

RATIONAL HOMOTOPY THEORY OF MAPPING SPACES
VIA LIE THEORY FOR L_∞ -ALGEBRAS

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Abstract

We calculate the higher homotopy groups of the Deligne–Getzler ∞ -groupoid associated to a nilpotent L_∞ -algebra. As an application, we present a new approach to the rational homotopy theory of mapping spaces.

1. Introduction

In [17] Getzler associates an ∞ -groupoid $\gamma_\bullet(\mathfrak{g})$ to a nilpotent L_∞ -algebra \mathfrak{g} , which generalizes the Deligne groupoid of a nilpotent differential graded Lie algebra [16, 17, 19, 24]. It is well known that the set of path components $\pi_0\gamma_\bullet(\mathfrak{g})$ may be identified with the “moduli space” $\mathcal{MC}(\mathfrak{g})$ of equivalence classes of Maurer–Cartan elements, which plays an important role in deformation theory. Our main result is the calculation of the higher homotopy groups.

Theorem 1.1. *For a nilpotent L_∞ -algebra \mathfrak{g} there is an explicitly defined natural group isomorphism*

$$B: H_n(\mathfrak{g}^\tau) \rightarrow \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), \tau), \quad n \geq 0,$$

where \mathfrak{g}^τ denotes the L_∞ -algebra \mathfrak{g} twisted by the Maurer–Cartan element τ . The group structure on $H_0(\mathfrak{g}^\tau)$ is given by the Campbell–Hausdorff formula.

As a corollary, we obtain a characterization of when an L_∞ -morphism induces an equivalence between the associated ∞ -groupoids.

Corollary 1.2. *An L_∞ -morphism between nilpotent L_∞ -algebras $f: \mathfrak{g} \rightarrow \mathfrak{h}$ induces an equivalence of ∞ -groupoids $\gamma_\bullet(\mathfrak{g}) \rightarrow \gamma_\bullet(\mathfrak{h})$ if and only if the following two conditions are satisfied:*

1. *The map on Maurer–Cartan moduli $\mathcal{MC}(\mathfrak{g}) \rightarrow \mathcal{MC}(\mathfrak{h})$ is a bijection.*
2. *The L_∞ -morphism $f^\tau: \mathfrak{g}^\tau \rightarrow \mathfrak{h}^{f^*(\tau)}$ induces an isomorphism in homology in non-negative degrees, for every Maurer–Cartan element τ in \mathfrak{g} .*

When the ground field is \mathbb{Q} , it follows from Theorem 1.1 that the connected components of $\gamma_\bullet(\mathfrak{g})$ are \mathbb{Q} -local spaces, so by Sullivan’s rational homotopy theory [33],

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their homotopy types are modeled by commutative differential graded algebras. We have the following explicit description of Sullivan models for the components.

Corollary 1.3. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. The component of $\gamma_\bullet(\mathfrak{g})$ that contains a given Maurer–Cartan element τ is homotopy equivalent to $\gamma_\bullet(\mathfrak{g}_{\geq 0}^\tau)$, where $\mathfrak{g}_{\geq 0}^\tau$ denotes the truncation of \mathfrak{g}^τ . Hence, provided \mathfrak{g} is of finite type, the Chevalley–Eilenberg construction $C^*(\mathfrak{g}_{\geq 0}^\tau)$ is a Sullivan model for the component of $\gamma_\bullet(\mathfrak{g})$ that contains τ .*

Furthermore, as will be important for our applications, we extend the domain of definition of the Deligne–Getzler ∞ -groupoid to *complete* L_∞ -algebras (by which we mean, essentially, inverse limits of towers of nilpotent L_∞ -algebras), and we show that our main results generalize to this setting.

We remark that the inclusion of $\gamma_\bullet(\mathfrak{g})$ into the nerve $\mathrm{MC}_\bullet(\mathfrak{g})$ is a homotopy equivalence (see §3), so our results could as well have been stated for the nerve. For the proofs, however, Getzler’s formulas for the horn fillers in $\gamma_\bullet(\mathfrak{g})$ will play a role.

While Theorem 1.1 and its corollaries should have independent interest, the application that originally motivated us is within the rational homotopy theory of mapping spaces. The key to this application is the following observation.

Theorem 1.4. *Let X be a connected space, let Y be a nilpotent space of finite \mathbb{Q} -type, and let $Y_\mathbb{Q}$ be its \mathbb{Q} -localization. If A is a commutative differential graded algebra model for X and L is an L_∞ -algebra model for Y , then there is a homotopy equivalence*

$$\mathrm{Map}(X, Y_\mathbb{Q}) \simeq \gamma_\bullet(A \hat{\otimes} L),$$

where $A \hat{\otimes} L$ is the inverse limit of the nilpotent L_∞ -algebras $A \otimes L/L_{\geq r}$.

This appears as Theorem 6.3, below. The following is a direct consequence of Theorem 1.1, Corollary 1.3, and Theorem 1.4.

Theorem 1.5. *Under the hypotheses of Theorem 1.4, there is bijection*

$$[X, Y_\mathbb{Q}] \cong \mathcal{MC}(A \hat{\otimes} L).$$

Moreover, given a map $f: X \rightarrow Y_\mathbb{Q}$ whose homotopy class corresponds to the equivalence class of the Maurer–Cartan element $\tau \in A \hat{\otimes} L$, there are isomorphisms

$$\pi_{n+1}(\mathrm{Map}(X, Y_\mathbb{Q}), f) \cong H_n(A \hat{\otimes} L^\tau), \quad n \geq 0.$$

For $n \geq 1$ this is an isomorphism of rational vector spaces. For $n = 0$ it is an isomorphism of groups where the group structure on the right-hand side is given by the Campbell–Hausdorff formula. If $A \hat{\otimes} L$ is of finite type, then the Chevalley–Eilenberg construction $C^*((A \hat{\otimes} L)_{\geq 0}^\tau)$ is a Sullivan model for the connected component of the mapping space $\mathrm{Map}(X, Y_\mathbb{Q})$ that contains f .

Rational models for mapping spaces have been studied before by many authors; see, for instance, [3, 6, 8, 10, 11, 15, 21, 26] and the recent survey [32, §3.1]. The approach presented here has the advantage that one gets explicit and computable L_∞ -algebra models for the connected components that are expressed in terms of natural constructions on models for the source and target — tensoring, twisting by a Maurer–Cartan element, and truncating. We have included a number of examples

in the last section to illustrate this point. During the preparation of this paper, we learned that variants of Theorem 1.5 have been obtained independently by Buijs, Félix, and Murillo [12] and Lazarev [25, §8], but using different methods. The main point with our approach is that Theorem 1.5 is essentially a corollary of our general results about Deligne–Getzler ∞ -groupoids. This suggests that Deligne–Getzler ∞ -groupoids should have a greater role to play in rational homotopy theory.

2. L_∞ -algebras

This section contains basic definitions and facts about L_∞ -algebras.

Graded vector spaces

We work over a ground field \mathbb{k} of characteristic 0. A graded vector space is a collection $V = \{V_i\}_{i \in \mathbb{Z}}$ of vector spaces over \mathbb{k} . We say that V is of *finite type* if each component V_i is finite dimensional. We say that it is *bounded below (above)* if $V_i = 0$ for $i \ll 0$ ($i \gg 0$), *bounded* if it is bounded below and above, and *finite dimensional* if it is bounded and of finite type. We use the convention that $V^i = V_{-i}$, and think of upper degrees as cohomological and lower degrees as homological. The graded vector space $\text{Hom}(V, W)$ is defined by $\text{Hom}(V, W)_i = \prod_{p+q=i} \text{Hom}(V^p, W_q)$. The dual graded vector space is defined by $V^\vee = \text{Hom}(V, \mathbb{k})$, where \mathbb{k} is viewed as a graded vector space concentrated in degree 0. The *n-fold suspension* $V[n]$ is defined by $V[n]_i = V_{i-n}$.

L_∞ -algebras

An L_∞ -algebra is a graded vector space \mathfrak{g} together with maps

$$\ell_r: \mathfrak{g}^{\otimes r} \rightarrow \mathfrak{g}, \quad x_1 \otimes \dots \otimes x_r \mapsto [x_1, \dots, x_r],$$

of degree $r - 2$, for all $r \geq 1$, satisfying the following axioms:

- (Anti-symmetry) $[\dots, x, y, \dots] = -(-1)^{|x||y|}[\dots, y, x, \dots]$.
- (Generalized Jacobi identities) For every $n \geq 1$ and all $x_1, \dots, x_n \in \mathfrak{g}$,

$$\sum_{p=1}^n \sum_{\sigma} (-1)^\epsilon [[x_{\sigma_1}, \dots, x_{\sigma_p}], x_{\sigma_{p+1}}, \dots, x_{\sigma_n}] = 0,$$

where the inner sum is over all permutations σ of $\{1, \dots, n\}$ such that $\sigma_1 < \dots < \sigma_p$ and $\sigma_{p+1} < \dots < \sigma_n$. The sign is given by

$$\epsilon = p + \sum_{\substack{i < j, \\ \sigma_i > \sigma_j}} (|x_i||x_j| + 1).$$

We will write $\delta(x) = [x]$. For $n = 1, 2, 3$ the generalized Jacobi identities are equivalent to

$$\begin{aligned} \delta^2(x) &= 0, \\ \delta[x, y] &= [\delta x, y] + (-1)^{|x|}[x, \delta y], \\ [x, [y, z]] - [[x, y], z] - (-1)^{|x||y|}[y, [x, z]] &= \pm(\delta \ell_3 + \ell_3 \delta)(x \otimes y \otimes z). \end{aligned}$$

In particular, \mathfrak{g} has an underlying chain complex,

$$\cdots \longrightarrow \mathfrak{g}_1 \xrightarrow{\delta_1} \mathfrak{g}_0 \xrightarrow{\delta_0} \mathfrak{g}_{-1} \longrightarrow \cdots .$$

The binary bracket ℓ_2 satisfies the Jacobi identity up to homotopy, the ternary bracket ℓ_3 being a contracting homotopy. For this reason an L_∞ -algebra may be thought of as a Lie algebra “up to homotopy.”

An L_∞ -algebra concentrated in degree 0 is the same thing as an ordinary Lie algebra, because in this case $\ell_r = 0$ for $r \neq 2$ for degree reasons, and the generalized Jacobi identities reduce to the classical Jacobi identity. An L_∞ -algebra \mathfrak{g} is called *abelian* if $\ell_r = 0$ for $r \geq 2$. An abelian L_∞ -algebra is the same thing as a chain complex (\mathfrak{g}, δ) . An L_∞ -algebra is called *minimal* if its differential $\delta = \ell_1$ is zero. An L_∞ -algebra with $\ell_r = 0$ for $r \geq 3$ is the same thing as a differential graded Lie algebra $(\mathfrak{g}, \delta, [,])$.

The Chevalley–Eilenberg construction

There is an alternative and more compact definition of L_∞ -algebras. An L_∞ -structure on a graded vector space \mathfrak{g} is the same thing as a coderivation differential d on the symmetric coalgebra $\Lambda_c \mathfrak{g}[1]$. The relation between d and the brackets is given by the following: Write $d = d_1 + d_2 + \dots$, where d_r is the component of d that decreases word-length by $r - 1$. Each d_r is a coderivation and is therefore determined by its restriction $d_r : \Lambda_c^r \mathfrak{g}[1] \rightarrow \mathfrak{g}[1]$. The formula

$$d_r(sx_1 \dots sx_r) = \epsilon s[x_1, \dots, x_r],$$

where $\epsilon = |x_{r-1}| + |x_{r-3}| + \dots$, defines d in terms of the higher brackets and vice versa. The condition $d^2 = 0$ is equivalent to the generalized Jacobi identities for \mathfrak{g} . The *bar construction* of an L_∞ -algebra \mathfrak{g} is defined to be the cocommutative differential graded coalgebra

$$\mathcal{C}_*(\mathfrak{g}) = (\Lambda_c \mathfrak{g}[1], d).$$

A convenient feature of the compact definition of an L_∞ -algebra is that an L_∞ -morphism $f : \mathfrak{g} \rightarrow \mathfrak{h}$ may be defined simply as a morphism of cocommutative differential graded coalgebras $f : \mathcal{C}_*(\mathfrak{g}) \rightarrow \mathcal{C}_*(\mathfrak{h})$. When written out, an L_∞ -morphism $f : \mathfrak{g} \rightarrow \mathfrak{h}$ corresponds to a collection of maps,

$$f_n : \mathfrak{g}^{\otimes n} \rightarrow \mathfrak{h}, \quad n \geq 1,$$

of degree $n - 1$ satisfying certain compatibility conditions. In particular, $f_1 : \mathfrak{g} \rightarrow \mathfrak{h}$ is a morphism of chain complexes. We say that f is a quasi-isomorphism if f_1 is. If \mathfrak{g} is a differential graded Lie algebra, then $\mathcal{C}_*(\mathfrak{g})$ coincides with the classical construction due to Quillen [30, Appendix B]. The *Chevalley–Eilenberg construction* $C^*(\mathfrak{g})$ is by definition the dual commutative differential graded algebra $C^*(\mathfrak{g}) = \mathcal{C}_*(\mathfrak{g})^\vee$. If L is of finite type and non-negatively graded, then $C^*(L)$ may be identified with a commutative differential graded algebra (cdga) of the form $(\Lambda V, d)$, where ΛV denotes the free graded commutative algebra on the graded vector space $V = L[1]^\vee$. In fact, the Chevalley–Eilenberg construction gives a one-to-one correspondence

$$\left\{ \begin{array}{l} \text{Finite type } L_\infty\text{-algebras } L \\ \text{with } L = L_{\geq 0} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Finite type cdgas } (\Lambda V, d) \\ \text{with } V = V^{\geq 1} \end{array} \right\}.$$

Nilpotent L_∞ -algebras

Let \mathfrak{g} be an L_∞ -algebra. We define a *compatible filtration* to be a descending filtration, $\mathfrak{g} = F^1\mathfrak{g} \supseteq F^2\mathfrak{g} \supseteq \dots$, such that

$$[F^{i_1}\mathfrak{g}, \dots, F^{i_r}\mathfrak{g}] \subseteq F^{i_1+\dots+i_r}\mathfrak{g} \tag{1}$$

for all $r \geq 1$ and all i_1, \dots, i_r . Every \mathfrak{g} admits at least one compatible filtration—for instance, the constant one with $F^i\mathfrak{g} = \mathfrak{g}$ for all i . Moreover, the level-wise intersection of any family of compatible filtrations is again compatible. It follows that there exists a smallest compatible filtration—namely, the intersection of all compatible filtrations. We denote this by

$$\mathfrak{g} = \Gamma^1\mathfrak{g} \supseteq \Gamma^2\mathfrak{g} \supseteq \dots$$

and call it the *lower central series* of \mathfrak{g} . Concretely, $\Gamma^k\mathfrak{g}$ is spanned by all possible bracket expressions one can form using at least k elements from \mathfrak{g} . If \mathfrak{g} is an ordinary Lie algebra, then $\{\Gamma^k\mathfrak{g}\}_k$ is the ordinary lower central series.

Definition 2.1. Let \mathfrak{g} be an L_∞ -algebra. We say that \mathfrak{g} is

1. *nilpotent* if $\Gamma^k\mathfrak{g} = 0$ for some k ,
2. *degree-wise nilpotent* if for every n there is a k such that $(\Gamma^k\mathfrak{g})_n = 0$.

In other words, \mathfrak{g} is (degree-wise) nilpotent if the lower central series eventually terminates at 0 (degree-wise).

Evidently, every nilpotent L_∞ -algebra is degree-wise nilpotent. The converse is not true. In fact, every positively graded L_∞ -algebra is automatically degree-wise nilpotent, but of course not nilpotent in general. The reader may check that a non-negatively graded Lie algebra L is degree-wise nilpotent if and only if L_0 is a nilpotent Lie algebra and L_n is a nilpotent L_0 -module for every n . In Theorem 2.3 we will see that non-negatively graded degree-wise nilpotent L_∞ -algebras of finite type correspond exactly to Sullivan models for nilpotent topological spaces of finite \mathbb{Q} -type. Thus, the notion of degree-wise nilpotence is closely related to the notion of nilpotence for topological spaces.

Remark 2.2. We warn the reader that the “lower central series” defined in [17] is not a filtration: it is defined by $F^1\mathfrak{g} = \mathfrak{g}$ and, for $i > 1$,

$$F^i\mathfrak{g} = \sum_{i_1+\dots+i_k=i} [F^{i_1}\mathfrak{g}, \dots, F^{i_k}\mathfrak{g}].$$

For instance, if \mathfrak{g} has trivial binary bracket but non-trivial ternary bracket, then $F^2\mathfrak{g} \not\supseteq F^3\mathfrak{g}$. However, the definition of nilpotence given in [17] (stating that \mathfrak{g} is nilpotent if $F^i\mathfrak{g} = 0$ for all $i \gg 0$) makes sense and agrees with our definition.

Degree-wise nilpotent L_∞ -algebras and Sullivan algebras

Recall [14, §12] that a *Sullivan algebra* is a cdga of the form $(\Lambda V, d)$, where V is a graded vector space that is concentrated in positive cohomological degrees and that admits a filtration,

$$0 = V(-1) \subseteq V(0) \subseteq V(1) \subseteq \dots \subseteq V, \quad V = \bigcup V(k),$$

such that $dV(k) \subseteq \Lambda V(k-1)$ for all k . It is called *minimal* if the differential is decomposable in the sense that $d(V) \subseteq \Lambda^+V \cdot \Lambda^+V$.

Theorem 2.3. *The Chevalley–Eilenberg construction gives a bijection*

$$\left\{ \begin{array}{l} \text{Degree-wise nilpotent, finite-type} \\ L_\infty\text{-algebras } L \text{ with } L = L_{\geq 0} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Finite-type Sullivan algebras} \\ (\Lambda V, d) \text{ with } V = V^{\geq 1} \end{array} \right\}.$$

Minimal L_∞ -algebras correspond to minimal Sullivan algebras.

Proof. We may identify $C^*(L) = (\Lambda V, d)$ where $V = L[1]^\vee$. Clearly, $V = V^{\geq 1}$ if and only if $L = L_{\geq 0}$. Suppose we have a filtration $V(k)$ of V that exhibits $(\Lambda V, d)$ as a Sullivan algebra. Since V is of finite type, we may without loss of generality assume that each $V(k)$ is finite dimensional (if this is not the case, then we can work with the filtration $W(k) = V(0)^{\leq k} + V(1)^{\leq k-2} + V(2)^{\leq k-4} + \dots$ instead). If we let $F^k L \subseteq L = V[1]^\vee$ be the annihilator of $V(k)[1]$, then we get a decreasing filtration $L = F^{-1}L \supseteq F^0L \supseteq F^1L \supseteq \dots$. The condition $dV(k+1) \subseteq \Lambda V(k)$ translates into the condition

$$\ell_n(F^k L, L, \dots, L) \subseteq F^{k+1} L, \quad \text{for all } k \text{ and all } n \geq 1. \tag{2}$$

In particular, $F^k L$ is an L_∞ -ideal. Each quotient $L/F^k L$ is finite dimensional because $V(k)$ is assumed to be finite dimensional. This implies that $(L/F^k L)_{>p} = 0$ for some p . Since $L = L_{\geq 0}$, it follows that all brackets of arity $> p + 2$ vanish in $L/F^k L$. This together with (2) implies that the L_∞ -algebra $L/F^k L$ is nilpotent. In other words, for every k there is an r_k such that $\Gamma^{r_k} L \subseteq F^k L$. Since V is the union of all $V(k)$ and V is of finite type, we have that for every p there is a k such that $V(k)[1]^p = V[1]^p$, or, equivalently, $(F^k L)_p = 0$. Hence, $(\Gamma^{r_k} L)_p = 0$ for this k , which shows that L is degree-wise nilpotent.

Conversely, assume that L is degree-wise nilpotent. Define a descending filtration $F^k L$ by setting

$$F^{2i-1} L = \Gamma^i L, \quad F^{2i} L = \Gamma^i L \cap d^{-1}(\Gamma^{i+1} L).$$

Then (2) is satisfied. (Note that (2) could be violated for $n = 1$ if one were to take $F^k L = \Gamma^k L$.) If we define $V(k) \subseteq V = L[1]^\vee$ to be the annihilator of $F^k L[1]$, then the condition (2) makes sure that $dV(k) \subseteq \Lambda V(k-1)$ for all k . The filtration $V(k)$ exhausts V because L is degree-wise nilpotent. \square

Maurer–Cartan elements

If \mathfrak{g} is a degree-wise nilpotent L_∞ -algebra the following is a finite sum for every $\tau \in \mathfrak{g}_{-1}$:

$$\mathcal{F}(\tau) = \sum_{k \geq 1} \frac{1}{k!} [\tau^{\wedge k}]. \tag{3}$$

Here, we write $[\tau^{\wedge k}]$ for $[\tau, \dots, \tau]$ (k copies of τ). If $\mathcal{F}(\tau) = 0$, then τ is called a *Maurer–Cartan element*, and we let $\text{MC}(\mathfrak{g})$ denote the set of all such elements. Given $\tau \in \text{MC}(\mathfrak{g})$ the formula

$$[\alpha_1, \dots, \alpha_r]_\tau = \sum_{k \geq 0} \frac{1}{k!} [\tau^{\wedge k}, \alpha_1, \dots, \alpha_r], \quad r \geq 1,$$

defines a new L_∞ -algebra structure on the underlying graded vector space of \mathfrak{g} [17, Proposition 4.4]. Denote this L_∞ -algebra by \mathfrak{g}^τ .

Extension of scalars

Given a commutative differential graded algebra (cdga) A and an L_∞ -algebra \mathfrak{g} , we can extend scalars and form a new L_∞ -algebra $A \otimes \mathfrak{g}$ with differential and brackets defined by

$$\delta(x \otimes \alpha) = d_A(x) \otimes \alpha + (-1)^{|x|} x \otimes d_{\mathfrak{g}}(\alpha),$$

$$[x_1 \otimes \alpha_1, \dots, x_r \otimes \alpha_r] = (-1)^{\sum_{i < j} |\alpha_i| |x_j|} x_1 \dots x_r \otimes [\alpha_1, \dots, \alpha_r], \quad r \geq 2.$$

Similarly, we may endow $\mathfrak{g} \otimes A$ with an L_∞ -algebra structure.

3. Getzler’s ∞ -groupoid $\gamma_\bullet(\mathfrak{g})$

In this section we will review some basic properties of the nerve $\text{MC}_\bullet(\mathfrak{g})$ and the Deligne–Getzler ∞ -groupoid $\gamma_\bullet(\mathfrak{g})$ associated to a nilpotent L_∞ -algebra \mathfrak{g} .

Let Ω_\bullet denote the simplicial cdga with n -simplices

$$\Omega_n = \frac{\mathbb{k}[t_0, \dots, t_n] \otimes \Lambda(dt_0, \dots, dt_n)}{(\sum_i t_i - 1, \sum_i dt_i)}, \quad |t_i| = 0, |dt_i| = 1.$$

For a non-decreasing map $\varphi: [n] \rightarrow [m]$, the morphism of cdgas $\varphi^*: \Omega_m \rightarrow \Omega_n$ is determined by the formula $\varphi^*(t_i) = \sum_{j \in \varphi^{-1}(i)} t_j$. Note that Ω_n is not finite dimensional, but it is bounded. Indeed, $\Omega_n^i = 0$ unless $0 \leq i \leq n$.

Definition 3.1 ([17, 24]). The *nerve* of a nilpotent L_∞ -algebra \mathfrak{g} is the simplicial set

$$\text{MC}_\bullet(\mathfrak{g}) = \text{MC}(\mathfrak{g} \otimes \Omega_\bullet).$$

Getzler defines $\gamma_\bullet(\mathfrak{g})$ as a certain subcomplex of $\text{MC}_\bullet(\mathfrak{g})$. To state the definition we need to introduce some notation. For each n , the *elementary differential forms*,

$$\omega_{i_0, \dots, i_k} = k! \sum_{j=0}^k (-1)^j t_{i_j} dt_{i_0} \dots \widehat{dt_{i_j}} \dots dt_{i_k} \in \Omega_n^k, \quad 0 \leq i_0, \dots, i_k \leq n,$$

span a finite-dimensional subcomplex $C_n \subseteq \Omega_n$. The cochain complex C_n is isomorphic to the normalized cochain complex of the standard n -simplex. These assemble into an inclusion of simplicial cochain complexes $C_\bullet \subseteq \Omega_\bullet$. By [13, 17] there is a projection $P_\bullet: \Omega_\bullet \rightarrow C_\bullet$ and a “gauge” $s_\bullet: \Omega_\bullet^* \rightarrow \Omega_\bullet^{*-1}$ such that

$$1 - P_\bullet = ds_\bullet + s_\bullet d, \quad s_\bullet^2 = 0.$$

Definition 3.2 (Getzler [17]). Let \mathfrak{g} be a nilpotent L_∞ -algebra. The simplicial set $\gamma_\bullet(\mathfrak{g})$ is defined by

$$\gamma_\bullet(\mathfrak{g}) = \{\alpha \in \text{MC}_\bullet(\mathfrak{g}) \mid s_\bullet \alpha = 0\} \subseteq \text{MC}_\bullet(\mathfrak{g}).$$

According to [17, Corollary 5.11], the inclusion of $\gamma_\bullet(\mathfrak{g})$ into $\text{MC}_\bullet(\mathfrak{g})$ is a homotopy equivalence, so these simplicial sets are indistinguishable from the point of view of homotopy theory. However, $\gamma_\bullet(\mathfrak{g})$ has some remarkable additional features. In Lie

theory one associates a group G to a nilpotent Lie algebra \mathfrak{g} by way of the *Campbell–Hausdorff formula*: as sets $G = \mathfrak{g}$, and the multiplication is given by

$$\alpha \cdot \beta = \log(e^\alpha e^\beta) = \alpha + \beta + \frac{1}{2}[\alpha, \beta] + \frac{1}{12}[\alpha, [\alpha, \beta]] + \frac{1}{12}[[\alpha, \beta], \beta] + \cdots;$$

see, e.g., [31]. It turns out that $\gamma_\bullet(\mathfrak{g})$ is isomorphic to the nerve of the group G in this situation. For general nilpotent L_∞ -algebras, $\gamma_\bullet(\mathfrak{g})$ is an ∞ -groupoid—in particular, a Kan complex—and Getzler’s iterative formulas for the horn fillers may be interpreted as generalized Campbell–Hausdorff formulas. The construction may also be viewed as a non-abelian version of the Dold–Kan construction (see [17, Proposition 5.1]).

4. The homotopy groups of $\gamma_\bullet(\mathfrak{g})$

This section contains the proof of Theorem 1.1 and is the core of the paper. For \mathfrak{g} a nilpotent L_∞ -algebra, we will define the natural map

$$B_n^\tau: H_n(\mathfrak{g}^\tau) \rightarrow \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), \tau), \quad n \geq 0,$$

and prove that it is an isomorphism. We first deal with the case $\tau = 0$. Then in Proposition 4.9 we show how to reduce everything to this case. Finally, we explain how to extend the results to degree-wise nilpotent L_∞ -algebras.

As mentioned in the previous section, $\gamma_\bullet(\mathfrak{g})$ is a Kan complex. Recall that elements $[\alpha]$ of the n th homotopy group $\pi_n(X, v)$ of a Kan complex X , based at a vertex $v \in X_0$, are represented by n -simplices $\alpha \in X_n$ such that $\partial_i \alpha = v$ for all i (where we interpret v as a degenerate $(n - 1)$ -simplex). Two n -simplices α and β represent the same homotopy class if and only if there is an $(n + 1)$ -simplex ω such that $\partial \omega = (v, \dots, v, \alpha, \beta)$. Here we use the notation $\partial \omega = (\partial_0 \omega, \dots, \partial_{n+1} \omega)$. For $n \geq 1$, the group structure on $\pi_n(X, v)$ is given by $[\alpha] \cdot [\beta] = [\partial_n \omega]$, where ω is any $(n + 1)$ -simplex with boundary $\partial \omega = (v, \dots, v, \alpha, -, \beta)$, the existence of which is guaranteed by the Kan condition. More generally, for every $(n + 1)$ -simplex ω such that $\partial_j \partial_i \omega = v$ for all i, j , we have the homotopy addition theorem, which says that

$$\begin{aligned} [\partial_0 \omega] - [\partial_1 \omega] + [\partial_2 \omega] - \cdots + (-1)^{n+1} [\partial_{n+1} \omega] &\in \pi_n(X, v), \quad n \geq 2, \\ [\partial_0 \omega][\partial_2 \omega] &= [\partial_1 \omega] \in \pi_1(X, v), \quad n = 1; \end{aligned}$$

see [18, Theorem III.3.13].

Definition and fundamental properties of the map B_n

To simplify notation, let $\pi_n(\mathfrak{g}) = \pi_n(\gamma_\bullet(\mathfrak{g}), 0)$ for $n \geq 1$ and $\pi_0(\mathfrak{g}) = \pi_0(\gamma_\bullet(\mathfrak{g}))$. Let

$$\omega_{i_0, \dots, i_k}^n = k! \sum_{j=0}^k (-1)^j t_{i_j} dt_{i_0} \cdots \widehat{dt_{i_j}} \cdots dt_{i_k} \in \Omega_n^k.$$

To simplify notation further we will write

$$\begin{aligned} \omega^n &= \omega_{0 \dots n}^n = n! dt_1 \cdots dt_n, \\ \omega_{\widehat{r}}^n &= \omega_{0 \dots \widehat{r} \dots n}^n, \end{aligned}$$

and we will drop the superscript when it is clear from the context. We note that the simplicial faces of $\omega_{\widehat{r}}$ are given by $\partial_i(\omega_{\widehat{r}}) = \omega$ if $i = r$ and zero otherwise. Furthermore,

with respect to the de Rham differential, we have that $d\omega_{\hat{r}} = (-1)^r\omega$.

Definition 4.1. Let \mathfrak{g} be a nilpotent L_∞ -algebra. Define

$$B_n: H_n(\mathfrak{g}) \rightarrow \pi_{n+1}(\mathfrak{g}), \quad B_n[\alpha] = [\alpha \otimes \omega^{n+1}].$$

Proposition 4.2. *The map $B_n: H_n(\mathfrak{g}) \rightarrow \pi_{n+1}(\mathfrak{g})$ is well defined for all $n \geq 0$.*

Proof. First of all, $\alpha \otimes \omega$ is a Maurer–Cartan element: Since $\delta\alpha = 0$ and $d\omega = 0$, we have $(d + \delta)(\alpha \otimes \omega) = 0$. Since $\omega\omega = 0$, we have $[(\alpha \otimes \omega)^{\wedge \ell}] = 0$ for all $\ell \geq 2$.

Suppose that $[\alpha] = [\beta] \in H_n(\mathfrak{g})$, say $\delta\chi = \alpha - \beta$ where $\chi \in \mathfrak{g}_{n+1}$. Then consider the degree -1 element

$$\begin{aligned} \lambda_0 &:= (d + \delta)(\chi \otimes \omega_{\hat{2}} - (-1)^n \alpha \otimes \omega_{\hat{0}\hat{2}} - (-1)^n \beta \omega_{\hat{1}\hat{2}}) \\ &= \alpha \otimes \omega_{\hat{0}} + \beta \otimes \omega_{\hat{1}} - (-1)^n \chi \otimes \omega. \end{aligned}$$

The simplicial boundary of λ_0 is

$$\partial\lambda_0 = (\alpha \otimes \omega, \beta \otimes \omega, 0, \dots, 0). \quad (4)$$

It is obvious that $(d + \delta)\lambda_0 = 0$ and that $[\lambda_0^{\wedge \ell}] = 0$ for all $\ell \geq 3$. If $n > 0$ or one of α or β is zero, then also $[\lambda_0, \lambda_0] = 0$, so that $\lambda_0 \in \gamma_{n+2}(\mathfrak{g})$ in these cases. Then (4) shows that $[\alpha \otimes \omega] = [\beta \otimes \omega] \in \pi_{n+1}(\mathfrak{g})$. In the remaining case $n = 0$ and $\alpha, \beta \neq 0$, we have that

$$[\lambda_0, \lambda_0] = -[\alpha, \beta] \otimes t_2\omega_{012}, \quad (5)$$

so λ_0 is not necessarily a Maurer–Cartan element in this case. However, using [17, Lemma 5.3], we can find an element $\lambda \in \gamma_2(\mathfrak{g})$ with the property that $\epsilon^2\lambda = 0$, $P_2R^2\lambda = \lambda_0$ and

$$\partial\lambda = (\alpha \otimes \omega, \beta \otimes \omega, \partial_2\lambda). \quad (6)$$

We will argue that $[\partial_2\lambda] = 0 \in \pi_1(\mathfrak{g})$. Then (6) shows that $[\alpha \otimes \omega] = [\beta \otimes \omega] \in \pi_1(\mathfrak{g})$.

The element λ is obtained as a limit $\lambda = \lim_{k \rightarrow \infty} \lambda_k$ where the elements λ_k are defined iteratively by

$$\lambda_{k+1} = \lambda_0 - \sum_{\ell \geq 2} \frac{1}{\ell!} F[\lambda_k^{\wedge \ell}], \quad k \geq 0, \quad (7)$$

where $F = P_2h_2^2 + s_2: \Omega_2^* \rightarrow \Omega_2^{*-1}$.

Observe that since $[\alpha, \beta] = \delta[\chi, \beta]$, (5) shows that $[\lambda_0, \lambda_0]$ is a δ -boundary. Moreover, by definition $\lambda_0 \in (Z_0(\mathfrak{g}) \otimes \Omega_2^1) \oplus (\mathfrak{g}_1 \otimes \Omega_2^2)$. Assume by induction that

- $[\lambda_k, \lambda_k]$ and $\lambda_k - \lambda_0$ are δ -boundaries, and
- $\lambda_k \in (Z_0(\mathfrak{g}) \otimes \Omega_2^1) \oplus (\mathfrak{g}_1 \otimes \Omega_2^2)$.

Then it follows that the same is true for λ_{k+1} . Indeed, for degree reasons $[\lambda_k^{\wedge \ell}] = 0$ for $\ell \geq 3$, so the iterative formula (7) reduces to

$$\lambda_{k+1} = \lambda_0 - \frac{1}{2} F[\lambda_k, \lambda_k].$$

This implies that $\lambda_{k+1} \in (Z_0(\mathfrak{g}) \otimes \Omega_2^1) \oplus (\mathfrak{g}_1 \otimes \Omega_2^2)$ and that $\lambda_{k+1} - \lambda_0$ is a δ -boundary. The identity

$$[\lambda_{k+1}, \lambda_{k+1}] = [\lambda_0, \lambda_0] + [\lambda_{k+1} - \lambda_0, \lambda_{k+1} + \lambda_0]$$

together with the facts that $\lambda_{k+1} - \lambda_0$ and $[\lambda_0, \lambda_0]$ are δ -boundaries and that $\lambda_k +$

$\lambda_0 \in (Z_0(\mathfrak{g}) \otimes \Omega_2^1) \oplus (\mathfrak{g}_1 \otimes \Omega_2^2)$ imply that $[\lambda_{k+1}, \lambda_{k+1}]$ is a δ -boundary. This finishes the inductive step.

It follows that $\lambda - \lambda_0$ is a δ -boundary. Since $\partial_2 \lambda_0 = 0$, this implies that $\partial_2 \lambda$ is a δ -boundary. But since $\partial_2 \lambda \in \gamma_1(\mathfrak{g})$ and $\partial_2 \lambda \in Z_0(\mathfrak{g}) \otimes \Omega_1^1$, this implies that $\partial_2 \lambda = \xi \otimes \omega_{01}$ for some δ -boundary $\xi \in \mathfrak{g}_0$. It follows from the first part of the proof that $[\partial_2 \lambda] = 0 \in \pi_1(\mathfrak{g})$. \square

Proposition 4.3. *The map $B_n: H_n(\mathfrak{g}) \rightarrow \pi_{n+1}(\mathfrak{g})$ is a homomorphism of abelian groups for $n \geq 1$.*

Proof. Let $\alpha, \beta \in \mathfrak{g}_n$ be two cycles. We need to show that

$$[(\alpha + \beta) \otimes \omega] = [\alpha \otimes \omega] + [\beta \otimes \omega] \in \pi_{n+1}(\mathfrak{g}).$$

This means that we have to find an element $\lambda \in \gamma_{n+2}(\mathfrak{g})$ with simplicial boundary

$$\partial \lambda = (\alpha \otimes \omega, (\alpha + \beta) \otimes \omega, \beta \otimes \omega, 0, \dots, 0).$$

We claim that

$$\lambda = \alpha \otimes \omega_{\hat{0}} + (\alpha + \beta) \otimes \omega_{\hat{1}} + \beta \otimes \omega_{\hat{2}}$$

satisfies the requirements. Indeed, λ has the correct simplicial boundary. One calculates that $(d + \delta)\lambda = 0$, and since $n \geq 1$, we have that $[\lambda^{\wedge \ell}] = 0$ for $\ell \geq 2$ for degree reasons. Therefore, $\lambda \in \gamma_{n+2}(\mathfrak{g})$. \square

If \mathfrak{g} is a nilpotent L_∞ -algebra, then the zeroth homology $H_0(\mathfrak{g})$ is a nilpotent Lie algebra, and it can be given a group structure via the Campbell–Hausdorff formula.

Proposition 4.4. *The map $B_0: H_0(\mathfrak{g}) \rightarrow \pi_1(\mathfrak{g})$ is a group homomorphism when $H_0(\mathfrak{g})$ is given the Campbell–Hausdorff group structure.*

Proof. Given cycles $\alpha, \beta \in \mathfrak{g}_0$, the product of $[\alpha \otimes \omega]$ and $[\beta \otimes \omega]$ in $\pi_1(\mathfrak{g})$ is represented by $\partial_1 \lambda$, where $\lambda \in \gamma_2(\mathfrak{g})$ has simplicial boundary

$$\partial \lambda = (\alpha \otimes \omega, \partial_1 \lambda, \beta \otimes \omega). \tag{8}$$

As in the proof of Proposition 4.3, consider the element

$$\begin{aligned} \lambda_0 &= (d + \delta)(\alpha \otimes \omega_2 - \beta \otimes \omega_0) \\ &= \alpha \otimes \omega_{12} + (\alpha + \beta) \otimes \omega_{02} + \beta \otimes \omega_{01}. \end{aligned}$$

It is not necessarily a Maurer–Cartan element, but by [17, Lemma 5.3] there is a unique element $\lambda \in \gamma_2(\mathfrak{g})$ such that $(d + \delta)h^1 \lambda = \lambda_0$. For degree reasons, the recursive formula [17, (5-20)] defining $\lambda = \lim_{k \rightarrow \infty} \lambda_k$ simplifies to

$$\lambda_{k+1} = \lambda_0 - \frac{1}{2}(P_2 h_2^1 + s_2)[\lambda_k, \lambda_k].$$

It follows that $\lambda \in \mathfrak{g}_0 \otimes \Omega_2^1$, whence $\partial_1 \lambda \in \mathfrak{g}_0 \otimes \Omega_1^1$. Since we also have $\partial_1 \lambda \in \gamma_1(\mathfrak{g})$, this element must be of the form

$$\partial_1 \lambda = \xi \otimes \omega_{01}$$

for some $\xi \in \mathfrak{g}_0$. The coefficient is determined by $\xi = I_{01}(\partial_1 \lambda) = I_{02}(\lambda)$. One finds that $I_{02}(\lambda)$ is given by the Campbell–Hausdorff formula; cf. [17, p. 296]. \square

Fibration sequences

Let $0 \rightarrow \mathfrak{g}' \xrightarrow{\mu} \mathfrak{g} \xrightarrow{\epsilon} \mathfrak{g}'' \rightarrow 0$ be a short exact sequence of nilpotent L_∞ -algebras. By [17, Theorem 5.10] there is an associated fibration sequence

$$\gamma_\bullet(\mathfrak{g}') \rightarrow \gamma_\bullet(\mathfrak{g}) \rightarrow \gamma_\bullet(\mathfrak{g}''),$$

whence a long exact sequence of homotopy groups

$$\cdots \rightarrow \pi_{n+2}(\mathfrak{g}'') \xrightarrow{\partial^\pi} \pi_{n+1}(\mathfrak{g}') \rightarrow \pi_{n+1}(\mathfrak{g}) \rightarrow \pi_{n+1}(\mathfrak{g}'') \rightarrow \cdots .$$

On the other hand, the short exact sequence also induces a long exact sequence in homology

$$\cdots \rightarrow H_{n+1}(\mathfrak{g}'') \xrightarrow{\partial^H} H_n(\mathfrak{g}') \rightarrow H_n(\mathfrak{g}) \rightarrow H_n(\mathfrak{g}'') \rightarrow \cdots .$$

Proposition 4.5. *Let $0 \rightarrow \mathfrak{g}' \xrightarrow{\mu} \mathfrak{g} \xrightarrow{\epsilon} \mathfrak{g}'' \rightarrow 0$ be a short exact sequence of nilpotent L_∞ -algebras. The diagram*

$$\begin{array}{ccc} H_n(\mathfrak{g}'') & \xrightarrow{\partial^H} & H_{n-1}(\mathfrak{g}') \\ \downarrow (-1)^n B_n & & \downarrow B_{n-1} \\ \pi_{n+1}(\mathfrak{g}'') & \xrightarrow{\partial^\pi} & \pi_n(\mathfrak{g}') \end{array} \tag{9}$$

commutes for all $n \geq 1$. If \mathfrak{g}' is abelian, then it commutes for $n = 0$ as well.

Proof. For a cycle $\alpha'' \in \mathfrak{g}''_n$ the class $\partial^H[\alpha'']$ is represented by any cycle $\beta' \in \mathfrak{g}'_{n-1}$ such that

$$\begin{array}{ccc} \alpha & \xrightarrow{\epsilon_n} & \alpha'' \\ \downarrow \delta & & \downarrow \delta \\ \beta' & \xrightarrow{\mu_{n-1}} & \beta \xrightarrow{\epsilon_{n-1}} 0. \end{array}$$

If we chase $[\alpha''] \in H_n(\mathfrak{g}'')$ around the diagram (9), we get the following picture:

$$\begin{array}{ccc} [\alpha''] & \xrightarrow{\partial^H} & [\beta'] \\ \downarrow (-1)^n B_n & & \downarrow B_{n-1} \\ (-1)^n [\alpha'' \otimes \omega^{n+1}] & \xrightarrow{\partial^\pi} & [\beta' \otimes \omega^n]. \end{array}$$

To compute $\partial^\pi(-1)^n[\alpha'' \otimes \omega^{n+1}]$ we need to find a lift λ in the following diagram:

$$\begin{array}{ccc} \Lambda^0[n+1] & \xrightarrow{(-,0,\dots,0)} & \gamma_\bullet(\mathfrak{g}) \\ \downarrow & \nearrow \lambda & \downarrow \\ \Delta[n+1] & \xrightarrow{(-1)^n \alpha'' \otimes \omega^{n+1}} & \gamma_\bullet(\mathfrak{g}''). \end{array}$$

Then $\partial_0 \lambda$ is an element in $\gamma_n(\mathfrak{g}')$ that represents $\partial^\pi(-1)^n[\alpha'' \otimes \omega^{n+1}]$; cf. [18, Ch. I.7].

We claim that

$$\lambda := (d + \delta)(\alpha \otimes \omega_{\widehat{\mathfrak{g}}}) = \beta \otimes \omega_{\widehat{\mathfrak{g}}} + (-1)^n \alpha \otimes \omega^{n+1}$$

can be chosen as a lift. Indeed, λ is a Maurer–Cartan element because $(d + \delta)\lambda = 0$ and $[\lambda^{\wedge \ell}] = 0$ for $\ell \geq 2$ and $n \geq 1$ for degree reasons. Furthermore, we clearly have $\epsilon(\lambda) = (-1)^n \alpha'' \otimes \omega^{n+1}$ and $\partial_i \lambda = 0$ for $0 < i \leq n + 1$. It follows that $\partial^\pi (-1)^n [\alpha'' \otimes \omega^{n+1}]$ is represented by $\partial_0 \lambda = \beta \otimes \omega^n$. Thus, $\partial^\pi B_n = (-1)^n B_n \partial^H$. \square

Theorem 4.6. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. The map*

$$B_n : H_n(\mathfrak{g}) \rightarrow \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), 0)$$

is an isomorphism of groups for all $n \geq 0$, where $H_0(\mathfrak{g})$ is given the Campbell–Hausdorff group structure.

Proof. For abelian \mathfrak{g} this follows from the fact that $\gamma_\bullet(\mathfrak{g})$ is isomorphic to the Dold–Kan construction on the suspended chain complex $\mathfrak{g}[1]$ [17, Proposition 5.1]. For nilpotent \mathfrak{g} the claim follows from the five lemma by repeated application of Proposition 4.5 to the exact sequences

$$0 \rightarrow \Gamma^k \mathfrak{g} / \Gamma^{k+1} \mathfrak{g} \rightarrow \mathfrak{g} / \Gamma^{k+1} \mathfrak{g} \rightarrow \mathfrak{g} / \Gamma^k \mathfrak{g} \rightarrow 0.$$

Note that in order for the five lemma to go through for $n = 0$, it is crucial for B_0 to be a homomorphism with respect to a group structure where 0 is the identity element. It is also important that $\Gamma^k \mathfrak{g} / \Gamma^{k+1} \mathfrak{g}$ is abelian so that B_{-1} is defined. \square

Remark 4.7. The verifications of the basic properties of the map B_n are surprisingly technical. The referee has suggested a possible alternative approach, whereby one observes that there is a natural quasi-isomorphism of abelian L_∞ -algebras,

$$\beta_n : \mathfrak{g}[-n - 1] \rightarrow \Omega^{n+1} \mathfrak{g}, \quad \alpha \mapsto \alpha \otimes \omega^{n+1},$$

where $\Omega^{n+1} \mathfrak{g}$ consists of all $\chi \in \mathfrak{g} \otimes \Omega_{n+1}$ with simplicial boundary $\partial \chi = 0$. The referee suggests that there should exist a weak equivalence

$$v : \text{MC}_\bullet(\Omega^{n+1} \mathfrak{g}) \sim \Omega^{n+1} \text{MC}_\bullet(\mathfrak{g})$$

such that the map B_n factors as

$$H_n(\mathfrak{g}) \cong \pi_0 \text{MC}_\bullet(\mathfrak{g}[-n - 1]) \xrightarrow[\cong]{(\beta_n)_*} \pi_0 \text{MC}_\bullet(\Omega^{n+1} \mathfrak{g}) \xrightarrow[\cong]{v_*} \pi_0 \Omega^{n+1} \text{MC}_\bullet(\mathfrak{g}) \cong \pi_{n+1}(\mathfrak{g}).$$

We invite the interested reader to investigate this alternative approach.

The components of $\gamma_\bullet(\mathfrak{g})$

So far, we have only been concerned with the homotopy groups of $\gamma_\bullet(\mathfrak{g})$ at the base-point 0. The argument given above can be adapted to work for an arbitrary base-point $\tau \in \text{MC}(\mathfrak{g})$. Alternatively, the following will reduce everything to the base-point 0. Recall the definition of the twisted L_∞ -algebra \mathfrak{g}^τ from §2.

Lemma 4.8. *Let \mathfrak{g} be a nilpotent L_∞ -algebra, and let $\tau \in \text{MC}(\mathfrak{g})$. Then*

$$\text{MC}(\mathfrak{g}^\tau) = \{\sigma \in \mathfrak{g}_{-1} \mid \sigma + \tau \in \text{MC}(\mathfrak{g})\}.$$

Proof. After writing them out, the conditions $\sigma \in \text{MC}(\mathfrak{g}^\tau)$ and $\sigma + \tau \in \text{MC}(\mathfrak{g})$ both turn out to be equivalent to

$$\sum_{n,k \geq 0} \frac{1}{n!k!} [\sigma^{\wedge n}, \tau^{\wedge k}] = 0,$$

where an empty bracket is interpreted as zero. □

If v is a vertex of a Kan complex X , then we let X_v denote the simplicial subset consisting of the simplices all of whose vertices are v . In other words, an n -simplex x belongs to X_v if and only if $\partial_1^{n-i} \partial_0^i x = v$ for all $0 \leq i \leq n$. It is easy to see that the simplicial set X_v is a Kan complex. Moreover, it is *reduced* in the sense that it has only one vertex. The inclusion $X_v \subseteq X$ induces a homotopy equivalence between X_v and the connected component of X that contains v .

Proposition 4.9. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. For every Maurer–Cartan element τ in \mathfrak{g} , there is an isomorphism of reduced Kan complexes*

$$\gamma_\bullet(\mathfrak{g})_\tau \cong \gamma_\bullet(\mathfrak{g}^\tau)_0.$$

Proof. Since, evidently, $\mathfrak{g}^\tau \otimes \Omega_n = (\mathfrak{g} \otimes \Omega_n)^{\tau \otimes 1}$, it follows from Lemma 4.8 that n -simplices x of $\gamma_\bullet(\mathfrak{g}^\tau)_0$ correspond bijectively to n -simplices $\tau \otimes 1 + x$ of $\gamma_\bullet(\mathfrak{g})_\tau$, and it is obvious that this bijection respects face and degeneracy maps. □

Theorem 4.10. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. For every Maurer–Cartan element τ in \mathfrak{g} and every $n \geq 0$, the map*

$$B_n^\tau: H_n(\mathfrak{g}^\tau) \rightarrow \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), \tau), \quad B_n^\tau[\alpha] = [\tau \otimes 1 + \alpha \otimes \omega^{n+1}],$$

is an isomorphism of groups, where $H_0(\mathfrak{g}^\tau)$ is given a group structure via the Campbell–Hausdorff formula.

Proof. This follows by combining Theorem 5.5 and Proposition 4.9. □

Define the *truncation* $\mathfrak{g}_{\geq 0}$ of an L_∞ -algebra \mathfrak{g} to be the chain complex

$$\cdots \rightarrow \mathfrak{g}_2 \xrightarrow{\delta_2} \mathfrak{g}_1 \xrightarrow{\delta_1} \ker(\delta_0) \rightarrow 0 \rightarrow \cdots .$$

As the reader may check, the truncation $\mathfrak{g}_{\geq 0}$ is in fact an L_∞ -subalgebra of \mathfrak{g} .

Corollary 4.11. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. For every Maurer–Cartan element τ , the inclusion of $(\mathfrak{g}^\tau)_{\geq 0}$ into \mathfrak{g}^τ induces a homotopy equivalence of reduced Kan complexes*

$$\gamma_\bullet((\mathfrak{g}^\tau)_{\geq 0}) \xrightarrow{\cong} \gamma_\bullet(\mathfrak{g})_\tau.$$

In particular, if \mathfrak{g} is of finite type, then $C^((\mathfrak{g}^\tau)_{\geq 0})$ is a Sullivan model for the connected component of $\gamma_\bullet(\mathfrak{g})$ that contains τ .*

Proof. By Proposition 4.9 we may assume that $\tau = 0$ without loss of generality. It is clear that the image of $\gamma_\bullet(\mathfrak{g}_{\geq 0}) \rightarrow \gamma_\bullet(\mathfrak{g})$ is contained in the simplicial subset $\gamma_\bullet(\mathfrak{g})_0$. We need to show that the resulting map, $\gamma_\bullet(\mathfrak{g}_{\geq 0}) \rightarrow \gamma_\bullet(\mathfrak{g})_0$, is a homotopy equivalence. Since both the source and target are reduced Kan complexes, this happens if and only if the map induces an isomorphism on π_n (at the unique base-point)

for all $n \geq 1$. Since $\mathfrak{g}_{\geq 0} \rightarrow \mathfrak{g}$ induces an isomorphism in homology in non-negative degrees, Theorem 5.5 together with naturality of the map B_n finishes the proof:

$$\begin{CD} H_n(\mathfrak{g}_{\geq 0}) @>B_n^{\cong}>> \pi_{n+1}(\mathfrak{g}_{\geq 0}) \\ @VV\cong V @VV V \\ H_n(\mathfrak{g}) @>B_n^{\cong}>> \pi_{n+1}(\mathfrak{g}). \end{CD}$$

The last statement follows from Proposition 6.1 below. □

Naturality

Let us be more explicit about the naturality properties of the map B_n^τ in Theorem 4.10. Given an L_∞ -morphism $f: \mathfrak{g} \rightarrow \mathfrak{h}$ between nilpotent L_∞ -algebras, there is an induced map $f_*: \text{MC}(\mathfrak{g}) \rightarrow \text{MC}(\mathfrak{h})$ given by

$$f_*(\tau) = \sum_{n \geq 0} \frac{1}{n!} f_n(\tau^{\wedge n}).$$

Moreover, for each Maurer–Cartan element τ in \mathfrak{g} there is an induced L_∞ -morphism $f^\tau: \mathfrak{g}^\tau \rightarrow \mathfrak{h}^{f_*(\tau)}$ given by

$$f_n^\tau(x_1, \dots, x_n) = \sum_{\ell \geq 0} \frac{1}{\ell!} f_{n+\ell}(\tau^{\wedge \ell}, x_1, \dots, x_n).$$

Naturality means that the following diagram is commutative:

$$\begin{CD} H_n(\mathfrak{g}^\tau) @>B_n^\tau>> \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), \tau) \\ @VVH_n(f^\tau)V @VVf_*V \\ H_n(\mathfrak{h}^{f_*(\tau)}) @>B_n^{f_*(\tau)}>> \pi_{n+1}(\gamma_\bullet(\mathfrak{h}), f_*(\tau)). \end{CD}$$

We leave the verification to the reader.

Extension to degree-wise nilpotent L_∞ -algebras

Everything we have done in this section extends verbatim to degree-wise nilpotent L_∞ -algebras, because each calculation involves only a finite number of graded pieces at a time. More precisely, if \mathfrak{g} is degree-wise nilpotent, then so is $\mathfrak{g} \otimes \Omega_n$ for every n , because Ω_n is bounded. So we may form the simplicial sets $\text{MC}_\bullet(\mathfrak{g})$ and $\gamma_\bullet(\mathfrak{g})$ as before. Note that $\gamma_n(\mathfrak{g})$ only depends on $\mathfrak{g}_{-2}, \mathfrak{g}_{-1}, \dots, \mathfrak{g}_{n-1}$, because $\Omega_n^i = 0$ unless $0 \leq i \leq n$. For a fixed n , degree-wise nilpotence allows us to choose a k so that $(\Gamma^k \mathfrak{g})_i = 0$ for $-2 \leq i \leq n$. It follows that $\gamma_\bullet(\mathfrak{g}) \rightarrow \gamma_\bullet(\mathfrak{g}/\Gamma^k \mathfrak{g})$ is an isomorphism on n -skeleta. This shows that the homotopy groups of $\gamma_\bullet(\mathfrak{g})$ may be calculated by passing to suitable nilpotent quotients $\mathfrak{g}/\Gamma^k \mathfrak{g}$. Using this observation, it is easy to see that the proof of Theorem 4.10 goes through for degree-wise nilpotent \mathfrak{g} .

5. Complete L_∞ -algebras

In this section we will extend the definition of γ_\bullet to what we call *complete L_∞ -algebras*. This extension will be necessary for our applications to mapping spaces in

the next section—in particular, when the source is not a finite complex.

Definition 5.1. By a *complete L_∞ -algebra* we mean an L_∞ -algebra \mathfrak{g} together with a descending filtration of L_∞ -ideals, $\mathfrak{g} = F^1\mathfrak{g} \supseteq F^2\mathfrak{g} \supseteq \cdots$, such that:

1. Each quotient $\mathfrak{g}/F^r\mathfrak{g}$ is a nilpotent L_∞ -algebra.
2. The canonical map $\mathfrak{g} \rightarrow \varprojlim \mathfrak{g}/F^r\mathfrak{g}$ is an isomorphism. In other words, the topology defined by the filtration is complete and Hausdorff.

Maps of complete L_∞ -algebras are required to preserve filtrations.

Malcev Lie algebras in the sense of Quillen [30, Appendix A3], or complete Lie algebras in the sense of Papadima and Suciu [29, §5], are examples of complete L_∞ -algebras. Other examples are given by degree-wise nilpotent L_∞ -algebras; these are complete with respect to the lower central series filtration. For finite-type L_∞ -algebras, completeness is essentially the same thing as degree-wise nilpotence:

Proposition 5.2. *Every complete L_∞ -algebra of finite type is degree-wise nilpotent.*

Proof. If \mathfrak{g} is complete and of finite type, then the inverse system $\mathfrak{g}/F^r\mathfrak{g}$ must stabilize degree-wise. In other words, for every n there is an r such that $(F^r\mathfrak{g})_n = 0$. But the L_∞ -algebra $\mathfrak{g}/F^r\mathfrak{g}$ is nilpotent, so there is a k such that $\Gamma^k\mathfrak{g} \subseteq F^r\mathfrak{g}$, whence $(\Gamma^k\mathfrak{g})_n = 0$. \square

Of course, the filtration on \mathfrak{g} in the above proof could be different from the lower central series, but it is really only the topology defined by the filtration that matters. The condition $(F^r\mathfrak{g})_n = 0$ for r large means that the topology on \mathfrak{g}_n is discrete. In effect, it is only for L_∞ -algebras that are not of finite type that the notion of completeness goes beyond the notion of degree-wise nilpotence.

We note that the series $d\tau + \frac{1}{2}[\tau, \tau] + \cdots$ converges if \mathfrak{g} is complete, so we may define Maurer–Cartan elements as before.

Definition 5.3. We define the nerve of a complete L_∞ -algebra \mathfrak{g} by

$$\mathrm{MC}_\bullet(\mathfrak{g}) = \mathrm{MC}(\mathfrak{g} \hat{\otimes} \Omega_\bullet),$$

where we take the completed tensor product $\mathfrak{g} \hat{\otimes} \Omega_\bullet = \varprojlim (\mathfrak{g}/F^r\mathfrak{g} \otimes \Omega_\bullet)$. The gauge s_\bullet extends to $\mathfrak{g} \hat{\otimes} \Omega_\bullet$, and we define $\gamma_\bullet(\mathfrak{g}) = \mathrm{MC}_\bullet(\mathfrak{g}) \cap \ker s_\bullet$ as before.

This definition of $\mathrm{MC}_\bullet(\mathfrak{g})$ and $\gamma_\bullet(\mathfrak{g})$ extends the previous definition. Indeed, if \mathfrak{g} is a degree-wise nilpotent L_∞ -algebra equipped with the lower central series filtration, then $\mathfrak{g} \hat{\otimes} \Omega_\bullet = \mathfrak{g} \otimes \Omega_\bullet$ because every Ω_n is bounded. As a further justification of this definition, note that if \mathfrak{g} is a Malcev Lie algebra [30, Appendix A3], then $\gamma_\bullet(\mathfrak{g})$ is isomorphic to the nerve of the corresponding Malcev group.

Proposition 5.4. *For every complete L_∞ -algebra \mathfrak{g} , the inclusion of $\gamma_\bullet(\mathfrak{g})$ into $\mathrm{MC}_\bullet(\mathfrak{g})$ is a homotopy equivalence. Moreover, the functors MC_\bullet and γ_\bullet take surjective maps of complete L_∞ -algebras to Kan fibrations.*

Proof. We have that $\mathrm{MC}_\bullet(\mathfrak{g}) = \varprojlim \mathrm{MC}_\bullet(\mathfrak{g}/F^r\mathfrak{g})$ and $\gamma_\bullet(\mathfrak{g}) = \varprojlim \gamma_\bullet(\mathfrak{g}/F^r\mathfrak{g})$. The inverse systems are towers of Kan fibrations, so our claims follow from the nilpotent case together with standard facts about towers of fibrations: if a map between towers of fibrations is a levelwise fibration or a weak equivalence, then so is the induced map on inverse limits; see, e.g., [18, Chapter IV]. \square

Theorem 5.5. *Let \mathfrak{g} be a complete L_∞ -algebra, and let τ be a Maurer–Cartan element in \mathfrak{g} . There are natural isomorphism of groups for all $n \geq 0$,*

$$B_n^\tau: H_n(\mathfrak{g}^\tau) \rightarrow \pi_{n+1}(\gamma_\bullet(\mathfrak{g}), \tau),$$

where $H_0(\mathfrak{g}^\tau)$ is given a group structure via the Campbell–Hausdorff formula.

Proof. Let $\mathfrak{g}^{(r)}$ denote the nilpotent L_∞ -algebra $\mathfrak{g}/F^r \mathfrak{g}$. We define the map B_n^τ as follows. Given an n -cycle α in \mathfrak{g} , let α_r and τ_r denote the images of α and τ in $\mathfrak{g}^{(r)}$. The elements $\tau_r \otimes 1 + \alpha_r \otimes \omega^{n+1} \in \mathfrak{g}^{(r)} \otimes \Omega_{n+1}$ are compatible and define an $(n+1)$ -simplex in $\gamma_\bullet(\mathfrak{g}) = \varprojlim \gamma_\bullet(\mathfrak{g}^{(r)})$, whose simplicial boundary is trivial. We define $B_n^\tau[\alpha]$ to be the class represented by this simplex. To show that B_n^τ is an isomorphism, it is possible to reduce the case $\tau = 0$ as before. In this case, the claim follows from the fact that $B_n: H_n(\mathfrak{g}^{(r)}) \rightarrow \pi_{n+1}(\mathfrak{g}^{(r)}, 0)$ is an isomorphism for all r (by Theorem 4.6) together with the fact that B_n is suitably compatible with \varprojlim^1 -sequences associated to towers of fibrations. The latter fact will be verified in Proposition 5.6, below. (The reader may want to skip to the next section at a first reading.) \square

By definition, a complete L_∞ -algebra \mathfrak{g} is the inverse limit of a tower of surjections of nilpotent L_∞ -algebras,

$$\dots \rightarrow \mathfrak{g}^{(r)} \xrightarrow{p} \mathfrak{g}^{(r-1)} \rightarrow \dots \rightarrow \mathfrak{g}^{(-1)} = 0.$$

We get a tower of Kan fibrations after applying the functor $\gamma_\bullet(-)$, whence a \varprojlim^1 -sequence of homotopy groups (cf. [5, Theorem IX.3.1]),

$$* \longrightarrow \varprojlim^1 \pi_{n+1}(\mathfrak{g}^{(r)}) \longrightarrow \pi_n(\mathfrak{g}) \longrightarrow \varprojlim \pi_n(\mathfrak{g}^{(r)}) \longrightarrow *,$$

where $\pi_n(\mathfrak{g}) = \pi_n(\gamma_\bullet(\mathfrak{g}), 0) = \pi_n(\varprojlim \gamma_\bullet(\mathfrak{g}^{(r)}), 0)$. There is also a \varprojlim^1 -sequence associated to the tower of surjections of chain complexes,

$$0 \longrightarrow \varprojlim^1 H_{n+1}(\mathfrak{g}^{(r)}) \longrightarrow H_n(\mathfrak{g}) \longrightarrow \varprojlim H_n(\mathfrak{g}^{(r)}) \longrightarrow 0.$$

Proposition 5.6. *Let \mathfrak{g} be a complete L_∞ -algebra. The diagram*

$$\begin{array}{ccccccc} 0 & \longrightarrow & \varprojlim^1 H_{n+1}(\mathfrak{g}^{(r)}) & \longrightarrow & H_n(\mathfrak{g}) & \xrightarrow{f^H} & \varprojlim H_n(\mathfrak{g}^{(r)}) \longrightarrow 0 \\ & & \downarrow (-1)^n \varprojlim^1 B_{n+1} & & \downarrow B_n & & \downarrow \varprojlim B_n \\ 0 & \longrightarrow & \varprojlim^1 \pi_{n+2}(\mathfrak{g}^{(r)}) & \longrightarrow & \pi_{n+1}(\mathfrak{g}) & \xrightarrow{f^\pi} & \varprojlim \pi_{n+1}(\mathfrak{g}^{(r)}) \longrightarrow 0 \end{array}$$

is commutative for all $n \geq 0$.

Proof. It is easy to check that the right square commutes. To show that the left square commutes, we must first recall how the kernels of the maps f^H and f^π are identified with the respective \varprojlim^1 -groups.

First, we recall the definition of \varprojlim^1 for groups; cf. [5, IX.§2]. Given a tower of

groups,

$$\cdots \rightarrow G_r \xrightarrow{p} G_{r-1} \rightarrow \cdots \rightarrow G_{-1} = *,$$

$\varprojlim^1 G_r$ is defined as the set of equivalence classes

$$\varprojlim^1 G_r = \prod_r G_r / \sim,$$

where $(x_r)_r \sim (y_r)_r$ if there is a sequence $(g_r)_r$ such that

$$y_r = g_r x_r (p g_{r+1})^{-1}, \quad \text{for all } r \geq 0.$$

Next, let $[(\alpha_r)_r]$ be an element in the kernel of $f^H: H_k(\mathfrak{g}) \rightarrow \varprojlim H_k(\mathfrak{g}^{(r)})$. This means that each $\alpha_r \in \mathfrak{g}_n^{(r)}$ is a boundary—say, $\delta \beta_r = \alpha_r$. Since $p \alpha_{r+1} = \alpha_r$ for all r , the element $p \beta_{r+1} - \beta_r$ is a cycle. The identification

$$\ker f^H \xrightarrow{\cong} \varprojlim^1 H_{n+1}(\mathfrak{g}^{(r)})$$

is effected by sending the class $[(\alpha_r)_r]$ to the equivalence class represented by the sequence $([p \beta_{r+1} - \beta_r])_r \in \prod_r H_{n+1}(\mathfrak{g}^{(r)})$.

The identification

$$\ker f^\pi \xrightarrow{\cong} \varprojlim^1 \pi_{n+2}(\mathfrak{g}^{(r)})$$

goes as follows (cf. [5, IX.§3]). Given an element $[(a_r)_r]$ in the kernel of f^π , each $[a_r] \in \pi_{n+2}(\mathfrak{g}^{(r)})$ is trivial, so there are $(n+2)$ -simplices $b_r \in \gamma_{n+2}(\mathfrak{g}^{(r)})$ with simplicial boundary

$$\partial b_r = (a_r, 0, \dots, 0).$$

Choose a filler $c_r \in \gamma_{n+3}(\mathfrak{g}^{(r)})$ for the horn

$$(p b_{r+1}, b_r, -, 0, \dots, 0): \Lambda^2[n+3] \rightarrow \gamma_\bullet(\mathfrak{g}).$$

Then $\partial(\partial_2 c_r) = (0, \dots, 0)$, so $\partial_2 c_r$ represents a homotopy class in $\pi_{n+2}(\mathfrak{g}^{(r)})$. The element $[(a_r)_r]$ is sent to the equivalence class in $\varprojlim^1 \pi_{n+2}(\mathfrak{g}^{(r)})$ represented by the sequence

$$([\partial_2 c_r])_r \in \prod_r \pi_{n+2}(\mathfrak{g}^{(r)}).$$

Let $[(\alpha_r)_r]$ be an element in the kernel of f^H . The map $B_n: H_n(\mathfrak{g}) \rightarrow \pi_{n+1}(\mathfrak{g})$ sends $[(\alpha_r)_r]$ to the class $[(a_r)_r]$, where $a_r := \alpha_r \otimes \omega^{n+1}$. By commutativity of the right square this class belongs to the kernel of f^π . To prove commutativity of the left square, it suffices to prove that a filler c_r may be chosen such that

$$[\partial_2 c_r] = (-1)^n [p \beta_{r+1} - \beta_r] \otimes \omega^{n+2} \in \pi_{n+2}(\mathfrak{g}^{(r)}), \quad \text{for all } r \geq 0. \quad (10)$$

To this end, first observe that, in the notation above, we may choose

$$\begin{aligned} b_r &= (d + \delta)(\beta_r \otimes \omega_0) \\ &= \alpha_r \otimes \omega_0 + (-1)^{n+1} \beta_r \otimes \omega^{n+2}. \end{aligned}$$

Indeed, $\partial b_r = (a_r, 0, \dots, 0)$ and $b_r \in \gamma_{n+2}(\mathfrak{g}^{(r)})$. Next, consider the following element

of $(\mathfrak{g}^{(r)} \otimes \Omega_{n+3})_{-1}$:

$$\begin{aligned} \lambda_0 &= (d + \delta)((-1)^n \alpha_r \otimes \omega_{3\dots n+3} - \beta_r \otimes \omega_{03\dots n+3} - p\beta_{r+1} \otimes \omega_{13\dots n+3}) \\ &= \alpha_r \otimes \omega_{2\dots n+3} + (-1)^{n+1} p\beta_{r+1} \otimes \omega_{1\dots n+3} \\ &\quad + (-1)^{n+1} \beta_r \otimes \omega_{02\dots n+3} + (-1)^n (p\beta_{r+1} - \beta_r) \otimes \omega_{013\dots n+3}. \end{aligned}$$

It has simplicial boundary

$$\partial\lambda_0 = (pb_{r+1}, b_r, (-1)^n (p\beta_{r+1} - \beta_r) \otimes \omega^{n+2}, 0, \dots, 0).$$

Clearly, $(d + \delta)\lambda_0 = 0$. If $n > 1$, then for degree reasons $[\lambda_0^{\wedge \ell}] = 0$ for any $\ell \geq 2$. This is also true for $k = 1$ by direct calculation. Thus, if $n > 0$, then λ_0 is a Maurer–Cartan element and we may choose $c_r = \lambda_0 \in \gamma_{n+3}(\mathfrak{g}^{(r)})$ as our filler. In this case we are done because $\partial_2\lambda_0 = (-1)^n (p\beta_{r+1} - \beta_r) \otimes \omega^{n+2}$, so that (10) is fulfilled already before passing to homotopy classes.

The case $k = 0$ requires a little more care. Then we have that

$$\lambda_0 = \alpha_r \otimes \omega_{23} - p\beta_{r+1} \otimes \omega_{123} - \beta_r \otimes \omega_{023} + (p\beta_{r+1} - \beta_r) \otimes \omega_{013}.$$

One verifies easily that $[\lambda_0^{\wedge \ell}] = 0$ for $\ell \geq 3$, but

$$\frac{1}{2}[\lambda_0, \lambda_0] = -[\alpha_r, p\beta_{r+1} - \beta_r] \otimes \frac{1}{3}t_3\omega_{0123},$$

so λ_0 is not necessarily a Maurer–Cartan element. However, by [17, Lemma 5.3] there is a unique element $\lambda \in \gamma_3(\mathfrak{g}^{(r)})$ such that $c_3^2\lambda = 0$ and $P_3R_3^2\lambda = \lambda_0$. Moreover, this element has the property that $\partial_i(\lambda) = \partial_i(\lambda_0)$ for $i \neq 2$. Therefore, we may choose $c_r := \lambda$ as a filler, and it remains to verify that (10) holds.

The element is obtained as a limit $\lambda = \lim_{k \rightarrow \infty} \lambda_k$, where λ_k is defined by the iterative formula

$$\lambda_k = \lambda_0 - \sum_{\ell \geq 2} \frac{1}{\ell!} F[\lambda_{k-1}^{\wedge \ell}], \quad k \geq 1,$$

for a certain operator¹ $F: \Omega_3^* \rightarrow \Omega_3^{*-1}$. In the first iteration, a calculation yields

$$\lambda_1 = \lambda_0 - [\alpha_r, p\beta_{r+1} - \beta_r] \otimes F\left(\frac{1}{3}t_3\omega_{0123}\right).$$

Observe that since $\alpha_r = \delta\beta_r$ and $p\beta_{r+1} - \beta_r$ is a cycle, we have that

$$[\alpha_r, p\beta_{r+1} - \beta_r] = \delta[\beta_r, p\beta_{r+1} - \beta_r],$$

so $\lambda_0 - \lambda_1$ is a δ -boundary. Moreover, $\lambda_0 - \lambda_1 \in \mathfrak{g}_1^{(r)} \otimes \Omega_3^2$. One checks by induction that the same is true for all k :

- $\lambda_0 - \lambda_k$ is a δ -boundary.
- $\lambda_0 - \lambda_k \in \mathfrak{g}_1^{(r)} \otimes \Omega_3^2$.

This implies that $\partial_2(\lambda_0) - \partial_2(\lambda)$ is a δ -boundary in $\mathfrak{g}_1^{(r)} \otimes \Omega_2^2$. Now, $\partial_2(\lambda_0) = (p\beta_{r+1} - \beta_r) \otimes \omega^2 \in \mathfrak{g}_1^{(r)} \otimes \Omega_2^2$. Therefore, $\partial_2(\lambda) \in \mathfrak{g}_1^{(r)} \otimes \Omega_2^2$. Since we also have that $\partial_2(\lambda) \in$

¹ $F = P_3h_3^2 + s_3$ in the notation of [17].

$\gamma_2(\mathfrak{g}^{(r)})$, this implies that $\partial_2(\lambda) = \xi \otimes \omega^2$ for some cycle $\xi \in \mathfrak{g}_1^{(r)}$. Moreover, the elements ξ and $p\beta_{r+1} - \beta_r$ differ by a boundary, whence

$$[\partial_2(\lambda)] = [(p\beta_{r+1} - \beta_r) \otimes \omega^2] \in \pi_2(\mathfrak{g}^{(r)}),$$

by well-definedness of the map $B_1: H_1(\mathfrak{g}^{(r)}) \rightarrow \pi_2(\mathfrak{g}^{(r)})$. Thus (10) is satisfied for $c_r = \lambda$, and this finishes the proof. \square

6. Application: rational models for mapping spaces

In this section we show that the space of maps into a \mathbb{Q} -local space is homotopy equivalent to the Deligne–Getzler ∞ -groupoid of a complete L_∞ -algebra $A \hat{\otimes} L$, where A is a cdga model for the source and L is an L_∞ -algebra model for the target.

As is well known, the simplicial cdga Ω_\bullet gives rise to a (contravariant) adjunction between simplicial sets and cdgas,

$$\text{sSet} \begin{array}{c} \xrightarrow{\Omega} \\ \xleftarrow{\langle - \rangle} \end{array} \text{CDGA}_{\mathbb{Q}}^{op}, \tag{11}$$

$$\Omega(X) = \text{Hom}_{\text{sSet}}(X, \Omega_\bullet), \quad \langle B \rangle = \text{Hom}_{\text{cdga}}(B, \Omega_\bullet).$$

The cdga $\Omega(X)$ is the Sullivan–de Rham algebra of polynomial differential forms on X , and $\langle B \rangle$ is the *spatial realization* of B . It is a fundamental result in rational homotopy theory that the adjunction induces an equivalence between the homotopy categories of nilpotent rational spaces of finite \mathbb{Q} -type and minimal Sullivan algebras of finite type; see [4, 33]. Under the correspondence in Theorem 2.3, the spatial realization corresponds to the nerve:

Proposition 6.1. *Let L be a non-negatively graded degree-wise nilpotent L_∞ -algebra of finite type, and let A be a cdga. If A or L is bounded, then there is a natural isomorphism*

$$\text{MC}(A \otimes L) \cong \text{Hom}_{\text{cdga}}(C^*(L), A).$$

In particular, the nerve of L is isomorphic to the spatial realization of its associated Sullivan algebra: $\text{MC}_\bullet(L) \cong \langle C^(L) \rangle$.*

Proof. The underlying graded commutative algebra of $C^*(L)$ is free on $L[1]^\vee$. Thus, given an element τ in $A \otimes L$ of degree -1 , we can define a morphism of graded algebras $f_\tau: C^*(L) \rightarrow A$ by $f_\tau(\xi) = (1 \otimes \xi)(\tau)$. If τ is a Maurer–Cartan element, then f_τ commutes with differentials. We leave the rest of the proof to the reader. \square

The category of cdgas is enriched in simplicial sets via

$$\text{Map}_{\text{cdga}}(B, A) = \text{Hom}_{\text{cdga}}(B, A \otimes \Omega_\bullet)$$

(see [4, §5]), and the category of simplicial sets is enriched in itself via

$$\text{Map}_{\text{sSet}}(X, Y) = \text{Hom}_{\text{sSet}}(X \times \Delta[\bullet], Y).$$

It is natural to ask to what extent the adjunction (11) is compatible with the simplicial enrichments. This has been answered by Brown and Szczarba.

Theorem 6.2 (Brown and Szczarba [7, Theorem 2.20]). *Let X be a connected simplicial set, and let B be a finite-type Sullivan algebra. There is a natural homotopy equivalence of Kan complexes*

$$BS: \text{Map}_{cdga}(B, \Omega(X)) \xrightarrow{\cong} \text{Map}_{sSet}(X, \langle B \rangle).$$

Furthermore, the functor $\text{Map}_{cdga}(B, -)$ takes quasi-isomorphisms between commutative differential graded algebras to homotopy equivalences between Kan complexes.

For completeness, we offer a short proof using nerves of L_∞ -algebras below. The following is a consequence.

Theorem 6.3. *Let X be a connected simplicial set and let Y be a nilpotent space of finite \mathbb{Q} -type. If L is a degree-wise nilpotent L_∞ -algebra model for Y of finite type and A is a cdga model for X , then there is a homotopy equivalence of Kan complexes*

$$\text{Map}(X, Y_{\mathbb{Q}}) \simeq \gamma_\bullet(A \hat{\otimes} L),$$

where $A \hat{\otimes} L$ is completed with respect to the filtration $A \otimes L_{\geq r}$.

Proof. By the Sullivan–de Rham localization theorem [4, §11.2], we may take the spatial realization $Y_{\mathbb{Q}} = \langle C^*(L) \rangle$ as a \mathbb{Q} -localization of Y . The Brown–Szczarba theorem applied to $B = C^*(L)$ yields a homotopy equivalence between $\text{Map}(X, Y_{\mathbb{Q}})$ and $\text{Map}_{cdga}(C^*(L), A)$. We may write L as the inverse limit of the finite-dimensional nilpotent quotients $L/F^r L$, where $F^r L = L_{\geq r-1}$. It follows that

$$\text{Map}_{cdga}(C^*(L), A) = \varprojlim \text{Hom}_{cdga}(C^*(L/F^r L), A \otimes \Omega_\bullet) \cong \varprojlim \text{MC}_\bullet(A \otimes L/F^r L),$$

where we have used Proposition 6.1 in the last step (we do not assume that A is bounded, so we must pass to the finite-dimensional quotients $L/F^r L$ before we can apply the proposition). The latter simplicial set is isomorphic to the nerve of the complete L_∞ -algebra $A \hat{\otimes} L = \varprojlim A \hat{\otimes} (L/F^r L)$. By Proposition 5.4 this is homotopy equivalent to $\gamma_\bullet(A \hat{\otimes} L)$. \square

Remark 6.4. Note that we do not assume that X is finite in Theorem 6.3. This is the reason we need to extend the definition of γ_\bullet to complete L_∞ -algebras. However, if the models A and L can be chosen so that either A or L is bounded, then the completed tensor product $A \hat{\otimes} L$ is isomorphic to the ordinary tensor product $A \otimes L$ and it is degree-wise nilpotent. Furthermore, note that if A is the dual of a dg coalgebra C , which happens for instance if A is of finite type, then $A \hat{\otimes} L \cong \text{Hom}(C, L)$.

Proof of Theorem 6.2

We now embark on a proof of Theorem 6.2. The theorem is reformulated in the language of nerves of L_∞ -algebras as Theorem 6.6, below.

Proposition 6.5. *Let X be a simplicial set and L a non-negatively graded complete L_∞ -algebra. There is a natural map*

$$\mu: \text{MC}(\Omega(X) \hat{\otimes} L) \rightarrow \text{Hom}_{sSet}(X, \text{MC}_\bullet(L))$$

that is an isomorphism if X is finite or if each $L/F^r L$ is finite dimensional.

Proof. We define the map for nilpotent L first. Given $\tau \in \text{MC}(\Omega(X) \otimes L)$, define a simplicial map $f: X \rightarrow \text{MC}_\bullet(L)$ as follows. For an n -simplex $x: \Delta[n] \rightarrow X$, we let $f(x) \in \text{MC}_n(L)$ be the image of τ under the map

$$x^*: \text{MC}(\Omega(X) \otimes L) \rightarrow \text{MC}(\Omega_n \otimes L).$$

It is straightforward to check that f is a simplicial map, and we set $\mu(\tau) = f$. The map μ is evidently an isomorphism for $X = \Delta[n]$. Since $- \otimes L$ commutes with finite limits, the functor $\text{MC}(\Omega(-) \otimes L)$ takes finite colimits to limits. The functor $\text{Hom}_{\text{sSet}}(-, \text{MC}_\bullet(L))$ preserves all limits, so it follows that μ is an isomorphism for finite X . On the other hand, if L is finite dimensional, then $- \otimes L$ commutes with all limits and in this case μ is an isomorphism for arbitrary X . Finally, for complete L the map μ is defined as the map induced on inverse limits

$$\varprojlim \text{MC}(\Omega(X) \otimes L/F^r L) \rightarrow \varprojlim \text{Hom}_{\text{sSet}}(X, \text{MC}_\bullet(L/F^r L)),$$

and by the above this is an isomorphism if X is finite or if each $L/F^r L$ is finite dimensional. \square

Theorem 6.6. *Let X be a simplicial set and L a non-negatively graded complete L_∞ -algebra. There is a natural homotopy equivalence of Kan complexes*

$$\varphi: \text{MC}_\bullet(\Omega(X) \hat{\otimes} L) \rightarrow \text{Map}(X, \text{MC}_\bullet(L)).$$

Furthermore, the functor $\text{MC}_\bullet(- \hat{\otimes} L): \text{CDGA} \rightarrow \text{sSet}$ takes quasi-isomorphisms to homotopy equivalences between Kan complexes.

Remark 6.7. There is a commutative diagram

$$\begin{array}{ccc} \text{MC}_\bullet(\Omega(X) \hat{\otimes} L) & \xrightarrow{\varphi} & \text{Map}(X, \text{MC}_\bullet(L)) \\ \downarrow \cong & & \downarrow \cong \\ \text{Map}_{\text{cdga}}(C^*(L), \Omega(X)) & \xrightarrow{BS} & \text{Map}(X, \langle C^*(L) \rangle). \end{array}$$

Therefore, Theorem 6.6 may be viewed as a reformulation of the Brown–Szczerba theorem.

Proof. We define the map φ for nilpotent L first. On n -simplices, the map φ_n is defined as the composite

$$\begin{aligned} \text{MC}_n(\Omega(X) \otimes L) &= \text{MC}(\Omega(\Delta[n]) \otimes \Omega(X) \otimes L) \\ &\xrightarrow{\pi} \text{MC}(\Omega(\Delta[n] \times X) \otimes L) \\ &\xrightarrow{\mu} \text{Hom}_{\text{sSet}}(\Delta[n] \times X, \text{MC}_\bullet(L)) \\ &= \text{Map}(X, \text{MC}_\bullet(L))_n, \end{aligned}$$

where π is induced by the natural morphism of cdgas $\Omega(\Delta[n]) \otimes \Omega(X) \rightarrow \Omega(\Delta[n] \times X)$ and μ is the map described in Proposition 6.5. By naturality of all maps involved, φ respects the simplicial structure. For complete L we define φ to be the induced map on inverse limits

$$\varprojlim \text{MC}_\bullet(\Omega(X) \otimes L/F^r L) \rightarrow \varprojlim \text{Map}(X, \text{MC}_\bullet(L/F^r L)).$$

The proof that φ is a weak equivalence is by reduction to the abelian case. For

abelian L the map φ is equivalent to the map between Dold–Kan constructions

$$\Gamma_{\bullet}(\Omega(X) \otimes L[1]) \rightarrow \text{Map}(X, \Gamma_{\bullet}(L[1])).$$

But $\Gamma_{\bullet}(L[1])$ is a product of rational Eilenberg–MacLane spaces, and by standard arguments the homotopy groups of the right-hand side are given by $H^*(X; \mathbb{Q}) \otimes H_*(L)[1]$, and φ is a weak equivalence in this case. Next, we note that both source and target of φ take central extensions of L_{∞} -algebras to principal fibrations, so we can induct on a composition series for L to conclude that φ is a weak equivalence for all nilpotent L_{∞} -algebras L . For complete L we get a levelwise weak equivalence of towers of fibrations

$$\text{MC}_{\bullet}(\Omega(X) \otimes L/F^r L) \xrightarrow{\sim} \text{Map}(X, \text{MC}_{\bullet}(L/F^r L)).$$

Hence, we get a weak equivalence upon passing to inverse limits. This finishes the proof of the first statement. The proof that $\text{MC}_{\bullet}(-\hat{\otimes} L)$ takes quasi-isomorphisms to weak equivalences is proved by a similar reduction to the abelian case. \square

7. Examples

Finite rational cohomology or homotopy

If $H^*(X; \mathbb{Q})$ or $\pi_*(Y) \otimes \mathbb{Q}$ is finite dimensional, then for a fixed map $g: X \rightarrow Y$ composition with the \mathbb{Q} -localization map $r: Y \rightarrow Y_{\mathbb{Q}}$ induces a rational homotopy equivalence

$$\text{Map}(X, Y; g) \xrightarrow{\sim_{\mathbb{Q}}} \text{Map}(X, Y_{\mathbb{Q}}; rg).$$

If X has finite-dimensional rational cohomology, we can find a finite dimensional cdga model A (see, e.g., [14, Example 6, p.146]). Likewise, if $\pi_*(Y) \otimes \mathbb{Q}$ is finite-dimensional, then we can find a finite dimensional nilpotent L_{∞} -algebra model L for Y . In either of these situations, $A \hat{\otimes} L = A \otimes L$, and given a Maurer–Cartan element $\tau \in \text{MC}(A \otimes L)$ that represents the map $rg: X \rightarrow Y_{\mathbb{Q}}$, the truncated and twisted L_{∞} -algebra $(A \otimes L^{\tau})_{\geq 0}$ is an L_{∞} -algebra model for the component $\text{Map}(X, Y; g)$. Equivalently, the Chevalley–Eilenberg construction $C^*((A \otimes L^{\tau})_{\geq 0})$ is a (not necessarily minimal) Sullivan model for $\text{Map}(X, Y; g)$. In particular, we have an isomorphism of graded Lie algebras

$$\pi_{*+1}(\text{Map}(X, Y), g) \otimes \mathbb{Q} \cong H_*(A \otimes L^{\tau}), \quad * \geq 0.$$

Here, $\pi_1 \otimes \mathbb{Q}$ is interpreted as the Malcev completion of the nilpotent group π_1 [30, §A3], and $H_0(A \otimes L^{\tau})$ is given the Campbell–Hausdorff group structure.

Inclusions of complex projective spaces.

The rational homotopy of maps into projective spaces has been studied in [27]. The following example shows that the calculations are drastically simplified by using Theorem 1.5.

Consider the standard inclusion $i: \mathbb{C}P^n \rightarrow \mathbb{C}P^m$ where $m \geq n \geq 1$. As a cdga model

for $\mathbb{C}P^n$, we may choose the cohomology

$$A = H^*(\mathbb{C}P^n; \mathbb{Q}) = \mathbb{Q}[x]/(x^{n+1}), \quad |x| = 2.$$

A minimal L_∞ -algebra model for $\mathbb{C}P^m$ is given by

$$L = \pi_*(\Omega\mathbb{C}P^m) \otimes \mathbb{Q} = \langle \alpha, \beta \rangle, \quad |\alpha| = 1, \quad |\beta| = 2m,$$

where the only non-vanishing bracket is

$$\frac{1}{(m+1)!} [\alpha^{\wedge m+1}] = \beta.$$

The twisting cochain $\tau = x \otimes \alpha \in A \otimes L$ represents the inclusion $i: \mathbb{C}P^n \rightarrow \mathbb{C}P^m$. Thus, an L_∞ -model for the component $\text{Map}(\mathbb{C}P^n, \mathbb{C}P^m; i)$ is given by the finite-dimensional L_∞ -algebra $\mathfrak{g} = A \otimes L_{\geq 0}^\tau$, and the Chevalley–Eilenberg construction $C^*(\mathfrak{g})$ is a Sullivan model. A basis for \mathfrak{g} is given by

$$\begin{matrix} 2m & 2m-2 & \cdots & 2m-2n & 1 \\ 1 \otimes \beta & x \otimes \beta & \cdots & x^n \otimes \beta & 1 \otimes \alpha. \end{matrix}$$

The L_∞ -algebra structure is described by

$$\frac{1}{r!} [(1 \otimes \alpha)^{\wedge r}]_\tau = \binom{m+1}{r} x^{m+1-r} \otimes \beta.$$

Since β is central in L , it follows that the elements $x^r \otimes \beta$ are central in \mathfrak{g} , so the only possible non-zero brackets are described by the above. Note that we get zero if $r \leq m-n$ since $x^{n+1} = 0$. In particular, the differential is zero if $m > n$, and in this case the Chevalley–Eilenberg construction $C^*(\mathfrak{g})$ is a *minimal* Sullivan model for $\text{Map}(\mathbb{C}P^n, \mathbb{C}P^m; i)$. It has the following description:

$$\Lambda(z, w_{m-n}, w_{m-n+1}, \dots, w_m), \quad dz = 0, \quad dw_r = z^{r+1}, \quad |z| = 2, \quad |w_r| = 2r + 1.$$

On the other hand, if $m = n$, then we have a non-zero differential $[1 \otimes \alpha]_\tau = (n+1)x^n \otimes \beta$, and hence $\pi_*(\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n), 1_{\mathbb{C}P^n}) \otimes \mathbb{Q}$ is concentrated in odd degrees (as predicted by Halperin’s conjecture, see below) with precisely one basis element each in the degrees $3, 5, \dots, 2n+1$. The minimal Sullivan model for $\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n; 1_{\mathbb{C}P^n})$ is therefore an exterior algebra on the dual of the rational homotopy with zero differential:

$$\Lambda(x_3, x_5, \dots, x_{2n+1}), \quad dx_j = 0, \quad |x_j| = j.$$

In other words, $\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n; 1_{\mathbb{C}P^n})$ is rationally homotopy equivalent to the product $S^3 \times S^5 \times \dots \times S^{2n+1}$.

On the Halperin conjecture

Let X be an F_0 -space, i.e., a simply connected space with evenly graded rational cohomology such that both $\dim_{\mathbb{Q}} H^*(X; \mathbb{Q}) < \infty$ and $\dim_{\mathbb{Q}} \pi_*(X) \otimes \mathbb{Q} < \infty$. Then X is formal and the cohomology algebra admits a presentation

$$H^*(X; \mathbb{Q}) = \mathbb{Q}[x_1, \dots, x_n]/(f_1, \dots, f_n),$$

where x_1, \dots, x_n are evenly graded generators and f_1, \dots, f_n is a regular sequence [22]. *Halperin’s conjecture* says that for such spaces X the component $\text{aut}_1(X)$ of

the space of homotopy self-equivalences of X that contains the identity map is rationally homotopy equivalent to a product of odd dimensional spheres. Equivalently, the rational homotopy groups $\pi_*(\text{aut } X, 1_X) \otimes \mathbb{Q}$ are concentrated in odd degrees. Using Theorem 1.5, we get a new simpler proof of the following result.

Theorem 7.1 (Meier [28]). *Let X be an F_0 -space. Then the Halperin conjecture holds for X if and only if the cohomology algebra $H^*(X; \mathbb{Q})$ admits no derivations of negative degree.*

Proof. A minimal model for X is given by

$$\mathbb{Q}[x_1, \dots, x_n] \otimes \Lambda(y_1, \dots, y_n), \quad dx_i = 0, \quad dy_i = f_i.$$

Here y_i is a generator of odd degree $|y_i| = |f_i| - 1$. The dual L_∞ -algebra L is given by

$$L = L_{\text{odd}} \oplus L_{\text{even}} = \langle \alpha_1, \dots, \alpha_n \rangle \oplus \langle \beta_1, \dots, \beta_n \rangle,$$

where $|\alpha_i| = |x_i| - 1$ are odd and $|\beta_i| = |y_i| - 1$ even. We have an isomorphism of rational vector spaces for every $n \geq 0$,

$$\pi_{n+1}(\text{aut } X, 1_X) \otimes \mathbb{Q} = H_n(A \otimes L, D^\pi), \quad D^\pi(\xi) = \sum_{\ell \geq 2} \frac{1}{\ell!} [\pi^{\wedge \ell}, \xi],$$

where the Maurer–Cartan element π is given by $\pi = \sum_i x_i \otimes \alpha_i + y_i \otimes \beta_i$. Hence, the Halperin conjecture holds for X if and only if

$$H_n(A \otimes L, D^\pi) = 0, \quad \text{for all odd } n > 0.$$

Since L_{even} is central in L , we have that $D^\pi(A \otimes L_{\text{even}}) = 0$. Thus,

$$H_{\text{odd}}(A \otimes L, D^\pi) = \ker(D^\pi : A \otimes L_{\text{odd}} \rightarrow A \otimes L_{\text{even}}).$$

The differential D^π is A -linear, and a calculation yields

$$D^\pi(1 \otimes \alpha_i) = \sum_{j=1}^n \frac{\partial f_j}{\partial x_i} \otimes \beta_j.$$

Therefore, an element $\sum_i p_i \otimes \alpha_i \in A \otimes L_{\text{odd}}$ belongs to the kernel of D^π if and only if

$$\sum_{i=1}^n p_i \frac{\partial f_j}{\partial x_i} = 0, \quad 1 \leq j \leq n.$$

But this is true if and only if $\sum_i p_i \frac{\partial}{\partial x_i}$ defines a derivation of A . Thus, we obtain an isomorphism

$$\ker(D^\pi : A \otimes L_{\text{odd}} \rightarrow A \otimes L_{\text{even}}) \cong \text{Der } A, \quad \sum_{i=1}^n p_i \otimes \alpha_i \mapsto \sum_{i=1}^n p_i \frac{\partial}{\partial x_i}.$$

This shows that $H_n(A \otimes L, D^\pi) = 0$ for all odd $n > 0$ if and only if A admits no negative (in cohomological grading) derivations. \square

Homotopy automorphisms of formal and coformal spaces

In [1] the following characterization of spaces that are both formal and coformal was established.

Theorem 7.2 (Berglund [1]). *The following are equivalent for a connected nilpotent space X of finite \mathbb{Q} -type:*

1. X is both formal and coformal.
2. X is formal and $H^*(X; \mathbb{Q})$ is a Koszul algebra.
3. X is coformal and $\pi_*(\Omega X) \otimes \mathbb{Q}$ is a Koszul Lie algebra.

In this situation homotopy is Koszul dual to cohomology in the sense that

$$\pi_*(\Omega X) \otimes \mathbb{Q} \cong H^*(X; \mathbb{Q})^{Lie}.$$

That the cohomology $H^*(X; \mathbb{Q})$ is a Koszul algebra means that it is generated by elements x_i modulo quadratic relations $\sum_i c_{ij} x_i x_j = 0$ such that $\text{Tor}_{s,t}^A(\mathbb{Q}, \mathbb{Q}) = 0$ for $s \neq t$, where the extra grading on Tor is induced by wordlength in the generators x_i . That $\pi_*(\Omega X) \otimes \mathbb{Q} \cong H^*(X; \mathbb{Q})^{Lie}$ means that, as a graded Lie algebra, $\pi_*(\Omega X) \otimes \mathbb{Q}$ is generated by classes α_i dual to x_i modulo the *orthogonal relations*: a relation

$$\sum_{i,j} \lambda_{ij} [\alpha_i, \alpha_j] = 0$$

holds if and only if

$$\sum_{i,j} (-1)^{|x_i||\alpha_j|} c_{ij} \lambda_{ij} = 0$$

whenever the coefficients c_{ij} represent a relation among the generators x_i .

The component of the mapping space $\text{Map}(X, X)$ that contains a fixed homotopy self-equivalence is equal to the same component of $\text{aut}(X)$, since any map homotopic to a homotopy equivalence is itself a homotopy equivalence. Moreover, $\pi_1(\text{aut}(X), 1_X)$ is an abelian group as $\text{aut}(X)$ is a monoid. By combining Theorem 1.5² and Theorem 7.2, we obtain the following theorem.

Theorem 7.3. *Let X be a formal and coformal nilpotent space such that either $\dim_{\mathbb{Q}} H^*(X; \mathbb{Q}) < \infty$ or $\dim_{\mathbb{Q}} \pi_*(X) \otimes \mathbb{Q} < \infty$. Then there is a finite basis x_1, \dots, x_n for the indecomposables of the cohomology algebra $H^*(X; \mathbb{Q})$ and a dual basis $\alpha_1, \dots, \alpha_n$ for the indecomposables of the homotopy Lie algebra $\pi_*(\Omega X) \otimes \mathbb{Q}$. Setting $\kappa = x_1 \otimes \alpha_1 + \dots + x_n \otimes \alpha_n \in H^*(X; \mathbb{Q}) \otimes \pi_*(\Omega X)$, the derivation $[\kappa, -]$ is a differential, and there are isomorphisms*

$$\pi_{k+1}(\text{aut}(X), 1_X) \otimes \mathbb{Q} \cong H_k(H^*(X; \mathbb{Q}) \otimes \pi_*(\Omega X), [\kappa, -]), \quad k \geq 0.$$

In [2] we use this result to calculate the rational homotopy groups of the space of homotopy self-equivalences of highly connected manifolds. Further applications are treated in the PhD thesis of Casper Guldborg [20].

²Alternatively, one could use the main theorem of [10] in this case.

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