z_G) \to (Z, \partial Z)$ and

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 $\varphi \colon \partial Z_G \to \partial Z$ are of degree 1, so we get

$$H_4(Z_G, \partial Z_G, \mathbb{Z}) \xrightarrow{\simeq} H_4(Z'_G, \partial H, \mathbb{Z}).$$

The fundamental class of Z_G determines a (fundamental) class of Z'_G inducing the commutative diagram

$$\begin{array}{cccc}
H^{k}(Z'_{G},\Lambda) & & \xrightarrow{\cong} & H^{k}(Z_{G},\Lambda) \\
& & & \downarrow \cong \\
H_{4-k}(Z'_{G},\partial Z'_{G},\Lambda) & \xleftarrow{\cong} & H_{4-k}(Z_{G},\partial Z_{G},\Lambda)
\end{array}$$

that is, Z'_G is a PD₄-complex too, with boundary $\partial Z'_G = \partial H$.

Corollary 3.6. The space $P_G = Z'_G \cup_{\partial H} H$ is a PD₄-complex. The map ϕ extends to a degree 1 map $\phi_G \colon P_G \to Z \cup H = P$.

Supplement. The class $\{\phi_G : P_G \to P\} \in \Omega_4^{\text{PD}}(P)$ depends only on the class $\{G, \lambda, \mu\} \in L_4(\pi_1)$. For this, one notes that equivalent quadratic forms are distinguished by stabilization with hyperbolic forms

$$\left(\Lambda^r \oplus (\Lambda^r)^*, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right),$$

which are realized by connected sums with $\#_1^r(\mathbb{S}^2 \times \mathbb{S}^2)$.

(III) Exactness

The map $L_4(\pi_1(P)) \to \Omega_4^{\text{PD}}(P)$ is defined by sending the element

$$\{G, \lambda, \mu\} \in L_4(\pi_1(P))$$

to $\{\phi_G \colon P_G \to P\} - \{Id \colon P \to P\}$. Then the sequence

$$L_4(\pi_1(P)) \to \Omega_4^{\mathrm{PD}}(P) \to \mathbb{Z}_8 \oplus H_1(P, \pi_3(MSG)) \oplus \mathbb{Z}_8$$

is exact. The composition is the zero-map. In fact, the first component is the difference of the signatures of P_G and P, hence the signature of the pair $(G \otimes_{\Lambda} \mathbb{Z}, \lambda \otimes_{\Lambda} 1)$, which is divisible by 8. The third component is the difference of the degrees of ϕ_G and Id, hence it is zero. The second component vanishes since $(\phi_G)_*(\omega_{P_G}) = \omega_P \in$ $H_1(P, \pi_3(MSG))$. This follows because deg $\phi_G = 1$ and the obstructions are natural with respect to maps, and from the isomorphism

$$(\phi_G)_* : H_1(P_G, \pi_3(MSG)) \to H_1(P, \pi_3(MSG)).$$

Conversely, suppose that $\{f \colon X \to P\} \in \Omega_4^{\operatorname{PD}}(P)$ maps to

$$(0,0,0) \in \mathbb{Z}_8 \oplus H_1(P,\pi_3(MSG)) \oplus \mathbb{Z}.$$

The Spivak fibration of X has a BTOP-reduction, hence there is a degree 1 normal map $(g,c): M^4 \to X$, where M is an oriented TOP 4-manifold. The image of the surgery obstruction $\sigma(g,c) \in L_4(\pi_1(P))$ under the map $\partial: L_4(\pi_1(P)) \to \mathcal{S}_4(P)$ (where $\mathcal{S}_4(P)$ is the structure set) is $-f_*s(X)$, that is, the total surgery obstruction $s(X) \in \mathcal{S}_4(X)$ is mapped into $\mathcal{S}_4(P)$ (see [18, Corollary 17.7]). If $-\sigma(g,c)$ is represented by (G, λ, μ) , we may construct $\{\phi_G: P_G \to P\} \in \Omega_4^{\text{PD}}(P)$. Lemma 3.7. With the above notation, we have

$$\{f\colon X\to P\} = \{\phi_G\colon P_G\to P\} - \{Id\colon P\to P\} \in \Omega_4^{\mathrm{PD}}(P)$$

Proof. Let $\underline{\Omega}^{\text{PD}}$ be the semisimplicial spectrum of adic PD-complexes (see [18]). From the Atiyah–Hirzebruch spectral sequence one gets

$$H_4(P,\underline{\Omega}^{\mathrm{PD}}) = H_0(P,\Omega_4^{\mathrm{PD}}(*)) \oplus H_4(P,\Omega_0^{\mathrm{PD}}(*)) = \Omega_4^{\mathrm{PD}}(*) \oplus H_4(P,\mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Z}.$$

The sequence

$$H_4(P,\underline{\Omega}^{\mathrm{PD}}) = \mathbb{Z} \oplus \mathbb{Z} \longrightarrow \Omega_4^{\mathrm{PD}}(P) \xrightarrow{s} \mathcal{S}_4(P)$$

is exact, where the map $\mathbb{Z} \oplus \mathbb{Z} \to \Omega_4^{\text{PD}}(P)$ is (split) injective, and s can be proved to be surjective. The first component of $\mathbb{Z} \oplus \mathbb{Z}$ is the signature and the second one is the degree. The claim of Lemma 3.7 can be deduced from the following diagram

Now $\Omega_4^{\text{PD}}(*) = \mathbb{Z}$ maps onto \mathbb{Z}_8 under the composition, and $H_4(P,\mathbb{Z})$ maps onto $H_4(P,\mathbb{Z})$. The map $\times 8$ is the multiplication by 8 into $\Omega_4^{\text{PD}}(*)$ and it is zero into $H_4(P,\mathbb{Z})$. Moreover, the element

$$\{\phi_G \colon P_G \to P\} - \{Id \colon P \to P\} - \{f \colon X \to P\} \in \Omega_4^{\text{PD}}(P)$$

maps to zero under s using $\partial \sigma(g,c) = -f_*s(X)$.

Remark to Theorem 1.2(1). The action

$$L_4(\pi_1(P)) \times \Omega_4^{\mathrm{PD}}(P) \to \Omega_4^{\mathrm{PD}}(P)$$

is analogous to the well-known action

$$L_n(\pi_1(P)) \times \mathcal{S}_n(P) \to \mathcal{S}_n(P),$$

where $S_n(P)$ is the *n*-dimensional topological structure set of P when n > 4. To the pair $(\{G, \lambda, \mu\}, \{f : X \to P\}) \in L_4(\pi_1(P)) \times \Omega_4^{\text{PD}}(P)$ is associated the element $\{f \circ \phi_G : X_G \to P\} \in \Omega_4^{\text{PD}}(P)$.

(IV) An example

First, let π be a finitely presented group realized as fundamental group of a 2complex C. The boundary of a regular neighbourhood of $C \subset \mathbb{R}^5$ is a 4-manifold Pwith $\pi_1(P) = \pi$.

Consider now $\pi = \mathbb{Z}_p$, where p is an odd prime. Since

$$\Omega_5^N(P) = H_1(P, \pi_4(MSG)) \oplus H_2(P, \pi_3(MSG))$$

is finite and $L_4(\pi) = \mathbb{Z} \oplus \mathbb{Z}^{(p-1)/2}$, the map $L_4(\pi) \to \Omega_4^{\text{PD}}(P)$ is injective. Moreover, the image of the map

$$\Omega_4^{\rm PD}(P) \to \Omega_4^N(P) = \mathbb{Z}_8 \oplus H_2(P, \mathbb{Z}_2)$$

is \mathbb{Z}_8 . Hence, any PD₄-complex X with $\pi_1(X) = \pi$ is up to cobordism of type P_G for some $\{G, \lambda, \mu\} \in L_4(\pi)$.

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