

## ON THE CAHN–HILLIARD–BRINKMAN SYSTEM\*

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**Abstract.** We consider a diffuse interface model for phase separation of an isothermal, incompressible, binary fluid in a Brinkman porous medium. The coupled system consists of a convective Cahn–Hilliard equation for the phase field  $\phi$ , i.e., the difference of the (relative) concentrations of the two phases coupled with a modified Darcy equation proposed by H.C. Brinkman in 1947 for the fluid velocity  $\mathbf{u}$ . This equation incorporates a diffuse interface surface force proportional to  $\phi\nabla\mu$  where  $\mu$  is the so-called chemical potential. We analyze the well-posedness of the resulting Cahn–Hilliard–Brinkman (CHB) system for  $(\phi, \mathbf{u})$ . Then we establish the existence of a global attractor and the convergence of a given (weak) solution to a single equilibrium via a Lojasiewicz–Simon inequality. Furthermore, we study the behavior of the solutions as the viscosity goes to zero, that is, when the CHB system approaches the Cahn–Hilliard–Hele–Shaw (CHHS) system. We first prove the existence of a weak solution to the CHHS system as limit of CHB solutions. Then, in dimension two, we estimate the difference of the solutions to CHB and CHHS systems in terms of the viscosity constant appearing in CHB.

**Key words.** Incompressible binary fluids, Brinkman equation, Darcy’s law, diffuse interface models, Cahn–Hilliard equation, weak solutions, existence, uniqueness, global attractor, convergence to equilibrium, vanishing viscosity.

**AMS subject classifications.** 35B40, 35D30, 35Q35, 37L30, 76D27, 76D45, 76S05, 76T99.

### 1. Introduction

The so-called Brinkman equation was proposed by H.C. Brinkman in [6] as a modified Darcy’s law in order to describe the flow through a porous mass. If we assume that the incompressible fluid occupies a bounded domain  $\Omega \subset \mathbb{R}^d$ ,  $d=2, 3$ , for any time  $t \in (0, T)$ ,  $T > 0$ , the Brinkman equation for the (divergence free) fluid velocity  $\mathbf{u}$  reads

$$-\nabla \cdot [\nu D(\mathbf{u})] + \eta \mathbf{u} = -\nabla p,$$

in  $\Omega \times (0, T)$ . Here  $2D(\mathbf{u}) = \nabla \mathbf{u} + (\nabla \mathbf{u})^{tr}$ ,  $\nu > 0$  is the viscosity,  $\eta > 0$  the fluid permeability, and  $p$  is the fluid pressure.

More recently, a diffuse interface variant of the Brinkman equation has been proposed to model phase separation of incompressible binary fluids in a porous medium (see [21]). Let us suppose that both the fluids have equal constant density and indicate by  $\phi$  the difference of the fluid (relative) concentrations. Denoting by  $\mathbf{u}$  the (averaged) fluid velocity, the resulting model is the following:

$$\partial_t \phi + \nabla \cdot (\phi \mathbf{u}) = \nabla \cdot (M \nabla \mu), \quad (1.1)$$

$$\mu = -\varepsilon \Delta \phi + \frac{1}{\varepsilon} f(\phi), \quad (1.2)$$

$$-\nabla \cdot [\nu D(\mathbf{u})] + \eta \mathbf{u} = -\nabla p - \gamma \phi \nabla \mu, \quad (1.3)$$

$$\nabla \cdot \mathbf{u} = 0, \quad (1.4)$$

in  $\Omega \times (0, T)$ . Here  $M > 0$  stands for the mobility,  $\varepsilon > 0$  is related to the diffuse interface thickness,  $f$  is the derivative of a double well potential describing phase separation, and  $\gamma > 0$  is a surface tension parameter.

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This model consists of a convective Cahn–Hilliard equations (1.1)–(1.2) coupled with the Brinkman equation through the surface tension force  $\gamma\phi\nabla\mu$ . For this reason, (1.1)–(1.4) has been called the Cahn–Hilliard–Brinkman (CHB) system. Such a system belongs to a class of diffuse interface models which are used to describe the behavior of multi-phase fluids. We recall, in particular, the Cahn–Hilliard–Navier–Stokes system which has been investigated in several papers (see, e.g., [2, 3, 4, 7, 12, 13, 14, 19, 23, 30, 31], cf. also [16] for a recent review on modeling and numerics).

The CHB system has recently been analyzed from the numerical viewpoint in [9] (see also [10]). More precisely, the authors have considered system (1.1)–(1.4) with  $M$ ,  $\nu$ , and  $\eta$  possibly depending on  $\phi$  and endowed with boundary and initial conditions

$$\mathbf{u}|_{\partial\Omega} = \mathbf{0}, \quad \text{on } \partial\Omega \times (0, T), \quad (1.5)$$

$$\partial_{\mathbf{n}}\phi = \partial_{\mathbf{n}}\mu = 0, \quad \text{on } \partial\Omega \times (0, T), \quad (1.6)$$

$$\phi(0) = \phi_0, \quad (1.7)$$

where  $\phi_0 : \Omega \rightarrow \mathbb{R}$  is a given function. Here  $\mathbf{n}$  stands for the outward normal vector to  $\partial\Omega$  which is supposed to be sufficiently smooth.

The main goal of this contribution is to establish some theoretical results on (1.1)–(1.7) in the case when  $M$ ,  $\nu$ , and  $\eta$  are constant. First of all, we analyze the well-posedness of the problem, proving the global existence and uniqueness of a weak solution and its continuous dependence on the initial datum. Secondly, we study the longterm behavior of the CHB system as a dissipative dynamical system by proving the existence of a global attractor. Then we investigate the long-time dynamics of any given weak solution by showing that each trajectory does converge to a unique stationary state with an explicit convergence rate. Our results include the case  $\eta=0$  (see [22] and references therein).

In the second part of the paper, we analyze the behavior of solutions when  $\nu$  goes to zero. Observe that when  $\nu=0$  system (1.1)–(1.4) becomes the so-called Cahn–Hilliard–Hele–Shaw (CHHS) model. This is a particularly challenging problem which finds applications in tumor growth dynamics (see, e.g., [20] and its references) and has been recently studied from the theoretical viewpoint in [20, 27, 28] (see also [11, 17, 18] and references therein).

We are able to prove that there is a global weak solution to the CHHS system which is the limit of solutions to the CHB system with (1.5)–(1.7) (compare with [11, Thm.2.4]). Notice that uniqueness of weak solutions is still an open problem. On the contrary, a *strong* solution is unique, but, if  $d=3$ , only local existence is known so far unless the initial datum is a small perturbation of a suitable constant state (see [20]).

In dimension two, we also provide an estimate of the difference of (strong) solutions to CHB and CHHS systems with respect to  $\nu$ .

The plan of this paper goes as follows. In the next section, we state the main results along with some notation and basic tools. Section 3 is devoted to proving certain a priori estimates. Then, in Section 4, we establish the well-posedness of problem (1.1)–(1.7) and a global dissipative estimate. In Section 5, we obtain some higher-order estimates which are helpful to prove the existence of the global attractor as well as to show, in Section 6, the convergence to the equilibrium of a given weak solution. Finally, in Section 7, we analyze what happens when  $\nu$  goes to zero while in Section 8 we estimate the difference of (strong) solutions to CHB and CHHS systems.

## 2. Preliminaries and main results

Here we list our assumptions on  $f$  and the potential  $F(s) := \int_1^s f(y) dy$ , and we introduce some notation. Then we state our main results. This requires us to formulate our problems rigorously. We also recall a pair of Gronwall-type lemmas.

**Assumptions on  $F$  and  $f$ .** We assume that  $f \in C^1(\mathbb{R})$ , with  $f(0) = 0$ , is such that

$$|f(s)| \leq c(1 + |s|^3), \quad (2.1)$$

and

$$F(s) \geq -c, \quad (2.2)$$

for all  $s \in \mathbb{R}$  and some  $c > 0$ . During the course of the investigation, we shall need further assumptions such as

$$|f'(s) - f'(r)| \leq c|s - r|(1 + |s| + |r|), \quad (2.3)$$

or the stronger condition that  $f \in C^2(\mathbb{R})$  such that

$$|f''(s)| \leq c(1 + |s|). \quad (2.4)$$

We shall also make use of the following dissipation condition,

$$\inf_{s \in \mathbb{R}} f'(s) > -\infty. \quad (2.5)$$

A typical example of (regular) double well potential is

$$F(s) = (s^2 - 1)^2, \quad (2.6)$$

which complies with (2.1)–(2.5). More generally, one can take a fourth degree polynomial with positive leading coefficient.

**Functional spaces.** Let  $\Omega \subset \mathbb{R}^d$ ,  $d=2,3$ , be either a smooth bounded connected domain or a convex polygonal or polyhedral domain. For any positive integer  $r$ , let  $H^r(\Omega) = W^{r,2}(\Omega)$ , the usual Sobolev space, and denote the norm  $\|\cdot\|_{W^{r,2}(\Omega)}$  by  $\|\cdot\|_r$ . Throughout the paper, we set  $H = L^2(\Omega)$ ,

$$V = \overline{\{\phi \in \mathcal{C}^\infty(\bar{\Omega}) : \partial_n \phi = 0 \text{ on } \partial\Omega\}}^{H^1(\Omega)}, \quad \text{and} \quad H^r = H^r(\Omega) \cap V,$$

endowed with the norm  $\|\cdot\|_r$ . Similarly, we denote the norm  $\|\cdot\|_{L^2}$  by  $\|\cdot\|$ . The short-hand  $\langle \cdot, \cdot \rangle$  will stand both for the scalar product in  $H$  and for the duality product between  $H^r$  and its dual space  $H^{-r}$ . The same symbols will also be used for the scalar product and norm in spaces of vector-valued elements.

Additionally, let  $\mathcal{V}$  be the space of divergence-free test functions defined by

$$\mathcal{V} = \{\mathbf{v} \in \mathcal{C}_0^\infty(\Omega, \mathbb{R}^3) : \nabla \cdot \mathbf{v} = 0\}.$$

We shall use the following spaces:

$$\mathbf{H} = \overline{\mathcal{V}}^{(H)^3} \quad \text{and} \quad \mathbf{V} = \overline{\mathcal{V}}^{(H^1)^3}.$$

In particular, we recall that if  $\mathbf{v} \in \mathbf{V}$ , then  $\mathbf{v}|_{\partial\Omega} = \mathbf{0}$ , and if  $\mathbf{v} \in \mathbf{H}$ , then  $\mathbf{v} \cdot \mathbf{n} = \mathbf{0}$  on  $\partial\Omega$  (see, e.g., [26, Chapter I]).

**Notation.** Without loss of generality, we will set  $M=\varepsilon=\gamma=1$ . Throughout the paper,  $c\geq 0$  will stand for a generic constant and  $\mathcal{Q}(\cdot)$  for a generic positive increasing function.

**2.1. Statement of the main results.** Let us introduce the definition of a weak solution to the CHB system with boundary and initial conditions (1.5)–(1.7).

**DEFINITION 2.1.** Let  $\nu>0$ ,  $\phi_0\in H^1$ , and  $T>0$  be given. A pair  $(\phi,\mathbf{u})$  is a (weak) solution to system (1.1)–(1.4) endowed with (1.5)–(1.7) if

$$\phi\in C([0,T],H^1)\cap L^2(0,T;H^3)$$

satisfies

$$\begin{aligned} \langle \partial_t \phi(t), w \rangle + \langle \nabla \cdot (\phi(t) \mathbf{u}(t)), w \rangle + \langle \nabla \mu(t), \nabla w \rangle &= 0, \\ \forall w \in H^1, \text{ a.e. } t \in [0,T], \end{aligned} \quad (2.7)$$

$$\begin{aligned} \partial_{\mathbf{n}} \phi &= 0, & \text{a.e. on } \partial\Omega \times (0,T), \\ \phi|_{t=0} &= \phi_0, & \text{a.e. in } \Omega, \end{aligned}$$

with  $\mu\in L^2(0,T;H^1)$  given by (1.2) and

$$\mathbf{u}\in L^2(0,T;\mathbf{V})$$

fulfills

$$\nu \langle \nabla \mathbf{u}(t), \nabla \mathbf{v} \rangle + \eta \langle \mathbf{u}(t), \mathbf{v} \rangle = -\langle \phi(t) \nabla \mu(t), \mathbf{v} \rangle, \quad \forall \mathbf{v} \in \mathbf{V}, \quad \text{a.e. } t \in [0,T]. \quad (2.8)$$

**REMARK 2.1.** It is straightforward to observe that any weak solution satisfies mass conservation. Namely,

$$\langle \phi(t) \rangle = \langle \phi_0 \rangle, \quad \forall t \geq 0, \quad (2.9)$$

where

$$\langle \phi(t) \rangle := \frac{1}{|\Omega|} \int_{\Omega} \phi(\mathbf{x},t) d\mathbf{x}.$$

**REMARK 2.2.** As we shall see in Section 3, the regularity assumed in Definition 2.1 yields

$$\nabla \cdot (\phi \mathbf{u}) \in L^2(0,T;H^{-1}),$$

so that  $\partial_t \phi \in L^2(0,T;H^{-1})$  by comparison. Moreover, we have

$$\phi \nabla \mu \in L^{8/5}(0,T;\mathbf{H}) \cap L^2(0,T;\mathbf{V}^*).$$

**REMARK 2.3.** As usual, the pressure term is dropped in the weak formulation of the Stokes problem. Indeed, the pressure can be recovered (up to a constant) thanks to a classical result (see, for instance, [26, Theorem I.1.4]). In particular, since

$$\mathcal{S} = \nu \Delta \mathbf{u} - \eta \mathbf{u} + \phi \nabla \mu \in L^2(0,T;\mathbf{V}^*),$$

we know that there exists a (unique up to an additive function of  $t$  only) function  $p\in L^2(0,T;H)$  satisfying  $\nabla p=\mathcal{S}$ .

Global existence and uniqueness of a weak solution is given by the following theorem.

**THEOREM 2.2.** *Let  $\nu > 0$ ,  $\eta \geq 0$ , and  $f$  satisfy (2.1)–(2.2). Let  $\phi_0 \in H^1$  be given. Then, for every  $T > 0$ , there exists a pair  $(\phi, \mathbf{u})$  which is a solution to the CHB system according to Definition 2.1. If (2.3) holds, then the weak solution is unique.*

We also have continuous dependence estimates.

**THEOREM 2.3.** *Let  $\nu > 0$ ,  $\eta > 0$ . Under the same assumptions as in Theorem 2.2, if  $(\phi_1, \mathbf{u}_1)$  and  $(\phi_2, \mathbf{u}_2)$  are two weak solutions to the CHB system such that  $\langle \phi_1(0) \rangle = \langle \phi_2(0) \rangle$ , then, for every  $T > 0$ , there exists  $C_T > 0$  depending on  $R = \max\{\|\phi_1(0)\|_1, \|\phi_2(0)\|_1\}$  such that the following continuous dependence estimates hold:*

$$\|\phi_1(t) - \phi_2(t)\|_1^2 \leq \|\phi_1(0) - \phi_2(0)\|_1^2 e^{C_T/\sqrt{\nu}}, \quad (2.10)$$

and

$$\int_0^t \|\mathbf{u}_1(s) - \mathbf{u}_2(s)\|_1^2 ds \leq \|\phi_1(0) - \phi_2(0)\|_1^2 \left(1 + C_T e^{C_T/\sqrt{\nu}}\right), \quad (2.11)$$

for every  $t \in [0, T]$ .

**REMARK 2.4.** In the case  $\eta = 0$  (cf. [22]), the same continuous dependence estimates hold by replacing  $\sqrt{\nu}$  with  $\nu$  in (2.10) and (2.11).

The next result shows that any weak solution converges to a single stationary state as time goes to infinity.

**THEOREM 2.4.** *Let  $\nu > 0$ ,  $\eta \geq 0$ , and let  $f$  be real analytic satisfying (2.2)–(2.5). For every fixed  $\phi_0 \in H^1$ , the global solution  $\phi$  originating from  $\phi_0$  converges to an equilibrium  $\phi^*$  as  $t \rightarrow \infty$  with the following convergence rate:*

$$\|\phi(t) - \phi^*\|_1 \leq \frac{c_\nu}{(1+t)^{\theta/(1-2\theta)}}, \quad \forall t \geq t^*, \quad (2.12)$$

for some  $\theta = \theta(\phi^*) \in (0, \frac{1}{2})$ ,  $c_\nu = c_\nu(\|\phi_0\|_1) \geq 0$  and  $t^* > 0$ . Here  $\phi^* \in H^2$  is a solution to the stationary system

$$-\Delta z + f(z) = \text{const} \text{ in } \Omega, \quad \partial_{\mathbf{n}} z = 0 \text{ on } \partial\Omega, \quad \langle z \rangle = \langle \phi_0 \rangle.$$

Furthermore, the velocity field  $\mathbf{u}$  vanishes and satisfies

$$\|\mathbf{u}(t)\|_1 \leq \frac{c_\nu}{(1+t)^{\theta/4(1-2\theta)}}, \quad \forall t \geq t^*. \quad (2.13)$$

Here  $c_\nu \rightarrow \infty$  as  $\nu \rightarrow 0$ .

Let us now introduce the definition of a weak solution to the CHHS system endowed with (1.6)–(1.7) and

$$\mathbf{u} \cdot \mathbf{n} = \mathbf{0}, \quad \text{on } \partial\Omega \times (0, T). \quad (2.14)$$

**DEFINITION 2.5.** *Let  $\phi_0 \in H^1$  and  $T > 0$  be given. A pair  $(\phi, \mathbf{u})$  is a (weak) solution to the CHHS system endowed with (1.6)–(1.7) and (2.14) if*

$$\phi \in \mathcal{C}_{\mathbf{w}}([0, T], H^1) \cap L^2(0, T; H^3)$$

satisfies

$$\begin{aligned} \langle \partial_t \phi(t), w \rangle + \langle \nabla \cdot (\phi(t) \mathbf{u}(t)), w \rangle + \langle \nabla \mu(t), \nabla w \rangle &= 0, \\ \forall w \in \mathbf{H}^1, \quad a.e. \ t \in [0, T], \\ \partial_{\mathbf{n}} \phi &= 0, \quad a.e. \text{ on } \partial\Omega \times (0, T), \\ \phi|_{t=0} &= \phi_0, \quad a.e. \text{ in } \Omega, \end{aligned}$$

with  $\mu \in L^2(0, T; \mathbf{H}^1)$  given by (1.2) and

$$\mathbf{u} \in L^2(0, T; \mathbf{H})$$

fulfills

$$\eta \langle \mathbf{u}(t), \mathbf{v} \rangle = -\langle \phi(t) \nabla \mu(t), \mathbf{v} \rangle, \quad \forall \mathbf{v} \in \mathbf{V}, \quad a.e. \ t \in [0, T].$$

**REMARK 2.5.** It is worth noting that the regularity assumed in Definition 2.5 yields

$$\nabla \cdot (\phi \mathbf{u}) \in L^{8/5}(0, T; \mathbf{H}^{-1}) \quad \text{whence} \quad \partial_t \phi \in L^{8/5}(0, T; \mathbf{H}^{-1}).$$

The following theorem says that a weak solution to the CHHS system can be found as a limit of solutions to the CHB system as viscosity vanishes.

**THEOREM 2.6.** *Let  $\eta > 0$  and let  $f$  satisfy (2.1)–(2.2). For  $\phi_0 \in \mathbf{H}^1$ , let  $\{\nu_n\}_{n \in \mathbb{N}}$  be a sequence of positive numbers such that  $\nu_n \rightarrow 0$  as  $n \rightarrow \infty$ . Let  $(\phi_n, \mathbf{u}_n)$  be the sequence of weak solutions corresponding to the CHB system with  $\nu = \nu_n$  originating from  $\phi_0$ . Then, up to a subsequence,  $(\phi_n, \mathbf{u}_n)$  converges to a weak solution  $(\phi, \mathbf{u})$  of the CHHS system according to Definition 2.5 in the following sense:*

$$\begin{aligned} \phi_n &\rightarrow \phi \quad \text{weakly in } L^2(0, T; \mathbf{H}^3) \text{ and strongly in } L^2(0, T; \mathbf{H}^2), \\ \mathbf{u}_n &\rightarrow \mathbf{u} \quad \text{weakly in } L^2(0, T; \mathbf{H}). \end{aligned}$$

Finally, in dimension two, we state a result about the estimate of the difference between a solution to the BCH system and a solution to the CHHS system. Indeed, it is known from [20] that the CHHS system endowed with (1.6)–(1.7) and (2.14) admits a unique *strong* solution provided that  $\phi_0 \in \mathbf{H}^2$ , which is also *global* when  $d=2$ . In this case, we have the following result.

**THEOREM 2.7.** *Let  $d=2$  and  $\eta > 0$ . Let  $f$  satisfy (2.2)–(2.3). Take  $\phi_0^\nu, \phi_0 \in \mathbf{H}^2$  such that  $\langle \phi_0^\nu \rangle = \langle \phi_0 \rangle$  and set*

$$R := \sup_{\nu > 0} \{ \|\phi_0^\nu\|_2, \|\phi_0\|_2 \} < \infty.$$

*Let  $(\phi_\nu, \mathbf{u}_\nu)$  be the unique weak solution to the CHB system with  $\nu > 0$  originating from  $\phi_0^\nu$  and  $(\phi, \mathbf{u})$  the solution to the CHHS system with initial datum  $\phi_0$ . Then, for every  $T > 0$ , there exists  $C_T > 0$  (depending only on  $R$ ) such that*

$$\|\phi_\nu(t) - \phi(t)\|_1^2 + \int_0^t \|\mathbf{u}_\nu(y) - \mathbf{u}(y)\|^2 dy \leq \|\phi_0^\nu - \phi_0\|_1^2 e^{C_T} + C_T \nu^{1/2}, \quad \forall t \in [0, T].$$

In particular, if  $\phi_0^\nu = \phi_0$ , then

$$\phi_\nu \rightarrow \phi \quad \text{in } L^\infty(0, T; \mathbf{H}^1) \text{ as } \nu \rightarrow 0,$$

for all  $T > 0$ .

**2.2. Basic inequalities.** We will exploit the classical inequalities due to Sobolev, Gagliardo and Nirenberg, Agmon, and Poincaré, respectively, which are standard (see, e.g., [24, 26]).

We also need a pair of Gronwall-type inequalities: the uniform Gronwall lemma ([25, Section 1.1.3]) and the differential Gronwall lemma, respectively.

LEMMA 2.8. *Let  $\psi_0$  be an absolutely continuous nonnegative function and let  $\psi_1, \psi_2$  be two nonnegative functions satisfying, almost everywhere in  $\mathbb{R}^+$ , the differential inequality*

$$\frac{d}{dt}\psi_0 \leq \psi_0\psi_1 + \psi_2.$$

*Assume also that*

$$\sup_{t \geq 0} \int_t^{t+r} \psi_i(\tau) d\tau \leq m_i, \quad i = 0, 1, 2,$$

*for some positive constants  $m_i$  and  $r > 0$ . Then,*

$$\psi_0(t+r) \leq \left( \frac{m_0}{r} + m_2 \right) e^{m_1}, \quad \forall t \geq 0.$$

LEMMA 2.9. *Let  $\psi: [t^*, \infty) \rightarrow \mathbb{R}$  be an absolutely continuous function, which fulfills for almost every  $t \geq t^*$  the differential inequality*

$$\frac{d}{dt}\psi(t) + \alpha\psi(t) \leq (1+t)^{-\beta},$$

*for some  $\alpha > 0$  and  $\beta > 0$ . Then, there exists  $c > 0$  such that for every sufficiently large time  $t$*

$$\psi(t) \leq c(1 + \psi(t^*))(1+t)^{-\beta}.$$

### 3. Basic estimates

In this section, we let  $\phi_0 \in H^1$  and we denote by  $(\phi, \mathbf{u})$  a weak solution to the CHB system originating from  $\phi_0$ . Our aim is to prove a number of a priori estimates for  $(\phi, \mathbf{u})$ .

To this aim, in the following we denote by  $\mathcal{Q}(\cdot)$  a generic, increasing, and positive function which is *independent of  $\nu$* . All the energy estimates are formal, but they can be performed rigorously within a Galerkin approximation scheme (see Section 4 for references).

#### 3.1. Energy estimates.

LEMMA 3.1. *For any given  $R > 0$ , the following inequality holds:*

$$\|\phi(t)\|_1^2 + \int_0^\infty (\|\nabla \mu(y)\|^2 + \eta \|\mathbf{u}(y)\|^2) dy + \nu \int_0^\infty \|\nabla \mathbf{u}(y)\|^2 dy \leq \mathcal{Q}(R), \quad (3.1)$$

*for every initial datum  $\phi_0$  with  $\|\phi_0\|_1 \leq R$ . Moreover, for every  $T > 0$ , we have*

$$\int_0^T (\|\mu(y)\|_1^2 + \|\phi(y)\|_3^2) dy \leq \mathcal{Q}_T(R), \quad (3.2)$$

*for some increasing positive function  $\mathcal{Q}_T$  depending on  $T$ .*

*Proof.* Taking  $w = \mu$  in (2.7),  $v = u$  in (2.8), and summing up the resulting equalities, we have

$$\frac{d}{dt} \left( \frac{1}{2} \|\nabla \phi\|^2 + \langle F(\phi), 1 \rangle \right) + \|\nabla \mu\|^2 + \nu \|\nabla u\|^2 + \eta \|u\|^2 = 0. \quad (3.3)$$

In light of (2.1), this yields

$$\|\phi(t)\|_1 \leq \|\phi_0\|_1 + 2\langle F(\phi_0), 1 \rangle \leq \mathcal{Q}(R), \quad \forall t \geq 0.$$

A subsequent integration in time of (3.3) completes the proof of (3.1).

Now, multiplying (1.2) in  $H$  by the constant function 1, we get

$$\langle \mu, 1 \rangle = \langle f(\phi), 1 \rangle,$$

which, by (2.1), gives

$$\langle \mu \rangle \leq c(1 + \int_{\Omega} |\phi|^3) \leq c(1 + \|\phi\|_1^3) \leq \mathcal{Q}(R).$$

Thanks to (3.1), we obtain, for every  $T > 0$ ,

$$\int_0^T \|\mu(y)\|_1^2 dy \leq \mathcal{Q}_T(R),$$

hence  $\mu \in L^2(0, T; H^1)$ . Let us now multiply (1.2) by  $-\Delta^2 \phi$  in  $H$ . This yields

$$\langle \nabla \mu, \nabla \Delta \phi \rangle = -\|\nabla \Delta \phi\|^2 + \langle f'(\phi) \nabla \phi, \nabla \Delta \phi \rangle.$$

On the other hand, recalling (2.1) and (3.1), we have

$$\begin{aligned} \langle f'(\phi) \nabla \phi, \nabla \Delta \phi \rangle &\leq \|f'(\phi)\|_{L^3} \|\nabla \phi\|_{L^6} \|\nabla \Delta \phi\| \\ &\leq \mathcal{Q}(R) \|\nabla \phi\|^{1/2} \|\nabla \Delta \phi\|^{1/2} \|\nabla \Delta \phi\| \\ &\leq \mathcal{Q}(R) + \frac{1}{4} \|\nabla \Delta \phi\|^2, \end{aligned}$$

which gives

$$\frac{1}{2} \|\nabla \Delta \phi\|^2 \leq \|\nabla \mu\|^2 + \mathcal{Q}(R).$$

From (3.1), we find

$$\int_0^T \|\phi(y)\|_3^2 dy \leq \mathcal{Q}_T(R), \quad (3.4)$$

so that  $\phi \in L^2(0, T; H^3)$ , completing the proof of (3.2).  $\square$

REMARK 3.1. Since  $\phi \in L^\infty(\mathbb{R}^+; H^1) \cap L^2(0, T; H^3)$ , we easily get by interpolation

$$\int_0^T \|\phi\|_2^p dt \leq \int_0^T \|\phi\|_1^{p/2} \|\phi\|_3^{p/2} dt \leq c \int_0^T \|\phi\|_3^{p/2} dt < \infty \quad \text{if } p \leq 4.$$

Thus

$$\int_0^T \|\phi(y)\|_2^4 dy \leq \mathcal{Q}_T(R), \quad (3.5)$$

that is,  $\phi \in L^4(0, T; H^2)$ .

### 3.2. Further estimates.

**The term  $\nabla \cdot (\phi \mathbf{u})$ .** For  $w \in H^1$ , using the Agmon inequality and interpolation, we compute

$$\begin{aligned} \langle \nabla \cdot (\phi \mathbf{u}), w \rangle &= \langle \phi \mathbf{u}, \nabla w \rangle \leq \|\nabla w\| \|\mathbf{u}\| \|\phi\|_{L^\infty} \\ &\leq \|\nabla w\| \|\mathbf{u}\| \|\phi\|_1^{3/4} \|\phi\|_3^{1/4} \leq \mathcal{Q}(R) \|\nabla w\| \|\mathbf{u}\| \|\phi\|_3^{1/4}. \end{aligned}$$

This implies

$$\begin{aligned} \left| \int_0^T \langle \nabla \cdot (\phi \mathbf{u}), w \rangle dt \right| &\leq \mathcal{Q}(R) \int_0^T \|\nabla w\| \|\mathbf{u}\| \|\phi\|_3^{1/4} dt \\ &\leq \mathcal{Q}(R) \left( \int_0^T \|\nabla w\|^{8/3} dt \right)^{3/8} \left( \int_0^T \|\mathbf{u}\|^2 dt \right)^{1/2} \left( \int_0^T \|\phi\|_3^2 dt \right)^{1/8}. \end{aligned}$$

As a consequence, invoking the fact that  $\mathbf{u} \in L^2(0, T; \mathbf{H})$  and  $\phi \in L^2(0, T; H^3)$ , we get

$$\left| \int_0^T \langle \nabla \cdot (\phi \mathbf{u}), w \rangle dt \right| \leq \mathcal{Q}_T(R) \left( \int_0^T \|\nabla w\|^{8/3} dt \right)^{3/8},$$

which gives

$$\nabla \cdot (\phi \mathbf{u}) \in L^{8/5}(0, T; H^{-1}).$$

We stress that this control is independent of  $\nu$ . Exploiting the  $\nu$ -dependent estimate  $\mathbf{u} \in L^2(0, T; \mathbf{V})$ , we can improve the previous estimate. Indeed, we have

$$\langle \nabla \cdot (\phi \mathbf{u}), w \rangle = \langle \phi \mathbf{u}, \nabla w \rangle \leq \|\nabla w\| \|\mathbf{u}\|_{L^3} \|\phi\|_{L^6} \leq \mathcal{Q}(R) \|\nabla w\| \|\mathbf{u}\|^{1/2} \|\mathbf{u}\|_1^{1/2},$$

providing

$$\begin{aligned} \left| \int_0^T \langle \nabla \cdot (\phi \mathbf{u}), w \rangle dt \right| &\leq \mathcal{Q}(R) \int_0^T \|\nabla w\| \|\mathbf{u}\|^{1/2} \|\mathbf{u}\|_1^{1/2} dt \\ &\leq \mathcal{Q}(R) \left( \int_0^T \|\nabla w\|^2 dt \right)^{1/2} \left( \int_0^T \|\mathbf{u}\| \|\mathbf{u}\|_1 dt \right)^{1/2} \\ &\leq \frac{C_T}{\nu^{1/4}} \left( \int_0^T \|\nabla w\|^2 dt \right)^{1/2}. \end{aligned}$$

Therefore, if  $\nu > 0$ , then

$$\nabla \cdot (\phi \mathbf{u}) \in L^2(0, T; H^{-1}).$$

**The term  $\phi \nabla \mu$ .** Let  $\mathbf{v} \in \mathbf{H}$ . Thanks to Agmon's inequality, we infer

$$\begin{aligned} \langle \phi \nabla \mu, \mathbf{v} \rangle &\leq \|\mathbf{v}\| \|\nabla \mu\| \|\phi\|_{L^\infty} \\ &\leq \|\mathbf{v}\| \|\nabla \mu\| \|\phi\|_1^{1/2} \|\phi\|_2^{1/2} \leq \mathcal{Q}(R) \|\mathbf{v}\| \|\nabla \mu\| \|\phi\|_2^{1/2}. \end{aligned}$$

On account of (3.5), we can estimate as follows:

$$\left| \int_0^T \langle \phi \nabla \mu, \mathbf{v} \rangle dt \right| \leq \mathcal{Q}(R) \int_0^T \|\mathbf{v}\| \|\nabla \mu\| \|\phi\|_2^{1/2} dt$$

$$\begin{aligned} &\leq \mathcal{Q}(R) \left( \int_0^T \|\mathbf{v}\|^{8/3} dt \right)^{3/8} \left( \int_0^T \|\nabla \mu\|^2 dt \right)^{1/2} \left( \int_0^T \|\phi\|_2^4 dt \right)^{1/8} \\ &\leq \mathcal{Q}_T(R) \left( \int_0^T \|\mathbf{v}\|^{8/3} dt \right)^{3/8}, \end{aligned}$$

which yields, independently of  $\nu$ ,

$$\phi \nabla \mu \in L^{8/5}(0, T; \mathbf{H}).$$

#### 4. Well-posedness for $\nu > 0$

The aim of this section is proving Theorem 2.2. As a matter of fact, due the appearance of regularizing term  $-\nu \Delta \mathbf{u}$  in the Brinkman equation, the (global) existence can be easily obtained by using a standard Galerkin procedure based on the formal energy estimates in the previous section. We refer the reader to [20, 28] for some details on the procedure; see also Section 7 where the argument needed to pass to the limit in the suitable Galerkin scheme is detailed in a weaker setting. Instead, the continuous dependence estimates (2.10) and (2.11) (hence uniqueness) are more delicate and we prove it in some detail, showing the crucial role played by  $\nu > 0$ .

**4.1. Continuous dependence and uniqueness.** Let  $\nu > 0$  and  $\eta > 0$  be fixed, and consider  $(\phi_1, \mathbf{u}_1)$  and  $(\phi_2, \mathbf{u}_2)$ , two weak solutions to the CHB system, such that  $\langle \phi_1(0) \rangle = \langle \phi_2(0) \rangle$ . Their difference  $\bar{\phi} = \phi_1 - \phi_2$ ,  $\bar{\mathbf{u}} = \bar{\mathbf{u}}_1 - \bar{\mathbf{u}}_2$  solves a.e.  $t \in [0, T]$

$$\langle \partial_t \bar{\phi}(t), w \rangle + \langle \nabla \cdot (\phi_1(t) \bar{\mathbf{u}}(t)), w \rangle + \langle \nabla \cdot (\bar{\phi}(t) \mathbf{u}_2(t)), w \rangle + \langle \nabla \bar{\mu}(t), \nabla w \rangle = 0, \quad \forall w \in \mathbf{H}^1, \quad (4.1)$$

$$\nu \langle \nabla \bar{\mathbf{u}}(t), \nabla \mathbf{v} \rangle + \eta \langle \bar{\mathbf{u}}(t), \mathbf{v} \rangle = -\langle \phi_1(t) \nabla \bar{\mu}(t), \mathbf{v} \rangle - \langle \bar{\phi}(t) \nabla \mu_2(t), \mathbf{v} \rangle, \quad \forall \mathbf{v} \in \mathbf{V}, \quad (4.2)$$

where

$$\bar{\mu} = -\Delta \bar{\phi} + [f(\phi_1) - f(\phi_2)]$$

and  $\langle \bar{\phi} \rangle = 0$ .

Taking  $w = -\Delta \bar{\phi}$  in (4.1), we get

$$\frac{d}{dt} \frac{1}{2} \|\nabla \bar{\phi}\|^2 + \langle \phi_1 \bar{\mathbf{u}}, \nabla \Delta \bar{\phi} \rangle + \langle \bar{\phi} \mathbf{u}_2, \nabla \Delta \bar{\phi} \rangle - \langle \nabla \bar{\mu}, \nabla \Delta \bar{\phi} \rangle = 0,$$

with

$$\langle \nabla \bar{\mu}, \nabla \Delta \bar{\phi} \rangle = -\|\nabla \Delta \bar{\phi}\|^2 + \langle \nabla [f(\phi_1) - f(\phi_2)], \nabla \Delta \bar{\phi} \rangle.$$

Thus we obtain

$$\begin{aligned} &\frac{d}{dt} \frac{1}{2} \|\nabla \bar{\phi}\|^2 + \|\nabla \Delta \bar{\phi}\|^2 \\ &= -\langle \phi_1 \bar{\mathbf{u}}, \nabla \Delta \bar{\phi} \rangle - \langle \bar{\phi} \mathbf{u}_2, \nabla \Delta \bar{\phi} \rangle + \langle \nabla [f(\phi_1) - f(\phi_2)], \nabla \Delta \bar{\phi} \rangle. \end{aligned} \quad (4.3)$$

Taking  $\mathbf{v} = \bar{\mathbf{u}}$  in (4.2) yields

$$\nu \|\nabla \bar{\mathbf{u}}\|^2 + \eta \|\bar{\mathbf{u}}\|^2 = -\langle \phi_1 \nabla \bar{\mu}, \bar{\mathbf{u}} \rangle - \langle \bar{\phi} \nabla \mu_2, \bar{\mathbf{u}} \rangle.$$

Note that, by definition of  $\bar{\mu}$ , we have

$$-\langle \phi_1 \nabla \bar{\mu}, \bar{\mathbf{u}} \rangle = \langle \phi_1 \nabla \Delta \bar{\phi}, \bar{\mathbf{u}} \rangle - \langle \phi_1 \nabla [f(\phi_1) - f(\phi_2)], \bar{\mathbf{u}} \rangle,$$

so that the terms  $\pm \langle \phi_1 \bar{\mathbf{u}}, \nabla \Delta \bar{\phi} \rangle$  get canceled when adding with (4.3). Therefore we end up with

$$\begin{aligned} & \frac{d}{dt} \frac{1}{2} \|\nabla \bar{\phi}\|^2 + \|\nabla \Delta \bar{\phi}\|^2 + \nu \|\nabla \bar{\mathbf{u}}\|^2 + \eta \|\bar{\mathbf{u}}\|^2 \\ &= -\langle \bar{\phi} \mathbf{u}_2, \nabla \Delta \bar{\phi} \rangle - \langle \bar{\phi} \nabla \mu_2, \bar{\mathbf{u}} \rangle - \langle \phi_1 \nabla [f(\phi_1) - f(\phi_2)], \bar{\mathbf{u}} \rangle \\ & \quad + \langle \nabla [f(\phi_1) - f(\phi_2)], \nabla \Delta \bar{\phi} \rangle. \end{aligned}$$

We now estimate the right hand side in light of the energy estimates in Section 3. This, in particular, gives

$$\sup_{t \geq 0} (\|\phi_1(t)\|_1 + \|\phi_2(t)\|_1) \leq \mathcal{Q}(R),$$

with  $R = \max\{\|\phi_1(0)\|_1, \|\phi_2(0)\|_1\}$ . First of all, we have

$$-\langle \bar{\phi} \mathbf{u}_2, \nabla \Delta \bar{\phi} \rangle \leq \|\bar{\phi}\|_1 \|\mathbf{u}_2\|^{1/2} \|\mathbf{u}_2\|_1^{1/2} \|\nabla \Delta \bar{\phi}\| \leq \frac{1}{4} \|\nabla \Delta \bar{\phi}\|^2 + \frac{h(t)}{\nu^{1/2}} \|\bar{\phi}\|_1^2,$$

where  $h(t) := c\nu^{1/2} \|\mathbf{u}_2(t)\| \|\mathbf{u}_2(t)\|_1$  and  $c > 0$  is independent of  $\nu$ .

Next, observe that the following estimate holds:

$$-\langle \bar{\phi} \nabla \mu_2, \bar{\mathbf{u}} \rangle \leq \|\bar{\phi}\|_1 \|\bar{\mathbf{u}}\|_{L^3} \|\nabla \mu_2\| \leq \frac{\nu}{2} \|\nabla \bar{\mathbf{u}}\|^2 + \frac{\eta}{4} \|\bar{\mathbf{u}}\|^2 + \frac{k(t)}{\eta^{1/2} \nu^{1/2}} \|\bar{\phi}\|_1^2, \quad (4.4)$$

where  $k(t) := c \|\nabla \mu_2(t)\|^2$  for some  $c > 0$ , independent of  $\nu$ .

In order to deal with the term

$$\begin{aligned} \langle \nabla [f(\phi_1) - f(\phi_2)], \nabla \Delta \bar{\phi} \rangle &\leq \|\nabla [f(\phi_1) - f(\phi_2)]\| \|\nabla \Delta \bar{\phi}\| \\ &\leq \frac{1}{4} \|\nabla \Delta \bar{\phi}\|^2 + C \|\nabla [f(\phi_1) - f(\phi_2)]\|^2, \end{aligned}$$

we observe that

$$\|\nabla [f(\phi_1) - f(\phi_2)]\|^2 \leq \| [f'(\phi_1) - f'(\phi_2)] \nabla \phi_1 \|^2 + \| f'(\phi_2) \nabla \bar{\phi} \|^2.$$

We estimate the latter term on the right hand side in light of (2.1), (3.1), and interpolation, that is,

$$\begin{aligned} \|f'(\phi_2) \nabla \bar{\phi}\|^2 &\leq c \int_{\Omega} (1 + |\phi_2|^4) |\nabla \bar{\phi}|^2 \leq c(1 + \|\phi_2\|_{L^\infty}^4) \|\bar{\phi}\|_1^2 \\ &\leq \mathcal{Q}(R)(1 + \|\phi_2\|_2^2) \|\bar{\phi}\|_1^2, \end{aligned}$$

and arguing analogously for the former, we get

$$\| [f'(\phi_1) - f'(\phi_2)] \nabla \phi_1 \|^2 \leq c \int_{\Omega} |(1 + |\phi_1| + |\phi_2|) \bar{\phi} \nabla \phi_1|^2 \leq \mathcal{Q}(R) \|\bar{\phi}\|_1^2 \|\phi_1\|_2^2.$$

This proves

$$\|\nabla [f(\phi_1) - f(\phi_2)]\|^2 \leq \ell(t) \|\bar{\phi}\|_1^2, \quad (4.5)$$

where  $\ell(t) := \mathcal{Q}(R)(1 + \|\phi_1(t)\|_2^2 + \|\phi_2(t)\|_2^2)$ . In order to control the remaining term, we exploit (4.5) in the following way:

$$\langle \phi_1 \nabla [f(\phi_1) - f(\phi_2)], \bar{\mathbf{u}} \rangle \leq \|\phi_1\|_{L^6} \|\nabla [f(\phi_1) - f(\phi_2)]\| \|\bar{\mathbf{u}}\|_{L^3} \quad (4.6)$$

$$\begin{aligned} &\leq \mathcal{Q}(R) \|\bar{\mathbf{u}}\|^{1/2} \|\nabla \bar{\mathbf{u}}\|^{1/2} \|\nabla [f(\phi_1) - f(\phi_2)]\| \\ &\leq \frac{\nu}{2} \|\nabla \bar{\mathbf{u}}\|^2 + \frac{\eta}{2} \|\bar{\mathbf{u}}\|^2 + \frac{\ell(t)}{\nu^{1/2} \eta^{1/2}} \|\bar{\phi}\|_1^2. \end{aligned}$$

Collecting the above estimates, we get

$$\frac{d}{dt} \|\bar{\phi}\|_1^2 + \frac{\nu}{2} \|\nabla \bar{\mathbf{u}}\|^2 + \frac{\eta}{2} \|\bar{\mathbf{u}}\|^2 \leq \frac{g(t)}{\nu^{1/2} \eta^{1/2}} \|\bar{\phi}\|_1^2, \quad (4.7)$$

where  $g(t) := h(t) + k(t) + \ell(t)$ . On account of (3.1) and (3.2),  $g(t)$  satisfies

$$\int_0^T g(y) dy \leq \mathcal{Q}_T(R).$$

Hence, an application of the standard Gronwall lemma gives

$$\|\phi_1(t) - \phi_2(t)\|_1^2 \leq \|\phi_1(0) - \phi_2(0)\|_1^2 e^{\nu^{-1/2} \eta^{-1/2} \int_0^t g(y) dy},$$

which proves (2.10). Integrating (4.7) yields the further bound (2.11). Finally, letting  $\phi_1(0) = \phi_2(0)$  in (2.10) and (2.11), we obtain  $\phi_1(t) = \phi_2(t)$  and  $\mathbf{u}_1(t) = \mathbf{u}_2(t)$  for almost every  $t$ , i.e., uniqueness.

We observe that, when  $\eta = 0$  (see Remark 2.4), the only changes needed in the proof of the continuous dependence estimate are in (4.4) and in (4.6) which now become

$$\begin{aligned} -\langle \bar{\phi} \nabla \mu_2, \bar{\mathbf{u}}, \bar{\mathbf{u}} \rangle &\leq \frac{\nu}{4} \|\nabla \bar{\mathbf{u}}\|^2 + \frac{k(t)}{\nu} \|\bar{\phi}\|_1^2 \\ -\langle \phi_1 \nabla [f(\phi_1) - f(\phi_2)], \bar{\mathbf{u}} \rangle &\leq \frac{\nu}{2} \|\nabla \bar{\mathbf{u}}\|^2 + \frac{\ell(t)}{\nu} \|\bar{\phi}\|_1^2. \end{aligned}$$

#### 4.2. The semigroup $S_\nu(t)$ .

Let  $I \in \mathbb{R}$  and consider the subspace of  $H^1$

$$V_I = \{\phi \in H^1 : \langle \phi \rangle = I\}.$$

An immediate consequence of the results of Section 4.1 is that for any fixed  $\nu > 0$  system (1.1)–(1.6) generates a semigroup

$$S_\nu(t) : V_I \rightarrow V_I$$

defined by the rule  $S_\nu(t)\phi_0 = \phi(t)$  where  $(\phi, \mathbf{u})$  is the unique global (weak) solution to system (1.1)–(1.6). Furthermore, due to the continuous dependence estimate (2.10), the semigroup is strongly continuous, namely,  $S_\nu(t) \in \mathcal{C}(V_I, V_I)$ . Notice that the energy estimates of Section 3 yield, in particular, the boundedness of each trajectory

$$\|S_\nu(t)\phi_0\|_1 = \|\phi(t)\|_1 \leq c, \quad \forall t \geq 0, \quad (4.8)$$

where, from now on,  $c \geq 0$  denotes a generic constant that may depend on  $\|\phi_0\|_1$  but is independent of the particular  $\phi_0$ .

Moreover, if the nonlinearity  $f$  satisfies further dissipativity assumptions stronger than (2.2), it is possible to prove that the dynamical system  $(V_I, S_\nu(t))$  is *dissipative* for any fixed  $I \in \mathbb{R}$  (and  $\nu > 0$ ). This means that there exists a *bounded absorbing set*  $\mathcal{B} \subset V_I$  with the following property: for every  $R > 0$  there exists  $t_R > 0$  such that

$$S_\nu(t)\phi_0 \in \mathcal{B}, \quad \forall t \geq t_R,$$

for every  $\phi_0 \in V_I$  with  $\|\phi_0\|_1 \leq R$ . This is witnessed by the following result.

**PROPOSITION 4.1.** *Let the assumptions of Theorem 2.2 hold, and let us assume that for some  $c_0 \geq 0$ ,  $c_i > 0$ ,  $i = 1, 2$ , and  $q > 2$  there hold*

$$f(s)s \geq c_1 F(s) - c_0 \quad \text{and} \quad F(s) \geq c_2 |s|^q - c_0,$$

for all  $s \in \mathbb{R}$ . Then,

$$\|S_\nu(t)\phi_0\|_1 \leq \mathcal{Q}(\|\phi_0\|_1) e^{-kt/2} + R_I, \quad \forall t \geq 0, \quad (4.9)$$

for some  $k > 0$  where  $R_I > 0$  depends on  $I = \langle \phi_0 \rangle$  but is independent of  $\phi_0$ .

The proof is standard and it is therefore omitted.

## 5. Higher order estimates

Here we proceed formally, relying on the Galerkin approximation scheme introduced in the previous section. For the sake of simplicity, from now on we set  $\eta = 1$  (see Remark 6.1, however).

**PROPOSITION 5.1.** *Let the assumptions of Theorem 2.2 hold and suppose, in addition,  $f \in \mathcal{C}^2(\mathbb{R})$  satisfies (2.4). Then the following estimate holds:*

$$\|\phi(t)\|_2 + \int_t^{t+1} \|\phi(y)\|_4^2 dy \leq c \left( 1 + \frac{1}{\nu} \right), \quad \forall t \geq 1. \quad (5.1)$$

*Proof.* Taking  $v = u$  in Equation (2.8), we get

$$\nu \|\nabla u\|^2 + \|u\|^2 = \langle \mu \nabla \phi, u \rangle. \quad (5.2)$$

By (2.1) and (4.8), we have

$$\|\mu\| \leq \|\Delta \phi\| + \|f(\phi)\| \leq c(1 + \|\Delta \phi\|).$$

Thus, for  $\nu > 0$ , we can estimate the latter term as follows:

$$\begin{aligned} \langle \mu \nabla \phi, u \rangle &\leq \|\mu\| \|\nabla \phi\|_{L^6} \|u\|_{L^3} \leq c(1 + \|\Delta \phi\|) \|\Delta \phi\| \|u\|^{1/2} \|\nabla u\|^{1/2} \\ &\leq \frac{1}{2} \|u\|^2 + \frac{\nu}{2} \|\nabla u\|^2 + \frac{c}{\nu^{1/2}} (1 + \|\Delta \phi\|^2) \|\Delta \phi\|^2. \end{aligned}$$

From this, we deduce

$$\nu \|\nabla u\|^2 + \|u\|^2 \leq \frac{c}{\nu^{1/2}} (1 + \|\Delta \phi\|^2) \|\Delta \phi\|^2. \quad (5.3)$$

Let us now take  $w = \Delta^2 \phi$  in Equation (2.7). This yields

$$\frac{1}{2} \frac{d}{dt} \|\Delta \phi\|^2 + \|\Delta^2 \phi\|^2 = \langle \Delta f(\phi), \Delta^2 \phi \rangle + \langle u \nabla \phi, \Delta^2 \phi \rangle.$$

On the other hand, we have

$$\langle \Delta f(\phi), \Delta^2 \phi \rangle \leq \frac{1}{4} \|\Delta^2 \phi\|^2 + c \|\Delta f(u)\|^2,$$

where  $\|\Delta f(\phi)\|^2$  can be controlled in the following way. Observe that

$$\Delta f(\phi) = \nabla(f'(\phi) \nabla \phi) = f''(\phi) |\nabla \phi|^2 + f'(\phi) \Delta \phi.$$

Then, using (2.4), by the Agmon inequality we get

$$\begin{aligned}\|f''(\phi)|\nabla\phi|^2\| &\leq c(1+\|\phi\|_{L^\infty})\|\nabla\phi\|_{L^4}^2 \leq c(1+\|\Delta\phi\|^{1/2})\|\Delta\phi\|^{3/2}, \\ \|f'(\phi)\Delta\phi\| &\leq c(1+\|\phi\|_{L^\infty}^2)\|\Delta\phi\| \leq c(1+\|\Delta\phi\|)\|\Delta\phi\|.\end{aligned}$$

Therefore, we obtain

$$\|\Delta f(\phi)\|^2 \leq c(1+\|\Delta\phi\|^2)\|\Delta\phi\|^3. \quad (5.4)$$

In order to deal with the remaining term, exploiting (5.3), we find

$$\begin{aligned}\langle \mathbf{u}\nabla\phi, \Delta^2\phi \rangle &\leq c\|\mathbf{u}\|_{L^3}\|\nabla\phi\|_{L^6}\|\Delta^2\phi\| \leq \|\mathbf{u}\|^{1/2}\|\nabla\mathbf{u}\|^{1/2}\|\Delta\phi\|\|\Delta^2\phi\| \\ &\leq \frac{c}{\nu^{1/2}}(1+\|\Delta\phi\|)\|\Delta\phi\|^2\|\Delta^2\phi\| \leq \frac{1}{4}\|\Delta^2\phi\|^2 + \frac{c}{\nu}(1+\|\Delta\phi\|^2)\|\Delta\phi\|^4.\end{aligned}$$

We thus end up with the differential inequality

$$\frac{1}{2}\frac{d}{dt}\|\Delta\phi\|^2 + \frac{1}{2}\|\Delta^2\phi\|^2 \leq c(1+\|\Delta\phi\|^2)\|\Delta\phi\|^3 + \frac{c}{\nu}(1+\|\Delta\phi\|^2)\|\Delta\phi\|^4.$$

Recalling that  $\phi \in L^4(0, T; H^2)$  (see (3.5)), Lemma 2.8 yields the claimed result.  $\square$

**REMARK 5.1.** Estimate (5.1) requires that the weak solution  $\phi$  is indeed a strong one for  $t \geq 1$  (see (1.1)). In addition, if  $\Omega$  is of class  $C^{1,1}$ , then the regularity of  $\mu\nabla\phi$  implies that the weak solution  $\mathbf{u}$  to (2.8) belongs to  $L_{loc}^2((1, \infty); (H^2(\Omega))^3)$  and the pressure  $p$  (see Remark 2.3), unique up to an additive function of  $t$  only, belongs to  $L_{loc}^2((1, \infty); H^1(\Omega))$  (see, e.g., [5, Theorem IV.5.8]). Thus Equation (1.3) is also satisfied almost everywhere if  $t \geq 1$ .

We conclude this section by proving the existence of the global attractor.

**THEOREM 5.2.** *Let  $f$  satisfy all the assumptions in Proposition 4.1 and Proposition 5.1. Then the dynamical system  $(V_I, S_\nu(t))$  possesses a (unique) global attractor  $\mathcal{A}$  which is bounded in  $H^2$ .*

*Proof.* On account of the assumptions on  $f$ , thanks to Proposition 4.1 and Proposition 5.1, we infer the existence of a *compact* absorbing set (bounded in  $H^2$ ) for the semigroup  $S_\nu(t)$ . Hence, by standard results (see, e.g., [25]), the proof follows.  $\square$

**REMARK 5.2.** We recall that the global attractor  $\mathcal{A}$  is the smallest (under inclusion) compact set of the phase space which is invariant under flow (i.e.,  $S_\nu(t)\mathcal{A} = \mathcal{A}$ ,  $\forall t \geq 0$ ) and attracts all bounded sets of initial data as time goes to infinity; namely,

$$\forall B \subset V_I \text{ bounded}, \quad \text{dist}(S_\nu(t)B, \mathcal{A}) \rightarrow 0 \quad \text{as } t \rightarrow \infty,$$

where  $\text{dist}$  denotes the Hausdorff semi-distance between sets in  $H^1$ .

## 6. Convergence to equilibria

In what follows, we let  $\nu > 0$  be fixed omitting in the notation the dependence on  $\nu$ . The aim of this section is to discuss Theorem 2.4, showing in particular that for every fixed  $\phi_0 \in H^1$  the global solution  $\phi(\cdot) = S(\cdot)\phi_0$  originating from  $\phi_0$  converges to an equilibrium  $\phi^*$  as  $t \rightarrow \infty$  with a certain convergence rate.

To this end, we first recall that the  $\omega$ -limit set of  $\phi_0$  is defined as

$$\omega(\phi_0) = \{\phi^* \in H^1 : \phi(t_n) \rightarrow \phi^* \text{ in } H^1, \text{ for some } \{t_n\}_{n \in \mathbb{N}}, t_n \rightarrow \infty\},$$

and that the set of stationary points associated with  $\phi_0$  is

$$\mathcal{S}(\phi_0) = \{z \in H^2 : -\Delta z + f(z) = \text{const}, \langle z \rangle = \langle \phi_0 \rangle\}.$$

Recall that by (5.1) we have

$$\|\phi(t)\|_2 \leq c, \quad t \geq 1, \tag{6.1}$$

where, in this section,  $c > 0$  denotes a generic constant possibly depending on  $\|\phi_0\|_1$  (and increasing as  $\nu \rightarrow 0$ ). Hence, due to the compact embedding  $H^2 \hookrightarrow H^1$ , the  $\omega$ -limit set of  $\phi_0 \in H^1$  is a nonempty, compact subset of  $H^1$ .

With this notation, the main step in the proof of Theorem 2.4 consists of showing that each  $\omega$ -limit set consists of one *single* stationary state, as stated in the following proposition.

**PROPOSITION 6.1.** *There exists  $\phi^* \in \mathcal{S}(\phi_0)$  such that  $\omega(\phi_0) = \{\phi^*\}$ .*

Notice that since  $\omega(\phi_0) \neq \emptyset$  there exists  $\phi^* \in H^1$  and  $t_n \rightarrow \infty$  such that

$$\|\phi(t_n) - \phi^*\|_1 \rightarrow 0, \quad \text{as } n \rightarrow \infty. \tag{6.2}$$

moreover, due to (3.3), it is easy to realize that the functional

$$E(z) = \frac{1}{2} \|\nabla z\|^2 + \langle F(z), 1 \rangle$$

with  $z \in H^1$  is a Lyapunov functional for  $S(t)$ . Thus, by standard results on gradient systems (see, e.g., [8, Chapter 9]), we learn that  $\omega(\phi_0) \subset \mathcal{S}(\phi_0)$  proving in particular that  $\phi^* \in \mathcal{S}(\phi_0)$ . As a consequence, the proof of Proposition 6.1 will follow by showing that the *whole trajectory*  $\phi(\cdot)$  converges to  $\phi^*$ ; namely,

$$\lim_{t \rightarrow \infty} \|\phi(t) - \phi^*\|_1 = 0. \tag{6.3}$$

The proof of this fact can be obtained by a well-known contradiction argument due to [15] (see also [30] and references therein) known as Łojasiewicz–Simon approach. We omit it since it can be obtained by reasoning as in [27, Section 3.3] with minor changes. Let us only mention that the key tool in our situation is the following version of the Łojasiewicz–Simon inequality (see [1, Proposition 6.3]).

**THEOREM 6.2.** *Let  $(\phi, u)$  be a solution of system (1.1)-(1.4) with initial datum  $\phi_0 \in H^1$  and let  $z \in \omega(\phi_0) \subset \mathcal{S}(\phi_0)$ . If  $f$  is real analytic and satisfies (2.5), then there exist  $\theta = \theta(z) > 0$ ,  $\theta \in (0, \frac{1}{2})$ , and  $\varsigma = \varsigma(z) > 0$  such that*

$$|E(\phi) - E(z)|^{1-\theta} \leq \|\mathbf{P}(\Delta\phi - f(\phi))\|_{H^{-1}}, \tag{6.4}$$

whenever  $\phi$  fulfills  $\|\phi - z\|_1 < \varsigma$ . Here  $\mathbf{P} : H \rightarrow H$  is defined by  $\mathbf{P}(u) = u - \langle u \rangle$ .

The next step consists of obtaining the rate of convergence of the trajectory to the equilibrium. This is witnessed by the following proposition.

**PROPOSITION 6.3.** *Let  $\theta = \theta(\phi^*) \in (0, \frac{1}{2})$  as provided by Theorem 6.2. Then,*

$$\|\phi(t) - \phi^*\|_1 \leq \frac{c}{(1+t)^{\theta/(1-2\theta)}}, \tag{6.5}$$

for some  $c = c(\phi_0) \geq 0$  and every  $t \geq t^*$ , for some  $t^* > 0$ .

*Proof.* Reasoning as in [27, Section 3.4] (cf. also [30, 5.2]), it is easy to prove that (6.5) holds for the weaker norm  $\|\phi(t) - \phi^*\|_{H^{-1}}$ ; namely,

$$\|\phi(t) - \phi^*\|_{H^{-1}} + \int_t^\infty \|\nabla \mu(y)\| dy \leq \frac{c}{(1+t)^{\theta/(1-2\theta)}}, \quad t \geq t^*. \quad (6.6)$$

In order to complete the proof, we set

$$\Phi(t) = \phi(t) - \phi^*,$$

and observe that for  $t \geq t^*$  and almost everywhere in  $\Omega$  (cf. Remark 5.1) there holds

$$\partial_t \Phi + \mathbf{u} \cdot \nabla (\Phi + \phi^*) = \Delta(-\Delta \Phi + [f(\phi) - f(\phi^*)]). \quad (6.7)$$

Recalling (5.2), we have

$$\begin{aligned} \nu \|\nabla \mathbf{u}\|^2 + \|\mathbf{u}\|^2 &= \langle \phi \nabla \mu, \mathbf{u} \rangle \leq \|\nabla \mu\| \|\mathbf{u}\| \|\phi\|_{L^\infty} \\ &\leq \|\nabla \mu\| \|\mathbf{u}\| \|\phi\|_1^{1/2} \|\phi\|_2^{1/2} \leq \frac{1}{2} \|\mathbf{u}\|^2 + c \|\nabla \mu\|^2. \end{aligned}$$

Moreover, since  $\phi^* \in \mathcal{S}(\phi_0)$ , then  $\mu^* := -\Delta \phi^* + f(\phi^*)$  is constant, and we can estimate

$$\begin{aligned} \|\nabla \mu\| &= \|\nabla(\mu - \mu^*)\| \leq \|\nabla \Delta \Phi\| + \|\nabla(f(\phi) - f(\phi^*))\| \leq \|\nabla \Delta \Phi\| + c \|\nabla \Phi\| \\ &\leq \|\nabla \Delta \Phi\| + c \|\Phi\|_{H^{-1}}^{1/2} \|\nabla \Delta \Phi\|^{1/2} \leq c \|\nabla \Delta \Phi\| + \|\Phi\|_{H^{-1}}. \end{aligned}$$

In particular, we obtain

$$\nu \|\nabla \mathbf{u}\|^2 + \frac{1}{2} \|\mathbf{u}\|^2 \leq c \|\nabla \mu\|^2 \leq c \|\nabla \Delta \Phi\|^2 + c \|\Phi\|_{H^{-1}}^2. \quad (6.8)$$

Taking the product of (6.7) with  $-\Delta \Phi$  in  $H$ , we have

$$\frac{1}{2} \frac{d}{dt} \|\nabla \Phi\|^2 + \|\nabla \Delta \Phi\|^2 = -\langle \Delta[f(\phi) - f(\phi^*)], \Delta \Phi \rangle + \langle \mathbf{u} \cdot \nabla (\Phi + \phi^*), \Delta \Phi \rangle.$$

Observe that

$$\langle \Delta[f(\phi) - f(\phi^*)], -\Delta \Phi \rangle \leq \|\nabla[f(\phi) - f(\phi^*)]\| \|\nabla \Delta \Phi\| \leq c \|\nabla \Phi\|^2 + \frac{1}{4} \|\nabla \Delta \Phi\|^2.$$

The latter term can be estimated in light of (6.8) as

$$\begin{aligned} \langle \mathbf{u} \cdot \nabla (\Phi + \phi^*), -\Delta \Phi \rangle &\leq \|\nabla \phi\|_{L^6} \|\mathbf{u}\| \|\Delta \Phi\|_{L^3} \leq c \|\mathbf{u}\| \|\Delta \Phi\|^{1/2} \|\nabla \Delta \Phi\|^{1/2} \\ &\leq c (\|\nabla \Delta \Phi\| + \|\Phi\|_{H^{-1}}) \|\nabla \Delta \Phi\|^{7/8} \|\Phi\|_{H^{-1}}^{1/8} \leq \frac{1}{4} \|\nabla \Delta \Phi\|^2 + c \|\Phi\|_{H^{-1}}^2. \end{aligned}$$

We thus obtain, on account of (6.6), the inequality

$$\frac{1}{2} \frac{d}{dt} \|\nabla \Phi\|^2 + \frac{1}{2} \|\nabla \Delta \Phi\|^2 \leq c \|\Phi\|_{H^{-1}}^2 \leq \frac{c}{(1+t)^{2\theta/(1-2\theta)}}.$$

Recalling that  $\|\nabla \Phi(t^*)\| \leq c$ , an application of Lemma 2.9 yields (6.5).  $\square$

**Convergence of the velocity field  $\mathbf{u}$ .** In order to complete the proof of Theorem 2.4, we are left to show that  $\|\mathbf{u}(t)\|_1$  decays to 0 as  $t \rightarrow \infty$ . This requires a different argument than in [27], so we detail the proof. First we need a further regularization of  $\phi$ .

LEMMA 6.4. *The following inequality holds:*

$$\|\nabla\mu(t)\| + \|\nabla\Delta\phi(t)\| \leq c, \quad \forall t \geq 2.$$

*Proof.* Taking  $w = \Delta^2\mu$  in (2.7), we have

$$\langle \phi_t, \Delta^2\mu \rangle = \langle \mathbf{u}\nabla\phi + \Delta\mu, \Delta^2\mu \rangle = -\langle \nabla(\mathbf{u}\nabla\phi), \nabla\Delta\mu \rangle - \|\nabla\Delta\mu\|^2.$$

Exploiting the definition of  $\mu$ , which gives  $\mu_t = -\Delta\phi_t + f'(\phi)\phi_t$ , we obtain

$$\begin{aligned} \langle \phi_t, \Delta^2\mu \rangle &= \langle -\Delta\phi_t, -\Delta\mu \rangle = \langle \mu_t, -\Delta\mu \rangle - \langle f'(\phi)\phi_t, -\Delta\mu \rangle \\ &= \frac{1}{2} \frac{d}{dt} \|\nabla\mu\|^2 - \langle f'(\phi)\Delta\mu, -\Delta\mu \rangle + \langle f'(\phi)(\mathbf{u}\nabla\phi), -\Delta\mu \rangle. \end{aligned}$$

Hence, we deduce that

$$\begin{aligned} &\frac{1}{2} \frac{d}{dt} \|\nabla\mu\|^2 + \|\nabla\Delta\mu\|^2 \\ &= -\langle f'(\phi)\Delta\mu, \Delta\mu \rangle + \langle f'(\phi)(\mathbf{u}\nabla\phi), \Delta\mu \rangle - \langle \nabla(\mathbf{u}\nabla\phi), \nabla\Delta\mu \rangle. \end{aligned}$$

Let us estimate the terms on the right hand side. Observe that, in light of (6.1), we have

$$\|f'(\phi)\|_{L^\infty} \leq c.$$

Thus we get

$$\begin{aligned} -\langle f'(\phi)\Delta\mu, \Delta\mu \rangle &\leq \|f'(\phi)\|_{L^\infty} \|\Delta\mu\|^2 \leq c \|\Delta\mu\|^2 \\ &\leq c \|\nabla\Delta\mu\| \|\nabla\mu\| \leq \frac{1}{4} \|\nabla\Delta\mu\|^2 + c \|\nabla\mu\|^2, \end{aligned}$$

and

$$\begin{aligned} \langle f'(\phi)(\mathbf{u}\nabla\phi), \Delta\mu \rangle &\leq \|f'(\phi)\|_{L^\infty} \|\Delta\mu\| \|\mathbf{u}\|_{L^4} \|\nabla\phi\|_{L^4} \\ &\leq \|f'(\phi)\|_{L^\infty} \|\nabla\mu\|^{1/2} \|\nabla\Delta\mu\|^{1/2} \|\mathbf{u}\|^{1/4} \|\nabla\mathbf{u}\|^{3/4} \|\nabla\phi\|^{1/4} \|\nabla^2\phi\|^{3/4} \\ &\leq \frac{1}{4} \|\nabla\Delta\mu\|^2 + c \|\nabla\mu\|^2 + c \|\nabla\mathbf{u}\|^2. \end{aligned}$$

Furthermore, by the Agmon inequality, we get

$$\begin{aligned} \langle \nabla(\mathbf{u}\nabla\phi), \nabla\Delta\mu \rangle &\leq \|\nabla\Delta\mu\| (\|\nabla\mathbf{u}\| \|\nabla\phi\|_{L^\infty} + \|\mathbf{u}\|_{L^6} \|\nabla^2\phi\|_{L^3}) \\ &\leq c \|\nabla\Delta\mu\| \|\nabla\mathbf{u}\| \|\nabla\Delta\phi\|^{1/2} \leq \frac{1}{4} \|\nabla\Delta\mu\|^2 + c \|\nabla\mathbf{u}\|^2 \|\nabla\Delta\phi\|. \end{aligned}$$

Note that  $\nabla\mu = -\nabla\Delta\phi + f'(\phi)\nabla\phi$ . Then we infer

$$\|\nabla\Delta\phi\| \leq \|\nabla\mu\| + \|f'(\phi)\|_{L^\infty} \|\nabla\phi\| \leq (\|\nabla\mu\| + c), \quad (6.9)$$

so that

$$\langle \nabla(\mathbf{u} \nabla \phi), \nabla \Delta \mu \rangle \leq \frac{1}{4} \|\nabla \Delta \mu\|^2 + c \|\nabla \mathbf{u}\|^2 + c \|\nabla \mathbf{u}\|^2 \|\nabla \mu\|^2.$$

We thus end up with the differential inequality

$$\frac{1}{2} \frac{d}{dt} \|\nabla \mu\|^2 + \frac{1}{4} \|\nabla \Delta \mu\|^2 \leq c(1 + \|\nabla \mathbf{u}\|^2 + \|\nabla \mu\|^2 + \|\nabla \mathbf{u}\|^2 \|\nabla \mu\|^2).$$

Recalling that  $\int_0^\infty (\|\nabla \mathbf{u}(y)\|^2 + \|\nabla \mu(y)\|^2) dy < c$  (see (3.1)), Lemma 2.8 yields

$$\|\nabla \mu(t+1)\|^2 \leq c, \quad t \geq 1.$$

Finally, by (6.9), we also have

$$\|\nabla \Delta \phi(t+1)\|^2 \leq c, \quad t \geq 1,$$

as claimed.  $\square$

We are now ready to prove the convergence of  $\mathbf{u}$  to zero. To this end, let us observe that since  $\mu^*$  is constant the equation for the velocity field  $\mathbf{u}^*$  associated with  $\phi^*$  reduces to

$$\begin{cases} -\nu \Delta \mathbf{u}^* + \mathbf{u}^* = -\nabla p^* \\ \nabla \cdot \mathbf{u}^* = 0. \end{cases}$$

Therefore, by multiplying by  $\mathbf{u}^*$  and integrating over  $\Omega$ , we deduce

$$\nu \|\nabla \mathbf{u}^*\|^2 + \|\mathbf{u}^*\|^2 = 0.$$

This implies  $\mathbf{u}^* \equiv \mathbf{0}$ , and the following equation for the pressure  $p^*$  holds:

$$\nabla p^* = \mu^* \nabla \phi^* - \nabla(\phi^* \mu^*).$$

Subtracting this last equation from (2.8), we deduce

$$-\nu \Delta \mathbf{u} + \mathbf{u} = -\nabla(p - p^*) + (\mu - \mu^*) \nabla(\Phi + \phi^*) + \mu^* \nabla \Phi + \nabla(\phi^* \mu^*).$$

Testing this relation by  $\mathbf{u}$ , we obtain

$$\begin{aligned} \nu \|\nabla \mathbf{u}\|^2 + \|\mathbf{u}\|^2 &= \langle (\mu - \mu^*) \nabla(\Phi + \phi^*), \mathbf{u} \rangle + \langle \mu^* \nabla \Phi, \mathbf{u} \rangle \\ &\leq \|\mu - \mu^*\|_{L^3} \|\nabla(\Phi + \phi^*)\|_{L^6} \|\mathbf{u}\| + |\mu^*| \|\nabla \Phi\| \|\mathbf{u}\| \\ &\leq \|\mu - \mu^*\|^{1/2} \|\nabla(\mu - \mu^*)\|^{1/2} \|\phi\|_2 \|\mathbf{u}\| + c \|\nabla \Phi\| \|\mathbf{u}\| \\ &\leq \frac{1}{2} \|\mathbf{u}\|^2 + c \|\Delta \Phi\|^2 + c \|\mu - \mu^*\| \|\nabla \mu\|. \end{aligned}$$

Since  $\|\mu - \mu^*\| \leq \|\Delta \Phi\| + \|f(\phi) - f(\phi^*)\| \leq c \|\Delta \Phi\|$ , we have the estimate

$$\nu \|\nabla \mathbf{u}\|^2 + \frac{1}{2} \|\mathbf{u}\|^2 \leq c \|\Delta \Phi\| (\|\Delta \Phi\| + \|\nabla \mu\|),$$

and, by exploiting the boundedness of  $\nabla \mu$  and  $\Delta \Phi$ , this yields

$$\|\mathbf{u}\|_1^2 \leq c_\nu \|\Delta \Phi\|,$$

for every  $t \geq 2$ . Finally, by interpolation and invoking the boundedness of  $\|\nabla \Delta \phi\|$ , we have

$$\|\mathbf{u}\|_1^2 \leq c_\nu \|\nabla \Phi\|^{1/2} \|\nabla \Delta \Phi\|^{1/2} \leq c_\nu \|\nabla \Phi\|^{1/2} = c_\nu \|\nabla(\phi - \phi^*)\|^{1/2}.$$

Therefore, Proposition 6.3 gives (2.13).

**REMARK 6.1.** All the results and the estimates performed in this section and in Section 5 can be carried out in the case  $\eta = 0$  with minor changes.

## 7. The limit $\nu \rightarrow 0$

Before studying the convergence of solutions to the CHB system as  $\nu \rightarrow 0$ , we recall the following compactness result (see, e.g., [Thm.II.5.16, 4]).

**THEOREM 7.1.** *Let  $X_0 \hookrightarrow \hookrightarrow X \hookrightarrow X_1$  be three Banach spaces. Let  $1 < a, b < \infty$  and define*

$$W^{a,b}(0, T; X_0, X_1) = \{z \in L^a(0, T; X_0) : \partial_t z \in L^b(0, T; X_1)\}.$$

*Then*

$$W^{a,b}(0, T; X_0, X_1) \hookrightarrow \hookrightarrow L^a(0, T; X).$$

**7.1. Proof of Theorem 2.6.** Let  $\phi_0 \in H^1$  and let  $\{\nu_n\}_{n \in \mathbb{N}}$  be a sequence of positive numbers such that  $\nu_n \rightarrow 0$  as  $n \rightarrow \infty$ . Consider the sequence  $(\phi_{\nu_n}, \mathbf{u}_{\nu_n})$  of weak solutions corresponding to the CHB system with  $\nu = \nu_n$ . From the previous sections, we know that the following bounds on  $\{\phi_{\nu_n}\}_{n \in \mathbb{N}}$ ,  $\{\mathbf{u}_{\nu_n}\}_{n \in \mathbb{N}}$ , and  $\{\mu_{\nu_n}\}_{n \in \mathbb{N}}$  are independent of  $n$ :

$$\begin{aligned} & \|\phi_{\nu_n}\|_{L^\infty(0, T; H^1)} + \|\phi_{\nu_n}\|_{L^2(0, T; H^3)} \leq c, \\ & \|\mu_{\nu_n}\|_{L^2(0, T; H^1)} \leq c, \\ & \|\nabla \cdot (\phi_{\nu_n} \mathbf{u}_{\nu_n})\|_{L^{8/5}(0, T; H^{-1})} + \|\partial_t \phi_{\nu_n}\|_{L^{8/5}(0, T; H^{-1})} \leq c, \\ & \|\mathbf{u}_{\nu_n}\|_{L^2(0, T; H)} \leq c, \\ & \|\phi_{\nu_n} \nabla \mu_{\nu_n}\|_{L^{8/5}(0, T; H)} \leq c. \end{aligned}$$

Thus we deduce that there exists a relabeled sequence  $\{\nu_n\}_{n \in \mathbb{N}}$  such that

$$\begin{aligned} \phi_{\nu_n} & \rightarrow \phi \quad \text{weakly in } L^2(0, T; H^3), \\ \mu_{\nu_n} & \rightarrow z \quad \text{weakly in } L^2(0, T; H^1), \\ \mathbf{u}_{\nu_n} & \rightarrow \mathbf{u} \quad \text{weakly in } L^2(0, T; H). \end{aligned}$$

By the boundedness of  $\partial_t \phi_\nu$  in  $L^{8/5}(0, T; H^{-1})$  and by the uniqueness of  $L^p$  and distributional limits, we also have

$$\partial_t \phi_{\nu_n} \rightarrow \partial_t \phi \quad \text{weakly in } L^{8/5}(0, T; H^{-1}).$$

Applying Theorem 7.1 to  $\phi_{\nu_n}$  with  $X_1 = H^{-1}$  and  $X_0 = H^3$ , up to a further subsequence which will be relabeled  $\nu_n$ , one has

$$\phi_{\nu_n} \rightarrow \phi \quad \text{strongly in } L^2(0, T; H^s),$$

for all  $0 \leq s < 3$  and

$$\phi_{\nu_n} \rightarrow \phi \quad \text{a.e. in } \Omega \times (0, T).$$

Moreover, from the regularity of the potential  $f$ , it follows that  $z = -\Delta \phi + f(\phi) = \mu$ .

We can now consider the nonlinear terms appearing in (2.7) and (2.8). Let  $h$  be a positive real number. First of all, we show convergence of  $\phi_{\nu_n} \nabla \mu_{\nu_n}$  to  $\phi \nabla \mu$  in the following (weak) sense:

$$\int_t^{t+h} \langle \phi_{\nu_n} \nabla \mu_{\nu_n} - \phi \nabla \mu, \mathbf{v} \rangle dt \rightarrow 0, \quad \forall \mathbf{v} \in V.$$

The integrand can be rewritten as

$$\langle (\phi_{\nu_n} - \phi) \nabla \mu_{\nu_n}, \mathbf{v} \rangle + \langle \phi [\nabla \mu_{\nu_n} - \nabla \mu], \mathbf{v} \rangle.$$

The first term in this expression is bounded by

$$\langle (\phi_{\nu_n} - \phi) \nabla \mu_{\nu_n}, \mathbf{v} \rangle \leq \|\phi_{\nu_n} - \phi\|_{L^3} \|\nabla \mu_{\nu_n}\| \|\mathbf{v}\|_{L^6},$$

so that

$$\left| \int_t^{t+h} \langle (\phi_{\nu_n} - \phi) \nabla \mu_{\nu_n}, \mathbf{v} \rangle dt \right| \leq \|\phi_{\nu_n} - \phi\|_{L^2(0,T;L^3)} \|\mu_{\nu_n}\|_{L^2(0,T;\mathbf{H}^1)} \|\mathbf{v}\|_{\mathbf{V}} \rightarrow 0.$$

Recalling that  $\phi \in L^2(0,T;L^\infty(\Omega))$ , the weak convergence of  $\mu_{\nu_n}$  in  $L^2(0,T;\mathbf{H}^1)$  implies

$$\langle \phi [\nabla \mu_{\nu_n} - \nabla \mu], \mathbf{v} \rangle \rightarrow 0.$$

Similarly, we can deal with the convergence in  $\nabla \cdot (\phi_{\nu_n} \mathbf{u}_{\nu_n})$ . Indeed, we have

$$\int_t^{t+h} \langle \phi_{\nu_n} \mathbf{u}_{\nu_n} - \phi \mathbf{u}, \nabla v \rangle dt \rightarrow 0, \quad \forall v \in \mathbf{H}^1.$$

This can be easily seen by rewriting the integrand as

$$\langle (\phi_{\nu_n} - \phi) \mathbf{u}_{\nu_n}, \nabla v \rangle + \langle \phi [\mathbf{u}_{\nu_n} - \mathbf{u}], \nabla v \rangle.$$

Indeed, the second term vanishes as  $n \rightarrow \infty$  in light of the convergence

$$\mathbf{u}_{\nu_n} \rightarrow \mathbf{u} \quad \text{weakly in } L^2(0,T;\mathbf{H})$$

and recalling the bound  $\phi \in L^2(0,T;\mathbf{H}^2) \subset L^2(0,T;L^\infty(\Omega))$ , which yields  $\phi \nabla v \in L^2(0,T;(\mathbf{H})^3)$ . Concerning the former, we observe

$$\begin{aligned} \left| \int_t^{t+h} \langle [\phi_{\nu_n} - \phi] \mathbf{u}_{\nu_n}, \nabla v \rangle dt \right| &\leq \int_t^{t+h} \|\nabla v\| \|\mathbf{u}_{\nu_n}\| \|\phi_{\nu_n} - \phi\|_{L^\infty} dt \\ &\leq \|\nabla v\| \left( \int_t^{t+h} \|\mathbf{u}_{\nu_n}\|^2 dt \right)^{1/2} \left( \int_t^{t+h} \|\phi_{\nu_n} - \phi\|_{L^\infty}^2 dt \right)^{1/2}. \end{aligned}$$

An application of Theorem 7.1 yields the compactness of the sequence  $\{\phi_{\nu_n}\}$  in  $L^2(0,T;L^\infty(\Omega))$ , proving the required convergence.

Finally, let us consider the term involving the time derivative of  $\phi$ . In particular, recalling that  $v$  is constant in time, we have

$$\int_t^{t+h} \partial_t \phi v dt = (\phi(t+h) - \phi(t))v.$$

Thanks to the boundedness of  $\partial_t \phi$  in  $L^{8/5}(0,T;\mathbf{H}^{-1})$ , the Lebesgue Theorem also gives

$$\frac{\phi(t+h) - \phi(t)}{h} \rightarrow \partial_t \phi(t) \quad \text{a.e. } t \in [0, T].$$

A repeated application of the Lebesgue Theorem implies that the couple  $(\phi, \mathbf{u})$  satisfies (2.7)–(2.8) for almost every time  $t \in [0, T]$ . Moreover, observing that  $\phi$  in

$L^\infty(0, T; H^1)$  and  $\phi \in \mathcal{C}([0, T]; H^{-1})$ , it follows that  $\phi$  is also weakly continuous taking values in  $H^1$ .

Finally, we show that

$$\lim_{t \rightarrow 0} \langle \phi(t), v \rangle = \langle \phi_0, v \rangle, \quad \text{for all } v \in H^{-1}.$$

Let  $\psi: [0, T] \rightarrow \mathbb{R}$  be a  $\mathcal{C}^\infty$  function such that  $\psi(0) = 1$  and  $\psi(T) = 0$ , and let  $v \in H^1$  be arbitrary. Multiplying (2.7) with  $\nu > 0$  by  $\psi v$  and integrating over  $\Omega \times [0, T]$ , we obtain

$$-\int_0^T \langle \phi_{\nu_n}, \psi v \rangle dt + \int_0^T \langle \phi_{\nu_n} \mathbf{u}_{\nu_n}, \psi \nabla v \rangle dt + \int_0^T \langle \nabla \mu_{\nu_n}, \psi \nabla v \rangle dt = \langle \phi_0, v \rangle.$$

As before, we can pass to the limit as  $\nu_n \rightarrow 0$ , obtaining

$$-\int_0^T \langle \phi, \psi v \rangle dt + \int_0^T \langle \phi \mathbf{u}, \psi \nabla v \rangle dt + \int_0^T \langle \nabla \mu, \psi \nabla v \rangle dt = \langle \phi_0, v \rangle.$$

Proceeding analogously as in the case  $\nu = 0$ , we deduce

$$-\int_0^T \langle \phi, \psi v \rangle dt + \int_0^T \langle \phi \mathbf{u}, \psi \nabla v \rangle dt + \int_0^T \langle \nabla \mu, \psi \nabla v \rangle dt = \langle \phi(0), v \rangle.$$

Finally, a comparison between these last two equalities and the arbitrary choice of  $v \in H^1$  gives  $\phi(0) = \phi_0$ .

## 8. The CHB system in dimension $N=2$

In this section, we analyze the closeness between the solution to the CHB system and the solution to the CHHS system which originated from regular initial data in  $H^2$ .

Before proving our main result, i.e., Theorem 2.7, we derive some regularity estimates for the solutions of the CHB system in 2D which are uniform with respect to  $\nu \geq 0$ . Hence, from now on, let  $\phi_0 \in H^2$ , and denote by  $c \geq 0$  a generic constant which may depend on  $\|\phi_0\|_2$  but is *independent of  $\nu$* .

**8.1. Higher-order bounds independent of  $\nu$ .** We shall exploit in a crucial way the following well-known inequalities which hold in dimension two:

$$\|f\|_{L^4}^2 \leq c(\|f\| \|\nabla f\| + \|f\|^2), \tag{8.1}$$

$$\|f\|_{L^4}^2 \leq c\|f\| \|\nabla f\|, \quad \text{if } \langle f \rangle = 0, \tag{8.2}$$

$$\|f\|_{L^\infty}^2 \leq c\|f\| \|f\|_{H^2}. \tag{8.3}$$

**PROPOSITION 8.1.** *Let  $\nu \geq 0$  be fixed and let  $\phi(t) = S_\nu(t)\phi_0$ . Then, the following estimate holds*

$$\|\phi(t)\|_2 + \int_t^{t+1} \|\phi(y)\|_4^2 dy \leq c, \quad \forall t \geq 0. \tag{8.4}$$

Furthermore, we have

$$\sup_{t \geq 0} \int_t^{t+1} (\|\mu(y)\|_2^2) dy \leq c. \tag{8.5}$$

*Proof.* On account of (5.2) we find

$$\nu \|\nabla \mathbf{u}\|^2 + \|\mathbf{u}\|^2 = \langle \mu \nabla \phi, \mathbf{u} \rangle \leq \frac{1}{2} \|\mathbf{u}\|^2 + \frac{1}{2} \|\mu \nabla \phi\|^2,$$

which yields

$$\|\mathbf{u}\|^2 \leq \|\mu \nabla \phi\|^2.$$

Moreover, by (8.1) and (8.2) we get

$$\|\mu \nabla \phi\|^2 \leq \|\mu\|_{L^4}^2 \|\nabla \phi\|_{L^4}^2 \leq c(\|\mu\| \|\nabla \mu\| + \|\mu\|^2) \|\nabla \phi\| \|\Delta \phi\|.$$

Since standard computations in light of (2.1) and (4.8) yield

$$\begin{aligned} \|\mu\| &\leq \|\Delta \phi\| + \|f(\phi)\| \leq c(1 + \|\Delta \phi\|), \\ \|\nabla \mu\| &\leq \|\nabla \Delta \phi\| + \|\nabla f(\phi)\| \leq c(1 + \|\nabla \Delta \phi\|), \end{aligned}$$

we end up with

$$\|\mathbf{u}\|^2 \leq c(1 + \|\Delta \phi\|^2) \|\nabla \Delta \phi\|. \quad (8.6)$$

By taking  $w = \Delta^2 \phi$  in (2.7), we obtain

$$\frac{1}{2} \frac{d}{dt} \|\Delta \phi\|^2 + \|\Delta^2 \phi\|^2 = \langle \Delta f(\phi), \Delta^2 \phi \rangle + \langle \mathbf{u} \cdot \nabla \phi, \Delta^2 \phi \rangle.$$

We estimate the first term on the right hand side as follows:

$$\langle \Delta f(\phi), \Delta^2 \phi \rangle \leq \frac{1}{4} \|\Delta^2 \phi\|^2 + c \|\Delta f(\phi)\|^2 \leq \frac{1}{4} \|\Delta^2 \phi\|^2 + c(1 + \|\Delta \phi\|^2) \|\Delta \phi\|^2,$$

where we exploit the 2D analog of (5.4) to control  $\|\Delta f(\phi)\|$ . Then we handle the remaining term as

$$\langle \mathbf{u} \cdot \nabla \phi, \Delta^2 \phi \rangle \leq c \|\mathbf{u} \cdot \nabla \phi\| \|\Delta^2 \phi\| \leq \frac{1}{4} \|\Delta^2 \phi\| + c \|\mathbf{u} \cdot \nabla \phi\|^2.$$

Due to (8.6) and the Agmon inequality (8.3), we infer

$$\begin{aligned} \|\mathbf{u} \cdot \nabla \phi\|^2 &\leq \|\mathbf{u}\|^2 \|\nabla \phi\|_{L^\infty}^2 \leq \|\mathbf{u}\|^2 \|\nabla \phi\| \|\nabla \Delta \phi\| \leq c(1 + \|\Delta \phi\|^2) \|\nabla \Delta \phi\|^2 \\ &\leq c \|\Delta \phi\|^2 \|\nabla \Delta \phi\|^2 + c \|\Delta \phi\| \|\Delta^2 \phi\| \leq c(1 + \|\nabla \Delta \phi\|^2) \|\Delta \phi\|^2 + \frac{1}{4} \|\Delta^2 \phi\|^2. \end{aligned}$$

Thus we obtain the differential inequality

$$\frac{1}{2} \frac{d}{dt} \|\Delta \phi\|^2 + \frac{1}{2} \|\Delta^2 \phi\|^2 \leq g(t) \|\Delta \phi\|^2, \quad (8.7)$$

where, in light of (3.4),  $g(t) := c(1 + \|\Delta \phi(t)\|^2 + \|\nabla \Delta \phi(t)\|^2)$  satisfies

$$\sup_{t \geq 0} \int_t^{t+1} g(y) dy \leq c.$$

We can thus apply Lemma 2.8, obtaining

$$\|\Delta \phi(t)\|^2 \leq c, \quad \forall t \geq 1.$$

In order to prove the required estimate for  $t \in [0, 1]$ , it is sufficient to apply the usual Gronwall lemma on  $[0, t]$  to the inequality

$$\frac{d}{dt} \|\Delta\phi\|^2 \leq 2g(t) \|\Delta\phi\|^2.$$

Indeed, this yields

$$\|\Delta\phi(t)\|^2 \leq \|\Delta\phi(0)\|^2 e^{2G(t)},$$

where

$$G(t) = \int_0^t g(y) dy \leq \int_0^1 g(y) dy \leq c, \quad \forall t \in [0, 1].$$

Hence, we have

$$\|\Delta\phi(t)\|^2 \leq c, \quad \forall t \in [0, 1].$$

On account of this bound, a final integration of (8.7) on  $[t, t+1]$  concludes the proof of (8.4). In order to show the validity of (8.5), note that by again estimating  $\|\Delta f(\phi)\|$  as in (5.4), we get

$$\begin{aligned} \|\mu\|_2^2 &\leq c(\|\mu\|^2 + \|\Delta\mu\|^2) \\ &\leq c(\|f(\phi)\|^2 + \|\Delta\phi\|^2 + \|\Delta f(\phi)\|^2 + \|\Delta^2\phi\|^2) \\ &\leq c(1 + \|\Delta\phi\|^2 + \|\Delta\phi\|^4 + \|\Delta^2\phi\|^2), \end{aligned}$$

which, in light of (8.4), implies the integrability of  $\mu$ .  $\square$

**REMARK 8.1.** The following estimate also holds uniformly in  $\nu \geq 0$ . Exploiting the Agmon inequality and the uniform  $H^2$ -estimate for  $\phi$ , we have

$$\begin{aligned} \|\mu\nabla\phi\|_1^2 &\leq \|\mu\nabla\phi\|^2 + \|\nabla\mu\nabla\phi\|^2 + \|\mu\nabla^2\phi\|^2 \\ &\leq \|\mu\|_{L^\infty}^2 \|\nabla\phi\|^2 + \|\nabla\mu\|_{L^4}^2 \|\nabla\phi\|_{L^4}^2 + c\|\mu\|_{L^\infty}^2 \|\Delta\phi\|^2 \\ &\leq c(1 + \|\mu\|_2^2). \end{aligned}$$

In particular we deduce that  $\mu\nabla\phi \in L^2(t, t+1; H^1)$  uniformly for  $t \geq 0$  and  $\nu \geq 0$ .

## 8.2. Proof of Theorem 2.7.

*Proof.* Let  $\phi_0^\nu, \phi_0 \in H^2$  such that  $\langle \phi_0^\nu \rangle = \langle \phi_0 \rangle$ . Then denote by  $c$  a generic positive constant depending on  $R$  where  $R := \sup_{\nu > 0} \{\|\phi_0^\nu\|_2, \|\phi_0\|_2\} < \infty$ . Let  $(\phi_\nu, \mathbf{u}_\nu)$  be the weak solution to the CHB system with  $\nu > 0$  originating from  $\phi_0^\nu$  and  $(\phi, \mathbf{u})$  the solution to the CHHS system with initial datum  $\phi_0$ . Note that the difference  $\bar{\phi} = \phi_\nu - \phi$ ,  $\bar{\mathbf{u}} = \mathbf{u}_\nu - \mathbf{u}$  is a weak solution to

$$\partial_t \bar{\phi} + \nabla \cdot (\phi_\nu \bar{\mathbf{u}}) + \nabla \cdot (\bar{\phi} \mathbf{u}) - \Delta \bar{\mu} = 0, \tag{8.8}$$

$$\bar{\mathbf{u}} = \nabla \bar{p} - \phi_\nu \nabla \bar{\mu} - \bar{\phi} \nabla \mu + \nu \Delta \mathbf{u}_\nu, \tag{8.9}$$

$$\nabla \cdot \bar{\mathbf{u}} = 0, \tag{8.10}$$

where

$$\bar{\mu} = -\Delta \bar{\phi} + [f(\phi_\nu) - f(\phi)],$$

and  $\langle \bar{\phi} \rangle = 0$ .

Taking  $-\Delta\bar{\phi}$  as a test function in the weak formulation of (8.8), we obtain

$$\frac{d}{dt}\frac{1}{2}\|\nabla\bar{\phi}\|^2 + \langle\phi_\nu\bar{\mathbf{u}}, \nabla\Delta\bar{\phi}\rangle + \langle\bar{\phi}\mathbf{u}, \nabla\Delta\bar{\phi}\rangle + \langle\nabla\bar{\mu}, \nabla\Delta\bar{\phi}\rangle = 0.$$

On the other hand, we have

$$\langle\nabla\bar{\mu}, \nabla\Delta\bar{\phi}\rangle = -\|\nabla\Delta\bar{\phi}\|^2 + \langle\nabla[f(\phi_\nu) - f(\phi)], \nabla\Delta\bar{\phi}\rangle,$$

so that

$$\frac{d}{dt}\frac{1}{2}\|\nabla\bar{\phi}\|^2 + \|\nabla\Delta\bar{\phi}\|^2 = -\langle\phi_\nu\bar{\mathbf{u}}, \nabla\Delta\bar{\phi}\rangle - \langle\bar{\phi}\mathbf{u}, \nabla\Delta\bar{\phi}\rangle + \langle\nabla[f(\phi_\nu) - f(\phi)], \nabla\Delta\bar{\phi}\rangle. \quad (8.11)$$

Let us now take  $\bar{\mathbf{u}}$  in the weak formulation of (8.9). Adding  $-\nu\langle\nabla\mathbf{u}, \nabla\bar{\mathbf{u}}\rangle$  to both sides of the resulting identity, we get

$$\nu\|\nabla\bar{\mathbf{u}}\|^2 + \|\bar{\mathbf{u}}\|^2 = -\langle\phi_\nu\nabla\bar{\mu}, \bar{\mathbf{u}}\rangle - \langle\bar{\phi}\nabla\mu, \bar{\mathbf{u}}\rangle - \nu\langle\nabla\mathbf{u}, \nabla\bar{\mathbf{u}}\rangle. \quad (8.12)$$

Note that, by definition of  $\bar{\mu}$ , there holds

$$-\langle\phi_\nu\nabla\bar{\mu}, \bar{\mathbf{u}}\rangle = \langle\phi_\nu\nabla\Delta\bar{\phi}, \bar{\mathbf{u}}\rangle - \langle\phi_\nu\nabla[f(\phi_\nu) - f(\phi)], \bar{\mathbf{u}}\rangle.$$

Hence, adding (8.11) with (8.12), we end up with

$$\begin{aligned} \frac{d}{dt}\frac{1}{2}\|\nabla\bar{\phi}\|^2 + \|\nabla\Delta\bar{\phi}\|^2 + \nu\|\nabla\bar{\mathbf{u}}\|^2 + \|\bar{\mathbf{u}}\|^2 &= -\nu\langle\nabla\mathbf{u}, \nabla\bar{\mathbf{u}}\rangle - \langle\bar{\phi}\mathbf{u}, \nabla\Delta\bar{\phi}\rangle \\ &\quad - \langle\bar{\phi}\nabla\mu, \bar{\mathbf{u}}\rangle - \langle\phi_\nu\nabla[f(\phi_\nu) - f(\phi)], \bar{\mathbf{u}}\rangle + \langle\nabla[f(\phi_\nu) - f(\phi)], \nabla\Delta\bar{\phi}\rangle. \end{aligned}$$

We now estimate the terms on the right hand side. First of all, we have

$$-\langle\bar{\phi}\mathbf{u}, \nabla\Delta\bar{\phi}\rangle \leq c\|\bar{\phi}\|_1\|\mathbf{u}\|_1\|\nabla\Delta\bar{\phi}\| \leq \frac{1}{4}\|\nabla\Delta\bar{\phi}\|^2 + c\|\mathbf{u}\|_1^2\|\bar{\phi}\|_1^2.$$

Moreover, the following inequality holds:

$$-\langle\bar{\phi}\nabla\mu, \bar{\mathbf{u}}\rangle \leq \|\bar{\phi}\|_1\|\bar{\mathbf{u}}\|\|\nabla\mu\|_{L^3} \leq \frac{1}{2}\|\bar{\mathbf{u}}\|^2 + c\|\Delta\mu\|^2\|\bar{\phi}\|_1^2.$$

We are left to deal with the term

$$\begin{aligned} \langle\nabla[f(\phi_\nu) - f(\phi)], \nabla\Delta\bar{\phi}\rangle &\leq \|\nabla[f(\phi_\nu) - f(\phi)]\|\|\nabla\Delta\bar{\phi}\| \\ &\leq \frac{1}{4}\|\nabla\Delta\bar{\phi}\|^2 + c\|\nabla[f(\phi_\nu) - f(\phi)]\|^2, \end{aligned}$$

where

$$\|\nabla[f(\phi_\nu) - f(\phi)]\|^2 \leq \|f'(\phi_\nu) - f'(\phi)\|\nabla\phi_\nu\|^2 + \|f'(\phi)\nabla\bar{\phi}\|^2.$$

By exploiting the uniform  $H^2$ -estimates both for  $\phi_\nu$  and  $\phi$  obtained in (8.4) and condition (2.3), we have

$$\begin{aligned} \|f'(\phi)\nabla\bar{\phi}\|^2 &= \int_\Omega |f'(\phi)\nabla\bar{\phi}|^2 \leq \|f'(\phi)\|_{L^\infty}^2\|\nabla\bar{\phi}\|^2 \\ &\leq c(1 + \|\phi\|_{L^\infty}^4)\|\nabla\bar{\phi}\|^2 \leq c\|\bar{\phi}\|_1^2, \end{aligned}$$

and, analogously,

$$\begin{aligned} \|[f'(\phi_\nu) - f'(\phi)]\nabla\phi_\nu\|^2 &\leq c \int_{\Omega} |(1 + |\phi_\nu| + |\phi|)\bar{\phi}\nabla\phi_\nu|^2 \\ &\leq c\|\bar{\phi}\|_{L^4}^2\|\nabla\phi_\nu\|_{L^4}^2 \leq c\|\bar{\phi}\|_1^2. \end{aligned}$$

Thus we have the control

$$\|\nabla[f(\phi_\nu) - f(\phi)]\|^2 \leq c\|\bar{\phi}\|_1^2.$$

Using again (8.4), the remaining term involving  $f$  can be treated in the following way:

$$\begin{aligned} -\langle\phi_\nu\nabla[f(\phi_\nu) - f(\phi)], \bar{\mathbf{u}}\rangle &\leq \|\phi_\nu\|_{L^\infty}\|\nabla[f(\phi_\nu) - f(\phi)]\|\|\bar{\mathbf{u}}\| \\ &\leq \frac{1}{4}\|\bar{\mathbf{u}}\|^2 + c\|\nabla[f(\phi_\nu) - f(\phi)]\|^2 \leq \frac{1}{4}\|\bar{\mathbf{u}}\|^2 + c\|\bar{\phi}\|_1^2. \end{aligned}$$

In addition, we have

$$\nu|\langle\nabla\mathbf{u}, \nabla\bar{\mathbf{u}}\rangle| \leq \nu\|\nabla\mathbf{u}\|^2 + \nu|\langle\nabla\mathbf{u}, \nabla\mathbf{u}_\nu\rangle| \leq \nu\|\nabla\mathbf{u}\|^2 + \nu^{1/2}(\nu\|\nabla\mathbf{u}_\nu\|^2 + \|\nabla\mathbf{u}\|^2).$$

Thanks to [20, Lemma 2.1], Remark 8.1 implies that  $\mathbf{u} \in L^2(t, t+1; \mathbf{V})$  for all  $t \geq 0$ . Moreover, recalling (3.1), it holds that

$$k(\cdot) := \nu\|\nabla\mathbf{u}\|^2 + (1 + \nu^{1/2})\|\nabla\mathbf{u}\|^2 \in L^1(0, T),$$

for every  $T > 0$ , uniformly with respect to  $\nu \geq 0$ .

Collecting all the above inequalities, we end up with

$$\frac{d}{dt}\|\bar{\phi}\|_1^2 + \frac{1}{4}\|\bar{\mathbf{u}}\|^2 \leq h(t)\|\bar{\phi}\|_1^2 + \nu^{1/2}k(t),$$

where  $h(t) = c(1 + \|\Delta\mu(t)\|^2 + \|\mathbf{u}(t)\|_1^2)$ . Thanks again to [20, Lemma 2.1] and Remark 8.1,  $h \in L^1(0, T)$  uniformly with respect to  $\nu \geq 0$ . Therefore, an application of the Gronwall lemma provides, for all  $t \in [0, T]$ ,

$$\|\phi_\nu(t) - \phi(t)\|_1^2 \leq \|\phi_0^\nu - \phi_0\|_1^2 e^{\int_0^t h(y) dy} + \nu^{1/2} \int_0^t k(y) dy,$$

which, in particular, shows that

$$\|\phi_\nu(t) - \phi(t)\|_1^2 \leq \|\phi_0^\nu - \phi_0\|_1^2 e^{C_T} + \nu^{1/2} C_T,$$

setting  $C_T = \max\{\int_0^T h(y) dy, \int_0^T k(y) dy\} < \infty$ . Integrating the differential inequality on  $[0, t]$ ,  $t \leq T$ , up to enlarging  $C_T$ , we also obtain

$$\int_0^t \|\mathbf{u}_\nu - \mathbf{u}\|^2 dy \leq \|\phi_0^\nu - \phi_0\|_1^2 e^{C_T} + \nu^{1/2} C_T.$$

□

**REMARK 8.2.** Since we are dealing with solutions which are uniformly bounded in  $H^2$ , the convergence of  $\phi_\nu$  to  $\phi$  in  $H^{2-\delta}$  for every  $\delta > 0$  easily follows. On the contrary, proving the convergence in  $H^2$  seems to be out of reach, due to the fact that the semigroup associated to the solutions of the CHHS equation on  $H^2$  is not strongly continuous but

just closed with a continuous dependence estimate with respect to the  $H^1$ -norms, see [20, (6.13)].

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