

# Regularity of a complex Monge–Ampère equation on Hermitian manifolds

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We obtain higher-order estimates for a parabolic flow on a compact Hermitian manifold. As an application, we prove that a bounded  $\hat{\omega}$ -plurisubharmonic solution of an elliptic complex Monge–Ampère equation is smooth under an assumption on the background Hermitian metric  $\hat{\omega}$ . This generalizes a result of Székelyhidi and Tosatti on Kähler manifolds.

## 1. Introduction

In [18], Székelyhidi and Tosatti studied regularity of weak solutions of the equation

$$(1.1) \quad (\omega + \sqrt{-1}\partial\bar{\partial}\phi)^n = e^{-F(\phi,z)}\omega^n$$

on an  $n$ -dimensional compact Kähler manifold  $(M, \omega)$ , where  $F : \mathbb{R} \times M \rightarrow \mathbb{R}$  is a smooth function and  $\omega + \sqrt{-1}\partial\bar{\partial}\phi \geq 0$  in the sense of currents.

According to the local theory of Bedford–Taylor [1], for a locally bounded plurisubharmonic function  $u$ , the wedge products  $(dd^c u)^k$ ,  $1 \leq k \leq n$  are well defined, where  $d^c = \frac{\sqrt{-1}}{2}(\bar{\partial} - \partial)$  and  $dd^c = \sqrt{-1}\partial\bar{\partial}$ . Indeed, for such  $u$  and  $T$  a positive closed current, the current  $uT$  is well defined and

$$dd^c u \wedge T := dd^c(uT)$$

is also a positive closed current. Then the wedge products  $(dd^c u)^k$ ,  $1 \leq k \leq n$  can be defined inductively as closed positive currents. Denote

$$PSH(M, \omega) = \{u : M \rightarrow [-\infty, +\infty) \mid u \text{ is upper semicontinuous,} \\ \omega + \sqrt{-1}\partial\bar{\partial}u \geq 0\}$$

the set of  $\omega$ -plurisubharmonic (short for  $\omega$ -psh) functions on  $M$ . If  $\phi \in PSH(M, \omega) \cap L^\infty(M)$  solves Equation (1.1) in the above sense of Bedford–Taylor, we say  $\phi$  is a weak solution of the equation. The Hölder continuity

of weak solutions follows from Kołodziej [12]. Using a different approach, Székelyhidi and Tosatti [18] proved that such weak solutions are actually smooth. Particularly, if  $M$  is Fano,  $\omega \in c_1(M)$  and  $F(\phi, z) = \phi - h$ , where  $h$  satisfies  $\sqrt{-1}\partial\bar{\partial}h = \text{Ric}(\omega) - \omega$ , their result implies that Kähler–Einstein currents with bounded potentials are smooth.

In the proof of [18], the authors use the smoothing property of the corresponding parabolic flow:

$$(1.2) \quad \frac{\partial\varphi}{\partial t} = \log \frac{(\omega + \sqrt{-1}\partial\bar{\partial}\varphi)^n}{\omega^n} + F(\varphi, z).$$

They construct a function  $\varphi \in C^0([0, T] \times M) \cap C^\infty((0, T] \times M)$  with  $\varphi(0) = \phi$  which solves Equation (1.2) on  $(0, T]$ , where  $T$  depends only on  $\sup|\phi|$ ,  $F$  and  $\omega$ . Then they show that  $\dot{\varphi}(t) = 0$  for  $0 < t \leq T$ , since the initial  $\phi$  is a solution of (1.1). Therefore,  $\phi = \varphi(0) = \varphi(t)$  is smooth. Similar construction was previously used in Song–Tian [17] for the Kähler–Ricci flow.

As Equation (1.1) also makes sense on Hermitian manifolds, it is natural to consider the regularity of weak solutions of Equation (1.1) in a more general setting. On a Hermitian manifold, there are no local potentials for  $\omega$ . However,  $\omega$ -psh functions are locally the sum of plurisubharmonic functions and smooth functions. Using that the wedge product of a smooth positive  $(1, 1)$  form and a positive current is again a positive current, the current  $(\omega + \sqrt{-1}\partial\bar{\partial}\phi)^n$  is still well defined for bounded  $\omega$ -psh functions. For more details on pluripotential theory, we refer to [1, 3, 8–10].

In this note, we show that the higher-order estimates in [18] can be obtained on compact Hermitian manifolds. Particularly, the flow (1.2) with smooth initial data  $\varphi_0$  has a smooth solution for a time  $T$  which depends only on  $\sup|\varphi_0|, \sup|\dot{\varphi}_0|$ . Then we obtain the following theorem.

**Theorem 1.1.** *Let  $(M, \hat{\omega})$  be an  $n$ -dimensional compact Hermitian manifold with the fundamental 2-form  $\hat{\omega}$  satisfying*

$$(1.3) \quad \forall u \in PSH(M, \hat{\omega}) \cap L^\infty(M), \quad \int_M (\hat{\omega} + \sqrt{-1}\partial\bar{\partial}u)^n = \int_M \hat{\omega}^n$$

and  $F : \mathbb{R} \times M \rightarrow \mathbb{R}$  be a smooth function. Suppose that  $\phi \in PSH(M, \hat{\omega}) \cap L^\infty(M)$  solves

$$(1.4) \quad (\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\phi)^n = e^{-F(\phi, z)}\hat{\omega}^n$$

in the sense of currents. Then  $\phi$  is smooth.

Here the assumption (1.3) is automatically true on Kähler manifolds. In [18], the proof of the above theorem on Kähler manifolds needs Kołodziej’s stability result [11]. We use the assumption (1.3) from [3], under which the usual comparison principle is true, to make sure the stability result holds on such Hermitian manifolds [13]. Particularly, if  $\hat{\omega}$  satisfies Guan–Li’s [6] condition  $\partial\bar{\partial}\hat{\omega}^k = 0$ ,  $k = 1, 2$ , the assumption is satisfied. When  $M$  is a complex surface, such metrics always exist due to a result of Gauduchon [4].

In the proof of our theorem, the main difference between the Hermitian case and Kähler case lies in the  $C^2, C^3$  estimates and bound for  $|\text{Ric}|$ . The computation on Hermitian manifolds is more complicated due to the existence of torsion terms. The proof of the second-order estimate follows closely the arguments of Gill [5] and Tosatti–Weinkove [20]. For the third-order estimate, we make use of the arguments in Phong–Seşum–Sturm [14] and Sherman–Weinkove [19]. Such estimate for the first derivative of the evolving Hermitian metrics was also established in [24], where the authors took a local reference Kähler metric to obtain a good bound. To bound  $|\text{Ric}|$ , we need to deal with the new terms involving  $|\nabla \text{Ric}|$  very carefully.

The techniques used in this paper can be applied to construct a weak solution of the Chern–Ricci flow [22–24] with singular initial Gauduchon metric on complex surfaces. In [22], Tosatti and Weinkove conjectured that if the Chern–Ricci flow starting from a Gauduchon metric is non-collapsing in finite time, then it blows down finitely many exceptional curves and continues in a unique way on a new complex surface. They proved in [23] the smooth convergence of the metrics away from the exceptional curves and the global Gromov–Hausdorff convergence (under a suitable condition) as  $t$  approaches the singular time. It is expected that the flow can continue on the new surface from the push-down of the limiting current. We will investigate this in further work.

The paper is organized as follows. In Section 2, we give some background material on Hermitian manifolds. Then we use maximum principle to obtain the estimates for existence of the parabolic flow for a short time depending only on  $\sup|\varphi_0|$ ,  $\sup|\dot{\varphi}_0|$ . In Section 4, we use the smoothing property of the parabolic flow to prove Theorem 1.1.

## 2. Preliminaries

For reader’s convenience, in this section we introduce some basic material on Hermitian manifolds. The formulas given here can be found in [19].

Let  $(M, g)$  be an  $n$ -dimensional compact Hermitian manifold with the fundamental 2-form  $\omega = \sqrt{-1}g_{i\bar{j}}dz^i \wedge d\bar{z}^j$  in local coordinates. Denote  $\nabla$

the Chern connection of  $g$  with Christoffel symbols  $\Gamma_{ij}^k$  and torsion  $T$  given by

$$\Gamma_{ij}^k = g^{k\bar{l}}\partial_i g_{j\bar{l}}, \quad T_{ij}^k = \Gamma_{ij}^k - \Gamma_{ji}^k.$$

The covariant derivatives of  $X = X^j \frac{\partial}{\partial z^j}$  and  $a = a_j dz^j$  are defined in components as

$$\nabla_i X^j = \partial_i X^j + \Gamma_{ik}^j X^k, \quad \nabla_i a_j = \partial_i a_j - \Gamma_{ij}^k a_k.$$

Then  $\nabla$  can be extended naturally to any tensors. Define the Chern curvature tensor of  $g$  in components to be

$$R_{i\bar{j}k}{}^l = -\partial_{\bar{j}}\Gamma_{ik}^l.$$

We lower and raise indices using metric  $g$ . Then

$$R_{i\bar{j}k\bar{l}} = -\partial_i\partial_{\bar{j}}g_{k\bar{l}} + g^{p\bar{q}}\partial_i g_{k\bar{q}}\partial_{\bar{j}}g_{p\bar{l}}$$

and the Chern–Ricci tensor is given by

$$R_{i\bar{j}} = g^{k\bar{l}}R_{i\bar{j}k\bar{l}} = -\partial_i\partial_{\bar{j}}\log \det g.$$

We have the following commutation formulas:

$$(2.1) \quad \begin{aligned} [\nabla_i, \nabla_{\bar{j}}]X^l &= R_{i\bar{j}k}{}^l X^k, & [\nabla_i, \nabla_{\bar{j}}]a_k &= -R_{i\bar{j}k}{}^l a_l, \\ [\nabla_i, \nabla_{\bar{j}}]\bar{X}^{\bar{l}} &= -R_{i\bar{j}}{}^{\bar{l}k} \bar{X}^k, & [\nabla_i, \nabla_{\bar{j}}]\bar{a}_k &= R_{i\bar{j}}{}^{\bar{l}k} \bar{a}_l. \end{aligned}$$

The Bianchi identities will not hold necessarily for general Hermitian manifolds. There are extra torsion terms in the following identities:

$$(2.2) \quad \begin{aligned} R_{i\bar{j}k\bar{l}} - R_{k\bar{j}i\bar{l}} &= -\nabla_{\bar{j}}T_{ik\bar{l}}, \\ R_{i\bar{j}k\bar{l}} - R_{i\bar{l}k\bar{j}} &= -\nabla_i T_{j\bar{l}k}, \\ R_{i\bar{j}k\bar{l}} - R_{k\bar{l}i\bar{j}} &= -\nabla_{\bar{j}}T_{ik\bar{l}} - \nabla_k T_{j\bar{l}i}, \\ \nabla_p R_{i\bar{j}k\bar{l}} - \nabla_i R_{p\bar{j}k\bar{l}} &= -T_{pi}{}^r R_{r\bar{j}k\bar{l}}, \\ \nabla_{\bar{q}} R_{i\bar{j}k\bar{l}} - \nabla_{\bar{j}} R_{i\bar{q}k\bar{l}} &= -T_{\bar{q}\bar{j}}{}^{\bar{s}} R_{i\bar{s}k\bar{l}}. \end{aligned}$$

### 3. Estimates for the parabolic flow

Consider the following parabolic equation on a compact Hermitian manifold  $(M, \hat{\omega})$ :

$$(3.1) \quad \frac{\partial \varphi}{\partial t} = \log \frac{(\hat{\omega} + \sqrt{-1} \partial \bar{\partial} \varphi)^n}{\hat{\omega}^n} + F(\varphi, z),$$

where  $F : \mathbb{R} \times M \rightarrow \mathbb{R}$  is a smooth function and  $\varphi|_{t=0} = \varphi_0$  is smooth. By the theory of parabolic equations, there exists a unique smooth solution  $\varphi(t)$  with  $\hat{\omega} + \sqrt{-1} \partial \bar{\partial} \varphi > 0$  for a short time. Denote  $\dot{\varphi}$  for  $\frac{\partial \varphi}{\partial t}$ . We have the following proposition which generalizes the estimates in [18] to compact Hermitian manifolds.

**Proposition 3.1.** *Given a compact Hermitian manifold  $(M, \hat{\omega})$ , there exists  $T > 0$  depending only on  $\sup |\varphi_0|$  and  $F$ , such that equation (3.1) has a smooth solution  $\varphi(t, z)$  on  $[0, T]$ . Moreover, there exist smooth functions  $C_k(t)$  for all  $k$  on  $(0, T]$  depending only on  $\sup |\varphi_0|$ ,  $\sup |\dot{\varphi}_0|$ ,  $\hat{\omega}$  and  $F$  which blow up as  $t \rightarrow 0$ , such that*

$$\|\varphi(t)\|_{C^k(M)} < C_k(t)$$

for  $t \leq T$ .

We write  $g$  for the metric associated to  $\omega = \hat{\omega} + \sqrt{-1} \partial \bar{\partial} \varphi$ , where  $\hat{\omega}$  is the background Hermitian metric on a compact complex manifold  $M$ . Denote  $|\cdot|$  the norm of tensors with respect to  $g$ ,  $\nabla$  the Chern connection of  $g$  and  $\Delta = g^{p\bar{q}} \nabla_p \nabla_{\bar{q}}$  the Laplacian of  $\nabla$ . We use  $\hat{\nabla}$ ,  $\hat{R}_{i\bar{j}}$ ,  $|\cdot|_{\hat{g}}$ ,  $\Delta_{\hat{g}}$ , etc. to denote the quantities associated to  $\hat{\omega}$ . Throughout the section,  $C, C', c, c_i, \dots$  will be some constants which depend only on  $\sup |\varphi_0|$ ,  $\sup |\dot{\varphi}_0|$  (and  $\hat{\omega}, F$ ), and may vary from line to line. Also, we may denote  $H$  to be different quantities.

First we have the following lemma from [18].

**Lemma 3.1.** *There exist  $T, C > 0$  depending only on  $\sup |\varphi_0|$  and  $F$ , such that*

$$(3.2) \quad |\varphi(t)| < C, \quad |\dot{\varphi}(t)| \leq \sup |\dot{\varphi}(0)| e^{Ct},$$

when the solution exists and  $t \leq T$ . In particular,

$$(3.3) \quad \left| \log \frac{(\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\varphi)^n}{\hat{\omega}^n} \right| < C'$$

for some  $C'$  depending only on  $\sup |\varphi_0|$ ,  $\sup |\dot{\varphi}_0|$  and  $F$ .

The proof follows from [18, Lemma 3], as it does not need the Kähler condition. Now we can fix a  $T' \leq T$ , such that there exists a smooth solution to (3.1) on  $[0, T']$ .

The  $C^1$  estimate in [18] was obtained by modifying Blocki’s estimate [2] (see also [7, 15]). In Hermitian case, we need the following special local coordinate system from Guan–Li [6], which is also crucial for our second-order estimate.

**Lemma 3.2.** *Around a point  $p \in M$ , there exist local coordinates such that at  $p$ ,*

$$(3.4) \quad \hat{g}_{i\bar{j}} = \delta_{ij}, \quad \frac{\partial \hat{g}_{i\bar{i}}}{\partial z_j} = 0$$

for all  $i, j$ .

With the above lemma, we have the following gradient estimate.

**Lemma 3.3.** *There exists  $\alpha > 0$  depending on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$  such that*

$$(3.5) \quad |\nabla\varphi(t)|_g^2 < e^{\alpha/t}$$

for  $t \leq T'$ .

*Proof.* Define

$$H = t \log |\nabla\varphi(t)|_g^2 - \gamma(\varphi),$$

where  $\gamma$  is a smooth function which will be determined later. If  $H$  achieves maximum on  $[0, T'] \times M$  at  $t = 0$ , then  $H$  is bounded by a constant depending on  $F$  and  $\sup |\varphi_0|$  by Lemma 3.1. Now assume that  $H$  achieves its maximum at a point  $(t_0, z_0)$ ,  $t_0 > 0$ . We use  $\varphi_i, \varphi_{i\bar{j}}, \varphi_{i\bar{j}k}$ , etc. to denote partial derivatives. Choose a coordinate system around  $z_0$  in Lemma 3.2,

such that  $\varphi_{i\bar{j}}$  is diagonal at  $z_0$ . Write  $\rho = |\nabla\varphi(t)|_{\hat{g}}^2 = \hat{g}^{i\bar{j}}\varphi_i\varphi_{\bar{j}}$  and  $\dot{\rho} = \frac{\partial\rho}{\partial t}$ . As  $(\frac{\partial}{\partial t} - \Delta)H = \frac{\partial}{\partial t}H - t\frac{\Delta\rho}{\rho} + t\frac{|\nabla\rho|^2}{\rho^2} + \Delta\gamma$ , we do the following calculations at  $z_0$ . First we have

$$\begin{aligned} \frac{\partial}{\partial t}H &= \log\rho + \frac{t\dot{\rho}}{\rho} - \gamma'\dot{\varphi} \\ &= \log\rho - \gamma'\dot{\varphi} + 2\frac{t}{\rho}\left(\sum_{i,k} \operatorname{Re}\left(\frac{\varphi_{k\bar{i}\bar{i}}\varphi_{\bar{k}}}{1 + \varphi_{i\bar{i}}}\right) + F'\sum_i |\varphi_i|^2 + \sum_i \operatorname{Re}(F_i\varphi_{\bar{i}})\right), \end{aligned}$$

where the second equality follows from

$$\begin{aligned} \dot{\rho} &= \sum_i (\dot{\varphi}_i\varphi_{\bar{i}} + \varphi_i\dot{\varphi}_{\bar{i}}) \\ \dot{\varphi}_i &= g^{k\bar{l}}(\partial_i\hat{g}_{k\bar{l}} + \varphi_{i\bar{l}k}) - \hat{g}^{k\bar{l}}\partial_i\hat{g}_{k\bar{l}} + F'\varphi_i + F_i \\ &= \sum_k \frac{\varphi_{ik\bar{k}}}{1 + \varphi_{k\bar{k}}} + F'\varphi_i + F_i. \end{aligned}$$

Here  $F'$  is the derivative in the  $\varphi$  direction. Also, using  $\partial_i\partial_{\bar{i}}\hat{g}^{k\bar{l}} = -\partial_i\partial_{\bar{i}}\hat{g}_{l\bar{k}} + \sum_q \partial_i\hat{g}_{q\bar{k}}\partial_{\bar{i}}\hat{g}_{l\bar{q}} + \sum_p \partial_i\hat{g}_{l\bar{p}}\partial_{\bar{i}}\hat{g}_{p\bar{k}}$ , we get

$$\begin{aligned} \Delta\rho &= g^{i\bar{i}}\partial_i\partial_{\bar{i}}(\hat{g}^{k\bar{l}}\varphi_k\varphi_{\bar{l}}) \\ &= \sum_{i,k} \frac{1}{1 + \varphi_{i\bar{i}}}\left(-\sum_l \partial_i\partial_{\bar{i}}\hat{g}_{l\bar{k}}\varphi_k\varphi_{\bar{l}} + 2\operatorname{Re}(\varphi_{k\bar{i}\bar{i}}\varphi_{\bar{k}})\right. \\ &\quad \left.+ |\varphi_{k\bar{i}} - \sum_l \partial_{\bar{i}}\hat{g}_{l\bar{k}}\varphi_l|^2 + |\varphi_{ki} - \sum_l \partial_i\hat{g}_{k\bar{l}}\varphi_l|^2\right). \end{aligned}$$

At  $(t_0, z_0)$ ,  $\nabla H = 0$  gives

$$(3.6) \quad H_i = \frac{t}{\rho}\rho_i - \gamma'\varphi_i = 0.$$

Then

$$\frac{|\nabla\rho|^2}{\rho^2} = \sum_i \frac{1}{1 + \varphi_{i\bar{i}}}\left(\frac{\gamma'}{t}\right)^2 |\varphi_i|^2.$$

Also  $\Delta\gamma(\varphi) = \sum_i \frac{1}{1+\varphi_{i\bar{i}}}(\gamma''|\varphi_i|^2 + \gamma'\varphi_{i\bar{i}})$ . Therefore we get

$$\begin{aligned} 0 &\leq \left(\frac{\partial}{\partial t} - \Delta\right)H \\ &= \frac{\partial}{\partial t}H - t\frac{\Delta\rho}{\rho} + t\frac{|\nabla\rho|_g^2}{\rho^2} + \Delta\gamma \\ &\leq \log\rho - \gamma'\dot{\varphi} + ct + \frac{c_1t}{\rho} - \sum_{i,k} \frac{t}{\rho} \frac{1}{1+\varphi_{i\bar{i}}} \\ &\quad \times \left(|\varphi_{k\bar{i}} - \sum_l \partial_i \hat{g}_{l\bar{k}}\varphi_l|^2 + |\varphi_{ki} - \sum_l \partial_i \hat{g}_{k\bar{l}}\varphi_l|^2\right) \\ &\quad + \sum_i \frac{|\varphi_i|^2}{1+\varphi_{i\bar{i}}} \left(\frac{(\gamma')^2}{t} + \gamma''\right) + \sum_i \frac{c_2t - \gamma'}{1+\varphi_{i\bar{i}}} + n\gamma' \end{aligned}$$

for some constants  $c, c_1$  depending on  $F$  and  $c_2$  depending on the curvature of  $\hat{\omega}$ . Now we use the same trick in [2, 18] to control the term containing  $\gamma'^2$ . From (3.6) we get

$$\gamma'\rho\varphi_i = t\rho_i = t\left(\varphi_i\varphi_{i\bar{i}} + \sum_k \varphi_{ki}\varphi_{\bar{k}} - \sum_{k,l} \partial_i \hat{g}_{l\bar{k}}\varphi_k\varphi_{\bar{l}}\right),$$

which gives

$$\sum_k \left(\varphi_{ki} - \sum_l \partial_i \hat{g}_{k\bar{l}}\varphi_l\right)\varphi_{\bar{k}} = t^{-1}\gamma'\rho\varphi_i - \varphi_i\varphi_{i\bar{i}}.$$

So,

$$\begin{aligned} \frac{t}{\rho} \sum_{i,k} \frac{|\varphi_{ki} - \sum_l \partial_i \hat{g}_{k\bar{l}}\varphi_l|^2}{1+\varphi_{i\bar{i}}} &\geq \frac{t}{\rho^2} \sum_i \frac{|\sum_k (\varphi_{ki} - \sum_l \partial_i \hat{g}_{k\bar{l}}\varphi_l)\varphi_{\bar{k}}|^2}{1+\varphi_{i\bar{i}}} \\ &= \frac{t}{\rho^2} \sum_i \frac{|t^{-1}\gamma'\rho\varphi_i - \varphi_i\varphi_{i\bar{i}}|^2}{1+\varphi_{i\bar{i}}} \\ &\geq \frac{(\gamma')^2}{t} \sum_i \frac{|\varphi_i|^2}{1+\varphi_{i\bar{i}}} - 2\gamma', \end{aligned}$$



where we assume  $\gamma' > 0$ . As  $\dot{\varphi}$  is bounded from Lemma 3.1 for  $t \leq T'$ , the above estimates give

$$0 \leq \log \rho + ct + \sum_i \frac{\gamma'' |\varphi_i|^2}{1 + \varphi_{i\bar{i}}} + \sum_i \frac{c_1 t - \gamma'}{1 + \varphi_{i\bar{i}}} + (n + 2 + c)\gamma' + \frac{c_2 t}{\rho}.$$

Take  $\gamma(x) = Ax - \frac{1}{A}x^2$ . Assume that  $\log \rho \geq 1$  at  $(t_0, z_0)$  and choose  $A$  to be sufficiently large, then we get

$$\sum_i \frac{|\varphi_i|^2}{1 + \varphi_{i\bar{i}}} + \sum_i \frac{1}{1 + \varphi_{i\bar{i}}} \leq c' \log \rho$$

for some constant  $c'$ . The above inequality together with (3.3) imply that

$$1 + \varphi_{i\bar{i}} \leq c(c' \log \rho)^{n-1}.$$

Then we have

$$\rho = \sum_i |\varphi_i|^2 \leq nc(c' \log \rho)^n,$$

which shows that  $\rho$  is bounded at  $(t_0, z_0)$ . Therefore  $H$  has a bound depending only on  $\sup |\varphi_0|$ ,  $\sup |\dot{\varphi}_0|$  and the estimate (3.5) follows.  $\square$

Now we will give the second-order estimate. We use the idea of [6, 20] and follow the argument in [5] closely. For local computations in the proof of the following proposition, we always use a coordinate system in Lemma 3.2 around a point  $p$ , such that  $\hat{g}_{i\bar{j}} = \delta_{ij}$ ,  $\frac{\partial \hat{g}_{i\bar{i}}}{\partial z_j} = 0$  and  $\varphi_{i\bar{j}}$  is diagonal at  $p$ .

**Proposition 3.2.** *There exists  $C > 0$  depending on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$ , such that*

$$(3.7) \quad \text{tr}_{\hat{g}} g = n + \Delta_{\hat{g}} \varphi(t) < e^{Ce^{\alpha/t}}$$

for  $t \leq T'$ , where  $\alpha$  is the same as in Lemma 3.3.

*Proof.* Let

$$H = e^{-\frac{\alpha}{t}} \log \text{tr}_{\hat{g}} g + e^{\Psi},$$

where  $\Psi = A(\sup_{[0, T'] \times M} \varphi - \varphi)$  and  $A$  is a constant to be chosen later. First we have

$$(3.8) \quad \begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) H &= \frac{\alpha}{t^2} e^{-\frac{\alpha}{t}} \log \text{tr}_{\hat{g}} g + \frac{e^{-\frac{\alpha}{t}}}{\text{tr}_{\hat{g}} g} \Delta_{\hat{g}} \dot{\varphi} - Ae^{\Psi} \dot{\varphi} \\ &\quad - e^{-\frac{\alpha}{t}} \Delta \log \text{tr}_{\hat{g}} g - A^2 |\nabla \varphi|^2 e^{\Psi} - A(\text{tr}_g \hat{g} - n) e^{\Psi}. \end{aligned}$$

It follows from (3.1) that

$$(3.9) \quad \Delta_{\hat{g}}\dot{\varphi} = -\operatorname{tr}_{\hat{g}} \operatorname{Ric}(g) + \operatorname{tr}_{\hat{g}} \operatorname{Ric}(\hat{g}) + \Delta_{\hat{g}}F(\varphi, z),$$

where

$$\Delta_{\hat{g}}F(\varphi, z) = F''|\nabla\varphi|_{\hat{g}}^2 + F'\Delta_{\hat{g}}\varphi + 2\operatorname{Re}(g^{i\bar{j}}F'_i\varphi_{\bar{j}}) + \Delta_{\hat{g}}F.$$

Here  $F'$  is the derivative in the  $\varphi$  direction,  $\Delta_{\hat{g}}$  is the complex Laplacian of  $F$  in the  $z$  variable. Use that

$$\begin{aligned} \operatorname{tr}_{\hat{g}} \operatorname{Ric}(g) &= \sum_{i,k} g^{i\bar{i}}(-\partial_k\partial_{\bar{k}}g_{i\bar{i}} + g^{j\bar{j}}\partial_k g_{i\bar{j}}\partial_{\bar{k}}g_{j\bar{i}}) \\ &= \sum_{i,k} g^{i\bar{i}}(-\varphi_{i\bar{i}k\bar{k}} - \partial_k\partial_{\bar{k}}\hat{g}_{i\bar{i}} + g^{j\bar{j}}\partial_k g_{i\bar{j}}\partial_{\bar{k}}g_{j\bar{i}}) \end{aligned}$$

to rewrite (3.9) as

$$\begin{aligned} \sum_{i,k} g^{i\bar{i}}\varphi_{i\bar{i}k\bar{k}} &= -\sum_{i,k} g^{i\bar{i}}\partial_k\partial_{\bar{k}}\hat{g}_{i\bar{i}} + \sum_{i,j,k} g^{i\bar{i}}g^{j\bar{j}}\partial_k g_{i\bar{j}}\partial_{\bar{k}}g_{j\bar{i}} \\ &\quad + \Delta_{\hat{g}}\dot{\varphi} - \operatorname{tr}_{\hat{g}} \operatorname{Ric}(\hat{g}) - \Delta_{\hat{g}}F(\varphi, z) \\ (3.10) \quad &\geq \sum_{i,j,k} g^{i\bar{i}}g^{j\bar{j}}\partial_k g_{i\bar{j}}\partial_{\bar{k}}g_{j\bar{i}} + \Delta_{\hat{g}}\dot{\varphi} - C_1|\nabla\varphi|_{\hat{g}}^2 - C_2\operatorname{tr}_{\hat{g}} g \operatorname{tr}_g \hat{g}. \end{aligned}$$

From the bound in (3.3), we have  $\operatorname{tr}_{\hat{g}} g, \operatorname{tr}_g \hat{g} \geq C^{-1}$  for some constant  $C$  and then  $\operatorname{tr}_{\hat{g}} g, \operatorname{tr}_g \hat{g} \leq C \operatorname{tr}_g \hat{g} \operatorname{tr}_{\hat{g}} g$ . These are used in the above inequality. We will also use them frequently in the following. As the estimates in [20, (2.6)] we have

$$(3.11) \quad \Delta \operatorname{tr}_{\hat{g}} g \geq \sum_{i,k} g^{i\bar{i}}\varphi_{i\bar{i}k\bar{k}} - 2\operatorname{Re} \left( \sum_{i,j,k} g^{i\bar{i}}\partial_{\bar{i}}\hat{g}_{j\bar{k}}\varphi_{k\bar{j}i} \right) - C \operatorname{tr}_{\hat{g}} g \operatorname{tr}_g \hat{g}.$$

To control  $\sum_{i,j,k} g^{i\bar{i}}\partial_{\bar{i}}\hat{g}_{j\bar{k}}\varphi_{k\bar{j}i}$ , we use a trick from [6].

$$\sum_{i,j,k} g^{i\bar{i}}\partial_{\bar{i}}\hat{g}_{j\bar{k}}\varphi_{k\bar{j}i} = \sum_i \sum_{j \neq k} (g^{i\bar{i}}\partial_{\bar{i}}\hat{g}_{j\bar{k}}\partial_k g_{i\bar{j}} - g^{i\bar{i}}\partial_{\bar{i}}\hat{g}_{j\bar{k}}\partial_k \hat{g}_{i\bar{j}}).$$

So,

$$\begin{aligned}
 |2 \operatorname{Re}(\sum_{i,j,k} g^{i\bar{i}} \partial_i \hat{g}_{j\bar{k}} \varphi_{k\bar{j}i})| &\leq \sum_i \sum_{j \neq k} (g^{i\bar{i}} g^{j\bar{j}} \partial_k g_{i\bar{j}} \partial_{\bar{k}} g_{j\bar{i}} + g^{i\bar{i}} g_{j\bar{j}} \partial_{\bar{i}} \hat{g}_{j\bar{k}} \partial_i \hat{g}_{k\bar{j}}) + C \operatorname{tr}_g \hat{g} \\
 (3.12) \qquad \qquad \qquad &\leq \sum_i \sum_{j \neq k} g^{i\bar{i}} g^{j\bar{j}} \partial_k g_{i\bar{j}} \partial_{\bar{k}} g_{j\bar{i}} + C \operatorname{tr}_g \hat{g} \operatorname{tr}_g g.
 \end{aligned}$$

Combining (3.10) to (3.12), we can get

$$\Delta \operatorname{tr}_{\hat{g}} g \geq \sum_{i,j} g^{i\bar{i}} g^{j\bar{j}} \partial_j g_{i\bar{j}} \partial_{\bar{j}} g_{j\bar{i}} + \Delta_{\hat{g}} \dot{\varphi} - C_1 |\nabla \varphi|_{\hat{g}}^2 - C \operatorname{tr}_g \hat{g} \operatorname{tr}_g g.$$

Now we will control  $\frac{|\partial \operatorname{tr}_{\hat{g}} g|^2}{(\operatorname{tr}_{\hat{g}} g)^2}$ . As

$$\partial_i \operatorname{tr}_{\hat{g}} g = \partial_i \sum_j \varphi_{j\bar{j}} = \sum_j \partial_j \varphi_{i\bar{j}} = \sum_j (\partial_j g_{i\bar{j}} - \partial_j \hat{g}_{i\bar{j}}),$$

then

$$\begin{aligned}
 \frac{|\partial \operatorname{tr}_{\hat{g}} g|^2}{(\operatorname{tr}_{\hat{g}} g)^2} &\leq \frac{1}{(\operatorname{tr}_{\hat{g}} g)^2} \sum_{i,j,k} g^{i\bar{i}} \partial_j g_{i\bar{j}} \partial_{\bar{k}} g_{k\bar{i}} - \frac{2}{(\operatorname{tr}_{\hat{g}} g)^2} \\
 &\quad \times \operatorname{Re} \left( \sum_{i,j,k} g^{i\bar{i}} \partial_j \hat{g}_{i\bar{j}} \partial_{\bar{k}} g_{k\bar{i}} \right) + C \operatorname{tr}_g \hat{g}.
 \end{aligned}$$

Assume that  $H$  achieves maximum at  $(t_0, z_0)$ ,  $t_0 > 0$ , then  $\nabla H(t_0, z_0) = 0$  gives

$$\frac{e^{-\frac{\alpha}{t}} \partial_i \operatorname{tr}_{\hat{g}} g}{\operatorname{tr}_{\hat{g}} g} - A e^{\Psi} \varphi_{\bar{i}} = 0.$$

That is,

$$\sum_k \partial_i g_{k\bar{k}} = A e^{\frac{\alpha}{t}} \operatorname{tr}_{\hat{g}} g \varphi_{\bar{i}} e^{\Psi}.$$

Together with  $\partial_{\bar{k}} g_{k\bar{i}} = \partial_{\bar{k}} \hat{g}_{k\bar{i}} + \partial_{\bar{i}} g_{k\bar{k}}$ , we get

$$\begin{aligned}
 \left| \frac{2}{(\operatorname{tr}_{\hat{g}} g)^2} \operatorname{Re} \left( \sum_{i,j,k} g^{i\bar{i}} \partial_j \hat{g}_{i\bar{j}} \partial_{\bar{k}} g_{k\bar{i}} \right) \right| &\leq \left| \frac{2A e^{\frac{\alpha}{t}} e^{\Psi}}{\operatorname{tr}_{\hat{g}} g} \operatorname{Re} \sum_{i,j} g^{i\bar{i}} \partial_j \hat{g}_{i\bar{j}} \varphi_{\bar{i}} \right| + C \operatorname{tr}_g \hat{g} \\
 &\leq e^{\frac{\alpha}{t}} e^{\Psi} \left( A^2 |\nabla \varphi|^2 + \frac{C \operatorname{tr}_g \hat{g}}{(\operatorname{tr}_{\hat{g}} g)^2} \right) + C \operatorname{tr}_g \hat{g} \\
 (3.13) \qquad \qquad \qquad &\leq e^{\frac{\alpha}{t}} e^{\Psi} (A^2 |\nabla \varphi|^2 + C' \operatorname{tr}_g \hat{g}) + C \operatorname{tr}_g \hat{g}.
 \end{aligned}$$

Using the Cauchy–Schwarz inequality as in Yau’s second-order estimate [25] (see Equation (2.21) in [20]), we have

$$(3.14) \quad \frac{1}{\text{tr}_{\hat{g}} g} \sum_{i,j,k} g^{i\bar{i}} \partial_j g_{i\bar{j}} \partial_{\bar{k}} g_{k\bar{i}} \leq \sum_{i,j} g^{i\bar{i}} g^{j\bar{j}} \partial_j g_{i\bar{j}} \partial_{\bar{j}} g_{j\bar{i}}.$$

Combining (3.13) and (3.14), we get

$$\frac{|\partial \text{tr}_{\hat{g}} g|^2}{(\text{tr}_{\hat{g}} g)^2} \leq \frac{1}{\text{tr}_{\hat{g}} g} \sum_{i,j} g^{i\bar{i}} g^{j\bar{j}} \partial_j g_{i\bar{j}} \partial_{\bar{j}} g_{j\bar{i}} + e^{\frac{\alpha}{t}} e^{\Psi} (A^2 |\nabla \varphi|^2 + C' \text{tr}_g \hat{g}) + C \text{tr}_g \hat{g}.$$

So,

$$(3.15) \quad \begin{aligned} e^{-\frac{\alpha}{t}} \Delta \log \text{tr}_{\hat{g}} g &= e^{-\frac{\alpha}{t}} \left( \frac{\Delta \text{tr}_{\hat{g}} g}{\text{tr}_{\hat{g}} g} - \frac{|\partial \text{tr}_{\hat{g}} g|^2}{(\text{tr}_{\hat{g}} g)^2} \right) \\ &\geq \frac{e^{-\frac{\alpha}{t}}}{\text{tr}_{\hat{g}} g} \Delta_{\hat{g}} \dot{\varphi} - C_1 \frac{e^{-\frac{\alpha}{t}}}{\text{tr}_{\hat{g}} g} |\nabla \varphi|_{\hat{g}}^2 - C e^{-\frac{\alpha}{t}} \text{tr}_g \hat{g} \\ &\quad - A^2 |\nabla \varphi|^2 e^{\Psi} - C' \text{tr}_g \hat{g} e^{\Psi}. \end{aligned}$$

From (3.3) we have  $\text{tr}_{\hat{g}} g \leq C(\text{tr}_g \hat{g})^{n-1}$  for some constant  $C$ . Now putting (3.15) into (3.8) and using (3.5) and that  $\varphi, \dot{\varphi}$  are bounded, we have

$$\begin{aligned} \left( \frac{\partial}{\partial t} - \Delta \right) H &\leq C \log \text{tr}_{\hat{g}} g - A \dot{\varphi} e^{\Psi} + C_1 + C e^{-\frac{\alpha}{t}} \text{tr}_g \hat{g} \\ &\quad + C \text{tr}_g \hat{g} e^{\Psi} + A n e^{\Psi} - A \text{tr}_g \hat{g} e^{\Psi} \\ &\leq C' \log \text{tr}_{\hat{g}} g + A C' e^{\Psi} - (A - C) e^{\Psi} \text{tr}_g \hat{g} + C e^{-\frac{\alpha}{t}} \text{tr}_g \hat{g} \\ &\leq -(A - C - C_1) e^{\Psi} \text{tr}_g \hat{g} + A C' e^{\Psi}. \end{aligned}$$

Choosing  $A$  large enough such that  $A - C - C_1 \geq 0$ , then at  $(t_0, z_0)$ ,

$$0 \leq -(A - C - C_1) \text{tr}_g \hat{g} + A C'$$

for  $t \leq T'$  gives  $\text{tr}_g \hat{g} \leq C'$  at  $(t_0, z_0)$ , which implies that  $H \leq C$  for some constant  $C$  depending on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$ . Then we obtain the desired estimate (3.7). □

Now we give the third-order estimate. Our proof is based on the arguments in [14, 19] (see also [24]). As in [25], consider  $S = g^{i\bar{p}} g^{q\bar{j}} g^{k\bar{r}} \hat{\nabla}_k \varphi_{i\bar{j}} \hat{\nabla}_{\bar{r}} \varphi_{\bar{p}q}$ .

We introduce the tensor  $\Phi_{ij}{}^k = \Gamma_{ij}^k - \hat{\Gamma}_{ij}^k$  and then

$$S = |\Phi|^2 = g^{i\bar{p}}g^{j\bar{q}}g_{k\bar{r}}\Phi_{ij}{}^k\Phi_{\bar{p}\bar{q}}{}^{\bar{r}}.$$

From now on, we will write  $k(t), k_1(t), k_2(t), \dots$  for functions of the form  $Ke^{\lambda Ce^{\alpha/t}}$  where  $e^{Ce^{\alpha/t}}$  is the bound in Proposition 3.2, and  $K, \lambda$  are constants depending only on  $\hat{\omega}$  and  $F$ . In the proof of the following proposition, we will use the estimates  $|\nabla\varphi(t)|_{\hat{g}}^2 \leq k(t), \operatorname{tr}_{\hat{g}}g \leq k(t)$  repeatedly.

**Proposition 3.3.** *There exists a smooth function  $C(t) > 0$  on  $(0, T']$  depending only on  $\sup|\varphi_0|, \sup|\dot{\varphi}_0|$  and blowing up as  $t \rightarrow 0$ , such that  $S < C(t)$  for  $t \leq T'$ .*

*Proof.* We write  $\Delta = g^{p\bar{q}}\nabla_p\nabla_{\bar{q}}$ . As the calculations in [14, 19], first we have

$$\begin{aligned} \Delta S &= |\bar{\nabla}\Phi|^2 + |\nabla\Phi|^2 - \Phi_{ij}{}^k \left( R_p{}^p{}^q{}_{kq} \Phi^{ij} - R_p{}^p{}^i{}_{kq} \Phi^{qj} - R_p{}^p{}^j{}_{kq} \Phi^{iq} \right) \\ &\quad + 2 \operatorname{Re} \left( \Delta \Phi_{ij}{}^k \Phi^{ij}{}_k \right), \\ \frac{\partial}{\partial t} S &= \Phi_{ij}{}^k \left( \frac{\partial}{\partial t} g^{i\bar{q}} \Phi_{\bar{q}k}{}^j + \frac{\partial}{\partial t} g_{k\bar{q}} \Phi^{ij\bar{q}} + \frac{\partial}{\partial t} g^{j\bar{q}} \Phi^i{}_{\bar{q}k} \right) + 2 \operatorname{Re} \left( \frac{\partial}{\partial t} \Phi_{ij}{}^k \Phi^{ij}{}_k \right) \\ &= \Phi_{ij}{}^k \left( g^{q\bar{r}} \frac{\partial}{\partial t} g_{k\bar{r}} \Phi^{ij}{}_q - g^{i\bar{r}} \frac{\partial}{\partial t} g_{q\bar{r}} \Phi^{qj}{}_k - g^{j\bar{r}} \frac{\partial}{\partial t} g_{q\bar{r}} \Phi^{iq}{}_k \right) \\ &\quad + 2 \operatorname{Re} \left( \frac{\partial}{\partial t} \Phi_{ij}{}^k \Phi^{ij}{}_k \right). \end{aligned}$$

Thus,

$$\begin{aligned} \left( \frac{\partial}{\partial t} - \Delta \right) S &= -|\bar{\nabla}\Phi|^2 - |\nabla\Phi|^2 + \Phi_{ij}{}^k \left( B_k{}^q \Phi^{ij}{}_q - B_q{}^i \Phi^{qj}{}_k - B_q{}^j \Phi^{iq}{}_k \right) \\ (3.16) \quad &\quad + 2 \operatorname{Re} \left( \left( \frac{\partial}{\partial t} - \Delta \right) \Phi_{ij}{}^k \Phi^{ij}{}_k \right), \end{aligned}$$

where  $B_i{}^j = g^{j\bar{r}} \frac{\partial}{\partial t} g_{i\bar{r}} + R_p{}^p{}^j{}_i$ . From Equation (3.1) and Formula (2.2), we get

$$\begin{aligned} \frac{\partial}{\partial t} g_{i\bar{j}} &= -R_{i\bar{j}} + \hat{R}_{i\bar{j}} + F_{i\bar{j}}(\varphi, z), \\ R_p{}^p{}^q{}_k &= R_k{}^q - \nabla^p T_{pk}{}^q - \nabla_k T^{pq}{}_p. \end{aligned}$$

Hence

$$(3.17) \quad B_i{}^j = g^{j\bar{r}} (\hat{R}_{i\bar{r}} + F_{i\bar{r}}(\varphi, z)) - \nabla^p T_{pi}{}^j - \nabla_i T^{pj}{}_p.$$

Here  $F_{i\bar{r}}(\varphi, z) = F_{i\bar{r}} + F''\varphi_i\varphi_{\bar{r}} + F'\varphi_{i\bar{r}} + F'_i\varphi_{\bar{r}} + F'_r\varphi_i$ .

Now we compute the evolution of  $\Phi_{ij}^k$ . First,

$$\begin{aligned} \frac{\partial}{\partial t}\Phi_{ij}^k &= g^{k\bar{l}}\nabla_i\frac{\partial}{\partial t}g_{j\bar{l}} \\ &= -\nabla_iR_j^k + g^{k\bar{l}}(\nabla_i\hat{R}_{j\bar{l}} + \nabla_iF_{j\bar{l}}(\varphi, z)). \end{aligned}$$

Note that

$$(3.18) \quad \nabla_{\bar{q}}\Phi_{ij}^k = -R_{i\bar{q}j}^k + \hat{R}_{i\bar{q}j}^k.$$

Then

$$\begin{aligned} \Delta\Phi_{ij}^k &= -\nabla^{\bar{p}}R_{i\bar{p}j}^k + \nabla^{\bar{p}}\hat{R}_{i\bar{p}j}^k \\ &= \nabla_i(-R_j^k + \nabla^qT_{qj}^k + \nabla_jT_p^k) - T_{iq}^rR_r^q{}^k + \nabla^{\bar{p}}\hat{R}_{i\bar{p}j}^k. \end{aligned}$$

So we have

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right)\Phi_{ij}^k &= \nabla_i(g^{k\bar{l}}(\hat{R}_{j\bar{l}} + F_{j\bar{l}}(\varphi, z)) - \nabla^qT_{qj}^k - \nabla_jT_p^k) \\ &\quad + T_{iq}^rR_r^q{}^k - \nabla^{\bar{p}}\hat{R}_{i\bar{p}j}^k \\ &= \nabla_iB_j^k + T_{iq}^rR_r^q{}^k - \nabla^{\bar{p}}\hat{R}_{i\bar{p}j}^k. \end{aligned}$$

Combining with (3.16) we get

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right)S &= -|\bar{\nabla}\Phi|^2 - |\nabla\Phi|^2 + \Phi_{ij}^k\left(B_k^q\Phi^{ij}{}_q - B_q^i\Phi^{qj}{}_k - B_q^j\Phi^{iq}{}_k\right) \\ &\quad + 2\operatorname{Re}\left(\nabla_iB_j^k + T_{iq}^rR_r^q{}^k - \nabla^{\bar{p}}\hat{R}_{i\bar{p}j}^k\right)\Phi^{ij}{}_k. \end{aligned}$$

As  $T_{ij\bar{k}} = \hat{T}_{ij\bar{k}}$ ,

$$(3.19) \quad \nabla^pT_{pk}{}^q = g^{p\bar{l}}g^{q\bar{r}}(\hat{\nabla}_{\bar{l}}\hat{T}_{pk\bar{r}} - \Phi_{\bar{l}\bar{r}}^{\bar{s}}\hat{T}_{pk\bar{s}}).$$

By (3.17)

$$|B_{i\bar{j}}| \leq k(t)(S^{1/2} + 1 + |\nabla\varphi|_g^2 + |\varphi_{i\bar{j}}|_g^2) \leq k(t)(S^{1/2} + 1).$$

Now we want to control  $\nabla_i B_j^k$ . From (3.17) we need the following estimates from [19] obtained by similar calculations as (3.19):

$$\begin{aligned} |\nabla_i \nabla^q T_{qj}^k| &\leq k(t)(S + |\bar{\nabla}\Phi| + 1), \\ |\nabla_i \nabla_j T_{\bar{p}}^{k\bar{p}}| &\leq k(t)(S + |\nabla\Phi| + 1). \end{aligned}$$

Also

$$\begin{aligned} |T_{iq}^r R_r^q j^k| &\leq k(t)(|\bar{\nabla}\Phi| + 1), \\ |\nabla^{\bar{p}} R_{\bar{p}ij}^k| &\leq k(t)(S^{1/2} + 1). \end{aligned}$$

We bound the terms with  $\varphi_{ij}$  and  $\Phi_k^{ij}$  in  $\text{Re}(\nabla_i B_j^k \Phi_k^{ij})$  by  $|\varphi_{ij}|^2 + k(t)S$ . Together with the above estimates we get

$$(3.20) \quad \left(\frac{\partial}{\partial t} - \Delta\right) S \leq k(t)(S^{3/2} + S + 1) + \sum_{i,j} |\varphi_{ij}|^2 - \frac{1}{2}(|\nabla\Phi|^2 + |\bar{\nabla}\Phi|^2).$$

We will use a similar way as in [16, 19] to control the term  $S^{3/2}$ . The evolution equations below can be obtained by following the computations in [18, 19]:

$$(3.21) \quad \begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) \text{tr}_{\hat{g}} g &\leq -\frac{S}{k_2(t)} + k_2(t), \\ \left(\frac{\partial}{\partial t} - \Delta\right) |\nabla\varphi|_{\hat{g}}^2 &\leq -\sum_{i,j} \frac{|\varphi_{ij}|^2}{k_3(t)} + k_3(t). \end{aligned}$$

Now we will apply a maximum principle argument to the quantity

$$H = \frac{S}{(C_1(t) - \text{tr}_{\hat{g}} g)^2} + \frac{\text{tr}_{\hat{g}} g}{C_2(t)} + \frac{|\nabla\varphi|_{\hat{g}}^2}{C_3(t)}.$$

Here we can take  $C_i(t)$  to be the form of  $Le^{\lambda C e^{\alpha/t}}$ , where  $C, \alpha$  are the same as in (3.7) and  $L, \lambda$  will be determined later. Let  $L, \lambda > 2$  such that

$$(3.22) \quad \frac{C_1(t)}{2} \leq C_1(t) - \text{tr}_{\hat{g}} g \leq C_1(t), \quad 0 < -\frac{C'_i(t)}{C_i^2(t)} \leq \frac{1}{\sqrt{C_i(t)}}, \quad i = 1, 2, 3.$$

We calculate the evolution of  $H$ .

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) H &= \frac{1}{(C_1(t) - \text{tr}_{\hat{g}} g)^2} \left(\frac{\partial}{\partial t} - \Delta\right) S \\ &\quad + \frac{2S}{(C_1(t) - \text{tr}_{\hat{g}} g)^3} \left(\frac{\partial}{\partial t} - \Delta\right) \text{tr}_{\hat{g}} g \\ &\quad - \frac{4 \text{Re} \nabla \text{tr}_{\hat{g}} g \cdot \bar{\nabla} S}{(C_1(t) - \text{tr}_{\hat{g}} g)^3} - \frac{6S |\nabla \text{tr}_{\hat{g}} g|^2}{(C_1(t) - \text{tr}_{\hat{g}} g)^4} - \frac{2C'_1(t)S}{(C_1(t) - \text{tr}_{\hat{g}} g)^3} \\ &\quad + \frac{1}{C_2(t)} \left(\frac{\partial}{\partial t} - \Delta\right) \text{tr}_{\hat{g}} g + \frac{1}{C_3(t)} \left(\frac{\partial}{\partial t} - \Delta\right) |\nabla \varphi|_{\hat{g}}^2 \\ &\quad - \frac{C'_2(t) \text{tr}_{\hat{g}} g}{C_2(t)^2} - \frac{C'_3(t) |\nabla \varphi|_{\hat{g}}^2}{C_3(t)^2}. \end{aligned}$$

Taking  $C_2(t), C_3(t)$  large enough and using (3.5), (3.7) and (3.22), the last two terms can be bounded by a constant  $C$ . Assuming  $S > 1$  at the maximum point of  $H$ , from (3.20) we have

$$\left(\frac{\partial}{\partial t} - \Delta\right) S \leq k_1(t)(S^{3/2} + 1) + \sum_{i,j} |\varphi_{ij}|^2 - \frac{1}{2} |\bar{\nabla} \Phi|^2.$$

Together with (3.21) and (3.22), we get

$$\begin{aligned} 0 &\leq \left(\frac{\partial}{\partial t} - \Delta\right) H \\ &\leq \left(\frac{4k_1(t)}{C_1^2(t)} S^{3/2} + \frac{4k_1(t)}{C_1^2(t)} + \frac{4}{C_1^2(t)} \sum_{i,j} |\varphi_{ij}|^2 - \frac{|\bar{\nabla} \Phi|^2}{2C_1^2(t)}\right) \\ &\quad + \left(-\frac{2S^2}{k_2(t)C_1^3(t)} + \frac{16k_2(t)S}{C_1^3(t)}\right) \\ &\quad + \frac{4|\text{Re} \nabla \text{tr}_{\hat{g}} g \cdot \bar{\nabla} S|}{(C_1(t) - \text{tr}_{\hat{g}} g)^3} + \frac{2S}{\sqrt{C_1^3(t)}} + \left(-\frac{1}{k_2(t)C_2(t)} S + \frac{k_2(t)}{C_2(t)}\right) \\ &\quad + \left(-\frac{1}{k_3(t)C_3(t)} \sum_{i,j} |\varphi_{ij}|^2 + \frac{k_3(t)}{C_3(t)}\right) + C. \end{aligned}$$



As  $|\nabla \operatorname{tr}_{\hat{g}} g| \leq \frac{1}{64} k_5(t) S^{1/2}$  and  $|\bar{\nabla} S| \leq 2S^{1/2} |\bar{\nabla} \Phi|$ ,

$$\frac{4|\operatorname{Re} \nabla \operatorname{tr}_{\hat{g}} g \cdot \bar{\nabla} S|}{(C_1(t) - \operatorname{tr}_{\hat{g}} g)^3} \leq \frac{k_5(t) S |\bar{\nabla} \Phi|}{C_1^3(t)} \leq \frac{|\bar{\nabla} \Phi|^2}{2C_1^2(t)} + \frac{k_5^2(t) S^2}{2C_1^4(t)}.$$

We will also use

$$\frac{4k_1(t) S^{3/2}}{C_1^2(t)} \leq \frac{S^2}{k_2(t) C_1^3(t)} + \frac{4k_1^2(t) k_2(t) S}{C_1(t)}.$$

Recall that all  $k_i(t), C_i(t)$  are functions of the form  $Le^{\lambda C e^{\alpha/t}}$ . First, choose  $C_i(t) > k_i(t)$ , then fix  $C_2(t), C_3(t)$ . Now take the constant  $L, \lambda$  in  $C_1(t)$  to be large enough, such that  $\frac{k_5^2(t)}{2C_1^4(t)} \leq \frac{1}{k_2(t) C_1^3(t)}$ ,  $\frac{4}{C_1^2(t)} \leq \frac{1}{k_3(t) C_3(t)}$  and  $\frac{16k_2(t)}{C_1^3(t)} + \frac{2}{\sqrt{C_1^3(t)}} + \frac{4k_1^2(t) k_2(t)}{C_1(t)} \leq \frac{1}{2k_2(t) C_2(t)}$ . The above estimates then give that at  $(t_0, z_0)$ ,

$$0 \leq \frac{-1}{2k_2(t) C_2(t)} S + C',$$

for some constant  $C'$ . Therefore  $S \leq 4C' k_2(t) C_2(t) \leq C' C_1(t)$  at  $(t_0, z_0)$ . It follows that  $H$  is bounded by some constant  $C$  depending only on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$ , which gives the desired estimate of  $S$ .  $\square$

Using (3.7), the above estimate  $S \leq C(t)$  implies that  $\|\varphi(t)\|_{C^{2+\alpha}(M, \hat{g})}$  can be bounded by a smooth function  $C(t)$  on  $(0, T']$ , which depends only on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$ . Differentiating the Equation (3.1) in  $t$ , we get

$$(3.23) \quad \frac{\partial \dot{\varphi}}{\partial t} = \Delta \dot{\varphi} + F'(\varphi, z) \dot{\varphi}.$$

To apply parabolic Schauder estimates to obtain higher-order estimates, we still need to bound the derivatives of  $g_{i\bar{j}}$  in the  $t$ -direction. Then it is sufficient to bound  $|\operatorname{Ric}(g)|$ .

**Lemma 3.4.** *There exists a smooth function  $C(t) > 0$  on  $(0, T']$  depending only on  $\sup |\varphi_0|$  and  $\sup |\dot{\varphi}_0|$  and blowing up as  $t \rightarrow 0$ , such that  $|\operatorname{Ric}| < C(t)$  for  $t \leq T'$ .*

*Proof.* To compute the evolution of  $|\text{Ric}|$ , first

$$\frac{\partial}{\partial t} R_{j\bar{k}} = -g^{l\bar{q}} \nabla_{\bar{k}} \nabla_j \frac{\partial}{\partial t} g_{l\bar{q}} = -g^{l\bar{q}} \nabla_{\bar{k}} \nabla_j (-R_{l\bar{q}} + \hat{R}_{l\bar{q}} + Fl_{\bar{q}}(\varphi, z)).$$

Using (2.1) and (2.2), we have

$$\begin{aligned} \nabla_{\bar{k}} \nabla_j R_{l\bar{q}} &= \nabla_l \nabla_{\bar{q}} R_{j\bar{k}} - \nabla_l T_{\bar{k}\bar{q}}^{\bar{s}} R_{j\bar{s}} + T_{\bar{k}\bar{q}}^{\bar{s}} \nabla_l R_{j\bar{s}} + R_{l\bar{k}j}{}^r R_{r\bar{q}} \\ &\quad - R_{l\bar{k}}{}^{\bar{s}} R_{j\bar{s}} + \nabla_{\bar{k}} T_{l\bar{j}}{}^r R_{r\bar{q}} + T_{l\bar{j}}{}^r \nabla_{\bar{k}} R_{r\bar{q}}. \end{aligned}$$

So

$$\begin{aligned} \left( \frac{\partial}{\partial t} - \Delta \right) R_{j\bar{k}} &= \nabla_{\bar{k}} T_{l\bar{j}}{}^r R_r{}^l + T_{l\bar{j}}{}^r \nabla_{\bar{k}} R_r{}^l + R_{l\bar{k}j}{}^r R_r{}^l \\ &\quad - R_{l\bar{k}}{}^{\bar{s}l} R_{j\bar{s}} + \nabla^{\bar{q}} T_{\bar{k}\bar{q}}^{\bar{s}} R_{j\bar{s}} + T_{\bar{k}\bar{q}}^{\bar{s}} \nabla^{\bar{q}} R_{j\bar{s}} \\ &\quad - g^{l\bar{q}} \nabla_{\bar{k}} \nabla_j (\hat{R}_{l\bar{q}} + Fl_{\bar{q}}(\varphi, z)). \end{aligned}$$

From (3.19) we get

$$|\nabla T| \leq k(t)(1 + S^{1/2}), \quad |\bar{\nabla} T| \leq k(t)(1 + S^{1/2}),$$

where  $S$  is bounded by some  $k(t)$  by Proposition 3.3. Note that (3.18) gives

$$(3.24) \quad R_{i\bar{j}} = \hat{R}_{i\bar{j}} + \nabla_{\bar{j}} \Phi_{ik}{}^k, \quad |\bar{\nabla} \Phi| \leq |\text{Rm}| + k(t).$$

Use this and similar calculation as (3.19) to get

$$|g^{l\bar{q}} \nabla_{\bar{k}} \nabla_j \hat{R}_{l\bar{q}}| \leq k(t)(|\text{Rm}| + 1).$$

Also we have

$$|g^{l\bar{q}} \nabla_{\bar{k}} \nabla_j Fl_{\bar{q}}(\varphi, z)| \leq k(t)(|\text{Rm}| + 1).$$

Therefore

$$\begin{aligned} \left| \left( \frac{\partial}{\partial t} - \Delta \right) R_{j\bar{k}} \right| &\leq k(t)(|\nabla \text{Ric}| + |\text{Rm}|^2 + |\text{Rm}| + 1) \\ &\leq k(t)(|\nabla \text{Ric}| + |\text{Rm}|^2 + 1). \end{aligned}$$

As  $|\frac{\partial}{\partial t}g_{i\bar{j}}| = | -R_{i\bar{j}} + \hat{R}_{i\bar{j}} + F_{i\bar{j}}(\varphi, z) | \leq |\text{Ric}| + k(t)$ , direct computation gives

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) |\text{Ric}|^2 &\leq k(t)(|\text{Ric}|^3 + |\text{Ric}|^2) \\ &\quad + 2\left|\left(\frac{\partial}{\partial t} - \Delta\right) \text{Ric}\right| |\text{Ric}| - 2|\nabla \text{Ric}|^2. \end{aligned}$$

We then obtain the following:

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) |\text{Ric}| &= \frac{1}{2|\text{Ric}|} \left(\frac{\partial}{\partial t} - \Delta\right) |\text{Ric}|^2 + 2|\nabla|\text{Ric}||^2 \\ &\leq k_1(t)(|\nabla \text{Ric}| + |\text{Rm}|^2 + 1) - \frac{|\nabla \text{Ric}|^2}{|\text{Ric}|} + \frac{|\nabla|\text{Ric}||^2}{|\text{Ric}|}. \end{aligned}$$

Let us consider

$$H = \frac{|\text{Ric}|}{C_1(t)} + \frac{S}{C_2(t)}$$

as in [18] where  $C_1(t), C_2(t)$  are the functions of the form  $Le^{\lambda C e^{\alpha/t}}$  as in the proof of Propostion 3.3, such that  $-\frac{C'_i(t)}{C_i^2(t)} \leq \frac{1}{\sqrt{C_i(t)}}$ ,  $i = 1, 2$ . Assume that  $H$  achieves maximum at a point  $(t_0, z_0)$ ,  $t_0 > 0$ , and assume  $|\text{Ric}| \geq 1$  at  $(t_0, z_0)$ . From (3.20) and Propositions 3.2 and 3.3 we have

$$\left(\frac{\partial}{\partial t} - \Delta\right) S \leq -\frac{1}{2}Q + k_2(t),$$

where  $Q = |\nabla\Phi|^2 + |\bar{\nabla}\Phi|^2$ . Take  $C_1(t) > k_1(t), C_2(t) \geq \max\{S, S^2, k_2(t)\}$ . Direct computation gives

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta\right) H &\leq \frac{k_1(t)(|\nabla \text{Ric}| + |\text{Rm}|^2)}{C_1(t)} - \frac{|\nabla \text{Ric}|^2}{C_1(t)|\text{Ric}|} + \frac{|\nabla|\text{Ric}||^2}{C_1(t)|\text{Ric}|} \\ &\quad + \frac{|\text{Ric}|}{\sqrt{C_1(t)}} + \left(-\frac{Q}{2C_2(t)} + \frac{k_2(t)}{C_2(t)}\right) + \frac{S}{\sqrt{C_2(t)}} \\ (3.25) \quad &\leq \frac{k_3(t)|\text{Rm}|^2}{C_1(t)} - \frac{|\nabla \text{Ric}|^2}{2C_1(t)|\text{Ric}|} + \frac{|\nabla|\text{Ric}||^2}{C_1(t)|\text{Ric}|} - \frac{Q}{2C_2(t)} + C, \end{aligned}$$

where the last inequality we use

$$\frac{k_1(t)|\nabla \text{Ric}|}{C_1(t)} \leq \frac{|\nabla \text{Ric}|^2}{2C_1(t)|\text{Ric}|} + \frac{k_1^2(t)|\text{Ric}|}{2C_1(t)}.$$

Using  $\nabla H = 0$  at  $(t_0, z_0)$  and  $|\nabla|\text{Ric}|| \leq |\nabla\text{Ric}|$ , we get

$$\begin{aligned} \frac{|\nabla|\text{Ric}||^2}{C_1(t)|\text{Ric}|} &= \frac{|\nabla S \cdot \bar{\nabla}|\text{Ric}||}{C_2(t)|\text{Ric}|} \\ &\leq \frac{|\nabla\text{Ric}|^2}{2C_1(t)|\text{Ric}|} + \frac{C_1(t)|\nabla S|^2}{2C_2^2(t)|\text{Ric}|} \\ &\leq \frac{|\nabla\text{Ric}|^2}{2C_1(t)|\text{Ric}|} + \frac{C_1(t)k_4(t)Q}{C_2^2(t)|\text{Ric}|}, \end{aligned}$$

where the last inequality we use  $|\nabla S|^2 \leq 2S(|\bar{\nabla}\Phi|^2 + |\nabla\Phi|^2)$ . From (3.18),

$$|\text{Rm}|^2 \leq \frac{3}{2}Q + k_5(t), \quad |\text{Ric}| \leq \sqrt{Q} + k_6(t).$$

Choose  $C_2(t) \geq 8k_4(t)$ . Fix  $C_2(t)$  and choose  $C_1(t) \geq \max\{k_3(t)k_5(t), k_6(t)\}$  large enough such that  $\frac{3k_3(t)}{2C_1(t)} \leq \frac{1}{4C_2(t)}$  and then fix  $C_1(t)$ . Combining the above estimates, we obtain that at  $(x_0, t_0)$ ,

$$0 \leq \left(\frac{\partial}{\partial t} - \Delta\right)H \leq -\frac{Q}{4C_2(t)} + \frac{C_1(t)Q}{8C_2(t)|\text{Ric}|} + C'$$

for some constant  $C'$ . If  $\frac{|\text{Ric}|}{C_1(t)} \leq 1$ , then  $H \leq 2$  at  $(t_0, z_0)$  and we obtain the estimate for  $|\text{Ric}|$ . Otherwise at  $(t_0, z_0)$

$$0 \leq -\frac{Q}{8C_2(t)} + C'.$$

Therefore  $Q \leq 8C'C_2(t) \leq 8C'C_1(t)$  at  $(t_0, z_0)$ . By our choice of  $C_1(t), C_2(t)$ ,  $H$  is bounded by some constant  $C$  depending only on  $\sup|\varphi_0|$  and  $\sup|\dot{\varphi}_0|$ , which gives the bound for  $|\text{Ric}|$ . □

The estimates we have obtained imply that the parabolic  $C^{\alpha, \alpha/2}$  norm of the coefficients in Equation (3.23) can be bounded. The parabolic Schauder estimates then give a  $C^{2+\alpha, 1+\alpha/2}$  bound for  $\dot{\varphi}$  in  $[\epsilon, T'] \times M$  for any  $\epsilon > 0$  with the bounds only depending on  $\epsilon, \sup|\varphi_0|$  and  $\sup|\dot{\varphi}_0|$ . Similarly we can obtain a  $C^{2+\alpha, 1+\alpha/2}$  bound for  $\varphi_k, \varphi_{\bar{k}}$  in  $[\epsilon, T'] \times M$ . Differentiating the flow again and repeatedly using Schauder estimates, we obtain all higher-order estimates for  $\varphi$ . Let  $\epsilon \rightarrow 0$ , we obtain the bounds in Proposition 3.1 which blow up as  $t \rightarrow 0$ . Particularly, there exists a smooth solution on  $[0, T]$  where  $T$  is the same as in Lemma 3.1 and depends only on  $\sup|\varphi_0|$  and  $F$ .

### 4. Proof of Theorem 1.1

Assume that  $\hat{\omega}$  satisfies the condition (1.3), then it follows from [13] that Kołodziej’s stability result (Corollary 4.4 in [11]) is also true. In particular if

$$(\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\phi_1)^n = (\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\phi_2)^n = f\hat{\omega}^n,$$

with  $f \geq 0 \in L^p(M, \hat{\omega})$ ,  $p > 1$  and  $\int_M f\hat{\omega}^n = \int_M \hat{\omega}^n$ , then  $\phi_1 - \phi_2 = \text{const}$ . Now suppose that  $\phi \in PSH(M, \hat{\omega}) \cap L^\infty(M)$  is a weak solution of the equation

$$(4.1) \quad (\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\phi)^n = e^{-F(\phi, z)}\hat{\omega}^n,$$

then  $f(z) = e^{-F(\phi(z), z)} \in L^p(M, \hat{\omega})$ ,  $p > 1$  as  $\phi$  is bounded for  $t \leq T$ . Also, the condition (1.3) gives that  $\int_M f\hat{\omega}^n = \int_M \hat{\omega}^n$ . Therefore, Theorem 5.2 in [3] shows that  $\phi$  is continuous. Approximate  $\phi$  with a sequence of smooth functions  $\phi_j$ , such that

$$(4.2) \quad \sup_M |\phi_j - \phi| \rightarrow 0, \text{ as } j \rightarrow \infty.$$

It follows from [21] there exist smooth functions  $\psi_j$ , such that

$$(4.3) \quad (\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\psi_j)^n = c_j e^{-F(\phi_j, z)}\hat{\omega}^n,$$

where  $c_j > 0$  are constants chosen to satisfy the integration equality of the above equation. From assumption (1.3) we have  $c_j \rightarrow 1$  as  $j \rightarrow \infty$ . Normalize  $\psi_j$  as in [11]

$$(4.4) \quad \sup(\psi_j - \phi) = \sup(\phi - \psi_j).$$

The stability result from [13] gives

$$(4.5) \quad \lim_{j \rightarrow \infty} \|\psi_j - \phi\|_{L^\infty} = 0.$$

Consider the equations

$$(4.6) \quad \frac{\partial\varphi_j}{\partial t} = \log \frac{(\hat{\omega} + \sqrt{-1}\partial\bar{\partial}\varphi_j)^n}{\hat{\omega}^n} + F(\varphi_j, z) - \log c_j.$$

Applying Proposition 3.1, there exist a sequence of smooth functions  $\varphi_j$  with  $\varphi_j(0) = \psi_j$  such that  $\varphi_j$  solves the equations on  $[0, T_j]$  where  $T_j$  depends only

on  $\sup |\psi_j|$  and  $\sup |\dot{\varphi}_j(0)|$ . Using (4.3) and (4.6),

$$(4.7) \quad \dot{\varphi}_j(0) = F(\psi_j, z) - F(\phi_j, z).$$

It follows from (4.2) and (4.5) that  $\sup |\psi_j|$  and  $\sup |\dot{\varphi}_j(0)|$  can be bounded by a constant depending only on  $\sup |\phi|$ . Therefore there exists a  $T > 0$  independent of  $j$  such that  $\varphi_j$  solve the Equation (4.6) on  $[0, T]$ . By Lemma 6 in [18],  $\{\varphi_j\}$  is a Cauchy sequence in  $C^0([0, T] \times M)$ . Let

$$\beta(t, z) = \lim_{j \rightarrow \infty} \varphi_j,$$

which is continuous on  $[0, T] \times M$ . For any  $\epsilon > 0$ , from the proof of Proposition 3.1, we have bounds on all derivatives of  $\varphi_j$  for  $t \in [\epsilon, T]$ . Then  $\beta \in C^\infty([\epsilon, T] \times M)$  and

$$\lim_{j \rightarrow \infty} \|\beta - \varphi_j\|_{C^k([\epsilon, T] \times M)} = 0.$$

Lemma 3.1 gives that  $|\dot{\varphi}_j(t)| \leq \sup |\dot{\varphi}_j(0)|e^{Ct}$ , for  $t \in [0, T]$ . From (4.7) we get

$$\dot{\varphi}_j(0) \rightarrow 0 \text{ as } j \rightarrow \infty.$$

Therefore for any  $t > 0$ ,

$$\dot{\beta}(t) = \lim_{j \rightarrow \infty} \dot{\varphi}_j(t) = 0.$$

As it is continuous on  $[0, T]$ , we have  $\beta(0) = \beta(t)$  for  $t \in (0, T]$  is smooth. But  $\beta(0) = \lim_{j \rightarrow \infty} \varphi_j(0) = \lim_{j \rightarrow \infty} \psi_j = \phi$ , thus we get the smoothness of  $\phi$ .

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