

Polar orbitopes

LEONARDO BILIOTTI, ALESSANDRO GHIGI AND PETER HEINZNER

We study *polar orbitopes*, i.e., convex hulls of orbits of a polar representation of a compact Lie group. They are given by representations of K on \mathfrak{p} , where K is a maximal compact subgroup of a real semisimple Lie group G with Lie algebra $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. The face structure is studied by means of the gradient momentum map and it is shown that every face is exposed and is again a polar orbitope. Up to conjugation the faces are completely determined by the momentum polytope. There is a tight relation with parabolic subgroups: the set of extreme points of a face is the closed orbit of a parabolic subgroup of G and for any parabolic subgroup the closed orbit is of this form.

1	Introduction	580
2	Preliminaries	582
2.1	Convex geometry	582
2.2	Compatible subgroups	584
2.3	Parabolic subgroups	585
2.4	Gradient momentum map	587
2.5	Coadjoint orbits	589
3	Face structure	590
3.1	Faces as orbitopes	590
3.2	All faces are exposed	594
3.3	Faces and parabolic subgroups	598

3.4 Proof of Theorem 1.1	600
4 Final remarks	602
Acknowledgments	603
References	604

1. Introduction

If K is a compact group and $K \rightarrow \mathrm{Gl}(V)$ is a real representation, the convex hull of a K -orbit is called an *orbitope* [22]. If V is provided with a K -invariant scalar product, the representation is said to be *polar* if there is a linear subspace $S \subset V$ that intersects perpendicularly all the orbits of K . An important class of examples is given by the adjoint representations of compact Lie groups. In [2] we studied the orbitopes of these actions. They are equivariantly isomorphic to Satake–Furstenberg compactifications of symmetric spaces of type $K^{\mathbb{C}}/K$. One homeomorphism has been described in algebraic terms in [17]. Another homeomorphism has been constructed in [1] (in the case of an integral orbit) using integration of the momentum map on a flag manifold. This geometric construction was developed by Bourguignon, Li and Yau in the case of \mathbb{P}^n .

In the present paper we study the orbitopes of a polar representation of a compact group. Let G be a real connected semisimple Lie group and let $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ be a Cartan decomposition of its Lie algebra. Let K be a maximal compact subgroup with Lie algebra \mathfrak{k} . Then the adjoint action of K preserves \mathfrak{p} and its restriction to \mathfrak{p} is a polar representation. By a theorem of Dadok [5, Prop. 6] if V is any polar representation of a group K_1 , there is a semisimple Lie group G such that V can be identified with \mathfrak{p} so that the orbits of K_1 coincide with the orbits of $\mathrm{Ad} K$ on \mathfrak{p} . Therefore to understand the orbitopes of polar representations it is sufficient to study the K -orbitopes on \mathfrak{p} .

The study of these orbitopes is also needed in order to generalize the results in [1] to general symmetric spaces and this is one of the motivations for our work.

Our set up is the following. Let U be compact Lie group and let $U^{\mathbb{C}}$ be its complexification. A closed subgroup $G \subset U^{\mathbb{C}}$ is called *compatible* if $G = K \cdot \exp \mathfrak{p}$ where $K := G \cap U$ and $\mathfrak{p} := \mathfrak{g} \cap i\mathfrak{u}$. It follows that K is a maximal compact subgroup of G and that $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. K acts on \mathfrak{g} by the adjoint action

and \mathfrak{p} is invariant. Therefore we get an action of K on \mathfrak{p} . The objects that we wish to study are the orbits of this action and their convex hulls. It is easy to see that one can reduce to the case in which U and G are semisimple (see Section 3.2). If $\mathcal{O} \subset \mathfrak{p}$ is a K -orbit, we denote by $\widehat{\mathcal{O}}$ its convex hull. We will assume throughout the paper that G is connected. It is a fundamental fact that the action of K on \mathcal{O} extends to an action of G , see, e.g., [12, Prop. 6]. If $\mathfrak{a} \subset \mathfrak{p}$ is a maximal subalgebra, then by Kostant convexity theorem [18], the orthogonal projection of \mathcal{O} onto \mathfrak{a} is a convex polytope P given by the convex hull of a Weyl group orbit. In particular the Weyl group acts on the set $\mathcal{F}(P)$ of faces of P and similarly K acts on the set $\mathcal{F}(\widehat{\mathcal{O}})$ of faces of $\widehat{\mathcal{O}}$.

Our main result is the following.

Theorem 1.1. *Let $P \subset \mathfrak{a}$ be the momentum polytope associated to \mathcal{O} . If σ is a face of P and K^{σ^\perp} is the centralizer of the normal space $\sigma^\perp \subset \mathfrak{a}$, then $K^{\sigma^\perp} \cdot \sigma$ is a face of $\widehat{\mathcal{O}}$. Moreover the map $\sigma \mapsto K^{\sigma^\perp} \cdot \sigma$ induces a bijection between $\mathcal{F}(P)/W$ and $\mathcal{F}(\widehat{\mathcal{O}})/K$.*

The correspondence between $\mathcal{F}(\widehat{\mathcal{O}})/K$ and $\mathcal{F}(P)/W$ holds for a general polar representation, see Remark 3.1 at p. 602. Applied to the case $G = U^\mathbb{C}$ this theorem gives the results proven in [2]. The setting of the present paper is more general than the one considered there. The pairs (G, K) with G compatible contain all Riemannian symmetric pairs of non-compact type, while the pairs $(U^\mathbb{C}, U)$ correspond to symmetric pairs of type IV [13, p. 516]. The particular cases $U = \mathrm{SU}(n)$, $G = \mathrm{SL}(n, \mathbb{R})$ and $U = \mathrm{SO}(n)$, $G = \mathrm{SO}(n, \mathbb{C})$ have been considered in [22]. The case where \mathcal{O} can be realized as the Shilov boundary of a Hermitian symmetric domain has been studied in [4, Prop. 2.1].

We outline the main steps of the proof.

Among the faces of a convex set are the exposed faces (see Section 2.1). In the case of $\widehat{\mathcal{O}}$ the study of these faces is equivalent to the understanding of the height functions on \mathcal{O} (Section 3.1). This is a classical subject, going back to the paper [6] by Duistermaat et al. and to Heckman's thesis [8]. The results are very efficiently described in the language of the *gradient momentum map* (which is recalled in Section 2.4). The set of extreme points $\mathrm{ext} F$ of an exposed face F is connected and is an orbit of a centralizer $K^\beta \subset K$, where β is an element of \mathfrak{p} (Proposition 3.1). In general the group K^β is not connected. An inductive argument shows that any face $F \subset \widehat{\mathcal{O}}$ (not necessarily exposed) is an orbitope of the centralizer $K^\mathfrak{s}$ of some subalgebra $\mathfrak{s} \subset \mathfrak{p}$ (Proposition 3.4). If $\mathfrak{a} \subset \mathfrak{p}$ is a maximal subalgebra containing \mathfrak{s} , we show that $F \cap \mathfrak{a}$ is a face of the momentum polytope and that $F \cap \mathfrak{a}$ determines

F (Proposition 3.6). Here we use in an essential way the Kostant convexity theorem.

An important conclusion is that all faces of $\widehat{\mathcal{O}}$ are exposed (Theorem 3.2). This answers Question 1 of [22] for polar orbitopes. Next recall that the K -action on \mathcal{O} extends to an action of the group G (see Section 2.5 below). We analyze the influence of the G -action on the geometry of the extreme points of the faces (Section 3.3). It turns out that there is a strong link between the parabolic subgroups of G and the faces of $\widehat{\mathcal{O}}$. In 3.3 we show the following.

Theorem 1.2. *The set $\{\text{ext } F : F \text{ a non-empty face of } \widehat{\mathcal{O}}\}$ coincides with the set of all closed orbits of parabolic subgroups of G .*

Using these results we finally set up the correspondence between the faces of $\widehat{\mathcal{O}}$ and the faces of P and prove Theorem 1.1 (Section 3.4).

In the final section we briefly explain how the boundary of $\widehat{\mathcal{O}}$ is stratified by face type and how the Satake combinatorics can be used to describe the faces of the orbitope in terms of root data.

2. Preliminaries

2.1. Convex geometry

It is useful to recall a few definitions and results regarding convex sets (see, e.g., [24] and [2, Section 1]). Let V be a real vector space with a scalar product $\langle \cdot, \cdot \rangle$ and let $E \subset V$ be a compact convex subset. The *relative interior* of E , denoted $\text{relint } E$, is the interior of E in its affine hull. A face F of E is a convex subset $F \subset E$ with the following property: if $x, y \in E$ and $\text{relint}[x, y] \cap F \neq \emptyset$, then $[x, y] \subset F$. The *extreme points* of E are the points $x \in E$ such that $\{x\}$ is a face. Since E is compact the faces are closed [24, p. 62]. A face distinct from E and \emptyset will be called a *proper face*. The *support function* of E is the function $h_E : V \rightarrow \mathbb{R}$, $h_E(u) = \max_{x \in E} \langle x, u \rangle$. If $u \neq 0$, the hyperplane $H(E, u) := \{x \in E : \langle x, u \rangle = h_E(u)\}$ is called the *supporting hyperplane* of E for u . The set

$$(2.1) \quad F_u(E) := E \cap H(E, u)$$

is a face and it is called the *exposed face* of E defined by u . In general not all faces of a convex subset are exposed. A simple example is given by the convex hull of a closed disc and a point outside the disc: the resulting convex set is the union of the disc and a triangle. The two vertices of the triangle that lie on the boundary of the disc are non-exposed 0-faces.

Lemma 2.1 [2, Lemma 3]. *If F is a face of a convex set E , then $\text{ext } F = F \cap \text{ext } E$.*

Lemma 2.2. *If G is a compact group and V is a representation space of G define*

$$\rho : V \rightarrow V^G \quad \rho(v) := \int_G gx \, dg$$

where dg denotes the Haar measure on G . Then $V = V^G \oplus \ker \rho$. If $x \in V$ and $x = x_0 + x_1$ in this decomposition, then

- (a) $G \cdot x = x_0 + G \cdot x_1$;
- (b) $\text{conv}(G \cdot x) = x_0 + \text{conv}(G \cdot x_1)$;
- (c) x_0 is the unique fixed point of G contained in $\text{conv}(G \cdot x)$;
- (d) $x_0 \in \text{relint conv}(G \cdot x)$.

Proof. That $V = V^G \oplus \ker \rho$ follows from the fact that $\text{Im } \rho = V^G$ and $\rho^2 = \rho$. (a) and (b) are immediate. Since $x_0 = \rho(x)$, it follows from the definition of ρ that $x_0 \in \text{conv}(G \cdot x)$. If $y \in \text{conv}(G \cdot x)$ is another fixed point, then $y_0 = x_0$ and $y_1 \in \ker \rho \cap V^G$. Hence $y_1 = 0$ and $y = x_0$. This proves (c). By Theorem 2.1 there is a unique face $F \subset \text{conv}(G \cdot x)$ such that $x_0 \in \text{relint } F$. Since $\text{conv}(G \cdot x)$ is G -invariant and x_0 is fixed by G , also F is G -invariant, and hence also $\text{ext } F$. Since $\text{ext } F \subset \text{ext}(\text{conv}(G \cdot x)) = G \cdot x$, it follows that $\text{ext } F = G \cdot x$ and hence that $F = \text{conv}(G \cdot x)$. □

Lemma 2.3 [2, Prop. 5]. *If $F \subset E$ is an exposed face, the set $C_F := \{u \in V : F = F_u(E)\}$ is a convex cone. If G is a compact subgroup of $O(V)$ that preserves both E and F , then C_F contains a fixed point of G .*

Theorem 2.1 [24, p. 62]. *If E is a compact convex set and F_1, F_2 are distinct faces of E , then $\text{relint } F_1 \cap \text{relint } F_2 = \emptyset$. If G is a non-empty convex subset of E which is open in its affine hull, then $G \subset \text{relint } F$ for some face F of E . Therefore E is the disjoint union of the relative interiors of its faces.*

Lemma 2.4 [2, Lemma 7]. *If E is a compact convex set and $F \subsetneq E$ is a face, then $\dim F < \dim E$.*

Lemma 2.5 [2, Lemma 8]. *If E is a compact convex set and $F \subset E$ is a face, then there is a chain of faces $F_0 = F \subsetneq F_1 \subsetneq \dots \subsetneq F_k = E$ which is*

maximal, in the sense that for any i there is no face of E strictly contained between F_{i-1} and F_i .

Lemma 2.6 [2, Lemma 9]. *If E is a convex subset of \mathbb{R}^n , $M \subset \mathbb{R}^n$ is an affine subspace and $F \subset E$ is a face, then $F \cap M$ is a face of $E \cap M$.*

2.2. Compatible subgroups

(See [10, 11].) If G is a Lie group with Lie algebra \mathfrak{g} and $E, F \subset \mathfrak{g}$, we set

$$E^F := \{\eta \in E : [\eta, \xi] = 0, \forall \xi \in F\},$$

$$G^F = \{g \in G : \text{Ad } g(\xi) = \xi, \forall \xi \in F\}.$$

If $F = \{\beta\}$ we write simply E^β and G^β . Let U be compact Lie group. Let $U^\mathbb{C}$ be its universal complexification which is a linear reductive complex algebraic group. We denote by θ both the conjugation map $\theta : \mathfrak{u}^\mathbb{C} \rightarrow \mathfrak{u}^\mathbb{C}$ and the corresponding group isomorphism $\theta : U^\mathbb{C} \rightarrow U^\mathbb{C}$. Let $f : U \times i\mathfrak{u} \rightarrow U^\mathbb{C}$ be the diffeomorphism $f(g, \xi) = g \exp \xi$. Let $G \subset U^\mathbb{C}$ be a closed subgroup. Set $K := G \cap U$ and $\mathfrak{p} := \mathfrak{g} \cap i\mathfrak{u}$. We say that G is *compatible* if $f(K \times \mathfrak{p}) = G$. The restriction of f to $K \times \mathfrak{p}$ is then a diffeomorphism onto G . It follows that K is a maximal compact subgroup of G and that $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Note that G has finitely many connected components. Since U can be embedded in $\text{Gl}(N, \mathbb{C})$ for some N , and any such embedding induces a closed embedding of $U^\mathbb{C}$, any compatible subgroup is a closed linear group. Moreover \mathfrak{g} is a real reductive Lie algebra, hence $\mathfrak{g} = \mathfrak{z}(\mathfrak{g}) \oplus [\mathfrak{g}, \mathfrak{g}]$. Denote by G_{ss} the analytic subgroup tangent to $[\mathfrak{g}, \mathfrak{g}]$. Then G_{ss} is closed and $G = Z(G)^0 \cdot G_{ss}$ [16, p. 442].

Lemma 2.7. (a) *If $G \subset U^\mathbb{C}$ is a compatible subgroup, and $H \subset G$ is closed and θ -invariant, then H is compatible if and only if H has only finitely many connected components.*

(b) *If $G \subset U^\mathbb{C}$ is a connected compatible subgroup, then G_{ss} is compatible.*

(c) *If $G \subset U^\mathbb{C}$ is a compatible subgroup, and $E \subset \mathfrak{p}$ is any subset, then G^E is compatible.*

Proof. This follows from the more general observation that a closed θ -invariant subgroup $G \subset U^\mathbb{C}$ is compatible if and only if it has finitely many connected components. This is proven in Lemma 1.1.3 in [19, p.14]. For the reader's convenience we recall the argument. If G is compatible, then it

retracts onto K , which is compact and therefore has finitely many connected components. Conversely assume that G/G^0 be finite. Since G is closed, $f(K \times \mathfrak{p})$ is a closed subset of G . Since G is θ -invariant, $f(K \times \mathfrak{p})$ has the same dimension as G and is therefore also open. Therefore it contains G^0 and is a union of connected components of G . Given $g \in G$ write $g = u \exp \xi$ with $u \in U$ and $\xi \in i\mathfrak{u}$. Then $g\theta(g^{-1}) = \exp(2 \operatorname{Ad}(u)\xi)$ and since G/G^0 is finite there is a natural number $N > 0$ such that $(g\theta(g^{-1}))^N = \exp(2N \operatorname{Ad}(u)\xi) \in G^0$. Hence $\operatorname{Ad}(u)\xi \in \mathfrak{p}$, $u = \exp(-\operatorname{Ad}(u)\xi)g \in G \cap U = K$ and $\xi \in \mathfrak{p}$. (b). Since $[\mathfrak{g}, \mathfrak{g}]$ is θ -invariant and G_{ss} is connected, G_{ss} is θ -invariant. Since it is also closed, it is compatible by (a). (c) see [16, Proposition 7.25 p. 452]. \square

Let $\langle \cdot, \cdot \rangle$ be a fixed U -invariant scalar product on \mathfrak{u} . We use it to identify $\mathfrak{u} \cong \mathfrak{u}^*$. We also denote by $\langle \cdot, \cdot \rangle$ the scalar product on $i\mathfrak{u}$ such that multiplication by i be an isometry of \mathfrak{u} onto $i\mathfrak{u}$. One can define an \mathbb{R} -bilinear form B on $\mathfrak{u}^{\mathbb{C}}$ by imposing $B(\mathfrak{u}, i\mathfrak{u}) = 0$, $B = -\langle \cdot, \cdot \rangle$ on \mathfrak{u} and $B = \langle \cdot, \cdot \rangle$ on $i\mathfrak{u}$. Then B is $\operatorname{Ad} U^{\mathbb{C}}$ -invariant and non-degenerate.

2.3. Parabolic subgroups

(See, e.g., [3, p. 28ff], [16].) If $G \subset U^{\mathbb{C}}$ is compatible, $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ is reductive. A subalgebra $\mathfrak{q} \subset \mathfrak{g}$ is *parabolic* if $\mathfrak{q}^{\mathbb{C}}$ is a parabolic subalgebra of $\mathfrak{g}^{\mathbb{C}}$. One way to describe the parabolic subalgebras of \mathfrak{g} is by means of restricted roots. If $\mathfrak{a} \subset \mathfrak{p}$ is a maximal subalgebra, let $\Delta(\mathfrak{g}, \mathfrak{a})$ be the (restricted) roots of \mathfrak{g} with respect to \mathfrak{a} , let \mathfrak{g}_{λ} denote the root space corresponding to λ and let $\mathfrak{g}_0 = \mathfrak{m} \oplus \mathfrak{a}$, where $\mathfrak{m} = \mathfrak{z}_{\mathfrak{k}}(\mathfrak{a})$. Let $\Pi \subset \Delta(\mathfrak{g}, \mathfrak{a})$ be a base and let Δ_+ be the set of positive roots. If $I \subset \Pi$ set $\Delta_I := \operatorname{span}(I) \cap \Delta$. Then

$$(2.2) \quad \mathfrak{q}_I := \mathfrak{g}_0 \oplus \bigoplus_{\lambda \in \Delta_I \cup \Delta_+} \mathfrak{g}_{\lambda}$$

is a parabolic subalgebra. Conversely, if $\mathfrak{q} \subset \mathfrak{g}$ is a parabolic subalgebra, then there are a maximal subalgebra $\mathfrak{a} \subset \mathfrak{p}$ contained in \mathfrak{q} , a base $\Pi \subset \Delta(\mathfrak{g}, \mathfrak{a})$ and a subset $I \subset \Pi$ such that $\mathfrak{q} = \mathfrak{q}_I$. We can further introduce

$$(2.3) \quad \begin{aligned} \mathfrak{a}_I &:= \bigcap_{\lambda \in I} \ker \lambda & \mathfrak{a}^I &:= \mathfrak{a}_I^{\perp}, \\ \mathfrak{n}_I &= \bigoplus_{\lambda \in \Delta_+ - \Delta_I} \mathfrak{g}_{\lambda} & \mathfrak{m}_I &:= \mathfrak{m} \oplus \mathfrak{a}^I \oplus \bigoplus_{\lambda \in \Delta_I} \mathfrak{g}_{\lambda}. \end{aligned}$$

Then $\mathfrak{q}_I = \mathfrak{m}_I \oplus \mathfrak{a}_I \oplus \mathfrak{n}_I$. Since $\theta \mathfrak{g}_{\lambda} = \mathfrak{g}_{-\lambda}$, it follows that $\mathfrak{q}_I \cap \theta \mathfrak{q}_I = \mathfrak{a}_I \oplus \mathfrak{m}_I$. This latter Lie algebra coincides with the centralizer of \mathfrak{a}_I in \mathfrak{g} . It is a *Levi*

factor of \mathfrak{q}_I and

$$(2.4) \quad \mathfrak{a}_I = \mathfrak{z}(\mathfrak{q}_I \cap \theta\mathfrak{q}_I) \cap \mathfrak{p}.$$

Another way to describe parabolic subalgebras of \mathfrak{g} is the following. If $\beta \in \mathfrak{p}$, the endomorphism $\text{ad}\beta \in \text{End } \mathfrak{g}$ is diagonalizable over \mathbb{R} . Denote by $V_\lambda(\text{ad}\beta)$ the eigenspace of $\text{ad}\beta$ corresponding to the eigenvalue λ . Set

$$\mathfrak{g}^{\beta+} := \bigoplus_{\lambda \geq 0} V_\lambda(\text{ad}\beta).$$

Lemma 2.8. *For any β in \mathfrak{p} , $\mathfrak{g}^{\beta+}$ is a parabolic subalgebra of \mathfrak{g} . If $\mathfrak{q} \subset \mathfrak{g}$ is a parabolic subalgebra, there is some vector $\beta \in \mathfrak{p}$ such that $\mathfrak{q} = \mathfrak{g}^{\beta+}$. The set of all such vectors is an open convex cone in $\mathfrak{z}(\mathfrak{q} \cap \theta\mathfrak{q}) \cap \mathfrak{p}$.*

Proof. Given β choose a maximal subalgebra \mathfrak{a} containing β and a base $\Pi \subset \Delta(\mathfrak{g}, \mathfrak{a})$ such that β lies in the closure of the positive Weyl chamber. Then $\mathfrak{g}^{\beta+} = \mathfrak{q}_I$ with $I := \{\lambda \in \Pi : \lambda(\beta) = 0\}$. This proves the first assertion. To prove the second fix a parabolic subalgebra \mathfrak{q} and set $\Omega := \{\beta \in \mathfrak{p} : \mathfrak{g}^{\beta+} = \mathfrak{q}\}$. Let \mathfrak{a} be any maximal subalgebra of \mathfrak{p} contained in \mathfrak{q} . Then $\mathfrak{q} = \mathfrak{q}_I$ for some $I \subset \Pi$ and

$$(2.5) \quad \Omega \cap \mathfrak{a} = \{\beta \in \mathfrak{a}_I : \lambda(\beta) > 0 \text{ for } \lambda \in \Pi - I\}.$$

Thus $\Omega \cap \mathfrak{a}$ is a non-empty open convex cone in \mathfrak{a}_I . Therefore $\Omega \neq \emptyset$, which proves the second assertion. By (2.4) $\mathfrak{a}_I = \mathfrak{z}(\mathfrak{q} \cap \theta\mathfrak{q}) \cap \mathfrak{p}$, so $\Omega \cap \mathfrak{a}$ is an open convex cone in $\mathfrak{z}(\mathfrak{q} \cap \theta\mathfrak{q}) \cap \mathfrak{p}$. Moreover for any $\beta \in \Omega$, $\mathfrak{a} \subset \mathfrak{q} \cap \theta(\mathfrak{q}) = \mathfrak{g}^\beta$. Thus $[\beta, \mathfrak{a}] = 0$, hence $\beta \in \mathfrak{a}$. So $\Omega \subset \mathfrak{a}$, i.e., $\Omega = \Omega \cap \mathfrak{a}$. \square

A *parabolic subgroup* of G is a subgroup of the form $Q = N_G(\mathfrak{q})$ where \mathfrak{q} is a parabolic subalgebra of \mathfrak{g} . Equivalently, a parabolic subgroup of G is a subgroup of the form $P \cap G$, where P is parabolic subgroup of $G^{\mathbb{C}}$ and \mathfrak{p} is the complexification of a subspace $\mathfrak{q} \subset \mathfrak{g}$. If $\beta \in \mathfrak{p}$ set

$$\begin{aligned} G^{\beta+} &:= \left\{ g \in G : \lim_{t \rightarrow -\infty} \exp(t\beta)g \exp(-t\beta) \text{ exists} \right\} \\ R^{\beta+} &:= \left\{ g \in G : \lim_{t \rightarrow -\infty} \exp(t\beta)g \exp(-t\beta) = e \right\} \\ \mathfrak{r}^{\beta+} &:= \bigoplus_{\lambda > 0} V_\lambda(\text{ad}\beta). \end{aligned}$$

Note that $\mathfrak{g}^{\beta+} = \mathfrak{g}^\beta \oplus \mathfrak{r}^{\beta+}$.

Lemma 2.9. $G^{\beta+}$ is a parabolic subgroup of G with Lie algebra $\mathfrak{g}^{\beta+}$. Every parabolic subgroup of G equals $G^{\beta+}$ for some $\beta \in \mathfrak{p}$. $R^{\beta+}$ is the unipotent radical of $G^{\beta+}$ and G^β is a Levi factor.

Proof. It is easy to check that $G^{\beta+}$ is a subgroup and that $G^{\beta+} = (G^{\mathbb{C}})^{\beta+} \cap G$. Therefore it is enough to prove that $(G^{\mathbb{C}})^{\beta+}$ is parabolic. In other words we can assume that G is a complex reductive group. If $X \in \mathfrak{g}$, then

$$\exp(t\beta) \exp X \exp(-t\beta) = \exp(\text{Ad}(\exp(t\beta)) \cdot X) = \exp(e^{t\text{ad}\beta} \cdot X)$$

where $e^{t\text{ad}\beta}$ denotes the exponential in $\text{End}(\mathfrak{g})$. Let $\Omega \subset \mathfrak{g}$ be a neighborhood of 0 such that \exp is a diffeomorphism on Ω . If $X \in \Omega$, then $\exp X \in R^{\beta+}$ if and only if $\lim_{t \rightarrow -\infty} e^{t\text{ad}\beta} \cdot X = 0$ if and only if $X \in \mathfrak{r}^{\beta+}$. This shows that $R^{\beta+}$ is locally closed, hence closed [13, Prop. 2.11 p. 119]. Next observe that if $g \in G^{\beta+}$, and

$$a := \lim_{t \rightarrow -\infty} \exp(t\beta)g \exp(-t\beta),$$

then $a \in G^\beta \subset G^{\beta+}$ and $a^{-1}g \in R^{\beta+}$. Therefore $G^{\beta+}$ is the product of the two closed subgroups G^β and $R^{\beta+}$ and $G^\beta \cap R^{\beta+} = \{e\}$. It follows that $G^{\beta+}$ is a Lie subgroup of G tangent to $\mathfrak{g}^{\beta+}$. Since we are now assuming that G is complex, then it is well-known that $G^{\beta+}$ is closed and parabolic since its Lie algebra is parabolic. \square

2.4. Gradient momentum map

Let (Z, ω) be a Kähler manifold. Assume that $U^{\mathbb{C}}$ acts holomorphically on Z , that U preserves ω and that there is a momentum map $\mu : Z \rightarrow \mathfrak{u}$. If $\xi \in \mathfrak{u}$ we denote by ξ_Z the induced vector field on Z and we let $\mu^\xi \in C^\infty(Z)$ be the function $\mu^\xi(z) := \langle \mu(z), \xi \rangle$. That μ is the momentum map means that it is U -equivariant and that $d\mu^\xi = i_{\xi_Z}\omega$.

Let $G \subset U^{\mathbb{C}}$ be compatible. If $z \in Z$, let $\mu_{\mathfrak{p}}(z) \in \mathfrak{p}$ denote $-i$ times the component of $\mu(z)$ in the direction of $i\mathfrak{p}$. In other words we require that $\langle \mu_{\mathfrak{p}}(z), \beta \rangle = -\langle \mu(z), i\beta \rangle$ for any $\beta \in \mathfrak{p}$. (Recall that multiplication by i is an isometry of \mathfrak{u} onto $i\mathfrak{u}$.) We have thus defined the *gradient momentum map*

$$\mu_{\mathfrak{p}} : Z \rightarrow \mathfrak{p}.$$

Let $\mu_{\mathfrak{p}}^\beta \in C^\infty(Z)$ be the function $\mu_{\mathfrak{p}}^\beta(z) = \langle \mu_{\mathfrak{p}}(z), \beta \rangle = \mu^{-i\beta}(z)$. Let (\cdot, \cdot) be the Kähler metric associated to ω , i.e., $(v, w) = \omega(v, Jw)$. Then β_Z is the gradient of $\mu_{\mathfrak{p}}^\beta$. If $X \subset Z$ is a locally closed G -invariant submanifold, then

β_X is the gradient of $\mu_{\mathfrak{p}}^\beta|_X$ with respect to the induced Riemannian structure on X .

Theorem 2.2 (Slice Theorem [10, Thm. 3.1]). *If $x \in X$ and $\mu_{\mathfrak{p}}(x) = 0$, there are a G_x -invariant decomposition $T_x X = \mathfrak{g} \cdot x \oplus W$, open G_x -invariant subsets $S \subset W$, $\Omega \subset X$ and a G -equivariant diffeomorphism $\Psi : G \times^{G_x} S \rightarrow \Omega$, such that $0 \in S, x \in \Omega$ and $\Psi([e, 0]) = x$.*

Here $G \times^{G_x} S$ denotes the associated bundle with principal bundle $G \rightarrow G/G_x$.

Corollary 2.1. *If $x \in X$ and $\mu_{\mathfrak{p}}(x) = \beta$, there are a G^β -invariant decomposition $T_x X = \mathfrak{g}^\beta \cdot x \oplus W$, open G^β -invariant subsets $S \subset W$, $\Omega \subset X$ and a G^β -equivariant diffeomorphism $\Psi : G^\beta \times^{G_x} S \rightarrow \Omega$, such that $0 \in S, x \in \Omega$ and $\Psi([e, 0]) = x$.*

This follows applying the previous theorem to the action of G^β with the momentum map $\widehat{\mu_{\mathfrak{u}^\beta}} := \mu_{\mathfrak{u}^\beta} - i\beta$, where $\mu_{\mathfrak{u}^\beta}$ denotes the projection of μ onto \mathfrak{u}^β . See [10, p. 169] for more details.

If $\beta \in \mathfrak{p}$, then β_X is a vector field on X , i.e., a section of TX . For $x \in X$, the differential is a map $T_x X \rightarrow T_{\beta_X(x)}(TX)$. If $\beta_X(x) = 0$, there is a canonical splitting $T_{\beta_X(x)}(TX) = T_x X \oplus T_x X$. Accordingly $d\beta_X(x)$ splits into a horizontal and a vertical part. The horizontal part is the identity map. We denote the vertical part by $d\beta_X(x)$. It belongs to $\text{End}(T_x X)$. Let $\{\varphi_t = \exp(t\beta)\}$ be the flow of β_X . There is a corresponding flow on TX . Since $\varphi_t(x) = x$, the flow on TX preserves $T_x X$ and there it is given by $d\varphi_t(x) \in \text{Gl}(T_x X)$. Thus we get a linear \mathbb{R} -action on $T_x X$ with infinitesimal generator $d\beta_X(x)$.

Corollary 2.2. *If $\beta \in \mathfrak{p}$ and $x \in X$ is a critical point of $\mu_{\mathfrak{p}}^\beta$, then there are open invariant neighborhoods $S \subset T_x X$ and $\Omega \subset X$ and an \mathbb{R} -equivariant diffeomorphism $\Psi : S \rightarrow \Omega$, such that $0 \in S, x \in \Omega$, $\Psi(0) = x$. (Here $t \in \mathbb{R}$ acts as $d\varphi_t(x)$ on S and as φ_t on Ω .)*

Proof. The subgroup $H := \exp(\mathbb{R}\beta)$ is compatible. It is enough to apply the previous corollary to the H -action at x . □

Assume now that $\beta \in \mathfrak{p}$ and that $x \in \text{Crit}(\mu_{\mathfrak{p}}^\beta)$. Let $D^2\mu_{\mathfrak{p}}^\beta(x)$ denote the Hessian, which is a symmetric operator on $T_x X$ such that

$$(D^2\mu_{\mathfrak{p}}^\beta(x)v, v) = \frac{d^2}{dt^2}(\mu_{\mathfrak{p}}^\beta \circ \gamma)(0)$$

where γ is a smooth curve, $\gamma(0) = x$ and $\dot{\gamma}(0) = v$. Denote by V_- (respectively V_+) the sum of the eigenspaces of the Hessian of $\mu_{\mathfrak{p}}^\beta$ corresponding to negative (resp. positive) eigenvalues. Denote by V_0 the kernel. Since the Hessian is symmetric we get an orthogonal decomposition

$$(2.6) \quad T_x X = V_- \oplus V_0 \oplus V_+.$$

Let $\alpha : G \rightarrow X$ be the orbit map: $\alpha(g) := gx$. The differential $d\alpha_e$ is the map $\xi \mapsto \xi_X(x)$.

Proposition 2.1. *If $\beta \in \mathfrak{p}$ and $x \in \text{Crit}(\mu_{\mathfrak{p}}^\beta)$ then*

$$D^2\mu_{\mathfrak{p}}^\beta(x) = d\beta_X(x).$$

Moreover, $d\alpha_e(\mathfrak{v}^{\beta\pm}) \subset V_\pm$ and $d\alpha_e(\mathfrak{g}^\beta) \subset V_0$. If X is G -homogeneous these are equalities.

Proof. The first statement is proved in [10, Prop. 2.5]. Denote by $\rho : G_x \rightarrow T_x X$ the isotropy representation: $\rho(g) = dg_x$. Observe that α is G_x -equivariant where G_x acts on G by conjugation, hence $d\alpha_e$ is G_x -equivariant, where G_x acts on \mathfrak{g} by the adjoint representation and on $T_x X$ by the isotropy representation. Since $\beta_X(x) = 0$, $\exp(t\beta) \in G_x$ for any t and $d\alpha_e$ is \mathbb{R} -equivariant. Therefore it interchanges the infinitesimal generators of the \mathbb{R} -actions, i.e., $d\alpha_e \circ \text{ad}\beta = d\beta_X = D^2\mu_{\mathfrak{p}}^\beta(x)$. The required inclusions follow. If G acts transitively on X we must have $T_x X = d\alpha_e(\mathfrak{g})$. Hence the three inclusions must be equalities. □

Corollary 2.3. *For every $\beta \in \mathfrak{p}$, $\mu_{\mathfrak{p}}^\beta$ is a Morse–Bott function.*

Proof. Let $X^\beta := \{x \in X : \beta_X(x) = 0\}$. Corollary 2.2 implies that X^β is a smooth submanifold. Since $T_x X^\beta = V_0$ for $x \in X^\beta$, the first statement of Proposition 2.1 shows that the Hessian is non-degenerate in the normal directions. □

2.5. Coadjoint orbits

Let U be a compact connected semisimple Lie group. Fix a scalar product $\langle \cdot, \cdot \rangle$ on \mathfrak{u} and identify $\mathfrak{u}^* \cong \mathfrak{u}$. Let $z \in \mathfrak{u}$ and let $Z := U \cdot z$ (adjoint action). Z is a (co)adjoint orbit, hence it is provided with the Kostant–Kirillov–Souriau

symplectic form which is defined by

$$\omega_z(v_Z, w_Z) := \langle x, [v, w] \rangle \quad v, w \in \mathfrak{k}.$$

(See, e.g., [15, p. 5].) The inclusion $Z \hookrightarrow \mathfrak{u}$ is the momentum map for the U -action on Z . Set $Q := (U^{\mathbb{C}})^{z+}$. Then Q is a parabolic subgroup of $U^{\mathbb{C}}$ and $T_z Z \cong \mathfrak{u}^{\mathbb{C}}/\mathfrak{q}$. This endows Z with an invariant complex structure J such that ω is an invariant Kähler form. Such a structure is in fact unique. The action of U on Z extends to a holomorphic action of $U^{\mathbb{C}}$.

To study K -orbits on \mathfrak{p} it is convenient to identify \mathfrak{p} with $i\mathfrak{p}$ by multiplying by i . A K -orbit $\mathcal{O} = K \cdot x \subset \mathfrak{p}$ is mapped to $K \cdot ix \subset Z := U \cdot ix$. Since $G \subset U^{\mathbb{C}}$, G acts on Z and we have $G \cdot ix = K \cdot ix$, see [11, Lemma 5] for the case $G^{\mathbb{C}} = U^{\mathbb{C}}$ and [12, Prop. 6] for the general case. Therefore the data G, K, U, Z, X are like in the previous setting. And identifying $\mathcal{O} \cong K \cdot ix$, the gradient momentum becomes the inclusion $\mathcal{O} \subset \mathfrak{p}$.

3. Face structure

3.1. Faces as orbitopes

Let U be a compact Lie group and let $G \subset U^{\mathbb{C}}$ be a compatible connected subgroup.

Definition 3.1. An *orbitope* of G is the convex envelope of a K -orbit in \mathfrak{p} . If $\mathcal{O} \subset \mathfrak{p}$ is the K -orbit in \mathfrak{p} , $\widehat{\mathcal{O}}$ denotes the corresponding orbitope.

Lemma 3.1. We have $\text{ext } \widehat{\mathcal{O}} = \mathcal{O}$ and $\text{ext } F = F \cap \mathcal{O}$ for any face F of $\widehat{\mathcal{O}}$.

Proof. This fact is common to all orbitopes, see [22, Prop. 2.2] or [2, Lemma 14]. □

We start the analysis of the structure of the faces of $\widehat{\mathcal{O}}$ by considering the exposed faces. At the end of Section 3.2 we will prove that in fact all faces of $\widehat{\mathcal{O}}$ are exposed. Let β be a non-zero vector in \mathfrak{p} . Since $\mu_{\mathfrak{p}}$ is the inclusion $\mathcal{O} \hookrightarrow \mathfrak{p}$, the function $\mu_{\mathfrak{p}}^{\beta}$ is $\mu_{\mathfrak{p}}^{\beta}(x) := \langle x, \beta \rangle$. Set

$$\text{Max}(\beta) := \{x \in \mathcal{O} : \mu_{\mathfrak{p}}^{\beta}(x) = \max_{\mathcal{O}} \mu_{\mathfrak{p}}^{\beta}\}.$$

The main result about this set is the following.

Proposition 3.1. The set $\text{Max}(\beta)$ is a connected K^{β} -orbit. In particular it is a $(K^{\beta})^0$ -orbit.

This theorem goes back to [6, 8]. Since it is basic we repeat the proof in our context. If $\mathfrak{a} \subset \mathfrak{p}$ is a maximal subalgebra, we denote by $W = W(\mathfrak{k}, \mathfrak{a})$ the Weyl group of \mathfrak{a} in K .

Lemma 3.2. *Let \mathfrak{g} be a real semisimple Lie algebra with Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ and let $\mathfrak{a} \subset \mathfrak{p}$ be a maximal subalgebra. If $x, y \in \mathfrak{a}$ then there is a Weyl chamber C such that \overline{C} contains both x and y if and only if $\lambda(x)\lambda(y) \geq 0$ for every restricted root λ .*

Proof (see [8, p. 11]). A Weyl chamber is a connected component of the set where all roots are non-zero. Given such a component C , let Δ_+ be the set of roots that are positive on C . Then $\Delta = \Delta_+ \sqcup (-\Delta_+)$. From this follows the “only if” part. To prove the “if” part we can assume that x and y are different. Let $z := (x + y)/2$ and let C be a Weyl chamber with $z \in \overline{C}$. By assumption, no root changes its sign on the segment $[x, y]$. Therefore $\lambda(z) > 0$ implies that $\lambda(x) \geq 0$ and $\lambda(y) \geq 0$. If $\lambda(z) = 0$, then $\lambda(x) = \lambda(y) = 0$. Therefore x and y belong to \overline{C} . We thank the referee for pointing out this short argument. □

Lemma 3.3. *Let $C \subset \mathfrak{a}$ be a Weyl chamber and let $x, y \in \overline{C}$. If $x' \in W \cdot x$, then there is a Weyl chamber C' such that $x', y \in \overline{C'}$ if and only if there is $w \in W$ such that $w \cdot x = x'$ and $w \cdot y = y$.*

Proof. The “if” part follows from the definition of a Weyl chamber. Assume the existence of a Weyl chamber C' such that $x', y \in \overline{C'}$. Then $x' = \sigma x$ for some $\sigma \in W$. Let $w \in W$ be such that $w(C) = C'$. The points $w^{-1}x' = w^{-1}\sigma x \in$ and x belong to \overline{C} and to the same Weyl orbit. Hence $w^{-1}x' = w^{-1}\sigma x = x$ [14, p. 52], i.e., $x' = wx$. Also $w^{-1}y$ and y belong to \overline{C} . Hence also $wy = y$. This concludes the proof. □

Proposition 3.2. *Let G be a real connected semisimple Lie group. Let $\beta \in \mathfrak{p}$.*

(a) *If $\mathfrak{a} \subset \mathfrak{p}^\beta$ is a maximal subalgebra, then*

$$\mathfrak{p}^\beta = \bigcup_{k \in (K^\beta)^0} \text{Ad}(k)\mathfrak{a}.$$

(b) *Let $W^\beta := \{w \in W : w\beta = \beta\}$. Then for any $w \in W^\beta$ there is a $k \in (K^\beta)^0$ such that $\text{Ad}(k)\mathfrak{a} = \mathfrak{a}$ and $\text{Ad}(k)x = w \cdot x$ for every $x \in \mathfrak{a}$.*

For a proof see for example [16, p. 378–379, 383, 455–457]).

Lemma 3.4. $\text{Crit}(\mu_{\mathfrak{p}}^\beta) = \mathcal{O} \cap \mathfrak{p}^\beta$.

Proof. Let Z be the U -orbit containing \mathcal{O} as in Section 2.5. As observed in Section 2.4 $\text{grad } \mu_{\mathfrak{p}}^\beta = \beta_Z|_{\mathcal{O}}$. So the set of critical points of $\mu_{\mathfrak{p}}^\beta$ on \mathcal{O} is the set of zeros of β_Z on Z intersected with \mathcal{O} . Since $(i\beta)_Z(x) = [i\beta, x]$, we have $\text{Crit}(\mu_{\mathfrak{p}}^\beta) = \mathcal{O} \cap \mathfrak{p}^\beta$. \square

Lemma 3.5. *Let G be semisimple. Fix $x \in \text{Crit}(\mu_{\mathfrak{p}}^\beta)$. Let $\mathfrak{a} \subset \mathfrak{p}$ be a maximal subalgebra containing both x and β . Then*

$$\text{Crit}(\mu_{\mathfrak{p}}^\beta) = \bigcup_{w \in W} (K^\beta)^0 \cdot w \cdot x = (K^\beta)^0 \cdot N_K(\mathfrak{a}) \cdot x,$$

where $W = W(\mathfrak{k}, \mathfrak{a})$ is the Weyl group.

Proof. Let $z \in \text{Crit}(\mu_{\mathfrak{p}}^\beta) = \mathcal{O} \cap \mathfrak{p}^\beta$. By Proposition 3.2 there is $k \in (K^\beta)^0$ such that $k \cdot z \in \mathfrak{a}$. But $k \cdot z \in \mathcal{O}$ and $\mathcal{O} \cap \mathfrak{a} = W \cdot x$. \square

Proposition 3.3. *Let G be semisimple. Assume that $x \in \mathcal{O} \cap \mathfrak{a}$ and $\beta \in \mathfrak{a}$. Then x is a local maximum of $\mu_{\mathfrak{p}}^\beta$ if and only if there exists a Weyl chamber $C \subset \mathfrak{a}$ such that $x, \beta \in \overline{C}$.*

Proof. Let Δ be the set of restricted roots of $(\mathfrak{g}, \mathfrak{a})$ and let $\xi = \xi_0 + \sum_{\lambda \in \Delta} \xi_\lambda$ with $\xi_\lambda \in \mathfrak{g}_\lambda$. Fix a set of positive roots Δ_+ such that $\lambda(x) \geq 0$ for every $\lambda \in \Delta_+$. We have

$$\mathfrak{k} = \mathfrak{z}_{\mathfrak{k}}(\mathfrak{a}) \oplus \bigoplus_{\lambda \in \Delta_+} (\mathfrak{g}_\lambda \oplus \mathfrak{g}_{-\lambda}) \cap \mathfrak{k}.$$

(See, e.g., [16, p. 370].) Since $T_x\mathcal{O} = \mathfrak{k} \cdot x = [\mathfrak{k}, x]$ and $[x, \mathfrak{g}_\lambda] = \mathfrak{g}_\lambda$ if $\lambda(x) \neq 0$ and $[x, \mathfrak{g}_\lambda] = 0$ otherwise, we have

$$T_x\mathcal{O} = \bigoplus_{\lambda(x) > 0} (\mathfrak{g}_\lambda \oplus \mathfrak{g}_{-\lambda}) \cap \mathfrak{p}.$$

If $w \in T_x\mathcal{O}$, choose $\xi \in \mathfrak{k}$ such that $w = \xi_{\mathcal{O}}(x) = [\xi, x]$ and set $\gamma(t) := \text{Ad}(\exp(t\xi)) \cdot x$. Then $\gamma(0) = x$, $\dot{\gamma}(t) = [\xi, \gamma(t)]$, $\ddot{\gamma}(0) = [\xi, [\xi, x]]$ and

$$D^2\mu_{\mathfrak{p}}^\beta(x)(w, w) = \left. \frac{d}{dt} \right|_{t=0} \mu_{\mathfrak{p}}^\beta(\gamma(t)) = \langle \ddot{\gamma}(0), \beta \rangle = -\langle [\xi, x], [\xi, \beta] \rangle.$$

We can assume that $\xi = \sum_{\lambda(x) > 0} \xi_\lambda$ with $\xi_\lambda \in \mathfrak{g}_\lambda$. This determines ξ uniquely. Then

$$[x, \xi] = \sum_{\lambda(x) > 0} \lambda(x) z_\lambda$$

where $z_\lambda = \xi_\lambda - \xi_{-\lambda}$. Since $\xi \in \mathfrak{k}$, $\theta(\xi_\lambda) = \xi_{-\lambda}$ and $z_\lambda \in \mathfrak{p}$. Moreover the vectors z_λ are orthogonal to each other. Similarly $[\beta, \xi] = \sum_{\lambda \in \Delta_+} \lambda(\beta) z_\lambda$. So

$$D^2\mu_{\mathfrak{p}}^\beta(x)(w, w) = - \sum_{\lambda(x) > 0} \lambda(x)\lambda(\beta)|z_\lambda|^2.$$

If there is $\lambda \in \Delta_+$ such that $\lambda(x)\lambda(\beta) < 0$, then x is not a local maximum point. Otherwise the Hessian is negative semidefinite and $D^2\mu_{\mathfrak{p}}^\beta(x)(w, w) = 0$ if and only if $z_\lambda \neq 0 \Rightarrow \lambda(\beta) = 0$. This means that the kernel of $D^2\mu_{\mathfrak{p}}^\beta(x)$ is $\mathfrak{k}^\beta \cdot x = T_x \text{Crit}(\mu_{\mathfrak{p}}^\beta)$. So the Hessian is degenerate only along the critical submanifold and is negative definite in the transverse direction. It follows that x is a local maximum point. Summing up we have shown that x is a local maximum point of $\mu_{\mathfrak{p}}^\beta$ if and only if $\lambda(x)\lambda(\beta) \geq 0$ for every $\lambda \in \Delta$. By Lemma 3.2 this is equivalent to the condition that x and β lie in the closure of some Weyl chamber. The result follows. \square

Proof of Proposition 3.1. We start assuming that G is semisimple. Let E be the set of all local maxima of $\mu_{\mathfrak{p}}^\beta$. Since the function $\mu_{\mathfrak{p}}^\beta$ is K^β -invariant, the sets E and $\text{Max}(\beta)$ are K^β -invariant. Since \mathcal{O} is compact there is at least a point $x \in \text{Max}(\beta)$. Let $\mathfrak{a} \subset \mathfrak{p}$ be a maximal subalgebra containing x and β . If $y \in E$, then by Lemma 3.5 there are $a \in (K^\beta)^0$ and $\tilde{w} \in W(\mathfrak{g}, \mathfrak{a})$, such that $y = a \cdot \tilde{w} \cdot x$. Since $y \in E$, also $\tilde{w} \cdot x \in E$. By Proposition 3.3 there are Weyl chambers $C, C' \subset \mathfrak{a}$ such that $x, \beta \in \overline{C}$ and $w \cdot x, \beta \in \overline{C'}$. By Lemma 3.3 there is $w \in W$ such that $w \cdot x = \tilde{w} \cdot x$ and $w \cdot \beta = \beta$. By Proposition 3.2 there is $k \in (K^\beta)^0$ such that $w \cdot x = k \cdot x$. It follows that $y \in (K^\beta)^0 \cdot x$. So $E \subset (K^\beta)^0 \cdot x$. Since $(K^\beta)^0 \cdot x \subset \text{Max}(\beta) \subset E$ we conclude that $E = \text{Max}(\beta) = (K^\beta)^0 \cdot x$. In particular $\text{Max}(\beta)$ is connected because it is an orbit of a connected group. Since $\text{Max}(\beta)$ is K^β -stable we also have $\text{Max}(\beta) = K^\beta \cdot x$. If G is not semisimple, then split $\mathfrak{g} = \mathfrak{z} \oplus [\mathfrak{g}, \mathfrak{g}]$ with $\mathfrak{z} = \mathfrak{z}(\mathfrak{g})$. Accordingly $\mathfrak{p} = \mathfrak{z} \cap \mathfrak{p} \oplus \mathfrak{p}_{ss}$, $\mathfrak{k} = \mathfrak{k} \cap \mathfrak{z} \oplus \mathfrak{k}_{ss}$. Since K is connected, $K = (Z(G) \cap K)^0 \cdot K_{ss}$. If $\mathcal{O} = K \cdot x$ split $x = x_0 + x_1$ with $x_0 \in \mathfrak{z} \cap \mathfrak{p}$ and $x_1 \in \mathfrak{p}_{ss}$. Then $\mathcal{O} = x_0 + \mathcal{O}_1$ where $\mathcal{O}_1 = K_{ss} \cdot x_1$. If $\beta \in \mathfrak{p}$, split $\beta = \beta_0 + \beta_1$ with $\beta_0 \in \mathfrak{p} \cap \mathfrak{z}$ and $\beta_1 \in \mathfrak{p}_{ss}$. Then $\text{Max}(\beta) = x_0 + \text{Max}(\beta_1)$. By Lemma 2.7 (b) G_{ss} is a semisimple compatible subgroup of U^C and \mathcal{O}_1 is a K_{ss} -orbit in \mathfrak{p}_{ss} . Therefore we know that $\text{Max}(\beta_1)$ is connected and that it is an orbit of both $(K_{ss}^{\beta_1})^0$ and $K_{ss}^{\beta_1}$. Since $K^\beta = (Z(G) \cap K) \cdot K_{ss}^{\beta_1}$, we conclude that $\text{Max}(\beta)$ is a connected orbit of K^β . Therefore it is also an orbit of $(K^\beta)^0$. \square

Corollary 3.1. *Let β be a non-zero vector in \mathfrak{p} and let $F_\beta(\hat{\mathcal{O}})$ be the exposed face of $\hat{\mathcal{O}}$ defined by β , see (2.1). Then $\text{ext } F_\beta(\hat{\mathcal{O}}) = \text{Max}(\beta)$, $F_\beta(\hat{\mathcal{O}}) \subset \mathfrak{p}^\beta$ and $\text{ext } F_\beta(\hat{\mathcal{O}})$ is both a K^β and a $(K^\beta)^0$ -orbit.*

Proof. By Lemma 3.1 $\text{ext } F_\beta(\widehat{\mathcal{O}}) = \mathcal{O} \cap F_\beta(\widehat{\mathcal{O}}) = \text{Max}(\beta)$. Since $\text{Crit}(\mu_{\mathfrak{p}}^\beta) = \mathcal{O} \cap \mathfrak{p}^\beta$, we see that $F_\beta(\widehat{\mathcal{O}}) \subset \mathfrak{p}^\beta$. By Proposition 3.1 $\text{ext } F_\beta(\widehat{\mathcal{O}}) = \text{Max}(\beta)$ is an orbit of $(K^\beta)^0$. \square

Proposition 3.4. *Let F be a non-empty face of $\widehat{\mathcal{O}}$. Then there is an abelian subalgebra $\mathfrak{s} \subset \mathfrak{p}$ such that F is an orbitope of $(G^\mathfrak{s})^0$, i.e., $F \subset \mathfrak{z}_{\mathfrak{p}}(\mathfrak{s})$ and $\text{ext } F$ is an orbit of $(K^\mathfrak{s})^0$. If F is proper, then $\mathfrak{s} \neq \{0\}$.*

Proof. Fix a chain of faces $F = F_0 \subsetneq F_1 \subsetneq \dots \subsetneq F_k = \widehat{\mathcal{O}}$, such that for any i there is no face strictly contained between F_{i-1} and F_i . This is possible by Lemma 2.5. We will prove the result by induction on k . If $k = 0$, then $F = \widehat{\mathcal{O}}$, so it is enough to set $\mathfrak{s} = \{0\}$. Let $k > 1$ and assume that the theorem is proved for faces contained in a maximal chain of length $k - 1$. Fix F with a maximal chain as above of length k . By the inductive hypothesis the theorem holds for F_1 , so there is a non-trivial abelian subalgebra $\mathfrak{s}_1 \subset \mathfrak{p}$ such that $F_1 \subset \mathfrak{p}^{\mathfrak{s}_1}$ and $\text{ext } F_1$ is an orbit of $(K^{\mathfrak{s}_1})^0$. In other words F_1 is an orbitope of $(G^{\mathfrak{s}_1})^0$, which is a compatible subgroup by Lemma 2.7 (c). Since F is a maximal face of F_1 , it is exposed. There is $\beta \in \mathfrak{p}^{\mathfrak{s}_1}$ such that $F = F_\beta(F_1)$. Set $\mathfrak{s} = \mathfrak{s}_1 \oplus \mathbb{R}\beta$. By Corollary 3.1 $F \subset (\mathfrak{p}^\mathfrak{s})^\beta = \mathfrak{p}^\mathfrak{s}$ and $\text{ext } F$ is an orbit of $((K^{\mathfrak{s}_1})^\beta)^0 = (K^\mathfrak{s})^0$. Thus, the inductive step is completed. If $\mathfrak{s} = \{0\}$, then $(K^\mathfrak{s})^0 = K$, $\text{ext } F = \mathcal{O}$ and $F = \widehat{\mathcal{O}}$. So for proper faces $\mathfrak{s} \neq \{0\}$. \square

3.2. All faces are exposed

Let $G \subset U^\mathbb{C}$ be a compatible subgroup and let \mathcal{O} be a K -orbit in \mathfrak{p} . In general $\dim \widehat{\mathcal{O}}$ might be less than $\dim \mathfrak{p}$ and there might be some normal subgroup of K that acts trivially on \mathcal{O} . We wish to describe a decomposition of G that is useful in dealing with this degeneracy. Let A be the affine hull of \mathcal{O} . This is an affine subspace of \mathfrak{p} and we can write $A = x_0 + \mathfrak{p}_1$, where $\mathfrak{p}_1 \subset \mathfrak{p}$ is a linear subspace and $x_0 \in \mathfrak{p}$. If we impose that $x_0 \perp \mathfrak{p}_1$, then x_0 is uniquely determined. It follows that x_0 is fixed by K . Hence by Lemma 2.2 $x_0 \in \text{reint } \widehat{\mathcal{O}}$. Set also

$$\mathfrak{k}_1 := [\mathfrak{p}_1, \mathfrak{p}_1] \quad \mathfrak{p}_0 = \mathfrak{p}_1^\perp \quad \mathfrak{k}_0 = \mathfrak{k}_1^\perp \quad \mathfrak{g}_1 := \mathfrak{k}_1 \oplus \mathfrak{p}_1 \quad \mathfrak{g}_0 := \mathfrak{k}_0 \oplus \mathfrak{p}_0.$$

Thus $\mathfrak{k} = \mathfrak{k}_0 \oplus \mathfrak{k}_1$ and $\mathfrak{p} = \mathfrak{p}_0 \oplus \mathfrak{p}_1$ and $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$.

Proposition 3.5. *\mathfrak{g}_1 is a semisimple ideal of \mathfrak{g} and \mathfrak{g}_0 is a reductive ideal. If G_1, K_0, K_1 are the corresponding analytic (connected) subgroups, then G_1 is compatible with $U^\mathbb{C}$ and $K^0 = K_0 \cdot K_1$. If $x \in \mathcal{O}$, then $x = x_0 + x_1$ for some $x_1 \in \mathfrak{p}_1$ and $\mathcal{O} = x_0 + K_1 \cdot x_1$.*

Proof. Since \mathcal{O} is a K -orbit, its affine hull is K -invariant. Therefore x_0 is fixed by K and $[\mathfrak{k}, \mathfrak{p}_1] \subset \mathfrak{p}_1$. It follows that $[\mathfrak{k}, \mathfrak{k}_1] = [\mathfrak{k}, [\mathfrak{p}_1, \mathfrak{p}_1]] = [\mathfrak{p}_1, [\mathfrak{p}_1, \mathfrak{k}]] \subset [\mathfrak{p}_1, \mathfrak{p}_1] = \mathfrak{k}_1$. Since $[\mathfrak{k}, \mathfrak{p}_1] \subset \mathfrak{p}_1$ and $[\mathfrak{k}, \mathfrak{k}_1] \subset \mathfrak{k}_1$ also $[\mathfrak{k}, \mathfrak{p}_0] \subset \mathfrak{p}_0$ and $[\mathfrak{k}, \mathfrak{k}_0] \subset \mathfrak{k}_0$. Moreover $\langle [\mathfrak{p}_1, \mathfrak{p}_0], \mathfrak{k} \rangle = B([\mathfrak{p}_1, \mathfrak{p}_0], \mathfrak{k}) = B(\mathfrak{p}_0, [\mathfrak{k}, \mathfrak{p}_1]) \subset B(\mathfrak{p}_0, \mathfrak{p}_1) = \langle \mathfrak{p}_0, \mathfrak{p}_1 \rangle = 0$. (B is the bilinear form defined at the end of Section 2.2.) Since $[\mathfrak{p}_1, \mathfrak{p}_0] \subset \mathfrak{k}$ this means that $[\mathfrak{p}_1, \mathfrak{p}_0] = 0$. Using the Jacobi identity we get also $[\mathfrak{p}_0, \mathfrak{k}_1] = [\mathfrak{p}_0, [\mathfrak{p}_1, \mathfrak{p}_1]] = [\mathfrak{p}_1, [\mathfrak{p}_1, \mathfrak{p}_0]] = 0$. Set $\mathfrak{g}_1 := \mathfrak{k}_1 \oplus \mathfrak{p}_1$. We have just showed that \mathfrak{g}_1 is an ideal of \mathfrak{g} . Since it is θ -invariant, \mathfrak{g}_1 is a reductive subalgebra. We claim that it is semisimple. $\mathfrak{k}_1 \subset [\mathfrak{g}_1, \mathfrak{g}_1]$, so $\mathfrak{z}(\mathfrak{g}_1) \subset \mathfrak{p}_1$. Pick $x \in \mathcal{O}$. We can split $x = x_0 + x_1 + x_2$ where x_0 is as above, $x_2 \in \mathfrak{z}(\mathfrak{g}_1) \cap \mathfrak{p}_1$, $x_1 \in \mathfrak{p}_1$ and $x_1 \perp \mathfrak{z}(\mathfrak{g}_1)$. It follows that $\mathcal{O} = x_0 + x_2 + K \cdot x_1$, so the affine hull of \mathcal{O} is $x_0 + x_2 + \mathfrak{p}_1 \cap \mathfrak{z}(\mathfrak{g}_1)^\perp$. Therefore $x_2 = 0$ and $\mathfrak{p}_1 \cap \mathfrak{z}(\mathfrak{g}_1)^\perp = \mathfrak{p}_1$, i.e., $\mathfrak{z}(\mathfrak{g}_1) = \{0\}$. This proves that \mathfrak{g}_1 is semisimple. Let $G_1 \subset G$ the (connected) analytic subgroup tangent to \mathfrak{g}_1 . It is normal, closed [16, p. 440] and compatible by Lemma 2.7 (c). The B -orthogonal complement of \mathfrak{g}_1 is $\mathfrak{k}_0 \oplus \mathfrak{p}_0$, which is also an ideal. So $K = K_0 \cdot K_1$ where $K_1 = G_1 \cap U$ and K_0 is the analytic subgroup of K tangent to \mathfrak{k}_0 . Since K_0 and K_1 are normal commuting subgroups K_0 acts trivially on \mathfrak{p}_1 . Hence, $\mathcal{O} = x_0 + K_1 \cdot x_1$. \square

This decomposition can be further refined by setting $\mathfrak{g}_2 := [\mathfrak{g}_0, \mathfrak{g}_0]$ and $\mathfrak{g}_3 := \mathfrak{z}(\mathfrak{g}) = \mathfrak{z}(\mathfrak{g}_0)$. They are both θ -invariant ideals of \mathfrak{g} , \mathfrak{g}_2 is semisimple and

$$(3.1) \quad \mathfrak{g} = \mathfrak{g}_1 \overset{\perp}{\oplus} \mathfrak{g}_2 \overset{\perp}{\oplus} \mathfrak{g}_3.$$

Set $\mathfrak{p}_i := \mathfrak{g}_i \cap \mathfrak{p}$ and $\mathfrak{k}_i := \mathfrak{g}_i \cap \mathfrak{k}$. At the group level $K^0 = K_1 \cdot K_2 \cdot K_3$, where K_i are the corresponding analytic (connected) subgroups. Since $K \cdot x_0 = x_0$, $x_0 \in \mathfrak{g}_3$.

Let $\mathfrak{a} \subset \mathfrak{p}$ be a maximal subalgebra. Let $\pi : \mathfrak{p} \rightarrow \mathfrak{a}$ denote the orthogonal projection. Set

$$P := \pi(\mathcal{O}).$$

The following convexity theorem of Kostant [18] is the basic ingredient in the whole theory.

Theorem 3.1 (Kostant). *Let $x \in \mathfrak{a} \cap \mathcal{O}$. Then $P = \text{conv}(W \cdot x)$. In particular, P is a convex polytope, $\text{ext } P = \mathcal{O} \cap \mathfrak{a}$ and $\text{ext } P$ is a W -orbit.*

The original proof of Kostant assumes that G is semisimple. One easily reduces to that case using Proposition 3.5. The theorem can be proved

within the framework of the gradient momentum map [9, Rmk. 5.4]. Another approach is by observing that the orbits of polar representations are isoparametric submanifolds. Terng [25] has proved a convexity theorem for isoparametric submanifolds, which in the case of polar orbits gives the original statement by Kostant. See also [21]. The following lemma is a consequence of Kostant convexity theorem. See [7, Lemma 7] for a proof.

Lemma 3.6. *(i) If $E \subset \mathfrak{p}$ is a K -invariant convex subset, then $E \cap \mathfrak{a} = \pi(E)$. (ii) If $A \subset \mathfrak{a}$ is a W -invariant convex subset, then $K \cdot A$ is convex and $\pi(K \cdot A) = A$.*

Proposition 3.6. *Let F be a face of $\widehat{\mathcal{O}}$. Choose a subalgebra $\mathfrak{s} \subset \mathfrak{p}$ such that F be an orbitope of $(G^{\mathfrak{s}})^0$. Let \mathfrak{a} be a maximal subalgebra of \mathfrak{p} containing \mathfrak{s} . Set $\sigma := \pi(\text{ext } F)$. Then $\sigma = \pi(F) = F \cap \mathfrak{a}$ and σ is a non-empty face of the polytope P . If F is proper, then σ is proper. F is an orbitope of $(G^{\sigma^\perp})^0$, where $\sigma^\perp \subset \mathfrak{a}$ denotes the orthogonal to the tangent space of σ . Moreover $\text{ext } F$ is an orbit of K^{σ^\perp} and $F = K^{\sigma^\perp} \cdot \sigma$.*

Proof. The set $\text{ext } F$ is an orbit of $(K^{\mathfrak{s}})^0$ and $\mathfrak{a} \subset \mathfrak{g}^{\mathfrak{s}}$. By Kostant theorem $\pi(\text{ext } F) = \text{conv}(\text{ext } F \cap \mathfrak{a})$ and $\text{ext } F \cap \mathfrak{a}$ is an orbit of the Weyl group $W = W(\mathfrak{g}^{\mathfrak{s}}, \mathfrak{a})$. So σ is convex. Fix $x \in \text{ext } F \cap \mathfrak{a}$. Since π is linear, $\pi(F) \subset \text{conv}(\pi(\text{ext } F)) = \sigma$. On the other hand $\text{ext } \sigma \subset W \cdot x = (\text{ext } F) \cap \mathfrak{a}$. Hence $\sigma \subset F \cap \mathfrak{a}$. And obviously $F \cap \mathfrak{a} \subset \pi(F)$. Summing up $\pi(F) \subset \sigma \subset F \cap \mathfrak{a} \subset \pi(F)$. The first assertion is proved. That σ is a face of P follows directly from Lemma 2.6, while $\sigma = \pi(F) \neq \emptyset$ since $F \neq \emptyset$. To check the other assertions observe that $\text{ext } F$ is an orbit of $(K^{\mathfrak{s}})^0$, so that we can apply Proposition 3.5 to this orbit. We get a semisimple normal subgroup G_1 of $(G^{\mathfrak{s}})^0$, a decomposition $\mathfrak{g}^{\mathfrak{s}} = \mathfrak{g}_1 \oplus \mathfrak{g}_2 \oplus \mathfrak{g}_3$ like (3.1) and compact subgroups $K_1, K_2, K_3 = Z(K^{\mathfrak{s}})^0$ such that $(K^{\mathfrak{s}})^0 = K_1 \cdot K_2 \cdot K_3$. It follows that $\mathfrak{a} = \mathfrak{a}_1 \oplus \mathfrak{a}_2 \oplus \mathfrak{p}_3$, where $\mathfrak{a}_i := \mathfrak{a} \cap \mathfrak{g}_i$ is a maximal subalgebra of \mathfrak{p}_i for $i = 1, 2$. Moreover $\text{ext } F = x_0 + K_1 \cdot x_1$, the affine hull of F is $x_0 + \mathfrak{p}_1$ and $x_0 \in \text{relint } F$. The restriction of π to \mathfrak{p}_1 is the orthogonal projection $\mathfrak{p}_1 \rightarrow \mathfrak{a}_1$ and the affine hull of σ is $x_0 + \mathfrak{a}_1$. Hence $\sigma^\perp = \mathfrak{a}_2 \oplus \mathfrak{p}_3$. \mathfrak{g}_1 is semisimple and centralizes. Thus $\mathfrak{s} \subset \sigma^\perp$, $K^{\sigma^\perp} \subset K^{\mathfrak{s}}$ and $(K^{\sigma^\perp})^0 = K_1 \cdot K_3$. So $K_1 \subset K^{\sigma^\perp} \subset K^{\mathfrak{s}}$ and $K_1 \cdot x \subset K^{\sigma^\perp} \cdot x \subset K^{\mathfrak{s}} \cdot x$. Since $K_1 \cdot x = K^{\mathfrak{s}} \cdot x = \text{ext } F$ we get that $\text{ext } F$ is an orbit of K^{σ^\perp} . But $\text{ext } F$ is connected, so it is also an orbit of $(K^{\sigma^\perp})^0$. Since $\sigma^\perp = \mathfrak{a}_2 \oplus \mathfrak{p}_3$, $x_0 + \mathfrak{p}_1 \subset \mathfrak{p}_3 \oplus \mathfrak{p}_1 = \mathfrak{p}^{\sigma^\perp}$. This shows that F is an orbitope of $(G^{\sigma^\perp})^0$. We have to prove that $F = K^{\sigma^\perp} \cdot \sigma$. Since K_2 acts trivially on $x_0 + \mathfrak{p}_1$, $K^{\sigma^\perp} \cdot \sigma = K^{\mathfrak{s}} \cdot \sigma$. Since F is $K^{\mathfrak{s}}$ -invariant, we get

$K^{\sigma^\perp} \cdot \sigma \subset F$. On the other hand, $\text{ext } F \subset K^{\mathfrak{s}} \cdot \sigma$. Since σ is W -invariant we can apply Lemma 3.6 (with $K = K^{\mathfrak{s}}$ and $\mathfrak{p} = \mathfrak{p}^{\mathfrak{s}}$) to get that $K^{\mathfrak{s}} \cdot \sigma$ is convex. Therefore we get $F = K^{\mathfrak{s}} \cdot \sigma = K^{\sigma^\perp} \cdot \sigma$. It remains to prove that σ is proper, when F is proper. Assume first that the affine hull $\widehat{\mathcal{O}}$ is \mathfrak{p} . Then the affine hull of P is \mathfrak{a} . If F is proper, then $\mathfrak{s} \neq \{0\}$, so $\mathfrak{a}_1 \subsetneq \mathfrak{a}$ and $\sigma \subsetneq P$. In the general case, we have to apply Proposition 3.5 this time to \mathcal{O} rather than $\text{ext } F$. $\widehat{\mathcal{O}}$ turns out to be a translate of an orbitope of a semisimple subgroup of G by an element of the center of \mathfrak{g} . \mathfrak{a} splits into the center of \mathfrak{g} and a maximal subalgebra of the semisimple subgroup. With this we easily reduce to the case we have just considered. \square

Corollary 3.2. *Let F_1, F_2 be proper faces of $\widehat{\mathcal{O}}$, and let $\mathfrak{s}_1, \mathfrak{s}_2 \subset \mathfrak{p}$ be subalgebras such that F_i is a $(G^{\mathfrak{s}_i})^0$ -orbitope. Assume that $\mathfrak{a} \subset \mathfrak{p}$ is a maximal subalgebra containing both \mathfrak{s}_1 and \mathfrak{s}_2 . If $F_1 \cap \mathfrak{a} = F_2 \cap \mathfrak{a}$, then $F_1 = F_2$.*

Proof. If $\sigma := F_i \cap \mathfrak{a}$, then $F_1 = K^{\sigma^\perp} \cdot \sigma = F_2$. \square

Theorem 3.2. *All proper faces of $\widehat{\mathcal{O}}$ are exposed.*

Proof. Given a proper face $F \subset \widehat{\mathcal{O}}$ choose a subalgebra $\mathfrak{s} \subset \mathfrak{p}$ such that F be a $(G^{\mathfrak{s}})^0$ -orbitope and choose a maximal subalgebra $\mathfrak{a} \subset \mathfrak{p}$ containing \mathfrak{s} . By Proposition 3.6 $\sigma := F \cap \mathfrak{a}$ is a proper face of P . Since all faces of a polytope are exposed [24, p. 95], there is a vector $\beta \in \mathfrak{a}$ such that $\sigma = F_\beta(P)$. Since $\beta \in \mathfrak{a}$ and $P = \pi(\mathcal{O})$, $h_P(\beta) = \max_{x \in \mathcal{O}} \langle \beta, x \rangle = h_{\widehat{\mathcal{O}}}(\beta)$. Set $F' := F_\beta(\widehat{\mathcal{O}})$. We wish to show that $F = F'$. The inclusion $F \subset F'$ is immediate: if $x \in F$, then $\pi(x) \in \sigma$, so $\langle x, \beta \rangle = h_P(\beta) = h_{\widehat{\mathcal{O}}}(\beta)$. It is also immediate that $F' \cap \mathfrak{a} = \sigma$. So we have two faces F and F' with $F \cap \mathfrak{a} = F' \cap \mathfrak{a} = \sigma$. Set $\mathfrak{s}' := \mathbb{R}\beta \subset \mathfrak{a}$. By Corollary 3.1 F' is an orbitope of $(G^{\mathfrak{s}'})^0$. Applying Corollary 3.2 we get $F = F' = F_\beta(\widehat{\mathcal{O}})$. \square

Corollary 3.3. *If $\mathcal{O}' \subset \mathcal{O}$ is a smooth submanifold, then $\text{conv}(\mathcal{O}')$ is a face of $\widehat{\mathcal{O}}$ if and only if there is a vector β such that $\mathcal{O}' = \text{Max}(\beta)$.*

Proof. Set $F = \text{conv}(\mathcal{O}')$. From the fact that \mathcal{O} is contained in a sphere, it follows as in Lemma 3.1 that $\text{ext } F = \mathcal{O}'$. Therefore the statement follows immediately from the fact that every face of $\widehat{\mathcal{O}}$ is exposed and from Lemma 3.1. \square

3.3. Faces and parabolic subgroups

In this section, we prove Theorem 1.2, which follows from Propositions 3.8 and 3.9 below. Given a face $F \subset \widehat{\mathcal{O}}$ set

$$\begin{aligned} H_F &:= \{g \in K : gF = F\} = \{g \in K : g \cdot \text{ext } F = \text{ext } F\} \\ Q_F &:= \{g \in G : g \cdot \text{ext } F = \text{ext } F\} \\ C_F &:= \{\beta \in \mathfrak{p} : F = F_\beta(\widehat{\mathcal{O}})\}. \end{aligned}$$

Denote by $C_F^{H_F}$ the vectors of C_F that are fixed by H_F .

Proposition 3.7. *For any face F the set $\text{ext } F$ is an orbit of H_F . If F is proper, then $C_F^{H_F} \neq \emptyset$. For any $\beta \in C_F^{H_F}$, $H_F = K^\beta$ and $F \subset \mathfrak{p}^\beta$.*

Proof. The group H_F is compact. By Proposition 3.4 $\text{ext } F$ is an orbit of some subgroup $K' \subset K$. Hence $K' \subset H_F$ and $\text{ext } F$ is an orbit also of H_F . It follows that H_F preserves both $\widehat{\mathcal{O}}$ and F , so by Lemma 2.3 there is a vector $\beta \in C_F$ that is fixed by H_F . This proves that $C_F^{H_F} \neq \emptyset$. On the other hand given any $\beta \in C_F^{H_F}$, we have $H_F \subset K^\beta$ and $F = F_\beta(\widehat{\mathcal{O}})$. By Lemma 3.1, $F \subset \mathfrak{p}^\beta$ and $\text{ext } F = K^\beta \cdot x$. It follows that $K^\beta \subset H_F$, hence $H_F = K^\beta$. \square

Lemma 3.7. *Let $\mathfrak{q}_1, \mathfrak{q}_2$ be subalgebras of \mathfrak{g} . Assume that \mathfrak{q}_1 is parabolic, that $\mathfrak{q}_1 \subset \mathfrak{q}_2$ and that $\mathfrak{q}_1 \cap \mathfrak{k} = \mathfrak{q}_2 \cap \mathfrak{k}$. Then $\mathfrak{q}_1 = \mathfrak{q}_2$.*

Proof. Assume that $\mathfrak{q}_1 = \mathfrak{g}^{\beta+}$ for some $\beta \in \mathfrak{p}$. Then $\mathfrak{q}_1 \cap \mathfrak{k} = \mathfrak{k}^\beta$. Denote by V_λ the eigenspace of $\text{ad}\beta$ with eigenvalue λ . Then $\mathfrak{q}_1 = \bigoplus_{\lambda \in J} V_\lambda$ where J is the set of non-negative eigenvalues of $\text{ad}\beta$. Since $\beta \in \mathfrak{q}_1 \subset \mathfrak{q}_2$, \mathfrak{q}_2 is $\text{ad}\beta$ -stable. We have

$$\mathfrak{q}_2 = \bigoplus_{\lambda \in I} (V_\lambda \cap \mathfrak{q}_2)$$

for some set of eigenvalues I and we can assume that $V_\lambda \cap \mathfrak{q}_2 \neq \{0\}$ for every $\lambda \in I$. We wish to prove that $I \subset [0, \infty)$. If not, there would be some negative $\lambda \in I$. Pick a non-zero $\xi \in V_\lambda \cap \mathfrak{q}_2$. Then $\theta(\xi) \in V_{-\lambda} \subset \mathfrak{q}_1 \subset \mathfrak{q}_2$. So $\xi + \theta(\xi) \in \mathfrak{q}_2 \cap \mathfrak{k}$. By assumption $\mathfrak{q}_2 \cap \mathfrak{k} = \mathfrak{q}_1 \cap \mathfrak{k} = \mathfrak{g}^{\beta+} \cap \mathfrak{k} = \mathfrak{k}^\beta$. So we should have $[\beta, \xi + \theta(\xi)] = 0$, while $[\beta, \xi + \theta(\xi)] = \lambda(\xi - \theta(\xi)) \neq 0$. The contradiction shows that $I \subset [0, \infty)$. So $I \subset J$ and $\mathfrak{q}_2 \subset \mathfrak{q}_1$. \square

Proposition 3.8. *If $F \subset \widehat{\mathcal{O}}$ is a proper face, and $\beta \in C_F^{H_F}$, then $Q_F = G^{\beta+}$.*

Proof. We prove first that $G^{\beta+} \subset Q_F$, i.e., that $G^{\beta+}$ preserves $\text{ext } F$. Since $\beta \in C_F^{H_F}$, $H_F = K^\beta$. In general $G^{\beta+}$ will not be connected. Nevertheless $K \cap G^{\beta+} = K^\beta$ meets all components of $G^{\beta+}$. By Proposition 3.7 $K^\beta = H_F \subset Q_F$. So it is enough to prove that $(G^{\beta+})^0 \subset Q_F$. This amounts to showing that for any $\xi \in \mathfrak{g}^{\beta+}$ the vector field $\xi_{\mathcal{O}}$ is tangent to $\text{ext } F$. Fix an arbitrary $x \in \text{ext } F$. Since $F = F_\beta(\widehat{\mathcal{O}})$, $\text{ext } F = \text{Max}(\beta)$, so x is a maximum point of $\mu_{\mathfrak{p}}^\beta$. Hence $V_+ = \{0\}$ in (2.6). By Proposition 2.1 $d\alpha_e(\mathfrak{g}^{\beta+}) = d\alpha_e(\mathfrak{g}^\beta) + d\alpha_e(\mathfrak{v}_+^\beta) \subset V_0 + V_+ = V_0$. Hence for any $\xi \in \mathfrak{g}^{\beta+}$, $\xi_{\mathcal{O}}(x) = d\alpha_e(\xi) \in V_0 = T_x \text{ext } F$. Thus we proved that $G^{\beta+} \subset Q_F$. We also know that $G^{\beta+} \cap K = K^\beta = H_F = Q_F \cap K$. Also, $Q_F \subset G$ is a closed subgroup, hence a Lie subgroup. Thus we can apply Lemma 3.7 to the Lie algebras of $G^{\beta+}$ and Q_F , respectively, and we obtain $\mathfrak{g}^{\beta+} = \mathfrak{q}_F$. Therefore $Q_F \subset N_G(\mathfrak{q}_F) = G^{\beta+}$. And thus the theorem is proved. \square

Proposition 3.9. *The set $\{\text{ext } F : F \text{ a non-empty face of } \widehat{\mathcal{O}}\}$ coincides with the set of all closed orbits of parabolic subgroups of G . Any parabolic subgroup $Q \subset G$ has a unique closed orbit, which equals the set of extreme points of a unique face of $F \subset \widehat{\mathcal{O}}$. If $Q = G^{\beta+}$, then $F = F_\beta(\widehat{\mathcal{O}})$.*

Proof. Let $Q \subset G$ be parabolic. There is at least one closed orbit since the action is algebraic. Choose $\beta \in \mathfrak{p}$ such that $Q = G^{\beta+}$. Then $K^\beta = Q \cap K$. Let \mathcal{O}' be any closed orbit of Q and let $x \in \mathcal{O}'$ be a maximum point of $\mu_{\mathfrak{p}}^\beta$ over \mathcal{O}' . Since the gradient of $\mu_{\mathfrak{p}}^\beta$ at x is $\beta_{\mathcal{O}}(x)$ and $\beta \in \mathfrak{g}^{\beta+}$, we get $\beta_{\mathcal{O}}(x) = 0$. By Proposition 2.1 $d\alpha_e(\mathfrak{g}^{\beta+}) = V_0 \oplus V_+$, so $V_+ \subset T_x(G^{\beta+} \cdot x) = T_x \mathcal{O}'$. Since x is a maximum point of $\mu_{\mathfrak{p}}^\beta$ over \mathcal{O}' , we conclude that $V_+ = \{0\}$. Thus, x is a local maximum point of $\mu_{\mathfrak{p}}^\beta$ and $R^{\beta+}$ acts trivially on \mathcal{O}' . But $\mu_{\mathfrak{p}}^\beta$ has only global maxima; hence $x \in \text{Max}(\beta)$ and $\mathcal{O}' = G^\beta \cdot x = K^\beta \cdot x = \text{Max}(\beta)$. Set $F = F_\beta(\widehat{\mathcal{O}})$. Then $\mathcal{O}' = \text{ext } F$. This proves that the closed orbit is unique. \square

Corollary 3.4. *For any face F we have $C_F^{H_F} = \{\beta \in \mathfrak{p} : G^{\beta+} = Q_F\}$.*

Proof. By Proposition 3.8 the set on the left is included in the set on the right. Conversely, if β is in the set on the right, then $\beta \in C_F$ with $F = F_\beta(\widehat{\mathcal{O}})$, by the previous Theorem. Since $H_F = Q_F \cap K = G^{\beta+} \cap K = K^\beta$, β is also fixed by H_F . \square

If F is a proper face set

$$(3.2) \quad \mathfrak{s}_F := \text{span}(C_F^{H_F}) \quad G_F := Q_F \cap \theta(Q_F).$$

If $\beta \in C_F^{H_F}$, then $G_F := G^\beta$.

Corollary 3.5. \mathfrak{s}_F is an abelian subalgebra of \mathfrak{p} and $\mathfrak{s}_F = \mathfrak{z}(\mathfrak{g}_F) \cap \mathfrak{p}$.

Proof. \mathfrak{s}_F is the span of $C_F^{H_F}$ and $\mathfrak{g}_F = \mathfrak{q}_F \cap \theta \mathfrak{q}_F$. Thus, the result follows from Corollary 3.4 and Lemma 2.8. \square

Corollary 3.6. $H_F = K^{\mathfrak{s}_F}$ and $G_F = G^{\mathfrak{s}_F}$.

Proof. It follows from the discussion in the proof of Lemma 2.8, that the vectors of $C_F^{H_F}$ are regular in $\mathfrak{s}_F = \mathfrak{a}_I$, i.e., if a root vanishes on $\beta \in C_F^{H_F}$, then it vanishes on the whole of \mathfrak{s}_F . Thus, $K^{\mathfrak{s}_F} = K^\beta$ and $G^{\mathfrak{s}_F} = G^\beta$. \square

Corollary 3.7. The face F is an orbitope of G_F^0 .

Proof. If $\beta \in C_F^{H_F}$, then F is a $(G^\beta)^0$ -orbitope by Corollary 3.1. \square

Corollary 3.8. Let F be a face and let $\mathfrak{a} \subset \mathfrak{p}$ be a maximal subalgebra. Then $C_F^{H_F} \cap \mathfrak{a} \neq \emptyset$ if and only if $C_F^{H_F} \subset \mathfrak{a}$ if and only if $\mathfrak{a} \subset \mathfrak{g}_F$.

Proof. If $\beta \in C_F^{H_F} \cap \mathfrak{a}$, then $[\beta, \mathfrak{a}] = 0$. Since β is regular in \mathfrak{s}_F , we get $\mathfrak{s}_F \subset \mathfrak{a}$. Conversely, if $\mathfrak{s}_F \subset \mathfrak{a}$, then $C_F^{H_F} \subset \mathfrak{a}$. Since $\mathfrak{g}_F = \mathfrak{g}^{\mathfrak{s}_F}$ the condition $\mathfrak{s}_F \subset \mathfrak{a}$ is equivalent to $\mathfrak{a} \subset \mathfrak{g}_F$. \square

3.4. Proof of Theorem 1.1

Fix a maximal subalgebra $\mathfrak{a} \subset \mathfrak{p}$. Denote by $\mathcal{F}(\widehat{\mathcal{O}})$ the set of proper faces of \mathcal{O} and by $\mathcal{F}(P)$ the set of proper faces of the polytope P . If F is a face of \mathcal{O} and $a \in K$, then $a \cdot F$ is still a face, so K acts on $\mathcal{F}(\widehat{\mathcal{O}})$. Similarly $W = W(\mathfrak{g}, \mathfrak{a})$ acts on $\mathcal{F}(P)$. We wish to show that $\mathcal{F}(\widehat{\mathcal{O}})/K \cong \mathcal{F}(P)/W$.

Lemma 3.8. For every face of $\widehat{\mathcal{O}}$ there is $a \in K$ such that $\mathfrak{s}_{a \cdot F} \subset \mathfrak{a}$. The face $a \cdot F$ is unique up to $N_K(\mathfrak{a})$.

Proof. By Theorem 3.2 $F = F_\gamma(\widehat{\mathcal{O}})$ and $H_F = K^\gamma$ for some $\gamma \in \mathfrak{p}$. Choose $a \in K$ such that $\text{Ad}(a)\gamma \in \mathfrak{a}$. Then $a \cdot F = F_{\text{Ad}(a)\gamma}(\widehat{\mathcal{O}})$. Therefore $\text{Ad}(a)\gamma$ belongs to $C_{a \cdot F}^{H_{a \cdot F}}$ and also to \mathfrak{a} . By Corollary 3.6 $\mathfrak{s}_{a \cdot F} \subset \mathfrak{a}$. To prove the second statement it is enough to show that if $F = F_\gamma(\widehat{\mathcal{O}})$ with $\gamma \in \mathfrak{a}$ and $\text{Ad}(a)\gamma \in \mathfrak{a}$, then there is $g \in N_K(\mathfrak{a})$ such that $g \cdot F = a \cdot F$. Since $\gamma \in \mathfrak{a} \cap \text{Ad}(a^{-1})\mathfrak{a}$, both \mathfrak{a} and $\text{Ad}(a^{-1})\mathfrak{a}$ are maximal subalgebras in \mathfrak{p}^γ . Hence there is $g \in K^\gamma = H_F$ such that $\text{Ad}(a^{-1})\mathfrak{a} = \text{Ad}(g)\mathfrak{a}$. Therefore $w := ag \in N_K(\mathfrak{a})$ and $a \cdot F = ag \cdot F = w \cdot F$. \square

Define a map

$$\varphi : \mathcal{F}(\widehat{\mathcal{O}})/K \rightarrow \mathcal{F}(P)/W$$

by the following rule: given a class in $\mathcal{F}(\widehat{\mathcal{O}})/K$ choose a representative F such that $\mathfrak{s}_F \subset \mathfrak{a}$ and set $\varphi([F]) := [F \cap \mathfrak{a}]$. By Proposition 3.6 $F \cap \mathfrak{a}$ is indeed a face of the polytope and by Lemma 3.8 a different choice of the representative will yield the same class in $\mathcal{F}(P)/W$, so that the map φ is well-defined.

Now fix a face F with $\mathfrak{s}_F \subset \mathfrak{a}$. F is an orbitope of G_F^0 . Applying Proposition 3.5 we get a decomposition $\mathfrak{g}_F = \mathfrak{g}_1 \oplus \mathfrak{g}_2 \oplus \mathfrak{g}_3$ like (3.1). Here $\mathfrak{g}_3 = \mathfrak{z}(\mathfrak{g}_F)$. Accordingly $\mathfrak{a} = \mathfrak{a}_1 \oplus \mathfrak{a}_2 \oplus \mathfrak{s}_F$, where $\mathfrak{a}_i := \mathfrak{a} \cap \mathfrak{g}_i$ is a maximal subalgebra of \mathfrak{p}_i for $i = 1, 2$. We have used the fact that $\mathfrak{p}_3 = \mathfrak{z}(\mathfrak{g}_F) \cap \mathfrak{p} = \mathfrak{s}_F$ by Corollary (3.5). Denote by W_1 and W_2 the Weyl groups of $(\mathfrak{g}_1, \mathfrak{a}_1)$ and $(\mathfrak{g}_2, \mathfrak{a}_2)$. They can be considered as subgroups of $W = W(\mathfrak{g}, \mathfrak{a})$. They commute and have the following sets of invariant vectors:

$$\mathfrak{a}^{W_1} = \mathfrak{a}_2 \oplus \mathfrak{s}_F \quad \mathfrak{a}^{W_2} = \mathfrak{a}_1 \oplus \mathfrak{s}_F \quad \mathfrak{a}^{W_1 \times W_2} = \mathfrak{s}_F.$$

Lemma 3.9. *Let $F \subset \widehat{\mathcal{O}}$ be a non-empty face with $\mathfrak{s}_F \subset \mathfrak{a}$. Set $\sigma := F \cap \mathfrak{a}$. Then $W_1 \times W_2$ preserves σ .*

Proof. Recall from Proposition 3.5 that $\text{ext } F = x_0 + K_1 \cdot x_1$. By Kostant theorem $\sigma = \pi(\text{ext } F) = x_0 + \text{conv}(W_1 \cdot x_1) = \text{conv}(W_1 \cdot x)$. Hence W_1 preserves σ . Moreover $\sigma \subset \mathfrak{s}_F \oplus \mathfrak{a}_1$ hence W_2 fixes σ pointwise and the statement follows. □

If σ is a face of P set $G_\sigma := \{g \in W : g(\sigma) = \sigma\}$.

Lemma 3.10. *If $\sigma \in \mathcal{F}(P)$ there is a vector $\beta \in \mathfrak{a}$ that is fixed by G_σ and such that $\sigma = F_\beta(P)$. If β is any such vector and $F := F_\beta(\widehat{\mathcal{O}})$, then $F \cap \mathfrak{a} = \sigma$, $G_\sigma = W_1 \times W_2$, $\mathfrak{s}_F = \mathfrak{a}^{G_\sigma}$ and F depends only on σ , not on the choice of β .*

Proof. The existence of a G_σ -invariant β such that $F_\beta(P) = \sigma$ follows directly from Lemma 2.3. If $F := F_\beta(\widehat{\mathcal{O}})$ it follows immediately that $F \cap \mathfrak{a} = \sigma$. By Lemma 3.9 $W_1 \times W_2 \subset G_\sigma$, so $\beta \in \mathfrak{a}^{G_\sigma} \subset \mathfrak{a}^{W_1 \times W_2} = \mathfrak{s}_F$. It follows that $H_F = K^\beta$. The subgroup of W that fixes β is the Weyl group of $(\mathfrak{g}^\beta, \mathfrak{a})$, i.e., $W_1 \times W_2$. Hence $W_1 \times W_2 = G_\sigma$ and $\mathfrak{s}_F = \mathfrak{a}^{G_\sigma}$. So \mathfrak{s}_F depends only on σ , not on the choice of β . The same holds for $H_F = K^{\mathfrak{s}_F}$ and for $\text{ext } F$, which is equal to the H_F -orbit through a point in $\text{ext } \sigma$. □

Define a map $\psi : \mathcal{F}(P)/W \rightarrow \mathcal{F}(\widehat{\mathcal{O}})/K$ by the following rule: given σ , fix $\beta \in \mathfrak{a}^{G_\sigma}$ such that $\sigma = F_\beta(P)$ and set $\psi([\sigma]) := [F_\beta(\widehat{\mathcal{O}})]$. By the previous lemma $F_\beta(\widehat{\mathcal{O}})$ depends only on σ , not on β . It is clear that ψ is well-defined on equivalence classes.

Theorem 1.1. The maps ψ and φ are inverse to each other. Therefore $\mathcal{F}(P)/W$ and $\mathcal{F}(\widehat{\mathcal{O}})/K$ are in bijective correspondence.

Proof. Let σ be a face of P . Choose $\beta \in \mathfrak{a}^{G_\sigma}$ such that $\sigma = F_\beta(P)$. If $F := F_\beta(\widehat{\mathcal{O}})$, then $\mathfrak{s}_F \subset \mathfrak{a}$. So $\varphi \circ \psi([\sigma]) = \varphi([F]) = [F \cap \mathfrak{a}] = [\sigma]$ and $\varphi \circ \psi$ is the identity. Thus φ is surjective. It is enough to show that φ is injective. Let $F_1, F_2 \subset \widehat{\mathcal{O}}$ be faces such that $\varphi([F_1]) = \varphi([F_2])$. Acting with K we can assume that both \mathfrak{s}_{F_1} and \mathfrak{s}_{F_2} are contained in \mathfrak{a} . Acting with W we can also assume that $F_1 \cap \mathfrak{a} = F_2 \cap \mathfrak{a}$. By Corollary 3.2, we get $F_1 = F_2$. By Proposition 3.6 the map between $\mathcal{F}(P)/W$ and $\mathcal{F}(\widehat{\mathcal{O}})/K$ is the one stated in the introduction. \square

Remark 3.1. Let $K_1 \rightarrow \mathrm{O}(V)$ be a polar representation. By Dadok's theorem there is a semisimple Lie group G with Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ such that $V = \mathfrak{p}$ and the orbits of K_1 coincide with the orbit of $\mathrm{Ad} K$. A maximal subalgebra $\mathfrak{a} \subset \mathfrak{p}$ is a section for both actions. Denote by W the Weyl group of $(\mathfrak{g}, \mathfrak{a})$ and by W_1 the Weyl group of the polar representation of K_1 . If $x \in \mathfrak{a}$, then $W \cdot x = K \cdot x \cap \mathfrak{a} = K_1 \cdot x \cap \mathfrak{a} = W_1 \cdot x$. We claim that $\mathcal{F}(\widehat{\mathcal{O}})/K_1 = \mathcal{F}(\widehat{\mathcal{O}})/K$ and $\mathcal{F}(P)/W_1 = \mathcal{F}(P)/W$. Indeed let $F \in \mathcal{F}(\widehat{\mathcal{O}})$ and $k \in K$. Fix a point $x \in \mathrm{relint} F$. There is some $k_1 \in K_1$ such that $k_1 x = kx$. Then kx belongs both to $\mathrm{relint} kF$ and to $\mathrm{relint} k_1 F$. Hence $kF = k_1 F$ by Theorem 2.1. This shows that the K -orbit through F is contained in the K_1 -orbit through F . Interchanging K and K_1 we get the opposite inclusion. Thus $\mathcal{F}(\widehat{\mathcal{O}})/K_1 = \mathcal{F}(\widehat{\mathcal{O}})/K$. In the same way one proves that $\mathcal{F}(P)/W_1 = \mathcal{F}(P)/W$. From this it follows that Theorem 1.1 holds for any polar representation.

4. Final remarks

It follows from the results in the previous section that there are a finite number of K -orbits on the set $\mathcal{F}(\widehat{\mathcal{O}})$. Given such an orbit, we denote by S the union of the faces in the orbit. Therefore S equals $K \cdot F$ for some face $F \in \mathcal{F}(\widehat{\mathcal{O}})$. We call S the *stratum* corresponding to the face F . Arguing as in the case of coadjoint orbitopes [2, Section 5] one proves the following.

Theorem 4.1. *The strata give a partition of $\partial\widehat{\mathcal{O}}$. They are smooth embedded submanifolds of \mathfrak{p} and are locally closed in $\partial\widehat{\mathcal{O}}$. For any stratum S the boundary $\overline{S} - S$ is the disjoint union of strata of lower dimension.*

The computation of the dimension of the strata is trickier in this case. Nevertheless the bound in the statement follows easily from the following argument. If E is an n -dimensional convex body, then ∂E has Hausdorff dimension $n - 1$. If F is an n -dimensional face, the boundary of the stratum $S := K \cdot F$ is a fiber bundle over a compact base with fibers isometric to ∂F . Therefore, its Hausdorff dimension is strictly smaller than the dimension of S .

Also the description of the faces of $\widehat{\mathcal{O}}$ and of the momentum polytope in terms of root data is just as in the case of coadjoint orbitopes (see Section 6 in [2]). We briefly state the result.

Fix a maximal subalgebra \mathfrak{a} of \mathfrak{p} and a system of simple roots $\Pi \subset \Delta = \Delta(\mathfrak{g}, \mathfrak{a})$. A subset $E \subset \mathfrak{a}$ is *connected* if there is no pair of disjoint subsets $D, C \subset E$ such that $D \sqcup C = E$, and $\langle x, y \rangle = 0$ for any $x \in D$ and for any $y \in C$. (A thorough discussion of connected subsets can be found in [23], [20, Section 5].) Connected components are defined as usual. If x is a non-zero vector of \mathfrak{a} , a subset $I \subset \Pi$ is called *x -connected* if $I \cup \{x\}$ is connected. Equivalently, $I \subset \Pi$ is x -connected if and only if every connected component of I contains at least one root α such that $\alpha(x) \neq 0$. If $I \subset \Pi$ is x -connected, denote by I' the collection of all simple roots orthogonal to $\{x\} \cup I$. The set $J := I \cup I'$ is called the *x -saturation* of I . The largest x -connected subset contained in J is I . So J is determined by I and I is determined by J . Given a subset $I \subset \Pi$ we will denote by Q_I the parabolic subgroup with Lie algebra \mathfrak{q}_I as defined in (2.2).

Theorem 4.2. *Let $\mathcal{O} \subset \mathfrak{p}$ be a K -orbit and let x be the unique point in $\mathcal{O} \cap \overline{C}$.*

- (a) *If $I \subset \Pi$ is x -connected and J is its x -saturation, then $Q_I \cdot x = Q_J \cdot x$ and $F := \text{conv}(Q_J \cdot x)$ is a face of $\widehat{\mathcal{O}}$. If $\beta \in \mathfrak{a}_J$ and $\lambda(\beta) > 0$ for any $\lambda \in \Pi - J$, then $F = F_\beta(\widehat{\mathcal{O}})$. Moreover $Q_F = Q_J$.*
- (b) *Any face of $\widehat{\mathcal{O}}$ is conjugate to one of the faces constructed in (a).*

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DIPARTIMENTO DI MATEMATICA
UNIVERSITÀ DI PARMA
VIALE G. USBERTI 53/A
43100 PARMA
E-mail address: leonardo.biliotti@unipr.it

DIPARTIMENTO DI MATEMATICA E APPLICAZIONI
UNIVERSITÀ DI MILANO BICOCCA
VIA COZZI 53
20125 MILANO
ITALIA
E-mail address: alessandro.ghigi@unimib.it

RUHR UNIVERSITÄT BOCHUM
FAKULTÄT FÜR MATHEMATIK
RAUM NA 4/74
D-44780 BOCHUM
E-mail address: peter.heinzner@rub.de

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