

On one extremal property of a regular simplex

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In this paper, we show that the L_p -error of asymmetric linear approximation of the quadratic function $Q(\mathbf{x}) = \sum_{j=1}^d x_j^2$ on simplices in \mathbb{R}^d of fixed volume is minimized on regular simplices.

1. Introduction

One important problem in geometry is to study the approximation (in a specified metric) of smooth convex bodies by various polytopes. For instance, questions of approximating convex bodies by inscribed or circumscribed polytopes, by polytopes with fixed number of vertices or faces, by polytopes of best approximation, etc. have been studied in this direction. After some occasional results in the plane, the book of Fejes Toth [27] was the first to provide a large number of problems, ideas and results on polytopal approximation in dimensions two and three, concentrating specifically on extremal properties of regular polytopes. Many extensions have been made afterwards to higher dimensions, other metrics, etc. (see [7, 8, 16, 17] and references therein).

On the other hand, the question on approximation of functions, defined on a polytope, by piecewise linear functions, generated with the help of triangulations, in L_p -metrics is of great importance in approximation theory. The problems of interpolation, best and best one-sided approximation of functions by linear splines (i.e., piecewise linear functions) have been considered. The question of finding optimal adaptive triangulations, i.e., triangulations that depend on the function being approximated (see, for instance, [13, 14]), is of particular interest for applications. In order to construct adaptive triangulations many authors took into account the Hessian of the function (or curvature of its graph) (see [5, 6, 10, 12, 18, 24]).

Note that the construction of the best (in a specified sense) polytope for an individual convex body, or construction of the best triangulation for approximation of a specific individual function, is an extremely difficult problem. The above-mentioned papers have dealt only with asymptotically

optimal sequences of polytopes or asymptotically optimal sequences of triangulations.

One possible method to construct an asymptotically optimal sequence of polytopes or triangulations is as follows. As the first step, we construct an intermediate approximation of the convex body surface (or the function, respectively) by piecewise quadratic surface (function), and then solve the problem of approximating the piecewise quadratic surface (function) by piecewise linear ones. The latter, in turn (at least for $d = 2$), is equivalent to solving the following optimization problem (we shall give its statement for approximation of functions).

Let a quadratic function Q and a simplex \mathcal{T} in \mathbb{R}^d of unit volume be given. We shall consider the best L_p -approximation of function Q by linear functions defined on \mathcal{T} (or the best one-sided approximation, which coincide with the deviation of interpolant for positively definite Q), and the problem is to find a simplex \mathcal{T}^* , for which the corresponding error is minimal. (The known solutions of this problem are listed in Section 3.)

Therefore, in a number of questions of geometry and approximation theory, it is important to find a simplex of fixed (unit) volume such that the error of the best approximation of a given quadratic function on this simplex in a specified metric or the best approximation with constraints (for instance, one-sided) is minimized.

In approximation theory, there exists a tool to view both the problem of finding the best approximation without constraints and the problem of finding the best approximation with constraints “under one umbrella”. The latter can be viewed as the best approximation in the spaces with asymmetric norm or so-called (α, β) -approximation (see, for example, [1, 2, 20]), when positive and negative parts of the difference between function and the approximant are “weighted” differently. Such type of approximations are of a separate interest, since they can be considered as the problems of approximation with non-strict constraints (see below for more precise statements), when constraints are allowed to be violated, but the penalty for the violation is introduced into the error measure. We think that such a method could also be interesting for certain geometric problems.

Therefore, the purpose of this paper is to prove the optimality of a regular simplex in the problem of minimizing (over the simplices unit volume) the best (α, β) -approximation in L_p -metric of quadratic function $\sum_{j=1}^d x_j^2$ by linear functions. Note that with the help of linear transformations the solution of this problem allows us to obtain the solution of analogous optimization problems for an arbitrary positive definite quadratic form.

The paper is organized as follows. Section 2 contains definitions, notations and rigorous statements of the problem we study and closely related problems. Previous results and the main result of the paper are given in Section 3. Section 4 is devoted to the proof of the main result.

2. Notations, definitions and statements of the problems

Let $d \in \mathbb{N}$ and let \mathbb{R}^d be the space of points $\mathbf{x} = (x_1, \dots, x_d)$. Every point $\mathbf{x} \in \mathbb{R}^d$ determines (and is determined by) the row-vector with coordinates (x_1, \dots, x_d) , and we shall reserve the notation \mathbf{x} for such a vector. The Euclidean distance between points $\mathbf{a}, \mathbf{b} \in \mathbb{R}^d$ is defined as usually by

$$\|\mathbf{a} - \mathbf{b}\|_2 := \left(\sum_{j=1}^d (a_j - b_j)^2 \right)^{1/2}.$$

For a row-vector \mathbf{x} , let \mathbf{x}^t be the column-vector transponent to \mathbf{x} . For a square matrix \mathbf{J} , we denote its transponent matrix by \mathbf{J}^t .

For a measurable bounded set $G \subset \mathbb{R}^d$, let $L_p(G)$, $1 \leq p \leq \infty$, be the space of measurable and integrable in the power p (essentially bounded if $p = \infty$) and functions $f : G \rightarrow \mathbb{R}$ endowed with the usual norm

$$\|f\|_{L_p(G)} := \begin{cases} \left(\int_G |f(\mathbf{x})|^p d\mathbf{x} \right)^{\frac{1}{p}} & \text{if } 1 \leq p < \infty, \\ \text{esssup}\{|f(\mathbf{x})| : \mathbf{x} \in G\} & \text{if } p = \infty. \end{cases}$$

Let $f \in L_p(G)$ and let a locally compact subset $H \subset L_p(G)$ be given. Set $E(f; H)_{L_p(G)}$ to be the best approximation of the function f by H in the L_p -norm, i.e.,

$$E(f; H)_{L_p(G)} := \inf\{\|f - u\|_{L_p(G)} : u \in H\}.$$

In addition, set

$$(2.1) \quad E^\pm(f; H)_{L_p(G)} := \inf\{\|f - u\|_{L_p(G)} : \pm u \leq \pm f, u \in H\}.$$

Quantity (2.1) is called the best approximation from above ($E^-(f; H)_{L_p(G)}$) or below ($E^+(f; H)_{L_p(G)}$) of the function f by the subset H in the L_p -norm.

The quantities $E^-(f; H)_{L_p(G)}$ and $E^+(f; H)_{L_p(G)}$ are called the best one-sided approximations. For $\alpha, \beta > 0$ and $f \in L_p(G)$, let

$$|f(\mathbf{x})|_{\alpha, \beta} := \alpha f_+(\mathbf{x}) + \beta f_-(\mathbf{x}),$$

where $g_{\pm}(\mathbf{x}) := \max\{\pm g(\mathbf{x}); 0\}$. Define the asymmetric L_p -norm as follows:

$$\begin{aligned} \|f\|_{L_{p; \alpha, \beta}(G)} &:= \|\alpha f_+ + \beta f_-\|_{L_p(G)} \\ &= \begin{cases} \left(\int_G |f(\mathbf{x})|_{\alpha, \beta}^p d\mathbf{x} \right)^{\frac{1}{p}} & \text{if } 1 \leq p < \infty, \\ \text{esssup}\{|f(\mathbf{x})|_{\alpha, \beta} : \mathbf{x} \in G\} & \text{if } p = \infty. \end{cases} \end{aligned}$$

Asymmetric norms in connection with various problems in approximation theory were considered in papers [1, 4, 21] and books [19, 20, 22]. By $E(f; H)_{p; \alpha, \beta}$ denote the best (α, β) -approximation [1, 20] of the function f by H in the L_p -norm, i.e.,

$$E(f; H)_{L_{p; \alpha, \beta}(G)} = \inf\{\|f - u\|_{L_{p; \alpha, \beta}(G)} : u \in H\}.$$

Note that for $\alpha = \beta = 1$, we have $E(f; H)_{L_{p; 1, 1}(G)} = E(f; H)_{L_p(G)}$. Babenko [1] proved that the following limit relations hold (see also [20, Theorem 1.4.10]):

$$(2.2) \quad \begin{aligned} \lim_{\beta \rightarrow +\infty} E(f; H)_{L_{p; 1, \beta}(G)} &= E^+(f; H)_{L_p(G)}, \\ \lim_{\alpha \rightarrow +\infty} E(f; H)_{L_{p; \alpha, 1}(G)} &= E^-(f; H)_{L_p(G)}. \end{aligned}$$

This allows us to include the problem of the best unconstrained approximation and the problem of the best one-sided approximation into the family of problems of the same type, and consider them from a general point of view (for more on this motivation, see [2, 3]). Because of the relation

$$\|f - u\|_{p; 1, \beta}^p = \|f - u\|_p^p + (\beta^p - 1)\|(f - u)_-\|_p^p, \quad \beta > 1,$$

the problem of the best $(1, \beta)$ -approximation can be considered as the problem of the best approximation with non-strict constraint $f \leq u$. This constraint is allowed to be violated, but the penalty

$$(\beta^p - 1)\|(f - u)_-\|_p^p$$

for its violation is introduced into the error measure. In what follows, we shall allow the value $+\infty$ for α or β , in that case identifying $E(f; H)_{L_{p; \alpha, \beta}(G)}$ with the corresponding one-sided approximation.

Let

$$\mathcal{S}_1(G) := \{g(\mathbf{x}) = \mathbf{a}\mathbf{x}^t + c : \mathbf{a} \in \mathbb{R}^d, c \in \mathbb{R}, \mathbf{x} \in G\}.$$

The space $\mathcal{S}_1(G)$ will be the main approximation set in this paper. Let also $\mathcal{T} = \{\mathbf{t}^1, \dots, \mathbf{t}^{d+1}\}$ be the d -dimensional simplex with vertices \mathbf{t}^j , $j = 1, \dots, d + 1$. We shall consider the following optimization problem.

Let $Q(\mathbf{x}) = \mathbf{x}\mathbf{x}^t$, and for $\mathcal{T} \subset \mathbb{R}^d$, set

$$\sigma_{p;\alpha,\beta;d}(\mathcal{T}) := \frac{E(Q; \mathcal{S}_1(\mathcal{T}))_{L_{p;\alpha,\beta}(\mathcal{T})}}{|\mathcal{T}|^{1+\frac{1}{p}}},$$

where $|\mathcal{T}|$ stands for the d -dimensional volume of the simplex \mathcal{T} . The purpose of this paper is to solve

Problem 1. Find

$$(2.3) \quad \sigma_{p;\alpha,\beta;d} := \inf_{\mathcal{T}} \sigma_{p;\alpha,\beta;d}(\mathcal{T})$$

and describe all simplices \mathcal{T} , for which the infimum in the right-hand part of (2.3) is achieved.

A solution to Problem 1 will allow to solve the following related problems.

Problem 2. Find

$$(2.4) \quad \sigma_{p;d} := \inf_{\mathcal{T}} \frac{E(Q; \mathcal{S}_1(\mathcal{T}))_{L_p(\mathcal{T})}}{|\mathcal{T}|^{1+\frac{1}{p}}}$$

and describe all simplices \mathcal{T} , for which the infimum in the right-hand part of (2.4) is achieved.

Problem 3. Find

$$(2.5) \quad \sigma_{p;d}^{\pm} := \inf_{\mathcal{T}} \frac{E^{\pm}(Q; \mathcal{S}_1(\mathcal{T}))_{L_p(\mathcal{T})}}{|\mathcal{T}|^{1+\frac{1}{p}}}$$

and describe all simplices \mathcal{T} , for which the infimum in the right-hand part of (2.5) is achieved.

3. History and the main result

Note that the quantity $E^+(Q; \mathcal{S}_1(\mathcal{T}))_{L_p(\mathcal{T})}$ coincides with the error of linear interpolation of the quadratic function $Q(\mathbf{x})$ at the vertices of the simplex \mathcal{T} , and the quantity $E^-(Q; \mathcal{S}_1(\mathcal{T}))_{L_p(\mathcal{T})}$ coincides with the error of tangential interpolation of $Q(\mathbf{x})$ on the simplex \mathcal{T} . In view of formulas (2.2), we have

$$\sigma_{p;d}^+ = \lim_{\beta \rightarrow +\infty} \sigma_{p;1,\beta;d} \quad \text{and} \quad \sigma_{p;d}^- = \lim_{\alpha \rightarrow +\infty} \sigma_{p;\alpha,1;d}.$$

The quantity $\sigma_{p;d}^+$ has been considered for $d = 2$ in connection with the problem of finding the best triangulation Δ_N consisting of N triangles of the set $G \subset \mathbb{R}^2$, provided that the L_p -error of interpolation at the vertices of Δ_N of convex function f is minimized.

The first attempt to find $\sigma_{p;d}^+$ is due to D’Azevedo and Simpson [12], who computed $\sigma_{\infty;2}^+$. To the best of our knowledge, the progress on this problem can be outlined as follows:

- (1) $d = 2, p = \infty$ [12];
- (2) $d \geq 2, p = \infty$ [26];
- (3) $d = 3, p = 2$ [9];
- (4) $d = 2, p = 1$ [7];
- (5) $d = 2, p = 2$ [25];
- (6) $d \geq 2, p \in \mathbb{N}$ [10];
- (7) $d = 2, p \in (0, \infty)$ [6];
- (8) $d \geq 2, p \in (1, \infty)$ [11].

Remark 3.1. Note that $\sigma_{p;d}^+$ for $d = 2$ and $p \in (1, \infty)$ was independently found by Chen [11] and Babenko, *et al.* [6].

Remark 3.2. By L_p -error in the case $p \in (0, 1)$, we understand the following expression:

$$E(f; H)_{L_p(G)} := \inf \left\{ \left(\int_G |f(\mathbf{x}) - u(\mathbf{x})|^p d\mathbf{x} \right)^{\frac{1}{p}} : u \in H \right\}.$$

Remark 3.3. Infimum in Problem 3 is achieved only on regular simplices.

To the best of our knowledge, Problem 2 was solved only in the case $d = 2, p = 2$ and $\alpha = \beta = 1$ by Nadler [23, 24]. In the next section, we shall give the solution of Problem 1 for all $\alpha, \beta > 0, 1 \leq p \leq \infty$ and $d \in \mathbb{N}$.

Let \mathcal{T}_0 be a regular simplex of unit volume in \mathbb{R}^d . The main result of our paper is the following:

Theorem 3.1. *Let $\alpha, \beta > 0, d \in \mathbb{N}$ and $1 \leq p \leq \infty$. Then*

$$\sigma_{p;\alpha,\beta;d} = \sigma_{p;\alpha,\beta;d}(\mathcal{T}_0).$$

In view of (2.2) we obtain the following statements.

Corollary 3.1. *Let $d \in \mathbb{N}$ and $1 \leq p \leq \infty$. Then*

$$\sigma_{p;d} = \frac{E(Q; \mathcal{S}_1(\mathcal{T}_0))_{L_p(\mathcal{T}_0)}}{|\mathcal{T}_0|^{1+\frac{1}{p}}}.$$

Corollary 3.2. *Let $d \in \mathbb{N}$ and $1 \leq p \leq \infty$. Then*

$$\sigma_{p;d}^\pm = \frac{E^\pm(Q; \mathcal{S}_1(\mathcal{T}_0))_{L_p(\mathcal{T}_0)}}{|\mathcal{T}_0|^{1+\frac{1}{p}}}.$$

Recall that $E^+(Q; \mathcal{S}_1(\mathcal{T}_0))$ is the error of linear interpolation of function Q .

The next section is devoted to the proof of this theorem.

4. Proof of the main result

Let $\alpha, \beta > 0$ be fixed throughout this section. Note that the value of the quantity $E(Q; \mathcal{S}_1(\mathcal{T}))_{L_{p;\alpha,\beta}(\mathcal{T})}$ is independent of translations of the simplex \mathcal{T} and its volume. For simplices $\mathcal{T}, \mathcal{T}' \subset \mathbb{R}^d$, we shall write $\mathcal{T} = \mathcal{T}'$ if there exists a motion F of the space \mathbb{R}^d such that $F(\mathcal{T}) = \mathcal{T}'$, and we shall write $\mathcal{T} \neq \mathcal{T}'$ otherwise.

The proof of the main theorem consists of two parts contained in the following two lemmas.

Lemma 4.1. *Let \mathcal{T} be an arbitrary d -dimensional simplex of unit volume. Then there exists a constant $C > 0$, independent of \mathcal{T} , such that*

$$\sigma_{p;\alpha,\beta;d}(\mathcal{T}) \geq C(\text{diam } \mathcal{T})^2.$$

Lemma 4.2. *If $\mathcal{T}, \mathcal{T} \neq \mathcal{T}_0$, is a simplex of unit volume in \mathbb{R}^d then there exists a simplex $\mathcal{T}^* \subset \mathbb{R}^d$ of unit volume such that*

$$\sigma_{p;\alpha,\beta;d}(\mathcal{T}) > \sigma_{p;\alpha,\beta;d}(\mathcal{T}^*).$$

Indeed, in view of Lemma 4.1, there exists an optimal d -dimensional simplex \mathcal{T}' of unit volume such that $\sigma_{p;\alpha,\beta;d} = \sigma_{p;\alpha,\beta;d}(\mathcal{T}')$. Then, Lemma 4.2 gives $\mathcal{T}' = \mathcal{T}_0$.

Proof of Lemma 4.1. Let $\mathcal{T}_d := \mathcal{T} = \{\mathbf{t}^1, \mathbf{t}^2, \dots, \mathbf{t}^{d+1}\}$ be a simplex of unit volume. Assume that $\|\mathbf{t}^1 - \mathbf{t}^2\|_2 = \text{diam } \mathcal{T}_d$. In addition, for $j = 1, \dots, d - 1$, let $\mathcal{T}_j = \{\mathbf{t}^1, \mathbf{t}^2, \dots, \mathbf{t}^{j+1}\}$ be a simplex in \mathbb{R}^j .

First, let us consider the case $1 \leq p < \infty$. For $j = 2, \dots, d$, by h_j denoting the length of the height from the vertex \mathbf{t}^j of the simplex \mathcal{T}_j to the simplex \mathcal{T}_{j-1} . For every $\mathbf{a} = (a_1, \dots, a_d) \in \mathbb{R}^d$, let $\mathbf{a}' = (a_1, \dots, a_{d-1})$. Then,

$$\begin{aligned} \sigma_{p;\alpha,\beta;d}^p(\mathcal{T}_d) &= \inf_{\mathbf{a} \in \mathbb{R}^d, c \in \mathbb{R}} \int_{\mathcal{T}_d} |\mathbf{x}\mathbf{x}^t - \mathbf{a}\mathbf{x}^t - c|_{\alpha,\beta}^p d\mathbf{x} \\ &= \inf_{\mathbf{a} \in \mathbb{R}^d, c \in \mathbb{R}} \int_0^{h_d} \int_{\frac{u}{h_d} \mathcal{T}_{d-1}} |u^2 + \mathbf{y}\mathbf{y}^t - a_d u - \mathbf{a}'\mathbf{y}^t - c|_{\alpha,\beta}^p dy du \\ &\geq \int_0^{h_d} \left(\frac{u}{h_d}\right)^{2p+(d-1)} \sigma_{p;\alpha,\beta;d-1}^p(\mathcal{T}_{d-1}) du \\ &= \frac{h_d}{2p+d} \sigma_{p;\alpha,\beta;d-1}^p(\mathcal{T}_{d-1}). \end{aligned}$$

Proceeding by induction on d , we verify that

$$\begin{aligned} \sigma_{p;\alpha,\beta;d}^p(\mathcal{T}_d) &\geq \frac{h_2 h_3 \dots h_d}{(2p+2)(2p+3) \dots (2p+d)} \sigma_{p;\alpha,\beta;1}^p(\mathcal{T}_1) \\ &\geq C^p \cdot \frac{h_2 h_3 \dots h_d}{d!} \cdot (\text{diam } \mathcal{T}_d)^{2p+1} = C^p (\text{diam } \mathcal{T}_d)^{2p}, \end{aligned}$$

where Υ is some positive constant independent of the simplex \mathcal{T}_d .

Let us turn to the case $p = \infty$. In this case, we obtain

$$\begin{aligned} \sigma_{\infty;\alpha,\beta;d}(\mathcal{T}_d) &\geq \sigma_{\infty;\alpha,\beta;1}(\mathcal{T}_1) \\ &= \inf_{k \in \mathbb{R}, c \in \mathbb{R}} \sup_{u \in [0, \text{diam } \mathcal{T}_d]} |u^2 - ku - c|_{\alpha,\beta} \geq \Upsilon (\text{diam } \mathcal{T}_d)^2. \end{aligned}$$

□

Proof of Lemma 4.2. Let $\mathcal{T} = \{\mathbf{w}^1, \mathbf{w}^2, \mathbf{t}^1, \dots, \mathbf{t}^{d-1}\} \neq \mathcal{T}_0$ be a simplex of unit volume. Without loss of generality, we may assume that $\|\mathbf{w}^1 - \mathbf{t}^1\|_2 \neq \|\mathbf{w}^2 - \mathbf{t}^1\|_2$. Clearly, we can always choose the coordinate system in \mathbb{R}^d so that the vertices of \mathcal{T} have the following coordinates:

$$\mathbf{w}^1 = (-\delta, 0, 0, \dots, 0), \quad \mathbf{w}^2 = (\delta, 0, 0, \dots, 0),$$

where $\delta := \frac{1}{2}\|\mathbf{w}^1 - \mathbf{w}^2\|_2$, and the remaining vectors $\mathbf{t}^1, \mathbf{t}^2, \dots, \mathbf{t}^{d-1}$ have the following coordinates:

$$\begin{aligned} \mathbf{t}^1 &= (b_1 & a_{1,1} & 0 & 0 & \dots & 0 & 0 &), \\ \mathbf{t}^2 &= (b_2 & a_{1,2} & a_{2,2} & 0 & \dots & 0 & 0 &), \\ \mathbf{t}^3 &= (b_3 & a_{1,3} & a_{2,3} & a_{3,3} & \dots & 0 & 0 &), \\ \vdots & & \vdots & \vdots & \vdots & & \vdots & \vdots & \\ \mathbf{t}^{d-2} &= (b_{d-2} & a_{1,d-2} & a_{2,d-2} & a_{3,d-2} & \dots & a_{d-2,d-2} & 0 &), \\ \mathbf{t}^{d-1} &= (b_{d-1} & a_{1,d-1} & a_{2,d-1} & a_{3,d-1} & \dots & a_{d-2,d-1} & a_{d-1,d-1} &). \end{aligned}$$

Note that in view of our assumption, $b_1 \neq 0$. In addition, it can be easily seen that $a_{j,j} \neq 0$ for all $j = 1, \dots, d - 1$.

Let

$$\mathbf{b} := (b_1, \dots, b_{d-1})$$

and

$$\mathbf{A} := \begin{pmatrix} a_{1,1} & a_{1,2} & a_{1,3} & \dots & a_{1,d-2} & a_{1,d-1} \\ 0 & a_{2,2} & a_{2,3} & \dots & a_{2,d-2} & a_{2,d-1} \\ 0 & 0 & a_{3,3} & \dots & a_{3,d-2} & a_{3,d-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & a_{d-2,d-2} & a_{d-2,d-1} \\ 0 & 0 & 0 & \dots & 0 & a_{d-1,d-1} \end{pmatrix}.$$

Since the matrix \mathbf{A} is non-singular, set

$$(4.1) \quad \mathbf{y} = (y_1, \dots, y_{d-1}) := \mathbf{b} \mathbf{A}^{-1}.$$

Let \mathbf{I} be the identity matrix of size $(d - 1) \times (d - 1)$. In addition, set $\mathbf{R} := \mathbf{y}^t \mathbf{y} + \mathbf{I}$. It can be easily seen that the matrix \mathbf{R} is positive definite. Therefore, in view of the Cholesky decomposition (a standard technique in numerical analysis, whose description can be found, for instance, in [15]),

there exists an upper triangular matrix $\mathbf{U} = (u_{k,j})_{1 \leq k, j \leq d-1}$ such that

$$\mathbf{R} = \mathbf{U}^t \mathbf{U}.$$

Moreover, for every $j = 1, \dots, d - 1$, we have $u_{j,j} = \sqrt{\frac{D_j}{D_{j-1}}}$, where

$$(4.2) \quad D_0 := 1, \quad D_k := \det \begin{pmatrix} 1 + y_1^2 & y_1 y_2 & y_1 y_3 & \dots & y_1 y_{k-1} & y_1 y_k \\ y_1 y_2 & 1 + y_2^2 & y_2 y_3 & \dots & y_2 y_{k-1} & y_2 y_k \\ y_1 y_3 & y_2 y_3 & 1 + y_3^2 & \dots & y_3 y_{k-1} & y_3 y_k \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ y_1 y_{k-1} & y_2 y_{k-1} & y_3 y_{k-1} & \dots & 1 + y_{k-1}^2 & y_{k-1} y_k \\ y_1 y_k & y_2 y_k & y_3 y_k & \dots & y_{k-1} y_k & 1 + y_k^2 \end{pmatrix},$$

for all $k = 1, \dots, d - 1$. Consequently, $u_{j,j} > 0$ for every $j = 1, \dots, d - 1$.

Let $\mathbf{Q} = (q_{k,j})_{1 \leq k, j \leq d-1}$ be the diagonal matrix such that $q_{j,j} = u_{j,j}$, $j = 1, \dots, d - 1$. We define

$$\bar{\mathbf{U}} := \mathbf{Q}^{-1} \mathbf{U}.$$

Then $\bar{\mathbf{U}}$ is the unit upper triangular matrix. Therefore,

$$\mathbf{R} = \bar{\mathbf{U}}^t \mathbf{Q}^2 \bar{\mathbf{U}}.$$

Set

$$\mathbf{M} := \bar{\mathbf{U}} \mathbf{A}.$$

Denote the elements of \mathbf{M} by $m_{k,j}$, i.e., $\mathbf{M} = (m_{k,j})_{1 \leq k, j \leq d-1}$. Note that for every $j = 1, \dots, d - 1$, it follows that $m_{j,j} = a_{j,j}$.

Let us now consider the simplex $\tilde{\mathcal{T}} = \{\mathbf{w}^1, \mathbf{w}^2, \tilde{\mathbf{t}}^1, \dots, \tilde{\mathbf{t}}^{d-1}\}$, whose vertices have the following coordinates:

$$\begin{aligned} \tilde{\mathbf{t}}^1 &:= (0 & a_{1,1} & 0 & 0 & \dots & 0 & 0 &), \\ \tilde{\mathbf{t}}^2 &:= (0 & m_{1,2} & a_{2,2} & 0 & \dots & 0 & 0 &), \\ \tilde{\mathbf{t}}^3 &:= (0 & m_{1,3} & m_{2,3} & a_{3,3} & \dots & 0 & 0 &), \\ &\vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \\ \tilde{\mathbf{t}}^{d-2} &:= (0 & m_{1,d-2} & m_{2,d-2} & m_{3,d-2} & \dots & a_{d-2,d-2} & 0 &), \\ \tilde{\mathbf{t}}^{d-1} &:= (0 & m_{1,d-1} & m_{2,d-1} & m_{3,d-1} & \dots & m_{d-2,d-1} & a_{d-1,d-1} &). \end{aligned}$$

Obviously, the volumes of simplices \mathcal{T} and $\tilde{\mathcal{T}}$ coincide.

Now we shall construct the linear transformation $S : \mathbb{R}^d \rightarrow \mathbb{R}^d$ such that $S(\tilde{\mathcal{T}}) = \mathcal{T}$. To that end, set

$$\mathbf{h} := \mathbf{bM}^{-1} \in \mathbb{R}^{d-1},$$

and define the linear transformation S with the help of the matrix

$$\mathbf{S} = \begin{pmatrix} 1 & \mathbf{h} \\ 0 & (\bar{\mathbf{U}})^{-1} \\ \vdots & \\ 0 & \end{pmatrix}.$$

Note that $\det \mathbf{S} = 1$. Then for every $\mathbf{a} = (a_1, a_2, \dots, a_d) \in \mathbb{R}^d$ and $c \in \mathbb{R}$, it follows that

$$(4.3) \quad L := \|\mathbf{xx}^t - \mathbf{ax}^t - c\|_{L_{p,\alpha,\beta}(\mathcal{T})} = \|\mathbf{vS}^t\mathbf{Sv}^t - \mathbf{aSv}^t - c\|_{L_{p,\alpha,\beta}(\tilde{\mathcal{T}})}.$$

Let us consider the simplex $\hat{\mathcal{T}} = \{\mathbf{w}^1, \mathbf{w}^2, \hat{\mathbf{t}}^1, \dots, \hat{\mathbf{t}}^{d-1}\}$ such that

$$\begin{aligned} \hat{\mathbf{t}}^1 &:= (-b_1 & a_{1,1} & 0 & 0 & \dots & 0 & 0), \\ \hat{\mathbf{t}}^2 &:= (-b_2 & a_{1,2} & a_{2,2} & 0 & \dots & 0 & 0), \\ \hat{\mathbf{t}}^3 &:= (-b_3 & a_{1,3} & a_{2,3} & a_{3,3} & \dots & 0 & 0), \\ \vdots & & \vdots & \vdots & \vdots & & \vdots & \vdots \\ \hat{\mathbf{t}}^{d-2} &:= (-b_{d-2} & a_{1,d-2} & a_{2,d-2} & a_{3,d-2} & \dots & a_{d-2,d-2} & 0), \\ \hat{\mathbf{t}}^{d-1} &:= (-b_{d-1} & a_{1,d-1} & a_{2,d-1} & a_{3,d-1} & \dots & a_{d-2,d-1} & a_{d-1,d-1}). \end{aligned}$$

It can be easily verified that the linear transformation $\hat{S} : \mathbb{R}^d \rightarrow \mathbb{R}^d$ defined with the help of the matrix

$$\hat{\mathbf{S}} = \begin{pmatrix} 1 & -\mathbf{h} \\ 0 & (\bar{\mathbf{U}})^{-1} \\ \vdots & \\ 0 & \end{pmatrix}$$

transforms the simplex $\tilde{\mathcal{T}}$ into the simplex $\hat{\mathcal{T}}$. Therefore, for $\hat{\mathbf{a}} := (-a_1, a_2, \dots, a_d)$, we obtain

$$(4.4) \quad \hat{L} := \|\mathbf{xx}^t - \hat{\mathbf{a}}\mathbf{x}^t - c\|_{L_{p,\alpha,\beta}(\hat{\mathcal{T}})} = \|\mathbf{v}\hat{\mathbf{S}}^t\hat{\mathbf{S}}\mathbf{v}^t - \hat{\mathbf{a}}\hat{\mathbf{S}}\mathbf{v}^t - c\|_{L_{p,\alpha,\beta}(\tilde{\mathcal{T}})}.$$

Due to the symmetry of simplices \mathcal{T} and $\widehat{\mathcal{T}}$, we obtain $L = \widehat{L}$. Then from (4.3) and (4.4), we derive that

$$(4.5) \quad L = \frac{1}{2}(L + \widehat{L}) \geq \left\| \frac{1}{2} \left[\mathbf{v}(\mathbf{S}^t \mathbf{S} + \widehat{\mathbf{S}}^t \widehat{\mathbf{S}}) \mathbf{v}^t - (\mathbf{a} \mathbf{S} + \widehat{\mathbf{a}} \widehat{\mathbf{S}}) \mathbf{v}^t - 2c \right] \right\|_{L_{p;\alpha,\beta}(\widetilde{\mathcal{T}})}.$$

Note that

$$\begin{aligned} 2\mathbf{D} := \mathbf{S}^t \mathbf{S} + \widehat{\mathbf{S}}^t \widehat{\mathbf{S}} &= \begin{pmatrix} 1 & 0 & \dots & 0 \\ \mathbf{h}^t & [(\overline{\mathbf{U}})^{-1}]^t & & \end{pmatrix} \begin{pmatrix} 1 & \mathbf{h} \\ 0 & (\overline{\mathbf{U}})^{-1} \\ \vdots & \\ 0 & \end{pmatrix} \\ &+ \begin{pmatrix} 1 & 0 & \dots & 0 \\ -\mathbf{h}^t & [(\overline{\mathbf{U}})^{-1}]^t & & \end{pmatrix} \begin{pmatrix} 1 & -\mathbf{h} \\ 0 & (\overline{\mathbf{U}})^{-1} \\ \vdots & \\ 0 & \end{pmatrix} \\ &= 2 \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & \mathbf{h}^t \mathbf{h} + [(\overline{\mathbf{U}})^{-1}]^t (\overline{\mathbf{U}})^{-1} \\ \vdots & & & \\ 0 & & & \end{pmatrix}. \end{aligned}$$

Since $\mathbf{h} = \mathbf{b} \mathbf{M}^{-1} = \mathbf{b} \mathbf{A}^{-1} (\overline{\mathbf{U}})^{-1}$, we have

$$\begin{aligned} \mathbf{h}^t \mathbf{h} + [(\overline{\mathbf{U}})^{-1}]^t (\overline{\mathbf{U}})^{-1} &= [(\overline{\mathbf{U}})^{-1}]^t [(\mathbf{A}^{-1})^t \mathbf{b}^t \mathbf{b} \mathbf{A}^{-1} + \mathbf{I}] (\overline{\mathbf{U}})^{-1} \\ &= [(\overline{\mathbf{U}})^{-1}]^t \mathbf{R} (\overline{\mathbf{U}})^{-1} = \mathbf{Q}^2. \end{aligned}$$

Therefore,

$$\mathbf{D} = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & & & \\ \vdots & \mathbf{Q}^2 & & \\ 0 & & & \end{pmatrix},$$

and \mathbf{D} is the diagonal matrix with elements $1, D_1, \frac{D_2}{D_1}, \dots, \frac{D_{d-1}}{D_{d-2}}$ on the main diagonal (numbers $D_j, j = 1, \dots, d - 1$, were defined in (4.2)). Let F be the linear transformation defined with the help of the diagonal matrix \mathbf{F} , having elements $D_{d-1}^{\frac{1}{2d}}, D_{d-1}^{\frac{1}{2d}} \cdot \sqrt{\frac{1}{D_1}}, D_{d-1}^{\frac{1}{2d}} \cdot \sqrt{\frac{D_1}{D_2}}, \dots, D_{d-1}^{\frac{1}{2d}} \cdot \sqrt{\frac{D_{d-2}}{D_{d-1}}}$ on the main diagonal. It can be easily seen that $\det \mathbf{F} = 1$. Let $\mathcal{T}^* = F^{-1}(\widetilde{\mathcal{T}})$. Then,

in view of (4.5),

$$L \geq D_{d-1}^{\frac{1}{d}} \|\mathbf{z}\mathbf{z}^t - \mathbf{g}\mathbf{z}^t - c'\|_{L_{p;\alpha,\beta}(\mathcal{T}^*)},$$

where $\mathbf{g} = \frac{1}{2D_{d-1}^{\frac{2d}{d-1}}}(\mathbf{a}\mathbf{S} + \widehat{\mathbf{a}}\widehat{\mathbf{S}})\mathbf{F}$ and $c' = \frac{c}{D_{d-1}^{\frac{2d}{d-1}}}$. Consequently,

$$\sigma_{p;\alpha,\beta;d}(\mathcal{T}) \geq D_{d-1}^{\frac{1}{d}} \sigma_{p;\alpha,\beta;d}(\mathcal{T}^*).$$

Let us show that the assumption $\|\mathbf{w}^1 - \mathbf{t}^1\|_2 \neq \|\mathbf{w}^2 - \mathbf{t}^1\|_2$ yields $D_{d-1} > 1$. Indeed, since the matrix \mathbf{R} is positive definite, it follows that

$$(4.6) \quad D_{d-1} = \det(\mathbf{y}^t\mathbf{y} + I) = (1 + \lambda_1) \cdots (1 + \lambda_{d-1}) \geq 1,$$

where $\lambda_j \geq 0$, $j = 1, \dots, d - 1$, are the eigenvalues of the matrix $\mathbf{y}^t\mathbf{y}$ (the existence of non-negative eigenvalues is guaranteed by positive semidefiniteness and symmetry of $\mathbf{y}^t\mathbf{y}$). Inequality (4.6) becomes an equality if and only if $\lambda_j = 0$ for all $j = 1, \dots, d - 1$, or, that is the same, $y_j = 0$ for every $j = 1, \dots, d - 1$. In view of (4.1) and non-singularity of the matrix \mathbf{A} , this yields that $b_j = 0$ for all $j = 1, \dots, d - 1$. However, this contradicts the fact that $b_1 \neq 0$, which follows from the assumption $\|\mathbf{w}^1 - \mathbf{t}^1\|_2 \neq \|\mathbf{w}^2 - \mathbf{t}^1\|_2$. \square

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