

# ON THE CAUCHY PROBLEM WITH LARGE DATA FOR A SPACE-DEPENDENT BOLTZMANN-NORDHEIM BOSON EQUATION\*

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**Abstract.** This paper studies a Boltzmann Nordheim equation in a slab with two-dimensional velocity space and pseudo-Maxwellian forces. Strong solutions are obtained for the Cauchy problem with large initial data in an  $L^1 \cap L^\infty$  setting. The main results are existence, uniqueness and stability of solutions conserving mass, momentum and energy that explode in  $L^\infty$  if they are only local in time. The solutions are obtained as limits of solutions to corresponding anyon equations.

**Keywords.** bosonic Boltzmann-Nordheim equation; low temperature kinetic theory; quantum Boltzmann equation.

**AMS subject classifications.** 82C10; 82C22; 82C40.

## 1. Introduction

In a previous paper [1], we have studied the Cauchy problem for a space-dependent anyon Boltzmann equation,

$$\begin{aligned} \partial_t f(t, x, v) + v_1 \partial_x f(t, x, v) = Q_\alpha(f)(t, x, v), \quad f(0, x, v) = f_0(x, v), \quad (t, x) \in \mathbb{R}_+ \times [0, 1], \\ v = (v_1, v_2) \in \mathbb{R}^2. \end{aligned} \quad (1.1)$$

The collision operator  $Q_\alpha$  in [1] depends on a parameter  $\alpha \in ]0, 1[$  and is given by

$$Q_\alpha(f)(v) = \int_{\mathbb{R}^2 \times S^1} B(|v - v_*|, n) [f' f'_* F_\alpha(f) F_\alpha(f_*) - f f_* F_\alpha(f') F_\alpha(f'_*)] dv_* dn,$$

with the kernel  $B$  of Maxwellian type,  $f'$ ,  $f'_*$ ,  $f$ ,  $f_*$  the values of  $f$  at  $v'$ ,  $v'_*$ ,  $v$ , and  $v_*$  respectively, where

$$v' = v - (v - v_*, n)n, \quad v'_* = v_* + (v - v_*, n)n,$$

and the filling factor  $F_\alpha$

$$F_\alpha(f) = (1 - \alpha f)^\alpha (1 + (1 - \alpha)f)^{1 - \alpha}.$$

Anyons are other types of particles that occur in one and two-dimensions besides fermions and bosons. The exchange of two identical anyons may cause a phase shift different from  $\pi$  (fermions) and  $2\pi$  (bosons). In [1], also the limiting case  $\alpha = 1$  is discussed, a Boltzmann-Nordheim (BN) equation [11] for fermions. In the present paper we shall consider the other limiting case,  $\alpha = 0$ , which is a BN equation for bosons.

For the bosonic BN equation general existence results were first obtained by X. Lu in [7] in the space-homogeneous isotropic boson large data case. It was followed by a number of interesting studies in the same isotropic setting, by X. Lu [8–10], and by M. Escobedo and J.L. Velázquez [5, 6]. Results with the isotropy assumption removed, were recently obtained by M. Briant and A. Einav [3]. Finally a space-dependent case

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close to equilibrium has been studied by G. Royat in [12]. The papers [7–10] by Lu, study the isotropic, space-homogeneous BN equation both for Cauchy data leading to mass and energy conservation, and for data leading to mass loss when time tends to infinity. Escobedo and Velázquez in [5,6], again in the isotropic space-homogeneous case, study initial data leading to concentration phenomena and blow-up in finite time of the  $L^\infty$ -norm of the solutions. The paper [3] by Briant and Einav removes the isotropy restriction and obtain in polynomially weighted spaces of  $L^1 \cap L^\infty$  type, existence and uniqueness on a time interval  $[0, T_0)$ . In [3] either  $T_0 = \infty$ , or for finite  $T_0$  the  $L^\infty$ -norm of the solution tends to infinity, when time tends to  $T_0$ . Finally the paper [12] considers the space-dependent problem, for a particular setting close to equilibrium, and proves well-posedness and convergence to equilibrium.

In the papers cited above, the velocity space is  $\mathbb{R}^3$ . The present paper on the other hand studies a space-dependent, large data problem for the BN equation with velocities in  $\mathbb{R}^2$ . The analysis is based on the anyon results in [1], which are restricted to a slab set-up, since the proofs in [1] use an estimate for the Bony functional only valid in one space dimension. Due to the filling factor  $F_\alpha(f)$ , those proofs also depend on the two-dimensional velocity frame in an essential way. By a limiting procedure relying on the anyon case when  $\alpha \rightarrow 0$ , well-posedness and conservation laws are obtained in the present paper for the BN problem.

With

$$\cos \theta = n \cdot \frac{v - v_*}{|v - v_*|},$$

the kernel  $B(|v - v_*|, n)$  will from now on be written as  $B(|v - v_*|, \theta)$  and assumed measurable with

$$0 \leq B \leq B_0, \tag{1.2}$$

for some  $B_0 > 0$ . It is also assumed for some  $\gamma, \gamma', c_B > 0$ , that

$$B(|v - v_*|, \theta) = 0 \text{ for } |\cos \theta| < \gamma', \quad 1 - |\cos \theta| < \gamma', \quad \text{and } |v - v_*| < \gamma, \tag{1.3}$$

and that

$$\int B(|v - v_*|, \theta) d\theta \geq c_B > 0 \quad \text{for } |v - v_*| \geq \gamma. \tag{1.4}$$

These strong cut-off conditions on  $B$  are made for mathematical reasons and assumed throughout the paper. For a more general discussion of cut-offs in the collision kernel  $B$ , see [8]. Notice that contrary to the classical Boltzmann operator where rigorous derivations of  $B$  from various potentials have been made, little is known about collision kernels in quantum kinetic theory (cf [13]).

With  $v_1$  denoting the component of  $v$  in the  $x$ -direction, the initial value problem for the Boltzmann Nordheim equation in a periodic in space setting is

$$\partial_t f(t, x, v) + v_1 \partial_x f(t, x, v) = Q(f)(t, x, v), \tag{1.5}$$

where

$$Q(f)(v) = \int_{\mathbb{R}^2 \times [0, \pi]} B(|v - v_*|, \theta) [f' f'_* F(f) F(f_*) - f f_* F(f') F(f'_*)] dv_* d\theta, \tag{1.6}$$

and

$$F(f) = 1 + f. \tag{1.7}$$

Denote by

$$f^\sharp(t, x, v) = f(t, x + tv_1, v) \quad (t, x, v) \in \mathbb{R}_+ \times [0, 1] \times \mathbb{R}^2. \tag{1.8}$$

Strong solutions to the Boltzmann-Nordheim equation are considered in the following sense.

DEFINITION 1.1. *f is a strong solution to (1.5) on the time interval I if*

$$f \in C^1(I; L^1([0, 1] \times \mathbb{R}^2)),$$

and

$$\frac{d}{dt} f^\sharp = (Q(f))^\sharp, \quad \text{on } I \times [0, 1] \times \mathbb{R}^2. \tag{1.9}$$

The main result of this paper is the following.

THEOREM 1.1. *Assume (1.2)-(1.3)-(1.4). Let  $f_0 \in L^\infty([0, 1] \times \mathbb{R}^2)$  and satisfy*

$$\begin{aligned} (1 + |v|^2)f_0(x, v) \in L^1([0, 1] \times \mathbb{R}^2), \quad \int \sup_{x \in [0, 1]} f_0(x, v) dv = c_0 < \infty, \\ \inf_{x \in [0, 1]} f_0(x, v) > 0, \quad \text{a.a. } v \in \mathbb{R}^2. \end{aligned} \tag{1.10}$$

*There exist a time  $T_\infty > 0$  and a strong solution f to (1.5) on  $[0, T_\infty)$  with initial value  $f_0$ .*

*For  $0 < T < T_\infty$ , it holds*

$$f^\sharp \in C^1([0, T_\infty); L^1([0, 1] \times \mathbb{R}^2)) \cap L^\infty([0, T] \times [0, 1] \times \mathbb{R}^2). \tag{1.11}$$

*If  $T_\infty < +\infty$  then*

$$\overline{\lim}_{t \rightarrow T_\infty} \|f(t, \cdot, \cdot)\|_{L^\infty([0, 1] \times \mathbb{R}^2)} = +\infty. \tag{1.12}$$

*The solution is unique, and conserves mass, momentum, and energy. For equibounded families in  $L^\infty([0, 1] \times \mathbb{R}^2)$  of initial values, the solution depends continuously in  $L^1$  on the initial value  $f_0$ .*

REMARK 1.1. A finite  $T_\infty$  may not correspond to a condensation. In the isotropic space-homogeneous case considered in [5,6], additional assumptions on the concentration of the initial value are considered in order to obtain condensation.

The paper is organized as follows. In the following section, solutions  $f_\alpha$  to the Cauchy problem for the anyon Boltzmann equation in the above setting are recalled, and their Bony functionals are uniformly controlled with respect to  $\alpha$ . In Section 3 the mass density of  $f_\alpha$  is studied with respect to uniform control in  $\alpha$ . Theorem 1.1 is proven in Section 4 except for the conservations of mass, momentum and energy that are proven in Section 5.

**2. Preliminaries on anyons and the Bony functional**

The Cauchy problem for a space-dependent anyon Boltzmann equation in a slab was studied in [1]. That paper will be the starting point for the proof of Theorem 1.1, so we recall the main results from [1].

**THEOREM 2.1.** *Assume (1.2)-(1.3)-(1.4). Let the initial value  $f_0$  be a measurable function on  $[0, 1] \times \mathbb{R}^2$  with values in  $]0, \frac{1}{\alpha}]$ , and satisfying (1.10). For every  $\alpha \in ]0, 1[$ , there exists a strong solution  $f_\alpha$  of equation (1.1) with*

$$f_\alpha^\# \in C^1([0, \infty[; L^1([0, 1] \times \mathbb{R}^2)), \quad 0 < f_\alpha(t, \cdot, \cdot) < \frac{1}{\alpha} \quad \text{for } t > 0,$$

and

$$\int \sup_{(s,x) \in [0,t] \times [0,1]} f_\alpha^\#(s, x, v) dv \leq c_\alpha(t), \tag{2.1}$$

for some function  $c_\alpha(t) > 0$  only depending on mass and energy. There is  $t_m > 0$  such that for any  $T > t_m$ , there is  $\eta_T > 0$  so that

$$f_\alpha(t, \cdot, \cdot) \leq \frac{1}{\alpha} - \eta_T, \quad t \in [t_m, T].$$

The solution is unique and depends continuously in  $C([0, T]; L^1([0, 1] \times \mathbb{R}^2))$  on the initial  $L^1$ -datum. It conserves mass, momentum and energy.

The conditions  $f_0 \in L^\infty([0, 1] \times \mathbb{R}^2)$  and (1.10) are assumed throughout the paper.

To obtain Theorem 1.1 for the boson BN equation from the anyon results, we start from a fixed initial value  $f_0$  bounded by  $2^L$  with  $L \in \mathbb{N}$ . We shall prove that there is a time  $T > 0$  independent of  $0 < \alpha < 2^{-L-1}$ , so that the solutions are bounded by  $2^{L+1}$  on  $[0, T]$ . For that, some lemmas from the anyon paper are sharpened to obtain control in terms of only mass, energy and L. We then prove that the limit  $f$  of the solutions  $f_\alpha$  when  $\alpha \rightarrow 0$  solves the corresponding bosonic BN problem. Iterating the result from T on, it follows that  $f$  exists up to the first time  $T_\infty$  when  $\overline{\lim}_{t \rightarrow T_\infty} \|f_\alpha(t, \cdot, \cdot)\|_{L^\infty([0, 1] \times \mathbb{R}^2)} = \infty$ .

We observe that

**LEMMA 2.1.** *Given  $f_0 \leq 2^L$  and satisfying condition (1.10), there is for each  $\alpha \in ]0, 2^{-L-1}[$  a time  $T_\alpha > 0$  so that the solution  $f_\alpha$  to equation (1.1) is bounded by  $2^{L+1}$  on  $[0, T_\alpha]$ .*

*Proof.* Split the Boltzmann anyon operator  $Q_\alpha$  into  $Q_\alpha = Q_\alpha^+ - Q_\alpha^-$ , where the gain (resp. loss) term  $Q_\alpha^+$  (resp.  $Q_\alpha^-$ ) is defined by

$$Q_\alpha^+(f)(v) = \int B f' f'_* F_\alpha(f) F_\alpha(f_*) dv_* d\theta$$

$$(resp. Q_\alpha^-(f)(v) = \int B f f_* F_\alpha(f') F_\alpha(f'_*) dv_* d\theta). \tag{2.2}$$

The solution  $f_\alpha$  to equation (1.1) satisfies

$$f_\alpha^\#(t, x, v) = f_0(x, v) + \int_0^t Q_\alpha(f_\alpha)(s, x + sv_1, v) ds \leq f_0(x, v) + \int_0^t Q_\alpha^+(f_\alpha)(s, x + sv_1, v) ds.$$

Hence

$$\sup_{s \leq t} f_\alpha^\#(s, x, v) \leq f_0(x, v) + \int_0^t Q_\alpha^+(f_\alpha)(s, x + sv_1, v) ds$$

$$\begin{aligned}
 &= f_0(x, v) + \int_0^t \int B f_\alpha(s, x + sv_1, v') f_\alpha(s, x + sv_1, v'_*) \\
 &\quad F_\alpha(f_\alpha)(s, x + sv_1, v) F_\alpha(f_\alpha)(s, x + sv_1, v_*) dv_* d\theta ds \\
 &\leq 2^L + \frac{B_0}{\alpha} \left(\frac{1}{\alpha} - 1\right)^{2(1-2\alpha)} \int_0^t \int f_\alpha(s, x + sv_1, v') dv_* d\theta ds, \tag{2.3}
 \end{aligned}$$

since the maximum of  $F_\alpha$  on  $[0, \frac{1}{\alpha}]$  is  $(\frac{1}{\alpha} - 1)^{1-2\alpha}$  for  $\alpha \in ]0, \frac{1}{2}[$ . With the angular cut-off (2.2),  $v_* \rightarrow v'$  is a change of variables. Using it and inequality (2.1) for  $t \leq 1$  leads to

$$\begin{aligned}
 \sup_{s \leq t, x} f_\alpha^\sharp(s, x, v) &\leq 2^L + c \frac{B_0 c_\alpha(1)}{\alpha} \left(\frac{1}{\alpha} - 1\right)^{2(1-2\alpha)} t \\
 &\leq 2^{L+1} \quad \text{for } t \leq \min\left\{1, \frac{2^L \alpha^{3-4\alpha} (1-\alpha)^{2(2\alpha-1)}}{c B_0 c_\alpha(1)}\right\}.
 \end{aligned}$$

The lemma follows. □

The estimate of the Bony functional

$$\bar{B}_\alpha(t) := \int_0^1 \int |v - v_*|^2 B f_\alpha f_{\alpha*} F_\alpha(f'_\alpha) F_\alpha(f'_{\alpha*}) dv dv_* d\theta dx, \quad t \geq 0,$$

from the proof of Theorem 2.1 for  $f_\alpha \leq 2^{L+1}$ , can be sharpened.

LEMMA 2.2. For  $\alpha \leq 2^{-L-1}$  and  $T > 0$  such that  $f_\alpha(t) \leq 2^{L+1}$  for  $0 \leq t \leq T$ , it holds

$$\int_0^T \bar{B}_\alpha(t) dt \leq c'_0(1+T),$$

with  $c'_0$  independent of  $T$  and  $\alpha$ , and only depending on  $\int f_0(x, v) dx dv$ ,  $\int |v|^2 f_0(x, v) dx dv$  and  $L$ .

*Proof.* Denote  $f_\alpha$  by  $f$  for simplicity. The proof is an extension of the classical one (cf [2, 4]), together with the control of the filling factor  $F_\alpha$  when  $v \in \mathbb{R}^2$ , as follows.

The integral over time of the momentum  $\int v_1 f(t, 0, v) dv$  (resp. the momentum flux  $\int v_1^2 f(t, 0, v) dv$ ) is first controlled. Let  $\beta \in C^1([0, 1])$  be such that  $\beta(0) = -1$  and  $\beta(1) = 1$ . Multiply equation (1.1) by  $\beta(x)$  (resp.  $v_1 \beta(x)$ ) and integrate over  $[0, t] \times [0, 1] \times \mathbb{R}^2$ . It gives

$$\begin{aligned}
 &\int_0^t \int v_1 f(\tau, 0, v) dv d\tau \\
 &= \frac{1}{2} \left( \int \beta(x) f_0(x, v) dx dv - \int \beta(x) f(t, x, v) dx dv + \int_0^t \int \beta'(x) v_1 f(\tau, x, v) dx dv d\tau \right),
 \end{aligned}$$

( resp.

$$\begin{aligned}
 &\int_0^t \int v_1^2 f(\tau, 0, v) dv d\tau \\
 &= \frac{1}{2} \left( \int \beta(x) v_1 f_0(x, v) dx dv - \int \beta(x) v_1 f(t, x, v) dx dv + \int_0^t \int \beta'(x) v_1^2 f(\tau, x, v) dx dv d\tau \right).
 \end{aligned}$$

Consequently, using the conservation of mass and energy of  $f$ ,

$$\left| \int_0^t \int v_1 f(\tau, 0, v) dv d\tau \right| + \int_0^t \int v_1^2 f(\tau, 0, v) dv d\tau \leq c(1+t). \tag{2.4}$$

Here  $c$  is of magnitude of mass plus energy uniformly in  $\alpha$ . Let

$$\mathcal{I}(t) = \int_{x < y} (v_1 - v_{*1}) f(t, x, v) f(t, y, v_*) dx dy dv dv_*$$

It results from

$$\begin{aligned} \mathcal{I}'(t) &= - \int (v_1 - v_{*1})^2 f(t, x, v) f(t, x, v_*) dx dv dv_* \\ &\quad + 2 \int v_{*1} (v_{*1} - v_1) f(t, 0, v_*) f(t, x, v) dx dv dv_*, \end{aligned}$$

and the conservations of the mass, momentum and energy of  $f$  that

$$\begin{aligned} &\int_0^t \int_0^1 \int (v_1 - v_{*1})^2 f(s, x, v) f(s, x, v_*) dv dv_* dx ds \\ &\leq 2 \int f_0(x, v) dx dv \int |v_1| f_0(x, v) dv + 2 \int f(t, x, v) dx dv \int |v_1| f(t, x, v) dx dv \\ &\quad + 2 \int_0^t \int v_{*1} (v_{*1} - v_1) f(\tau, 0, v_*) f(\tau, x, v) dx dv dv_* d\tau \\ &\leq 2 \int f_0(x, v) dx dv \int (1 + |v|^2) f_0(x, v) dv + 2 \int f(t, x, v) dx dv \int (1 + |v|^2) f(t, x, v) dx dv \\ &\quad + 2 \int_0^t \left( \int v_{*1}^2 f(\tau, 0, v_*) dv_* \right) d\tau \int f_0(x, v) dx dv \\ &\quad - 2 \int_0^t \left( \int v_{*1} f(\tau, 0, v_*) dv_* \right) d\tau \int v_1 f_0(x, v) dx dv \\ &\leq c \left( 1 + \int_0^t \int v_1^2 f(\tau, 0, v) dv d\tau + \left| \int_0^t \int v_1 f(\tau, 0, v) dv d\tau \right| \right). \end{aligned}$$

So, by inequality (2.4),

$$\int_0^t \int_0^1 \int (v_1 - v_{*1})^2 f(s, x, v) f(s, x, v_*) dv dv_* dx ds \leq c(1 + t). \tag{2.5}$$

Denote by  $u_1 = \frac{\int v_1 f dv}{\int f dv}$ . Recalling (1.2) it holds

$$\begin{aligned} &\int_0^t \int_0^1 \int (v_1 - u_1)^2 B f f_* F_\alpha(f') F_\alpha(f'_*)(s, x, v, v_*, \theta) dv dv_* d\theta dx ds \\ &\leq c \int_0^t \int_0^1 \int (v_1 - u_1)^2 f f_*(s, x, v, v_*) dv dv_* dx ds \\ &= \frac{c}{2} \int_0^t \int_0^1 \int (v_1 - v_{*1})^2 f f_*(s, x, v, v_*) dv dv_* dx ds \\ &\leq c(1 + t). \end{aligned} \tag{2.6}$$

Here  $c$  also contains  $\sup F_\alpha(f') F_\alpha(f'_*)$  which is of magnitude bounded by  $2^{2L}$ . So  $c$  is of magnitude  $2^{2L}(\text{mass} + \text{energy})$  and uniformly in  $\alpha$ . Multiply equation (1.1) for  $f$  by  $v_1^2$ , integrate and use that  $\int v_1^2 Q_\alpha(f) dv = \int (v_1 - u_1)^2 Q_\alpha(f) dv$  and inequality (2.6). It

results

$$\begin{aligned} & \int_0^t \int (v_1 - u_1)^2 B f' f'_* F_\alpha(f) F_\alpha(f_*) dv dv_* d\theta dx ds \\ &= \int v_1^2 f(t, x, v) dx dv - \int v_1^2 f_0(x, v) dx dv \\ & \quad + \int_0^t \int (v_1 - u_1)^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dx dv dv_* d\theta ds \\ & < c_0(1+t), \end{aligned}$$

where  $c_0$  is a constant of magnitude  $2^{2L}$ (mass+energy). After a change of variables the left hand side can be written

$$\begin{aligned} & \int_0^t \int (v'_1 - u_1)^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ &= \int_0^t \int (c_1 - n_1[(v - v_*) \cdot n])^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds, \end{aligned}$$

where  $c_1 = v_1 - u_1$ . Therefore,

$$\begin{aligned} & \int_0^t \int n_1^2 [(v - v_*) \cdot n]^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \leq c_0(1+t) + 2 \int_0^t \int c_1 n_1 [(v - v_*) \cdot n] B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds. \end{aligned}$$

The term containing  $n_1^2 [(v - v_*) \cdot n]^2$  is estimated from below. When  $n$  is replaced by an orthogonal (direct) unit vector  $n_\perp$ ,  $v'$  and  $v'_*$  are shifted and the product  $f f_* F_\alpha(f') F_\alpha(f'_*)$  is unchanged. In  $\mathbb{R}^2$  the ratio between the sum of the integrand factors  $n_1^2 [(v - v_*) \cdot n]^2 + n_{\perp 1}^2 [(v - v_*) \cdot n_\perp]^2$  and  $|v - v_*|^2$ , is, outside of the angular cut-off (1.3), uniformly bounded from below by  $\gamma'^2$ . Indeed, if  $\theta$  (resp.  $\theta_1$ ) denotes the angle between  $\frac{v - v_*}{|v - v_*|}$  and  $n$  (resp. the angle between  $e_1$  and  $n$ , where  $e_1$  is a unit vector in the  $x$ -direction),

$$\begin{aligned} n_1^2 \left[ \frac{v - v_*}{|v - v_*|} \cdot n \right]^2 + n_{\perp 1}^2 \left[ \frac{v - v_*}{|v - v_*|} \cdot n_\perp \right]^2 &= \cos^2 \theta_1 \cos^2 \theta + \sin^2 \theta_1 \sin^2 \theta \\ &\geq \gamma'^2 \cos^2 \theta_1 + \gamma'(2 - \gamma') \sin^2 \theta_1 \\ &\geq \gamma'^2, \quad \gamma' < |\cos \theta| < 1 - \gamma', \quad \theta_1 \in [0, 2\pi]. \end{aligned}$$

This is where the condition  $v \in \mathbb{R}^2$  is used.

That leads to the lower bound

$$\begin{aligned} & \int_0^t \int n_1^2 [(v - v_*) \cdot n]^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \geq \frac{\gamma'^2}{2} \int_0^t \int |v - v_*|^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds. \end{aligned}$$

Therefore,

$$\begin{aligned} & \gamma'^2 \int_0^t \int |v - v_*|^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \leq 2c_0(1+t) + 4 \int_0^t \int (v_1 - u_1) n_1 [(v - v_*) \cdot n] B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \leq 2c_0(1+t) + 4 \int_0^t \int (v_1(v_2 - v_{*2}) n_1 n_2) B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds, \end{aligned}$$

since

$$\begin{aligned} & \int u_1(v_1 - v_{*1}) n_1^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx \\ & = \int u_1(v_2 - v_{*2}) n_1 n_2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx = 0, \end{aligned}$$

by an exchange of the variables  $v$  and  $v_*$ . Moreover, exchanging first the variables  $v$  and  $v_*$ ,

$$\begin{aligned} & 2 \int_0^t \int v_1(v_2 - v_{*2}) n_1 n_2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & = \int_0^t \int (v_1 - v_{*1})(v_2 - v_{*2}) n_1 n_2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \leq \frac{8}{\gamma'^2} \int_0^t \int (v_1 - v_{*1})^2 n_1^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \quad + \frac{\gamma'^2}{8} \int_0^t \int (v_2 - v_{*2})^2 n_2^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \\ & \leq \frac{8\pi c_0}{\gamma'^2} (1+t) + \frac{\gamma'^2}{8} \int_0^t \int (v_2 - v_{*2})^2 n_2^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds. \end{aligned}$$

It follows that

$$\int_0^t \int |v - v_*|^2 B f f_* F_\alpha(f') F_\alpha(f'_*) dv dv_* d\theta dx ds \leq c'_0(1+t),$$

with  $c'_0$  uniformly with respect to  $\alpha$ , of the same magnitude as  $c_0$ , only depending on  $\int f_0(x, v) dx dv$ ,  $\int |v|^2 f_0(x, v) dx dv$  and  $L$ . This completes the proof of the lemma.  $\square$

### 3. Control of phase space density

This section is devoted to obtaining a time  $T > 0$ , such that

$$\sup_{t \in [0, T], x \in [0, 1]} f_\alpha^\#(t, x, v) \leq 2^{L+1},$$

uniformly with respect to  $\alpha \in ]0, 2^{-L-1}[$ . We start from the case of a fixed  $\alpha \leq 2^{-L-1}$ . Up to Lemma 3.3 the time interval when the solution does not exceed  $2^{L+1}$ , may be  $\alpha$ -dependent. Lemma 3.4 implies that this time interval can be chosen independent of  $\alpha$ .

LEMMA 3.1. *Given  $T > 0$  such that  $f_\alpha(t) \leq 2^{L+1}$  for  $0 \leq t \leq T$ , the solution  $f_\alpha$  of equation (1.1) satisfies*

$$\int \sup_{t \in [0, T]} f_\alpha^\#(t, x, v) dx dv < c'_1 + c'_2 T, \quad \alpha \in ]0, 2^{-L-1}[,$$



where  $c'_1$  and  $c'_2$  are independent of  $T$  and  $\alpha$ , and only depend on  $\int f_0(x,v)dx dv$ ,  $\int |v|^2 f_0(x,v)dx dv$  and  $L$ .

*Proof.* Denote  $f_\alpha$  by  $f$  for simplicity. By (2.3),

$$\sup_{t \in [0, T]} f^\sharp(t, x, v) \leq f_0(x, v) + \int_0^T Q_\alpha^+(f)(t, x + tv_1, v) dt.$$

Integrating the previous inequality with respect to  $(x, v)$  and using Lemma 2.2, it gives

$$\begin{aligned} \int \sup_{0 \leq t \leq T} f^\sharp(t, x, v) dx dv &\leq \int f_0(x, v) dx dv + \int_0^T \int B \\ & f(t, x + tv_1, v') f(t, x + tv_1, v'_*) F_\alpha(f)(t, x + tv_1, v) F_\alpha(f)(t, x + tv_1, v_*) dv dv_* d\theta dx dt \\ &\leq \int f_0(x, v) dx dv + \frac{1}{\gamma^2} \int_0^T \int B |v - v_*|^2 \\ & f(t, x, v') f(t, x, v'_*) F_\alpha(f)(t, x, v) F_\alpha(f)(t, x, v_*) dv dv_* d\theta dx dt \\ &\leq \int f_0(x, v) dx dv + \frac{c'_0(1+T)}{\gamma^2} := \frac{C_1 + C_2 T}{\gamma^2}. \end{aligned}$$

□

LEMMA 3.2. Given  $T > 0$  such that  $f(t) \leq 2^{L+1}$  for  $0 \leq t \leq T$ , and  $\delta_1 > 0$ , there exist  $\delta_2 > 0$  and  $t_0 > 0$  independent of  $T$  and  $\alpha$  and only depending on  $\int f_0(x,v)dx dv$ ,  $\int |v|^2 f_0(x,v)dx dv$  and  $L$ , such that

$$\sup_{x_0 \in [0, 1]} \int_{|x-x_0| < \delta_2} \sup_{t \leq s \leq t+t_0} f^\sharp(s, x, v) dx dv < \delta_1, \quad \alpha \in ]0, 2^{-L-1}[ , \quad t \in [0, T].$$

*Proof.* Denote  $f_\alpha$  by  $f$  for simplicity. For  $s \in [t, t + t_0]$  it holds,

$$\begin{aligned} f^\sharp(s, x, v) &= f^\sharp(t + t_0, x, v) - \int_s^{t+t_0} Q_\alpha(f)(\tau, x + \tau v_1, v) d\tau \\ &\leq f^\sharp(t + t_0, x, v) + \int_s^{t+t_0} Q_\alpha^-(f)(\tau, x + \tau v_1, v) d\tau. \end{aligned}$$

And so

$$\sup_{t \leq s \leq t+t_0} f^\sharp(s, x, v) \leq f^\sharp(t + t_0, x, v) + \int_t^{t+t_0} Q_\alpha^-(f)(s, x + sv_1, v) ds.$$

Integrating with respect to  $(x, v)$ , using Lemma 2.2 and the bound  $2^{L+1}$  from above for  $f$ , gives

$$\begin{aligned} &\int_{|x-x_0| < \delta_2} \sup_{t \leq s \leq t+t_0} f^\sharp(s, x, v) dx dv \\ &\leq \int_{|x-x_0| < \delta_2} f^\sharp(t + t_0, x, v) dx dv + \int_t^{t+t_0} \int B f^\sharp(s, x, v) f(s, x + sv_1, v_*) \\ & F_\alpha(f)(s, x + sv_1, v') F_\alpha(f)(s, x + sv_1, v'_*) dv dv_* d\theta dx ds \\ &\leq \int_{|x-x_0| < \delta_2} f^\sharp(t + t_0, x, v) dx dv + \frac{1}{\lambda^2} \int_t^{t+t_0} \int_{|v-v_*| \geq \lambda} B |v - v_*|^2 f^\sharp(s, x, v) f(s, x + sv_1, v_*) \end{aligned}$$

$$\begin{aligned}
 & F_\alpha(f)(s, x + sv_1, v') F_\alpha(f)(s, x + sv_1, v'_*) dv dv_* d\theta dx ds \\
 & + c2^{2L} \int_t^{t+t_0} \int_{|v-v_*| < \lambda} B f^\#(s, x, v) f(s, x + sv_1, v_*) dv dv_* d\theta dx ds \\
 \leq & \int_{|x-x_0| < \delta_2} f^\#(t+t_0, x, v) dx dv + \frac{c'_0(1+t_0)}{\lambda^2} + c2^{3L} t_0 \lambda^2 \int f_0(x, v) dx dv \\
 \leq & \frac{1}{\Lambda^2} \int v^2 f_0 dx dv + c\delta_2 2^L \Lambda^2 + \frac{c'_0(1+t_0)}{\lambda^2} + c2^{3L} t_0 \lambda^2 \int f_0(x, v) dx dv.
 \end{aligned}$$

Depending on  $\delta_1$ , suitably choosing  $\Lambda$  and then  $\delta_2$ ,  $\lambda$  and then  $t_0$ , the lemma follows.  $\square$

The previous lemmas imply for fixed  $\alpha \leq 2^{-L-1}$  a bound for the  $v$ -integral of  $f^\#$  only depending on  $\int f_0(x, v) dx dv$ ,  $\int |v|^2 f_0(x, v) dx dv$  and  $L$ .

LEMMA 3.3. *With  $T'_\alpha$  defined as the maximum time for which  $f_\alpha(t) \leq 2^{L+1}$ ,  $t \in [0, T'_\alpha]$ , take  $T_\alpha = \min\{1, T'_\alpha\}$ .*

*The solution  $f_\alpha$  of equation (1.1) satisfies*

$$\int_{(t,x) \in [0, T_\alpha] \times [0, 1]} \sup f^\#_\alpha(t, x, v) dv \leq c_1, \tag{3.1}$$

where  $c_1$  is independent of  $\alpha \leq 2^{-L-1}$  and only depends on  $\int f_0(x, v) dx dv$ ,  $\int |v|^2 f_0(x, v) dx dv$  and  $L$ .

*Proof.* Denote by  $E(x)$  the integer part of  $x \in \mathbb{R}$ ,  $E(x) \leq x < E(x) + 1$ .

By (2.3),

$$\begin{aligned}
 \sup_{s \leq t} f^\#(s, x, v) & \leq f_0(x, v) + \int_0^t Q_\alpha^+(f)(s, x + sv_1, v) ds \\
 & = f_0(x, v) + \int_0^t \int B f(s, x + sv_1, v') f(s, x + sv_1, v'_*) \\
 & \quad F_\alpha(f)(s, x + sv_1, v) F_\alpha(f)(s, x + sv_1, v_*) dv_* d\theta ds \\
 & \leq f_0(x, v) + c2^{2L} A,
 \end{aligned} \tag{3.2}$$

where

$$A = \int_0^t \int B \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_1), v') \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*) dv_* d\theta ds.$$

For  $\theta$  outside of the angular cutoff (2.2), let  $n$  be the unit vector in the direction  $v - v'$ , and  $n_\perp$  the orthogonal unit vector in the direction  $v - v'_*$ . With  $e_1$  a unit vector in the  $x$ -direction,

$$\max(|n \cdot e_1|, |n_\perp \cdot e_1|) \geq \frac{1}{\sqrt{2}}.$$

For  $\delta_2 > 0$  that will be fixed later, split  $A$  into  $A_1 + A_2 + A_3 + A_4$ , where

$$\begin{aligned}
 A_1 = \int_0^t \int_{|n \cdot e_1| \geq \frac{1}{\sqrt{2}}, t|v_1 - v'_1| > \delta_2} B \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_1), v') \\
 \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*) dv_* d\theta ds,
 \end{aligned}$$

$$\begin{aligned}
 A_2 &= \int_0^t \int_{|n \cdot e_1| \geq \frac{1}{\sqrt{2}}, t|v_1 - v'_1| < \delta_2} B \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_1), v') \\
 &\quad \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*) dv_* d\theta ds, \\
 A_3 &= \int_0^t \int_{|n_\perp \cdot e_1| \geq \frac{1}{\sqrt{2}}, t|v_1 - v'_1| > \delta_2} B \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_1), v') \\
 &\quad \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*) dv_* d\theta ds, \\
 A_4 &= \int_0^t \int_{|n_\perp \cdot e_1| \geq \frac{1}{\sqrt{2}}, t|v_1 - v'_1| < \delta_2} B \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_1), v') \\
 &\quad \sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*) dv_* d\theta ds.
 \end{aligned}$$

In  $A_1$  and  $A_2$ , bound the factor  $\sup_{\tau \in [0, t]} f^\#(\tau, x + s(v_1 - v'_{*1}), v'_*)$  by its supremum over  $x \in [0, 1]$ , and make the change of variables

$$s \rightarrow y = x + s(v_1 - v'_1),$$

with Jacobian

$$\frac{Ds}{Dy} = \frac{1}{|v_1 - v'_1|} = \frac{1}{|v - v_*| |(n, \frac{v - v_*}{|v - v_*|})| |n_1|} \leq \frac{\sqrt{2}}{\gamma\gamma'}.$$

It holds that

$$\begin{aligned}
 A_1 &\leq \int_{t|v_1 - v'_1| > \delta_2} \frac{B}{|v_1 - v'_1|} \left( \int_{y \in (x, x + t(v_1 - v'_1))} \sup_{\tau \in [0, t]} f^\#(\tau, y, v') dy \right) \\
 &\quad \sup_{(\tau, X) \in [0, t] \times [0, 1]} f^\#(\tau, X, v'_*) dv_* d\theta,
 \end{aligned}$$

and

$$\begin{aligned}
 A_2 &\leq \frac{\sqrt{2}}{\gamma\gamma'} \int_{|n \cdot e_1| \geq \frac{1}{\sqrt{2}}, t|v_1 - v'_1| < \delta_2} B \left( \int_{|y - x| < \delta_2} \sup_{\tau \in [0, t]} f^\#(\tau, y, v') dy \right) \\
 &\quad \sup_{(\tau, X) \in [0, t] \times [0, 1]} f^\#(\tau, X, v'_*) dv_* d\theta.
 \end{aligned}$$

Then, performing the change of variables  $(v, v_*, n) \rightarrow (v', v'_*, -n)$ ,

$$\begin{aligned}
 &\int \sup_{x \in [0, 1]} A_1 dv \\
 &\leq \int_{t|v_1 - v'_1| > \delta_2} \frac{B}{|v_1 - v'_1|} \sup_{x \in [0, 1]} \left( \int_{y \in (x, x + t(v'_1 - v_1))} \sup_{\tau \in [0, t]} f^\#(\tau, y, v) dy \right) \\
 &\quad \sup_{(\tau, X) \in [0, t] \times [0, 1]} f^\#(\tau, X, v_*) dv dv_* d\theta,
 \end{aligned}$$

so that

$$\int \sup_{x \in [0, 1]} A_1 dv$$

$$\begin{aligned}
 &\leq \int_{t|v_1-v'_1|>\delta_2} \frac{B}{|v_1-v'_1|} \sup_{x \in [0,1]} \left( \int_{y \in (x, x+E(t(v'_1-v_1)+1))} \sup_{\tau \in [0,t]} f^\#(\tau, y, v) dy \right) \\
 &\qquad \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v_*) dv dv_* d\theta \\
 &= \int_{t|v_1-v'_1|>\delta_2} \frac{B}{|v_1-v'_1|} |E(t(v'_1-v_1)+1)| \left( \int_0^1 \sup_{\tau \in [0,t]} f^\#(\tau, y, v) dy \right) \\
 &\qquad \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v_*) dv dv_* d\theta \\
 &\leq t \left(1 + \frac{1}{\delta_2}\right) \int B \left( \int_0^1 \sup_{\tau \in [0,t]} f^\#(\tau, y, v) dy \right) \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v_*) dv dv_* d\theta \\
 &\leq B_0 \pi t \left(1 + \frac{1}{\delta_2}\right) \int \sup_{\tau \in [0,t]} f^\#(\tau, y, v) dy dv \int \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v_*) dv_*.
 \end{aligned}$$

Apply Lemma 3.1, so that

$$\int \sup_{x \in [0,1]} A_1 dv \leq B_0 \pi t \left(1 + \frac{1}{\delta_2}\right) (c'_1 + c'_2) \int \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v_*) dv_*. \tag{3.3}$$

Moreover, performing the change of variables  $(v, v_*, n) \rightarrow (v', v', -n)$ ,

$$\begin{aligned}
 &\int \sup_{x \in [0,1]} A_2 dv \\
 &\leq \frac{B_0 \pi \sqrt{2}}{\gamma \gamma'} \sup_{x \in [0,1]} \left( \int_{|y-x|<\delta_2} \sup_{\tau \in [0,t]} f^\#(\tau, y, v_*) dy dv_* \right) \int \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v) dv.
 \end{aligned}$$

Given  $\delta_1 = \frac{\gamma \gamma'}{4B_0 \pi \sqrt{2}}$ , apply Lemma 3.2 with the corresponding  $\delta_2$  and  $t_0$ , so that for  $t \leq \min\{T, t_0\}$ ,

$$\int \sup_{x \in [0,1]} A_2 dv \leq \frac{1}{4} \int \sup_{(\tau, X) \in [0,t] \times [0,1]} f^\#(\tau, X, v) dv. \tag{3.4}$$

The terms  $A_3$  and  $A_4$  are treated similarly, with the change of variables  $s \rightarrow y = x + s(v_1 - v'_{*1})$ . Using (3.3)-(3.4) and the corresponding bounds obtained for  $A_3$  and  $A_4$  leads to

$$\begin{aligned}
 &\int \sup_{(s,x) \in [0,t] \times [0,1]} f^\#(s, x, v) dv \\
 &\leq 2 \int \sup_{x \in [0,1]} f_0(x, v) dv + 4B_0 \pi t \left(1 + \frac{1}{\delta_2}\right) (c'_1 + c'_2) \int \sup_{(s,x) \in [0,t] \times [0,1]} f^\#(s, x, v) dv, \\
 &t \leq \min\{T, t_0\}.
 \end{aligned}$$

Hence

$$\begin{aligned}
 &\int \sup_{(s,x) \in [0,t] \times [0,1]} f^\#(s, x, v) dv \leq 4 \int \sup_{x \in [0,1]} f_0(x, v) dv, \\
 &t \leq \min\left\{t_0, \frac{\delta_2}{8B_0 \pi (\delta_2 + 1)(c'_1 + c'_2)}\right\}.
 \end{aligned}$$

Since  $t_0, c'_1$  and  $c'_2$  are independent of  $\alpha \leq 2^{-L-1}$  and only depend on  $\int f_0(x, v) dx dv, \int |v|^2 f_0(x, v) dx dv$  and  $L$ , it follows that the argument can be repeated up to  $t = T_\alpha$  with the number of steps uniformly bounded with respect to  $\alpha \leq 2^{-L-1}$ . This completes the proof of the lemma.  $\square$

We now prove that the positive time  $T_\alpha$  used above, such that  $f_\alpha(t) \leq 2^{L+1}$  for  $t \in [0, T_\alpha]$ , can be taken independent of  $\alpha$ .

**LEMMA 3.4.** *Given  $f_0 \leq 2^L$  and satisfying (1.10), there is  $T \in ]0, 1[$  so that for all  $\alpha \in ]0, 2^{-L-1}[$ , the solution  $f_\alpha$  to equation (1.1) is bounded by  $2^{L+1}$  on  $[0, T]$ .*

*Proof.* Given  $\alpha \leq 2^{-L-1}$ , it follows from Lemma 2.1 that the maximum time  $T'_\alpha$  for which  $f_\alpha \leq 2^{L+1}$  on  $[0, T'_\alpha]$  is positive. By (2.3),

$$\begin{aligned} \sup_{s \leq t} f_\alpha^\sharp(s, x, v) &\leq f_0(x, v) + \int_0^t Q_\alpha^+(f_\alpha)(s, x + sv_1, v) ds \\ &= f_0(x, v) + \int_0^t \int B f_\alpha(s, x + sv_1, v') f_\alpha(s, x + sv_1, v'_*) \\ &\quad F_\alpha(f_\alpha)(s, x + sv_1, v) F_\alpha(f_\alpha)(s, x + sv_1, v_*) dv_* d\theta ds. \end{aligned}$$

With the angular cut-off (2.2),  $v_* \rightarrow v'$  and  $v_* \rightarrow v'_*$  are changes of variables, and so using Lemma 3.3, the functions  $f_\alpha$  for  $\alpha \in ]0, 2^{-L-1}[$  satisfy

$$\begin{aligned} \sup_{(s, x) \in [0, t] \times [0, 1]} f_\alpha^\sharp(s, x, v) &\leq f_0(x, v) + cB_0 2^{3L} t \int \sup_{(s, x) \in [0, t] \times [0, 1]} f_\alpha(s, x, v') dv' \\ &\leq 2^L + cB_0 2^{3L} t c_1 \\ &\leq 3(2^{L-1}), \quad t \in [0, \min\{T'_\alpha, \frac{1}{cc_1 B_0 2^{2L+1}}\}]. \end{aligned}$$

For all  $\alpha \leq 2^{-L-1}$ , it holds that  $T'_\alpha \geq \frac{1}{cc_1 B_0 2^{2L+1}}$ , else  $T'_\alpha$  would not be the maximum time such that  $f_\alpha(t) \leq 2^{L+1}$  on  $[0, T'_\alpha]$ . Denote by  $T = \min\{1, \frac{1}{cc_1 B_0 2^{2L+1}}\}$ . The lemma follows since  $T$  does not depend on  $\alpha$ .  $\square$

**4. Proof of Theorem 1.1**

After the above preparations we can now prove Theorem 1.1. The conservations of mass, momentum and energy will be proven in Section 5.

*Proof. (Proof of Theorem 1.1.)* Let us first prove that  $(f_\alpha)$  is a Cauchy sequence in  $C([0, T]; L^1([0, 1] \times \mathbb{R}^2))$  with  $T$  of Lemma 3.4. For any  $(\alpha_1, \alpha_2) \in ]0, 1[^2$ , the function  $g = f_{\alpha_1} - f_{\alpha_2}$  satisfies the equation

$$\begin{aligned} \partial_t g + v_1 \partial_x g &= \int B(f'_{\alpha_1} f'_{\alpha_1*} - f'_{\alpha_2} f'_{\alpha_2*}) F_{\alpha_1}(f_{\alpha_1}) F_{\alpha_1}(f_{\alpha_1*}) dv_* d\theta \\ &\quad - \int B(f_{\alpha_1} f_{\alpha_1*} - f_{\alpha_2} f_{\alpha_2*}) F_{\alpha_1}(f'_{\alpha_1}) F_{\alpha_1}(f'_{\alpha_1*}) dv_* d\theta \\ &\quad + \int B f'_{\alpha_2} f'_{\alpha_2*} \left( F_{\alpha_1}(f_{\alpha_1*}) (F_{\alpha_1}(f_{\alpha_1}) - F_{\alpha_1}(f_{\alpha_2})) + F_{\alpha_2}(f_{\alpha_2}) (F_{\alpha_1}(f_{\alpha_1*}) - F_{\alpha_1}(f_{\alpha_2*})) \right) dv_* d\theta \\ &\quad + \int B f'_{\alpha_2} f'_{\alpha_2*} \left( F_{\alpha_1}(f_{\alpha_1*}) (F_{\alpha_1}(f_{\alpha_2}) - F_{\alpha_2}(f_{\alpha_2})) + F_{\alpha_2}(f_{\alpha_2}) (F_{\alpha_1}(f_{\alpha_2*}) - F_{\alpha_2}(f_{\alpha_2*})) \right) dv_* d\theta \\ &\quad - \int B f_{\alpha_2} f_{\alpha_2*} \left( F_{\alpha_1}(f'_{\alpha_1*}) (F_{\alpha_1}(f'_{\alpha_1}) - F_{\alpha_1}(f'_{\alpha_2})) + F_{\alpha_2}(f'_{\alpha_2}) (F_{\alpha_1}(f'_{\alpha_1*}) - F_{\alpha_1}(f'_{\alpha_2*})) \right) dv_* d\theta \\ &\quad - \int B f_{\alpha_2} f_{\alpha_2*} \left( F_{\alpha_1}(f'_{\alpha_1*}) (F_{\alpha_1}(f'_{\alpha_2}) - F_{\alpha_2}(f'_{\alpha_2})) + F_{\alpha_2}(f'_{\alpha_2}) (F_{\alpha_1}(f'_{\alpha_2*}) - F_{\alpha_2}(f'_{\alpha_2*})) \right) dv_* d\theta. \end{aligned} \tag{4.1}$$

Using Lemma 3.3 and taking  $\alpha_1, \alpha_2 < 2^{-L-1}$ ,

$$\begin{aligned} & \int B\left(|f_{\alpha_1} f_{\alpha_1^*} - f_{\alpha_2} f_{\alpha_2^*}| F_{\alpha_1}(f'_{\alpha_1}) F_{\alpha_1}(f'_{\alpha_1^*})\right)^\# dx dv dv_* d\theta \\ & \leq c 2^{2L} \left( \int \sup_{x \in [0,1]} f_{\alpha_1}^\#(t, x, v) dv + \int \sup_{x \in [0,1]} f_{\alpha_2}^\#(t, x, v) dv \right) \int |(f_{\alpha_1} - f_{\alpha_2})^\#(t, x, v)| dx dv \\ & \leq c c_1 2^{2L} \int |g^\#(t, x, v)| dx dv. \end{aligned}$$

We similarly obtain

$$\int B\left(f'_{\alpha_2} f'_{\alpha_2^*} F_{\alpha_1}(f_{\alpha_1^*}) |(F_{\alpha_1}(f_{\alpha_2}) - F_{\alpha_2}(f_{\alpha_2}))|\right)^\# dx dv dv_* d\theta \leq c c_1 2^{2L} |\alpha_1 - \alpha_2|,$$

and

$$\int B\left(f_{\alpha_2} f_{\alpha_2^*} F_{\alpha_1}(f'_{\alpha_1^*}) |F_{\alpha_1}(f'_{\alpha_1}) - F_{\alpha_1}(f'_{\alpha_2})|\right)^\# dx dv dv_* d\theta \leq c c_1 2^L \int |g^\#(t, x, v)| dx dv.$$

The remaining terms are estimated in the same way. It follows

$$\frac{d}{dt} \int |g^\#(t, x, v)| dx dv \leq c c_1 2^{2L} \left( \int |g^\#(t, x, v)| dx dv + |\alpha_1 - \alpha_2| \right).$$

Hence

$$\lim_{(\alpha_1, \alpha_2) \rightarrow (0,0)} \sup_{t \in [0, T]} \int |g^\#(t, x, v)| dx dv = 0.$$

And so  $(f_\alpha)$  is a Cauchy sequence in  $C([0, T]; L^1([0, 1] \times \mathbb{R}^2))$ . Denote by  $f$  its limit. With analogous arguments to the previous ones in the proof of this lemma, it holds that

$$\lim_{\alpha \rightarrow 0} \int |Q(f) - Q(f_\alpha)|(t, x, v) dt dx dv = 0.$$

Hence  $f$  is a strong solution to (1.5) on  $[0, T]$  with initial value  $f_0$ . If there were two solutions, their difference denoted by  $G$  would with similar arguments satisfy

$$\frac{d}{dt} \int |G^\#(t, x, v)| dx dv \leq c c_1 2^{2L} \int |G^\#(t, x, v)| dx dv,$$

hence be identically equal to its initial value zero.

Denote by  $\mathcal{F}$  a given equibounded family of initial values bounded by  $2^L$ . Let  $f_1$  resp.  $f_2$  be the solution to (1.5) with initial value  $f_{10} \in \mathcal{F}$  resp.  $f_{20} \in \mathcal{F}$ . The equation for  $\bar{g} = f_1 - f_2$  can be written analogously to equation 4.1. Similar arguments lead to

$$\frac{d}{dt} \int |(f_1 - f_2)^\#(t, x, v)| dx dv \leq c c_1 2^{2L} \int |(f_1 - f_2)^\#(t, x, v)| dx dv,$$

so that

$$\|(f_1 - f_2)(t, \cdot, \cdot)\|_{L^1([0,1] \times \mathbb{R}^2)} \leq e^{c c_1 T 2^{2L}} \|f_{10} - f_{20}\|_{L^1([0,1] \times \mathbb{R}^2)}, \quad t \in [0, T].$$

This proves the stability statement of Theorem 1.1.

If  $\sup_{(x,v) \in [0,1] \times \mathbb{R}^2} f(T, x, v) < 2^{L+1}$ , then the procedure can be repeated, i.e. the same proof can be carried out from the initial value  $f(T)$ . It leads to a maximal interval denoted by  $[0, \tilde{T}_1]$  on which  $f(t, \cdot, \cdot) \leq 2^{L+1}$ . By induction there exists an increasing sequence of times  $(\tilde{T}_n)$  such that  $f(t, \cdot, \cdot) \leq 2^{L+n}$  on  $[0, \tilde{T}_n]$ . Let  $T_\infty = \lim_{n \rightarrow +\infty} \tilde{T}_n$ . Either  $\tilde{T}_\infty = +\infty$  and the solution  $f$  is global in time, or  $T_\infty$  is finite and  $\lim_{t \rightarrow T_\infty} \|f(t)\|_\infty = \infty$ . □

**5. Conservations of mass, momentum and energy**

The following two preliminary lemmas are needed for the control of large velocities.

LEMMA 5.1.

The solution  $f$  of equation (1.5) with initial value  $f_0$ , satisfies

$$\int_0^1 \int_{|v|>\lambda} |v| \sup_{t \in [0, T]} f^\#(t, x, v) dv dx \leq \frac{c_T}{\lambda}, \quad t \in [0, T],$$

where  $c_T$  only depends on  $T$ ,  $\int f_0(x, v) dx dv$  and  $\int |v|^2 f_0(x, v) dx dv$ .

*Proof.* As in (2.3),

$$\sup_{t \in [0, T]} f^\#(t, x, v) \leq f_0(x, v) + \int_0^T Q^+(f)(s, x + sv_1, v) ds.$$

Integration with respect to  $(x, v)$  for  $|v| > \lambda$ , gives

$$\int_0^1 \int_{|v|>\lambda} |v| \sup_{t \in [0, T]} f^\#(t, x, v) dv dx \leq \int \int_{|v|>\lambda} |v| f_0(x, v) dv dx + \int_0^T \int_{|v|>\lambda} B |v| f(s, x + sv_1, v') f(s, x + sv_1, v'_*) F(f)(s, x + sv_1, v) F(f)(s, x + sv_1, v_*) dv dv_* d\theta dx ds.$$

Here in the last integral, either  $|v'|$  or  $|v'_*|$  is the largest and larger than  $\frac{\lambda}{\sqrt{2}}$ . The two cases are symmetric, and we discuss the case  $|v'| \geq |v'_*|$ . After a translation in  $x$ , the integrand is estimated from above by

$$c|v'| f^\#(s, x, v') \sup_{(t, x) \in [0, T] \times [0, 1]} f^\#(t, x, v'_*).$$

The change of variables  $(v, v_*, n) \rightarrow (v', v'_*, -n)$ , the integration over

$$(s, x, v, v_*, \theta) \in [0, T] \times [0, 1] \times \{v \in \mathbb{R}^2; |v| > \frac{\lambda}{\sqrt{2}}\} \times \mathbb{R}^2 \times [-\frac{\pi}{2}, \frac{\pi}{2}],$$

and Lemma 3.3 give the bound

$$\begin{aligned} & \frac{c}{\lambda} \left( \int_0^T \int |v|^2 f^\#(s, x, v) dx dv ds \right) \left( \int_{(t, x) \in [0, T] \times [0, 1]} \sup_{(t, x) \in [0, T] \times [0, 1]} f^\#(t, x, v_*) dv_* \right) \\ & \leq \frac{cTc_1(T)}{\lambda} \int |v|^2 f_0(x, v) dx dv. \end{aligned}$$

The lemma follows. □

LEMMA 5.2. The solution  $f$  of equation (1.5) with initial value  $f_0$  satisfies

$$\int_{|v|>\lambda} \sup_{(t, x) \in [0, T] \times [0, 1]} f^\#(t, x, v) dv \leq \frac{c'_T}{\sqrt{\lambda}}, \quad t \in [0, T],$$

where  $c'_T$  only depends on  $T$ ,  $\int f_0(x, v) dx dv$  and  $\int |v|^2 f_0(x, v) dx dv$ .

*Proof.* Take  $\lambda > 2$ . As above,

$$\int_{|v|>\lambda} \sup_{(t, x) \in [0, T] \times [0, 1]} f^\#(t, x, v) dv \leq \int_{|v|>\lambda} \sup_{x \in [0, 1]} f_0(x, v) dv + cC, \tag{5.1}$$

where

$$C = \int_{|v|>\lambda} \sup_{x \in [0,1]} \int_0^T \int B f^\#(s, x + s(v_1 - v'_1), v') f^\#(s, x + s(v_1 - v'_{*1}), v'_*) dv dv_* d\theta ds.$$

For  $v', v'_*$  outside of the angular cutoff (1.3), let  $n$  be the unit vector in the direction  $v - v'$ , and  $n_\perp$  the orthogonal unit vector in the direction  $v - v'_*$ . Let  $e_1$  be a unit vector in the  $x$ -direction.

Split  $C$  as  $C = \sum_{1 \leq i \leq 6} C_i$ , where  $C_1$  (resp.  $C_2, C_3$ ) refers to integration with respect to  $(v_*, \theta)$  on

$$\{(v_*, \theta); \quad n \cdot e_1 \geq \frac{1}{\sqrt{2}}, \quad |v'| \geq |v'_*|\},$$

$$\text{(resp. } \{(v_*, \theta); n \cdot e_1 \geq \sqrt{1 - \frac{1}{\lambda}}, |v'| \leq |v'_*|\}, \quad \{(v_*, \theta); n \cdot e_1 \in [\frac{1}{\sqrt{2}}, \sqrt{1 - \frac{1}{\lambda}}], |v'| \leq |v'_*|\}),$$

and analogously for  $C_i, 4 \leq i \leq 6$ , with  $n$  replaced by  $n_\perp$ . By symmetry,  $C_i, 4 \leq i \leq 6$  can be treated as  $C_i, 1 \leq i \leq 3$ , so we only discuss the control of  $C_i, 1 \leq i \leq 3$ .

By the change of variables  $(v, v_*, n) \rightarrow (v', v'_*, -n)$ , and noticing that  $|v'| \geq \frac{\lambda}{\sqrt{2}}$  in the domain of integration of  $C_1$ , it holds that

$$\begin{aligned} C_1 &\leq \int_{|v|>\frac{\lambda}{\sqrt{2}}} \sup_{x \in [0,1]} \int_0^T \int_{n \cdot e_1 \geq \frac{1}{\sqrt{2}}} B f^\#(s, x + s(v'_1 - v_1), v) f^\#(s, x + s(v'_1 - v_{*1}), v_*) dv_* d\theta ds dv \\ &\leq \int_{|v|>\frac{\lambda}{\sqrt{2}}} \sup_{x \in [0,1]} \int_0^T \int_{n \cdot e_1 \geq \frac{1}{\sqrt{2}}} B \\ &\quad \sup_{\tau \in [0,T]} f^\#(\tau, x + s(v'_1 - v_1), v) \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v_*) dv_* d\theta ds dv. \end{aligned}$$

With the change of variables  $s \rightarrow y = x + s(v'_1 - v_1)$ ,

$$\begin{aligned} C_1 &\leq \int_{|v|>\frac{\lambda}{\sqrt{2}}} \sup_{x \in [0,1]} \int_{n \cdot e_1 \geq \frac{1}{\sqrt{2}}} \int_{y \in (x, x + T(v'_1 - v_1))} \frac{B}{|v'_1 - v_1|} \\ &\quad \sup_{\tau \in [0,T]} f^\#(\tau, y, v) \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v_*) dy dv_* d\theta dv \\ &\leq \int_{|v|>\frac{\lambda}{\sqrt{2}}} \int_{n \cdot e_1 \geq \frac{1}{\sqrt{2}}} \frac{|E(T(v'_1 - v_1)) + 1|}{|v'_1 - v_1|} \int_0^1 B \\ &\quad \sup_{\tau \in [0,T]} f^\#(\tau, y, v) \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v_*) dy dv_* d\theta dv. \end{aligned}$$

Moreover,

$$|E(T(v'_1 - v_1)) + 1| \leq T|v'_1 - v_1| + 1 \leq (T + \frac{\sqrt{2}}{\gamma\gamma'})|v'_1 - v_1|,$$

where  $\gamma$  and  $\gamma'$  were defined in (2.2). Consequently,

$$\begin{aligned} C_1 &\leq c(T+1) \int_0^1 \int_{|v|>\frac{\lambda}{\sqrt{2}}} \sup_{\tau \in [0,T]} f^\#(\tau, y, v) dy dv \int_{(\tau, X) \in [0,T] \times [0,1]} \sup f^\#(\tau, X, v_*) dv_* \\ &\leq \frac{c(T+1)}{\lambda} \int_0^1 \int_{|v|>\frac{\lambda}{\sqrt{2}}} |v| \sup_{\tau \in [0,T]} f^\#(\tau, y, v) dy dv \int_{(\tau, X) \in [0,T] \times [0,1]} \sup f^\#(\tau, X, v_*) dv_*. \end{aligned}$$



By Lemmas 3.3 and 5.1,

$$C_1 \leq \frac{c}{\lambda^2}(T+1)c_Tc_1(T).$$

Moreover,

$$\begin{aligned} C_2 &\leq \int_{|v'| > \lambda, |v_*| > |v|, n \cdot e_1 \geq \sqrt{1-\frac{1}{\lambda}}} \frac{B}{|v'_1 - v_1|} \\ &\quad \sup_{x \in [0,1]} \int_{y \in (x, x+T(v'_1 - v_1))} \sup_{\tau \in [0,T]} f^\#(\tau, y, v) \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v_*) dy dv dv_* d\theta \\ &\leq c(T+1) \int_{n \cdot e_1 \geq \sqrt{1-\frac{1}{\lambda}}} d\theta \int \sup_{\tau \in [0,T]} f^\#(\tau, y, v) dy dv \int \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v_*) dv_* \\ &\leq \frac{c}{\sqrt{\lambda}}(T+1)^2c_1(T), \end{aligned}$$

by Lemmas 3.1 and 3.3. Finally,

$$\begin{aligned} C_3 &\leq \int_{|v_*| > \frac{\lambda}{\sqrt{2}}, \frac{1}{\sqrt{\lambda}} \leq n_\perp \cdot e_1 \leq \frac{1}{\sqrt{2}}} \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v) \frac{B}{|v'_1 - v_{*1}|} \\ &\quad \sup_{x \in [0,1]} \left( \int_{y \in (x, x+T(v'_1 - v_{*1}))} \sup_{\tau \in [0,T]} f^\#(\tau, y, v_*) dy \right) dv dv_* d\theta \\ &\leq c(T+1)\sqrt{\lambda} \left( \int \sup_{(\tau, X) \in [0,T] \times [0,1]} f^\#(\tau, X, v) dv \right) \left( \int_{|v_*| > \frac{\lambda}{\sqrt{2}}} \sup_{\tau \in [0,T]} f^\#(\tau, y, v_*) dy dv_* \right). \end{aligned}$$

By Lemmas 3.3 and 5.1,

$$C_3 \leq \frac{c}{\sqrt{\lambda}}(T+1)c_1(T)c_T.$$

The lemma follows. □

**LEMMA 5.3.** *The solution  $f$  to equation (1.5) with initial value  $f_0$  conserves mass, momentum and energy.*

*Proof.* The conservation of mass and first momentum of  $f$  will follow from the boundedness of the total energy. The energy is non-increasing since the approximations  $f_\alpha$  conserve energy and

$$\lim_{\alpha \rightarrow 0} \int_0^1 \int_{|v| < V} |(f - f_\alpha)(t, x, v)| |v|^2 dx dv = 0, \quad \text{for all } t \in [0, T] \text{ and positive } V.$$

Energy conservation will be satisfied if the energy is non-decreasing. Taking  $\psi_\epsilon = \frac{|v|^2}{1+\epsilon|v|^2}$  as approximation for  $|v|^2$ , it is enough to bound

$$\int Q(f)(t, x, v) \psi_\epsilon(v) dx dv = \int B \psi_\epsilon \left( f' f'_* F(f) F(f_*) - f f_* F(f') F(f'_*) \right) dx dv dv_* d\theta$$

from below by zero in the limit  $\epsilon \rightarrow 0$ . Similarly to [8],

$$\begin{aligned} \int Q(f) \psi_\epsilon dx dv &= \frac{1}{2} \int B f f_* F(f') F(f'_*) \left( \psi_\epsilon(v') + \psi_\epsilon(v'_*) - \psi_\epsilon(v) - \psi_\epsilon(v_*) \right) dx dv dv_* d\theta \\ &\geq - \int B f f_* F(f') F(f'_*) \frac{\epsilon |v|^2 |v_*|^2}{(1+\epsilon|v|^2)(1+\epsilon|v_*|^2)} dx dv dv_* d\theta. \end{aligned}$$

The previous line, with the integral taken over a bounded set in  $(v, v_*)$ , converges to zero when  $\epsilon \rightarrow 0$ . In integrating over  $|v|^2 + |v_*|^2 \geq 2\lambda^2$ , there is symmetry between the subset of the domain with  $|v|^2 > \lambda^2$  and the one with  $|v_*|^2 > \lambda^2$ . We discuss the first sub-domain, for which the integral in the last line is bounded from below by

$$\begin{aligned} & -c \int |v_*|^2 f(t, x, v_*) dx dv_* \int_{|v| \geq \lambda} B \sup_{(s, x) \in [0, t] \times [0, 1]} f^\#(s, x, v) dv d\theta \\ & \geq -c \int_{|v| \geq \lambda} \sup_{0 \leq (s, x) \in [0, t] \times [0, 1]} f^\#(s, x, v) dv. \end{aligned}$$

It follows from Lemma 5.2 that the right hand side tends to zero when  $\lambda \rightarrow \infty$ . This implies that the energy is non-decreasing, and bounded from below by its initial value. That completes the proof of the lemma.  $\square$

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