Schrödinger Flow on Hermitian Locally Symmetric Spaces ¹

PETER Y.H. PANG, HONG-YU WANG AND YOU-DE WANG

In this paper, we show that there exist global (inhomogeneous) Schrödinger flows from the real line R^1 as well as the circle S^1 into Hermitian locally symmetric spaces. Moreover, the Schrödinger flows obey a conservation law. Via the correspondence between the Schrödinger flow on complex Grassmannians and the matrix nonlinear Schrödinger equation (focusing case) on the real line R^1 , Terng and Uhlenbeck recently established the existence of global Schrödinger flow from R^1 into complex Grassmannian manifolds using methods of complete integrability and inverse scattering. In a particular case, our result provides a geometric analytic approach to this global existence result on R^1 .

1. Introduction.

Let N be a complete Kähler manifold equipped with a Kähler form ω , a complex structure J, and the Kähler metric $h(\cdot, \cdot) = \omega(\cdot, J \cdot)$. Then, given a map u_0 from a Riemannian manifold (M, g) into N, the Schrödinger flow (see [5]) $u(\cdot, t) : M \to N$ for u_0 is defined by the Cauchy problem

(1.1)
$$\begin{cases} \partial_t u = J(u)\tau(u), \\ u(x,0) = u_0(x). \end{cases}$$

Here, $\tau(u)$ is the tension field of u; in local coordinates,

$$\tau^{\alpha}(u) = \Delta u^{\alpha} + g^{ij} \Gamma^{\alpha}_{\beta\gamma}(u) \frac{\partial u^{\beta}}{\partial x^{i}} \frac{\partial u^{\gamma}}{\partial x^{j}},$$

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where Δ is the Laplace-Beltrami operator on M with respect to the metric g and $\Gamma^{\alpha}_{\beta\gamma}$ are the Christoffel symbols of the target manifold N (see [7]).

In [20], H.Y. Wang and Y.D. Wang formulated an *inhomogeneous* version of the Schrödinger flow as follows:

Given a scalar-valued, nonnegative function f(x) on M, define the inhomogeneous energy $E_f(u)$ of a map $u \in C^1(M, N)$ with respect to the coupling function f(x) by

$$E_f(u) = \frac{1}{2} \int_M |du|^2 f(x) \, dM,$$

where $|du|^2 = \text{Trace}_g u^* h$, $u^* h$ being the pull-back of the metric tensor h on N by u. With respect to an orthonormal frame $\{e_i\}$ on M, the L^2 -gradient of E_f at u, denoted by $\tau_f(u)$, can be expressed as

$$\tau_f(u) = f\tau(u) + \nabla f \cdot du.$$

Here $\nabla f \cdot du = \sum_{i=1}^{m} (\nabla_{e_i} f) du(e_i)$, $m = \dim M$, and ∇_{e_i} denotes the covariant differential. In particular, in the case where $M = \Omega$ is a domain in Euclidean space R^m ,

$$\nabla f \cdot du = \sum_{i=1}^{m} \frac{\partial f}{\partial x_i} du(\frac{\partial}{\partial x_i}).$$

We will call $\tau_f(u)$ the inhomogeneous tension field of u with respect to the coupling function f. The inhomogeneous Schrödinger flow is then given by

(1.2)
$$\begin{cases} \partial_t u = J(u)\tau_f(u) = J(u)\{f(x)\tau(u) + \nabla f(x) \cdot du\}, \\ u(x,0) = u_0(x). \end{cases}$$

When $N=S^2$, the equation in (1.2) reduces to the inhomogeneous Heisenberg or ferromagnetic spin chain system, also known as the Landau-Lifshitz equation [14]:

$$\partial_t u = f(x)(u \times \Delta u) + \nabla f(x) \cdot (u \times \nabla u),$$

where u takes values in $S^2 \subset R^3$ and \times denotes the cross product in R^3 . For details, we refer the reader to [4] and the references therein.

Also recall the nonlinear Schrödinger (NLS) equation

$$i\psi_t + \psi_{xx} + 2\kappa |\psi|^2 \psi = 0,$$

where $\kappa \neq 0$ is a constant. This equation, which has many applications in physics, has been widely studied, see for example [2, 11, 23]. In particular, the lattice nonlinear Schrödinger equations with $\kappa = \pm 1$ can be written respectively as Hamiltonian equations on S^2 and the Lobachevskian plane, and thus represent respectively SU(2) and SU(1,1) magnetic models (see [8] for details).

Zakharov and Takhtajan [24] and Lakshmanan [13] pointed out that the Heisenberg spin chain system defined on R^1 is gauge equivalent to the nonlinear Schrödinger equation with $\kappa=1$ (focusing case), thus establishing a deep relation between these two integrable systems. Fordy and Kulish [9] further observed that these systems have a gauge equivalent geometric formulation. In particular, they studied the integrability and Hamiltonian structure of matrix nonlinear Schrödinger equations associated with Hermitian symmetric spaces.

In [5], W.Y. Ding and Y.D. Wang studied the Schrödinger flow from $M = S^1$ into a general complete Kähler manifold (N, J, h). Via an analytic approach, they proved that the Cauchy problem admits a unique local smooth solution if u_0 is smooth. Further, if N is compact with constant sectional curvature K, the solution is in fact global. These results have been extended by Pang, Wang and Wang [15, 16, 20] in various directions.

Chang, Shatah and Uhlenbeck [3] considered the Cauchy problem for the Schrödinger flow from $M = R^m$, m = 1, 2, into a closed Riemann surface. By a generalized Hasimoto transformation, they showed that, for m = 1 and smooth Cauchy data u_0 , the global smooth Schrödinger flow exists. For m = 2, they considered radially symmetric maps, and equivariant maps when the target surface has S^1 symmetry, and proved global existence and uniqueness in the small energy case (see [3] for details). Recently Terng and Uhlenbeck [18, 19] showed that the Schrödinger flow from R^1 into a complex Grassmannian manifold is gauge equivalent to the Cauchy problem of the following matrix nonlinear Schrödinger (MNLS) equation:

(1.3)
$$B_t = i(B_{xx} + BB^*B),$$

where B is a map from $R^1 \times [0, \infty)$ to the space $\mathcal{M}_{k \times (n-k)}$ of $k \times (n-k)$ (n > k) complex matrices, and $B^* = \bar{B}^t$ is the adjoint. This equation was first studied by Fordy and Kulish [9] as a generalization of the nonlinear Schrödinger equation. As a consequence of this correspondence, Terng and Uhlenbeck [18, 19] established the global existence of Schrödinger flow from $M = R^1$ into a complex Grassmannian.

In this paper, we consider the inhomogeneous Schrödinger flow from $M = R^1$ or S^1 into a Hermitian locally symmetric space. Examples of

such manifolds include bounded symmetric domains, complex Grassmannians, complex hyperbolic spaces CH^n with the Bergmann metric and their compact or noncompact quotients by isometric subgroups. Adopting the approach in [5] we will prove the global existence of Schrödinger flow by means of a conservation (or semi-conservation) law. More specifically, we have the following results (the definition of $\mathcal{H}^{\ell,2}(R^1, N)$ will be given in Section 2):

Theorem 1. Let (N, J, h) be a complete Hermitian locally symmetric space. Suppose the coupling function $f(x) \in C^{\infty}(R^1)$ satisfies $\inf_{x \in R^1} f(x) > 0$ and $\|\partial_x^k f\|_{C^0(R^1)} \leq C$ for any $1 \leq k \leq \ell - 1$, where C is a universal constant and $\ell \geq 4$. Then, given an initial map $u_0 \in \mathcal{H}^{\ell,2}(R^1, N)$ with bounded image set $u_0(R^1)$, the Cauchy problem (1.2) for the inhomogeneous Schrödinger flow from R^1 into N admits a unique global solution $u \in L^{\infty}_{loc}([0,\infty);\mathcal{H}^{\ell,2}(R^1,N))$.

As a direct consequence of Theorem 1, we have the following result. This provides a geometric analytic approach to the global existence result on \mathbb{R}^1 of Terng and Uhlenbeck [19].

Theorem 2. Let (N, J, h) be a complete Hermitian locally symmetric space. Then, given an initial map $u_0 \in \mathcal{H}^{\ell,2}(R^1, N)$, $\ell \geq 4$, with bounded image set $u_0(R^1)$, the Cauchy problem (1.1) for the Schrödinger flow from R^1 into N admits a unique global solution $u \in L^{\infty}_{loc}([0, \infty); \mathcal{H}^{\ell,2}(R^1, N))$.

The analogues of these results for ${\cal M}=S^1$ are given below:

Theorem 3. Let (N, J, h) be a complete Hermitian locally symmetric space. Let $f(x) \in C^{\infty}(S^1)$ be a positive function. Then, given an initial map $u_0 \in W^{\ell,2}(S^1, N)$ where $\ell \geq 4$, the Cauchy problem (1.2) for the inhomogeneous Schrödinger flow from S^1 into N admits a unique global solution $u \in L^{\infty}_{loc}([0,\infty); W^{\ell,2}(S^1,N))$.

As a direct consequence of Theorem 3, we have

Theorem 4. Let (N, J, h) be a complete Hermitian locally symmetric space. Then, given an initial map $u_0 \in W^{\ell,2}(S^1, N)$ where $\ell \geq 4$, the Cauchy problem (1.1) for the Schrödinger flow from S^1 into N admits a unique global solution $u \in L^{\infty}_{loc}([0, \infty); W^{\ell,2}(S^1, N))$.

The proofs of Theorems 2 and 4 will be omitted as they are direct consequences of Theorems 1 and 3. In fact, only the proof of Theorem 1 needs to be given as it also covers the proof of Theorem 3. Briefly, the method for

establishing Theorem 1 can be summarised as follows: First, we use a family of periodic Schrödinger flows, defined on $[-D_i, D_i]$, $D_i \uparrow \infty$, to approximate the Schrödinger flow from R^1 . As the approximate equations can be viewed as flows on circles, they have unique local solutions (see [5, 20]). By uniform estimates of the covariant derivatives of the solutions with respect to i, we show that the domain on which the local solutions are defined is independent of the parameter i. Thus, taking limit, one obtains a local Schrödinger flow u. Finally, using the (semi-)conservation laws for the energy E(u) and $\|\tau(u)\|_{L^2}$, we can extend u to a global flow.

This paper is organized as follows: In Section 2, we recall some facts and notations in differential geometry and some relations among Sobolev norms. In Section 3, we establish the local existence of the Schrödinger flow from R^1 into a complete Kähler manifold. In Section 4 we prove global existence and uniqueness by exploiting the geometric symmetries to derive some (semi-)conservation laws. The paper ends with a few concluding remarks.

A note on notation: We will use C generically to denote constants appearing in the estimates in this paper. Some of these may depend on certain parameters, geometric properties of spaces, or the Cauchy data u_0 . When we wish to specify this dependence, we will include the relevant spaces or quantities as arguments, e.g., $C(\|\tau(u_0)\|_2, E(u_0))$ means that C depends on the quantities $\|\tau(u_0)\|_2$ and $E(u_0)$ only. Unless otherwise specified, C depends on its arguments smoothly.

2. Preliminaries.

Let $u:(M,g)\to (N,h)$ be a smooth map between Riemannian manifolds. Let $\Gamma(TM)$ denote the space of smooth sections of TM. We will use ∇ to denote the covariant differential on $\otimes^p T^*M \otimes u^*TN$ induced by the Riemannian metrics on M and N. Thus, for $X\in \Gamma(TM)$, in local coordinates, we have $\nabla_{\partial/\partial x_i}u_*(X)=u^*\nabla^N_{u_*(\partial/\partial x_i)}u_*(X)$. We will use the shorthand notation ∇_i for $\nabla_{\partial/\partial x_i}$, or, when dim M=1, ∇_x for $\nabla_{d/dx}$. Sometimes, to further simply notations, we may also denote $\nabla_x u$ by u_x .

We shall denote the bundle-valued Sobolev spaces by $H^{k,r}$, and their norm functions by $\|\cdot\|_{H^{k,r}}$. For example,

$$\|\nabla_x u\|_{H^{k,r}} = \left(\sum_{i=0}^k \int |\nabla_x^{i+1} u|^r dx\right)^{\frac{1}{r}}.$$

In particular, $\|\cdot\|_{H^{0,r}} = \|\cdot\|_{L^r}$, which is also denoted by $\|\cdot\|_r$.

We may regard the exterior derivative du, also denoted by ∇u , as a 1-form with values in the pull-back bundle u^*TN , i.e., $du \in \Gamma(T^*M \otimes u^*TN)$. In terms of local orthonormal frames $\{e_i\}$ (with dual frames $\{e_i^*\}$) on M and $\{\bar{e}_{\alpha}\}$ on N,

$$du = (u_*e_i)^{\alpha}e_i^* \otimes \bar{e}_{\alpha}.$$

The energy density of u is defined by $e(u) = \frac{1}{2}|du|^2$, which is written in local coordinates as

$$e(u) = \frac{1}{2}g^{ij}h_{\alpha\beta}(u)\frac{\partial u^{\alpha}}{\partial x^{i}}\frac{\partial u^{\beta}}{\partial x^{j}}.$$

The energy functional is then defined by

(2.1)
$$E(u) = \int_{M} e(u) dx = \frac{1}{2} \int_{M} |du|^{2} dx.$$

Critical points of the energy E as a functional on $C^1(M, N)$ are exactly the harmonic maps cite and the L^2 -gradient of E is just the tension field that was mentioned in Section 1, i.e., $\tau(u) = \nabla_i(u_*e_i)$.

Henceforth, we shall always embed the manifold N into a Euclidean space $R^{\tilde{n}}$. Thus, the map u can be viewed as a mapping from M into $R^{\tilde{n}}$. We will denote the Sobolev norm of $u \in W^{k,p}(M,R^{\tilde{n}})$ by $||u||_{k,p}$. Note that $||\cdot||_{0,2} = ||\cdot||_2$.

For any interger $\ell \geq 1$, define

$$\mathcal{H}^{\ell,2}(R^1,N) = \{ u \in W_{\text{loc}}^{\ell,2}(R^1,N) : \|\partial_x^i u\|_2 < \infty, \quad i = 1,\dots,\ell \}.$$

We note, by Proposition 2.3 stated later in this section, that $\mathcal{H}^{\ell,2}(R^1, N)$ can also be defined by

$$\mathcal{H}^{\ell,2}(R^1,N) = \{ u \in W_{\text{loc}}^{\ell,2}(R^1,N) : \|\nabla_x^i u\|_2 < \infty, \quad i = 1,\dots,\ell \}.$$

We now mention several results concerning Sobolev norms which will be of use later. For a positive number D, let $S^1(D) = R^1/D\mathcal{Z}$, where \mathcal{Z} is the set of all integers, denote the circle of length D. We remark at this point that a key ingredient in the proof of Theorem 1 will be estimates on $S^1(D)$ that are independent of D.

Proposition 2.1. Let $M = S^1(D)$ and let q, r be real numbers satisfying $1 \le q, r \le \infty$, and j, n be integers such that $0 \le j < n$. Then there exists k, a constant depending only on n, j, q, r, a and not depending on D, such that for all $u \in C^{\infty}(S^1(D))$ with $\int_{S^1(D)} u \, dx = 0$,

$$\|\nabla^j u\|_p \le k \|\nabla^n u\|_r^a \|u\|_q^{1-a},$$

where

$$\frac{1}{p} = j + a\left(\frac{1}{r} - n\right) + (1 - a)\frac{1}{q},$$

for all a in the interval $\frac{j}{n} \le a \le 1$ for which p is nonnegative. If $r = \frac{1}{n-j} \ne 1$, then the above inequality is not valid for a = 1.

The proof of this proposition, which will be omitted, relies on a rescaling argument. We thank Professor W.Y. Ding for pointing this out to us.

The next result concerns integrals of the type

$$G = \int_{S^1(D)} |\nabla_x^k \nabla_x u| |\nabla_x^{s_1} \nabla_x u| \cdots |\nabla_x^{s_l} \nabla_x u| \, dx.$$

Proposition 2.2. Let D > 1, $k \geq 2$, $k \geq s_i \geq 0$ for i = 1, ..., l, and $\sum_{i=1}^{l} s_i \leq k$. Then there exists a positive constant $C(\|\nabla_x u\|_{H^{k-1,2}})$, which does not depend on D, such that

(2.2)
$$G \le C(\|\nabla_x u\|_{H^{k-1,2}}) \left\{ 1 + \int_{S^1(D)} |\nabla_x^{k+1} u|^2 dx \right\}.$$

Proof. For any function g defined on $S^1(D)$, set

$$m(g) = \frac{1}{D} \int_{S^1(D)} g \, dx.$$

By applying Proposition 2.1 to $|u_x|-m(|u_x|)$ and noting the Kato inequality

$$\|\nabla_x |\nabla_x u|\|_2 \le \|\nabla_x^2 u\|_2,$$

we have

$$\|\nabla_x u\|_{C^0} - m(|\nabla_x u|) \le C\|\nabla_x^2 u\|_2^{\frac{1}{2}} \||\nabla_x u| - m(|\nabla_x u|)\|_2^{\frac{1}{2}}.$$

As

$$\||\nabla_x u| - m(|\nabla_x u|)\|_2 \le \|\nabla_x u\|_2$$
 and $\frac{1}{D} \int_{S^1(D)} |\nabla_x u| \, dx \le \frac{1}{\sqrt{D}} \|\nabla_x u\|_2$,

we have

Similarly, for s > 1,

Now, we turn to the integral G. Without loss of generality, we may assume that $s_1 \geq s_2 \geq \cdots \geq s_l \geq 0$. First, we consider the special case $k = s_1 > s_2 = \cdots = s_l = 0$. Obviously

$$G \le \|\nabla_x u\|_{C^0}^{l-1} \int_{S^1(D)} |\nabla_x^{k+1} u|^2 dx.$$

Thus the desired inequality follows from (2.3).

If $k-1 \geq s_1 \geq s_2 \geq \cdots \geq s_l \geq 0$, we need to argue the following two cases:

Case (i): k=2. In this case G takes either of the following forms:

$$G = \int_{S^1(D)} |\nabla_x^3 u| |\nabla_x^2 u|^2 |\nabla_x u|^{l-2} dx \qquad \text{or}$$
$$G = \int_{S^1(D)} |\nabla_x^3 u| |\nabla_x^2 u| |\nabla_x u|^{l-1} dx.$$

For the former, by applying Hölder's inequality we derive

$$(2.5) G \leq \|\nabla_x^3 u\|_2 \|\nabla_x^2 u\|_2 \|\nabla_x^2 u\|_{C^0} \|\nabla_x u\|_{C^0}^{l-2}.$$

Plugging (2.3) and (2.4) with s = 2 into (2.5), we obtain the desired inequality. In view of (2.4), we can also prove that the inequality holds when G is of the latter form.

Case (ii): $k \geq 3$. In this case G takes either of the following forms:

$$(2.6) G = \int_{S^1(D)} |\nabla_x^k \nabla_x u| |\nabla_x^{k-1} \nabla_x u| |\nabla_x^{s_2} \nabla_x u| \cdots |\nabla_x^{s_l} \nabla_x u| dx,$$

where $k-1 > s_2 \ge \cdots \ge s_l \ge 0$; or

(2.7)
$$G = \int_{S^1(D)} |\nabla_x^k \nabla_x u| |\nabla_x^{s_1} \nabla_x u| \cdots |\nabla_x^{s_l} \nabla_x u| \, dx,$$

where $k-1 > s_1 \ge \cdots \ge s_l \ge 0$. Applying Hölder's inequality to (2.6), we obtain

$$G \leq \|\nabla_x^{k+1} u\|_2 \|\nabla_x^k u\|_2 \|\nabla_x^{s_2+1} u\|_{C^0} \cdots \|\nabla_x^{s_l+1} u\|_{C^0}.$$

Substituting (2.4) with $k-1 > s_2 \ge \cdots \ge s_l \ge 0$ into the last inequality, we derive the desired inequality (2.2). A similar argument applies to (2.7) and the proof of the proposition is complete.

Proposition 2.3. Let N be a complete Riemannian manifold and Σ be a compact subset of N. If $u: S^1(D) \to \Sigma \subset N$ is in $W^{k,2}(S^1(D), R^{\tilde{n}}), k \geq 0$ and D > 1, then

$$\|\partial_x u\|_{k,2}^2 \le 2\|\nabla_x^{k+1} u\|_{0,2}^2 + C(k, \Sigma, \|\partial_x u\|_{k-1,2}),$$

where C does not depend on D. In particular, if $u : R^1 \to \Sigma \subset N$ is in $\mathcal{H}^{k,2}(R^1,N)$, $k \geq 1$, then the above inequality holds.

Proof. We note that for $k \geq 1$,

$$\nabla_x^{k+1} u = \partial_x^{k+1} u + \mathcal{P}(u)(\partial_x u, \dots, \partial_x^k u),$$

where \mathcal{P} is a polynomial satisfying

$$|\mathcal{P}(u)(\partial_x u, \ldots, \partial_x^k u)| \leq C \sum_{2 \leq l \leq k+1} \sum_{1 \leq j_i \leq k}^{j_1 + \cdots + j_l = k+1} |\partial_x^{j_1} u| \cdots |\partial_x^{j_l} u|.$$

By arguments similar to those in the proof of the above proposition, the desired result follows from Proposition 2.1. For details, see [5].

Now suppose (N, J, h) is a Kähler manifold (hence $\nabla J \equiv 0$) and let $R(\cdot, \cdot, \cdot, \cdot)$ denote its Riemann curvature tensor. Then, we recall that

(i)
$$R(JX, JY, Z, W) = R(X, Y, JZ, JW) = R(X, Y, Z, W);$$

(ii)
$$R(\cdot, \cdot) \circ J = J \circ R(\cdot, \cdot).$$

If N is a Hermitian locally symmetric space, by Cartan's theorem, we have the additional property that the curvature is covariant constant, i.e.,

(iii)
$$\nabla R \equiv 0.$$

We note that a Hermitian locally symmetric space is the quotient of a Hermitian symmetric space by an isometric subgroup and recall the following facts about Hermitian symmetric spaces: Irreducible Hermitian symmetric spaces are classified into compact and noncompact types. The sectional curvature of a space of noncompact type is nonpositive and bounded from below. The scalar curvature of a Hermitian symmetric space is constant. For further details, we refer the reader to [10, 12]. These facts will be used freely in the remainder of the paper.

To end this section, we recall a local existence result for the smooth inhomogeneous Schrödinger flow from the circle.

Proposition 2.4 ([20]). Let $M = S^1$ and (N, J, h) be a complete Kähler manifold. If $f(x) \in C^{\infty}(S^1)$ with $\min_{x \in S^1} f(x) > 0$, and $u_0 \in C^{\infty}(S^1, N)$, then the Cauchy problem (1.2) of the inhomogeneous Schrödinger flow has a unique smooth solution $u \in C^{\infty}([0,T) \times S^1, N)$) for some $T \in (0,\infty]$. Furthermore, the energy is conserved along the solution, i.e., $E_f(u(x,t)) \equiv E_f(u_0(x))$.

3. Local Existence of Schrödinger Flows from R^1 .

We now consider the local existence of the (inhomogeneous) Schrödinger flow from \mathbb{R}^1 into a complete Kähler manifold.

Theorem 3.1 (Local Existence). Let N be a complete Kähler manifold. Suppose the coupling function $f(x) \in C^{\infty}(R^1)$ satisfies $\inf_{x \in R^1} f(x) > 0$ and $\|\partial_x^i f\|_{C^0(R^1)} \leq C$ for any $1 \leq i \leq \ell-1$, where C is a universal constant and $\ell \geq 4$. Then, given an initial map $u_0 \in \mathcal{H}^{\ell,2}(R^1,N)$ with bounded image set $u_0(R^1)$, there exists a positive $T = T(N,f,E(u_0),\|\tau(u_0)\|_2)$ such that the Cauchy problem (1.2) for the inhomogeneous Schrödinger flow from R^1 into N admits a unique local solution $u \in L^{\infty}([0,T],\mathcal{H}^{\ell,2}(R^1,N))$.

In order to prove the local existence theorem, we need to establish the following lemma:

Lemma 3.2. Let D > 1 and N be a complete Kähler manifold. Suppose the coupling function $f(x) \in C^{\infty}(S^1(D))$ satisfies $\min_{x \in S^1(D)} f(x) > 0$. Then, given an initial map $u_0 \in W^{\ell,2}(S^1(D),N)$ where $\ell \geq 4$, then there is a positive $T = T(N,f,E(u_0),\|\tau(u_0)\|_2)$, which does not depend on D, such that the Cauchy problem (1.2) for the inhomogeneous Schrödinger flow from $S^1(D)$ into N admits a solution on the interval [0,T] satisfying the following estimates:

$$\sup_{t \in [0,T]} \|\nabla_x^i \tau(u)\|_2 \le C_i(T, \|\nabla_x u_0\|_{H^{i+1,2}}, \min f, \|f\|_{C^{i+1}}), \quad i = 0, 1, \dots, \ell-2,$$

where C_i do not depend on D.

Proof. First, suppose u_0 is C^{∞} . Proposition 2.4 (or Theorem 3.1 in [20]) tells us that there exists a \tilde{T} such that the Cauchy problem (1.2) admits a unique smooth (local) solution u on $S^1(D) \times [0, \tilde{T}]$ satisfying

(3.1)
$$E_f(u(x,t)) \equiv E_f(u_0(x)).$$

Let $\Omega = \{ p \in N : dist_N(p, u_0(S^1(D))) < 1 \}$. Then Ω is an open subset of N with compact closure $\bar{\Omega}$. Let

$$T' = \sup\{t > 0 : u(S^1(D), t) \subset \Omega\}.$$

Then we have

$$(3.2) \frac{d}{dt} \int_{S^{1}(D)} |u_{t}|^{2} dx = \int_{S^{1}(D)} \langle u_{t}, \nabla_{t}(J\tau_{f}(u)) \rangle dx$$

$$= \int_{S^{1}(D)} f\{\langle u_{t}, J\nabla_{x}^{2} u_{t} \rangle + \langle u_{t}, R(u)(u_{x}, u_{t}) J u_{x} \rangle\} dx$$

$$+ \int_{S^{1}(D)} (\partial_{x} f) \langle u_{t}, J\nabla_{x} u_{t} \rangle dx,$$

where R is the Riemann curvature tensor of N.

Integrating by parts on the right hand side of the above equation and noting the antisymmetry and integrability of J, we obtain from (3.1) and (3.2) that

(3.3)
$$\frac{d}{dt} \int_{S^1(D)} |u_t|^2 dx = \int_{S^1(D)} f\langle R(u)(u_x, u_t) J u_x, u_t \rangle dx.$$

Hence, it follows by the Hölder inequality that

(3.4)
$$\frac{d}{dt} \int_{S^1(D)} |u_t|^2 dx \le C(\Omega, f) \int_{S^1(D)} |u_t|^2 |u_x|^2 dx.$$

It is easy to see that (2.3) implies

$$(3.5) ||u_x||_{C^0} \le C(f)(E_f(u_0)^{\frac{1}{2}} + ||\nabla_x u_x||_2^{\frac{1}{2}} E_f(u_0)^{\frac{1}{4}}),$$

where C does not depend on D. Noting $|u_t|^2 = |\tau_f(u)|^2$, it follows from (3.4) and (3.5) that

$$\frac{d}{dt} \|\tau_f(u)\|_2^2 \le C(\Omega, \min f, \|f\|_{C^1}, E_f(u_0)) \left\{1 + \|\tau_f(u)\|_2^3\right\},\,$$

where C does not depend on D. It follows from this ordinary differential inequality that for any constant $C > \|\tau_f(u_0)\|_2$, we can find a positive $T^* = T^*(C, \Omega, f, E_f(u_0), \|\tau_f(u_0)\|_2)$ such that

$$\sup_{t\in[0,T^*]}\|\tau_f(u)\|_2\leq \mathcal{C}.$$

This also implies that

(3.6)
$$\sup_{t \in [0,T^*]} \|\tau(u)\|_2 \le C(\mathcal{C}, E_f(u_0), \|f\|_{C^1}, \min f, T^*).$$

Next, we compute the derivative with respect to $t \ (\in [0,T'])$ of the integral

$$\int_{S^1(D)} |\nabla_x u_t|^2 f \, dx.$$

Keeping the integrability of the complex structure J in mind, we have

$$(3.7) \qquad \frac{1}{2} \frac{d}{dt} \int_{S^1(D)} |\nabla_x u_t|^2 f \, dx = \int_{S^1(D)} \langle \nabla_x u_t, \nabla_t \nabla_x \{ J \tau_f(u) \} \rangle f \, dx \stackrel{\text{def}}{=} I.$$

We now compute I.

$$(3.8) I = \int_{S^{1}(D)} \langle \nabla_{x} u_{t}, J\{f \nabla_{t} \nabla_{x} \nabla_{x} u_{x} + 2\partial_{x} f \nabla_{t} \nabla_{x} u_{x}\} \rangle f \, dx$$
$$+ \int_{S^{1}(D)} \langle \nabla_{x} u_{t}, \partial_{x}^{2} f J \nabla_{x} u_{t} \rangle f \, dx$$
$$= \int_{S^{1}(D)} \langle \nabla_{x} u_{t}, J\{f \nabla_{t} \nabla_{x} \nabla_{x} u_{x} + 2\partial_{x} f \nabla_{t} \nabla_{x} u_{x}\} \rangle f \, dx.$$

By the definition of the curvature operator,

$$\nabla_t \nabla_x u_x = \nabla_x \nabla_x u_t + R(u_x, u_t) u_x.$$

Hence,

(3.9)
$$\nabla_t \nabla_x \tau(u) = \nabla_x \nabla_x \nabla_x u_t + R(\tau(u), u_t) u_x + R(u_x, \nabla_x u_t) u_x + 2R(u_x, u_t) \tau(u) + (\nabla_x R)(u_x, u_t) u_x.$$

Substituting the above curvature identities into the right hand side of (3.8) and integrating by parts, we obtain that

$$(3.10) I = \int_{S^1(D)} \langle \nabla_x u_t, J\{f \nabla_x \nabla_x \nabla_x u_t + 2\partial_x f \nabla_x \nabla_x u_t\} \rangle f \, dx$$
$$+ \int_{S^1(D)} \langle \nabla_x u_t, f J\{R(\tau(u), u_t) u_x + R(u_x, \nabla_x u_t) u_x\} \rangle f \, dx$$

$$\begin{split} &+ \int_{S^1(D)} \langle \nabla_x u_t, fJ\{2R(u_x, u_t)\tau(u) + (\nabla_x R)(u_x, u_t)u_x\} \rangle f \, dx \\ &+ \int_{S^1(D)} \langle \nabla_x u_t, J\{2\partial_x fR(u_x, u_t)u_x\} \rangle f \, dx \\ &= \int_{S^1(D)} \langle \nabla_x u_t, J\{R(\tau(u), u_t)u_x + R(u_x, \nabla_x u_t)u_x\} \rangle f^2 \, dx \\ &+ \int_{S^1(D)} \langle \nabla_x u_t, J\{2R(u_x, u_t)\tau(u) + (\nabla_x R)(u_x, u_t)u_x\} \rangle f^2 \, dx \\ &+ 2\int_{S^1(D)} \langle \nabla_x u_t, JR(u_x, u_t)u_x \rangle (\partial_x f) f \, dx. \end{split}$$

Hence, it follows that for $t \leq T'$,

(3.11)

$$I \leq C(\Omega, \mathcal{C}, f) \int_{S^1(D)} \{ |\nabla_x u_t|^2 |u_x|^2 + |\nabla_x u_t| |u_x| |u_t| (|\tau(u)| + |u_x| + |u_x|^2) \} dx.$$

Applying the Hölder inequality to the right hand side of (3.11), we obtain that, for $t \leq \min\{T^*, T'\}$,

$$(3.12)$$

$$\frac{1}{2}\frac{d}{dt}\int_{S^{1}(D)} |\nabla_{x}u_{t}|^{2} f \, dx \leq C(\Omega, \mathcal{C}, f) \{ \|\nabla_{x}u_{t}\|_{2}^{2} \|u_{x}\|_{C^{0}}^{2} + (\|\tau(u)\|_{2} + \|u_{x}\|_{C^{0}} + \|u_{x}\|_{C^{0}}^{2}) \|u_{t}\|_{C^{0}} \|u_{x}\|_{C^{0}} \|\nabla_{x}u_{t}\|_{2} \}.$$

From (2.4), we deduce that for D > 1,

(3.13)

$$||u_t||_{C^0} \le C(||\nabla_x u_t||_2^2 + ||u_t||_2^2)^{\frac{1}{4}} ||u_t||_2^{\frac{1}{2}} + ||u_t||_2$$

$$\leq C(f) \|u_t\|_2^{\frac{1}{2}} \left\{ \int_{S^1(D)} |\nabla_x u_t|^2 f \, dx + \int_{S^1(D)} |\tau(u)|^2 f^2 \, dx \right\}^{\frac{1}{4}} + \|u_t\|_2.$$

Plugging (3.1), (3.5), (3.6) and (3.13) into (3.12), we obtain that, for $t \leq \min\{T^*, T'\}$,

$$\frac{d}{dt} \int_{S^1(D)} |\nabla_x u_t|^2 f \, dx \le C(\Omega, \mathcal{C}, u_0, f) \left(1 + \int_{S^1(D)} |\nabla_x u_t|^2 f \, dx \right).$$

Thus, as $|\nabla_x u_t|^2 = |\nabla_x \tau_f(u)|^2$,

$$\frac{d}{dt} \int_{S^1(D)} |\nabla_x \tau_f(u)|^2 f \, dx \le C(\Omega, \mathcal{C}, u_0, f) \left(1 + \int_{S^1(D)} |\nabla_x \tau_f(u)|^2 f \, dx \right).$$

By the Gronwall inequality, for $t \leq \min\{T^*, T'\}$,

where $C = C(\Omega, \mathcal{C}, \|\nabla_x u_0\|_{H^{2,2}}, \min f, \|f\|_{C^2})$ does not depend on D. This implies that, for $t \leq \min\{T^*, T'\}$,

Note that a positive lower bound for T' can be derived from (3.15). Indeed, it is easy to see from (3.13) that for $t \leq \min\{T^*, T'\}$, there exists some \mathcal{M} such that

$$||u_t||_{C^0} \leq \mathcal{M}.$$

It follows that for $t \leq \min\{T^*, T'\}$,

$$\sup_{x \in S^1(D)} dist_N(u(x,t), u_0(x)) \le \mathcal{M}t.$$

If $T' > T^*$, then T^* is a lower bound. So we may assume that $T' \leq T^*$. Letting $t \to T'$ in the last inequality, we get $\mathcal{M}T' \geq 1$. Therefore, if we set $T = \min\{\frac{1}{\mathcal{M}}, T^*\}$, then (3.6) and (3.15) hold true for $t \in [0, T]$. We re-iterate that $T = T(\mathcal{C}, \Omega, f, E(u_0), \|\tau(u_0)\|_2)$ depends only on \mathcal{C} , Ω , $\min_{x \in S^1(D)} f$, $\|f\|_{C^2}$, $E(u_0)$, and $\|\tau(u_0)\|_2$, and not on D.

We proceed with the proof by induction. Assume that for $i=0,1,\ldots,k-1$,

(3.16)
$$\sup_{t \in [0,T]} \|\nabla_x^i \tau(u)\|_2 \le C_i(T, \|\nabla_x u_0\|_{H^{i+1,2}}, \min f, \|f\|_{C^{i+1}}),$$

where C_i do not depend on D. We note also that these estimates imply the following inequalities which will be used later:

$$\sup_{t \in [0,T]} \|\nabla_x^i \tau_f(u)\|_2 \le C_i(T, \|\nabla_x u_0\|_{H^{i+1,2}}, \min f, \|f\|_{C^{i+1}}),$$

$$i = 0, 1, \ldots, k - 1.$$

With the estimates (3.16) at hand, we consider the integral

$$\frac{d}{dt} \int_{S^1(D)} |\nabla_x^k u_t|^2 f^k \, dx \stackrel{\text{def}}{=} I^*.$$

By virtue of the commutation relation of the covariant derivatives, we deduce that

$$\nabla_t \nabla_x^k u_t = P(\nabla_x u, \dots, \nabla_x^k u, u_t, \dots, \nabla_x^{k-1} u_t) + \nabla_x^k \nabla_t u_t,$$

where $P(\cdot, \dots, \cdot)$ is a vector-valued multilinear functional satisfying

$$(3.17) |P(\nabla_{x}u, \cdot, \nabla_{x}^{k}u, u_{t}, \dots, \nabla^{k-1}u_{t})|$$

$$\leq C(\Omega) \left\{ \sum_{k_{1}+k_{2}+k_{3}=k}^{k_{2},k_{3} \leq k-1; 1 \leq k_{1}} |\nabla_{x}^{k_{1}}u| |\nabla_{x}^{k_{2}}u_{t}| |\nabla^{k_{3}}u_{t}| \right\}$$

$$+ Q(|\nabla_{x}u|, \dots, |\nabla_{x}^{k-1}u|, |u_{t}|, \dots, |\nabla_{x}^{k-2}u_{t}|),$$

where

(3.18)

$$Q(|\nabla_x u|, \dots, |\nabla_x^{k-1} u|, |u_t|, \dots, |\nabla_x^{k-2} u_t|)$$

$$\leq C(\Omega) \left\{ \sum_{k \geq s \geq 4}^{k_1 + k_2 + \dots + k_s = k} |\nabla_x^{k_1} u_t| |\nabla_x^{k_2} u_t| |\nabla_x^{k_3} u| \dots |\nabla_x^{k_s} u| \right\}.$$

Then, I^* can be written as

$$(3.19) I^* = \int_{S^1(D)} \langle \nabla_x^k u_t, \nabla_x^k \nabla_t u_t \rangle f^k \, dx$$

$$+ \int_{S^1(D)} \langle \nabla_x^k u_t, P(\nabla_x u, \dots, \nabla_x^k u, u_t, \dots, \nabla_x^{k-1} u_t) \rangle f^k \, dx$$

$$\stackrel{\text{def}}{=} I_1^* + I_2^*.$$

Next, we estimate I_i^* , i=1,2. It follows from (1.2) that

$$(3.20) I_1^* = \int_{S^1(D)} \langle \nabla_x^k u_t, J \nabla_x^k \nabla_t (f \nabla_x u_x + (\partial_x f) u_x) \rangle f^k dx.$$

By a tedious but direct computation and applying the commutation relation of the covariant derivatives, we obtain (see [20] for details)

(3.21)

$$I_1^* = \int_{S^1(D)} \langle \nabla_x^k u_t, f J \nabla_x^k (R(u_x, u_t) u_x) + \partial_x f J \nabla_x^{k-1} (R(u_x, u_t) u_x) \rangle f^k dx$$

$$+ \int_{S^{1}(D)} \left\langle \nabla_{x}^{k} u_{t}, J \sum_{i=2}^{k} C_{k}^{i} \partial_{x}^{i} f \nabla_{x}^{k-i} \nabla_{t} \nabla_{x} u_{x} \right\rangle f^{k} dx$$
$$+ \int_{S^{1}(D)} \left\langle \nabla_{x}^{k} u_{t}, J \sum_{i=1}^{k} C_{k}^{i} \partial_{x}^{i+1} f \nabla_{x}^{k-i} \nabla_{x} u_{t} \right\rangle f^{k} dx,$$

where $C_k^i = k!/(k-i)!i!$.

By a direct computation, it is not difficult to see that the right hand side of (3.21) can be bounded by integrals of the following form:

$$\int_{S^1(D)} |
abla_x^{k+1}
abla_x u| |
abla_x^{s_1}
abla_x u| \cdots |
abla_x^{s_l}
abla_x u| dx,$$

where $s_i \ge 0$, $1 \le i \le l$, and $\sum_{i=1}^{l} s_i \le k+1$. By applying Proposition 2.2 to the above integrals, we can deduce from (3.21) and (3.16) that

$$(3.22) I_1^* \le C(T, u_0, f) \{ \|\nabla_x^k \tau_f(u)\|_2^2 + 1 \}.$$

Similarly, in view of (3.16)-(3.18), we may also apply Proposition 2.2 to I_2^* to derive

$$I_2^* \le C(T, u_0, f) \{ \|\nabla_x^k \tau_f(u)\|_2^2 + 1 \}.$$

Noting that

$$I^* = \int_{S^1(D)} |\nabla_x^k \tau_f(u)|^2 \, dx,$$

it follows from (3.22) and the last inequality that

$$\frac{d}{dt} \int_{S^1(D)} |\nabla_x^k \tau_f(u)|^2 \, dx \le C(T, u_0, f) \left\{ \int_{S^1(D)} |\nabla_x^k \tau_f(u)|^2 \, dx + 1 \right\}.$$

This implies that

$$\sup_{t \in [0,T]} \|\nabla_x^k \tau(u(t))\|_2 \le C_k(T, \|\nabla_x u_0\|_{H^{k+1,2}}, \min f, \|f\|_{C^{k+1}}),$$

where C_k does not depend on D, but only on the geometry of Ω , T, $\|\nabla_x u_0\|_{H^{k+1,2}}$, $\min_{x \in S^1(D)} f$ and $\|f\|_{C^{k+1}}$. This completes the induction.

With these estimates, we argue that the solution must exist on the time interval [0, T]; otherwise, we may always extend the time interval of existence to cover the interval [0, T].

Finally, when $u_0 \in W^{\ell,2}(S^1(D), N)$, $\ell \geq 4$, but not C^{∞} , we may choose a sequence of C^{∞} maps $u_{i0}: S^1(D) \to N$ such that

$$||u_{i0} - u||_{\ell,2} \to 0 \text{ as } i \to \infty.$$

Using u_{i0} as the initial data of the Cauchy problem (1.2), for each i we get a solution u_i , defined on $[0, T_i]$. The above arguments, however, show that there is a uniform lower bound for T_i . Furthermore, denoting this lower bound by T, the unique local solution u to the Cauchy problem (1.2) with initial data u_0 exists on [0, T] and is given by the limit of $\{u_i\}$ by sending i to ∞ . Obviously, all the desired estimates on u also hold true. This finishes the proof of the lemma.

Proof of Theorem 3.1. First, assume that N is compact. Our strategy is to construct a sequence of periodic inhomogeneous Schrödinger flows with periodic initial maps to approximate the Cauchy problem defined on R^1 . Since $u_0 \in \mathcal{H}^{\ell,2}(R^1,N)$, one can approximate u_0 by a sequence of maps $\{u_{i0}\}$, where $u_{i0} \in \mathcal{H}^{\ell,2}([-D_i,D_i],N)$ for some $D_i > 2$ and $D_i \uparrow \infty$. More precisely, choose C^{∞} cut-off functions λ_i satisfying $|\partial^j \lambda_i| \leq C_{\lambda}$, $j = 0, 1, \ldots, \ell$, where C_{λ} does not depend on i, and

$$\lambda_i(s) = \begin{cases} 1, & s \in [-D_i + 1, D_i - 1], \\ 0, & s \notin [-D_i, D_i]. \end{cases}$$

Define

$$y(x) = \int_0^x \lambda_i(s) \, ds$$

and let

$$u_{i0}(x) = u_0(y(x))\Big|_{[-D_i,D_i]}, \qquad f_i(x) = f(y(x))\Big|_{[-D_i,D_i]}.$$

We can extend u_{i0} and f_i to $[-2D_i, 2D_i]$ as follows:

$$\tilde{u}_{i0}(x) = \begin{cases} u_{i0}(-x - 2D_i), & x \in [-2D_i, -D_i], \\ u_{i0}(x), & x \in [-D_i, D_i], \\ u_{i0}(-x + 2D_i), & x \in [D_i, 2D_i]; \end{cases}$$

$$\tilde{f}_i(x) = \begin{cases} f_i(-x - 2D_i), & x \in [-2D_i, -D_i], \\ f_i(x), & x \in [-D_i, D_i], \\ f_i(-x + 2D_i), & x \in [D_i, 2D_i]. \end{cases}$$

As $u_{i0} \in W^{\ell,2}([-D_i, D_i], N)$, we may regard $\tilde{u}_{i0} \in W^{\ell,2}(S^1(4D_i), N)$. Similarly, we may regard \tilde{f}_i as a periodic function with respect to x.

We consider the following periodic Cauchy problem on $R^1 \times [0, \infty)$:

(3.23)
$$\begin{cases} \frac{\partial \tilde{u}_i}{\partial t} = J(\tilde{u}_i)\tau_{\tilde{f}_i}(\tilde{u}_i), \\ \tilde{u}_i(x,0) = \tilde{u}_{i0}(x), \\ \tilde{u}_i(x+4D_i) = \tilde{u}_i(x). \end{cases}$$

By Lemma 3.2, for each i, the above Cauchy problem admits a unique local smooth periodic solution \tilde{u}_i with period $4D_i$, defined on $S^1(4D_i) \times [0, \tilde{T}_i]$. Furthermore, there exist constants

$$\tilde{C}_i = \tilde{C}_i(\tilde{T}_i, k, f, \|\nabla_x \tilde{u}_{i0}\|_{H^{k,2}([-2D_i, 2D_i])})$$

which do not depend on D_i , such that for $k = 1, ..., \ell$,

$$\sup_{t \in [0, \tilde{T}_i]} \|\nabla_x^k \tilde{u}_i\|_{L^2([-2D_i, 2D_i])} \le \tilde{C}_i.$$

By the construction of \tilde{u}_{i0} , it is not difficult to find that

$$\int_{-2D_{i}}^{2D_{i}} |\tau(\tilde{u}_{i0})|^{2} dx = 2 \int_{-D_{i}}^{D_{i}} |\tau(u_{i0})|^{2} dx
\leq C(N) (\|\tau(u_{0})\|_{L^{2}(R^{1})}^{2} + E(u_{0})),
E\left(\tilde{u}_{i0}|_{[-2D_{i},2D_{i}]}\right) = \int_{-2D_{i}}^{2D_{i}} |\nabla_{x}u_{i0}|^{2} dx
= 2 \int_{-D_{i}}^{D_{i}} |\nabla_{x}u_{i0}|^{2} dx \leq C(N)E(u_{0}),$$

and that for $1 \le k \le \ell$,

$$\int_{-2D_i}^{2D_i} |\nabla_x^k \tilde{u}_{i0}|^2 dx = 2 \int_{-D_i}^{D_i} |\nabla_x^k u_{i0}|^2 dx \le C(N) \left\{ \sum_{s=1}^k \|\nabla_x^s u_0\|_{L^2(R^1)}^2 \right\},$$

where C is independent of i. Similarly, we have

$$\|\tilde{f}_i\|_{C^{\ell}([-2D_i,2D_i])} \le C(N) \|f\|_{C^{\ell}(R^1)}.$$

Hence, from Lemma 3.2 it follows that there exist a uniform lower bound T of \tilde{T}_i with respect to i and a uniform upper bound $C = C(T, k, u_0, f)$ of \tilde{C}_i , such that for $0 \le k \le \ell - 1$ and all i,

(3.24)
$$\sup_{t \in [0,T]} \|\nabla_x \tilde{u}_i\|_{H^{k,2}([-2D_i,2D_i])} \le C(T,k,u_0,f).$$

We emphasize that C depends only on the geometry of N, T, k, $\inf_{x \in R^1} f$, $||f||_{C^k(R^1)}$ and $||\nabla_x u_0||_{H^{k,2}(R^1)}$.

Restricting to $[-D_i, D_i]$, we have $u_i = \tilde{u}_i \Big|_{[-D_i, D_i]}$ and $f_i = \tilde{f}_i \Big|_{[-D_i, D_i]}$. Then, obviously, u_i satisfies the following Cauchy problem on $[-D_i, D_i] \times [0, T]$:

(3.25)
$$\begin{cases} \partial_t u_i = J(u_i)\tau_{f_i}(u_i), \\ u_i(x,0) = u_{i0}(x). \end{cases}$$

By virtue of the estimate (3.24) and Proposition 2.3, there exists a subsequence, denoted again by $\{u_i\}$, such that

$$u_i \longrightarrow u$$
 [weakly*] in $L^{\infty}([0,T]; \mathcal{H}^{\ell,2}(R^1,N)).$

It is easy to see that the limit $u \in L^{\infty}([0,T]; \mathcal{H}^{\ell,2}(R^1,N))$ is a solution to (1.2) on R^1 .

When N is a noncompact complete manifold, we need to modify the above arguments slightly. According to the hypothesis of the theorem, $u_0(R^1)$ is contained in some compact set. Let $\overline{u_0(R^1)}$ denote the closure of $u_0(R^1)$, $\mathcal{S} = \{p : dist_N(p, \overline{u_0(R^1)}) < 1\}$ and $\Omega_i = \{p : dist_N(p, \tilde{u}_{i0}([-2D_i, 2D_i])) < 1\}$. Obviously, $\Omega_i \subset \mathcal{S}$ for $i = 1, 2, \ldots$ Now we consider the Cauchy problem (3.23) for each i. From Lemma 3.2 it follows that for each i a unique solution to (3.23) exists on $S^1(4D_i) \times [0, \tilde{T}_i]$, where \tilde{T}_i depends on Ω_i . It is not difficult to see from the proof of Lemma 3.2 that, in the discussion of the local well-posedness of (3.23), if we replace Ω_i with \mathcal{S} , then there is a positive real number

$$\tilde{T}_i' = \tilde{T}_i'(\mathcal{C}, \mathcal{S}, f, E(\tilde{u}_{i0}), \|\tau(\tilde{u}_{i0})\|_2)$$

such that for each i, a unique solution to (3.23) exists on $S^1(4D_i) \times [0, \tilde{T}'_i]$. We can then argue that there exists a uniform lower bound T of \tilde{T}'_i . The arguments for the compact case now apply, and the proof is complete.

4. Global Schrödinger Flow.

In this section, we first establish a semi-conservation law. It will then be used to establish the existence of global Schrödinger flows from R^1 and S^1 , viz. Theorems 1-4.

Lemma 4.1. Let N be a Hermitian locally symmetric space and $f \in C^3(\mathbb{R}^1)$ be a positive function. Let $u: \mathbb{R}^1 \times [0, T_{\max}) \to N$, with $u(\cdot, t) \in \mathcal{H}^{4,2}(\mathbb{R}^1, N)$

for any $t \in [0,T]$, be a sufficiently smooth solution to the Cauchy problem (1.2) of the inhomogeneous Schrödinger flow from R^1 into N. Then, for $T < T_{\text{max}}$,

$$\sup_{0 < t < T} \int_{R^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} f^2 \, dx \le C(T, u_0, f),$$

where

$$C(T, u_0, f) = C(T, \|\nabla_x u_0\|_{H^{1,2}}, \inf f, \|f\|_{C^3(R^1)})$$

is finite when $T < \infty$.

Proof. Since $u(\cdot,t) \in \mathcal{H}^{4,2}(\mathbb{R}^1,N)$ for any $t \in [0,T]$, as in the proof of Lemma 4.2 in [20], we obtain

$$(4.1) \quad \frac{d}{dt} \int_{S^1} |\tau(u)|^2 f^2 \, dx = -2 \int_{R^1} \{ R(u_x, u_t, u_x, Ju_t) f + \langle u_x, u_t \rangle f \partial_x^3 f \} \, dx,$$

where R denotes the Riemann curvature tensor of N.

As N is a Hermitian locally symmetric space, $\nabla J \equiv 0$ and $\nabla R \equiv 0$. Thus,

$$(4.2) \qquad \frac{d}{dt} \int_{R^{1}} R(u_{x}, Ju_{x}, u_{x}, Ju_{x}) f^{2} dx$$

$$= \int_{R^{1}} \left\{ R(J \nabla_{t} u_{x}, u_{x}, Ju_{x}, u_{x}) + R(J u_{x}, \nabla_{t} u_{x}, Ju_{x}, u_{x}) + R(J u_{x}, u_{x}, Jv_{x}, u_{x}) + R(J u_{x}, u_{x}, Ju_{x}, \nabla_{t} u_{x}) \right\} f^{2} dx$$

$$= 4 \int_{R^{1}} R(J u_{x}, u_{x}, J \nabla_{x} u_{t}, u_{x}) f^{2} dx$$

$$= 4 \int_{R^{1}} R(J u_{x}, u_{x}, \nabla_{x} (J u_{t}), u_{x}) f^{2} dx$$

$$= 4 \int_{R^{1}} \left\{ \nabla_{x} (R(J u_{x}, u_{x}, Ju_{t}, u_{x})) - R(J \nabla_{x} u_{x}, u_{x}, Ju_{t}, u_{x}) - R(J u_{x}, \nabla_{x} u_{x}, Ju_{t}, u_{x}) \right\} f^{2} dx.$$

Integrating by parts, we get

$$(4.3)$$

$$\frac{d}{dt} \int_{S^1} R(u_x, Ju_x, u_x, Ju_x) f^2 dx$$

$$= -4 \int_{R^1} \Big\{ R(J\nabla_x u_x, u_x, Ju_t, u_x) + R(Ju_x, \nabla_x u_x, Ju_t, u_x) + R(Ju_x, u_x, Ju_t, \nabla_x u_x) \Big\} f^2 dx - 8 \int_{R^1} R(Ju_x, u_x, Ju_t, u_x) f \partial_x f dx.$$

As

$$f\nabla_x u_x + (\partial_x f)u_x = -Ju_t$$

it follows that

$$\begin{split} (4.4) \quad & \frac{d}{dt} \int_{S^1} R(u_x, Ju_x, u_x, Ju_x) f^2 \, dx \\ & = -4 \int_{R^1} \Big\{ R(u_t, u_x, Ju_t, u_x) + R(Ju_x, -Ju_t, Ju_t, u_x) \\ & \quad + R(Ju_x, u_x, Ju_t, -Ju_t) \Big\} f \, dx + 4 \int_{R^1} \Big\{ R(J(\partial_x f) u_x, u_x, Ju_t, u_x) \\ & \quad + R(Ju_x, \partial_x f u_x, Ju_t, u_x) + R(Ju_x, u_x, Ju_t, (\partial_x f) u_x) \Big\} f \, dx \\ & \quad - 8 \int_{R^1} R(Ju_x, u_x, Ju_t, u_x) f \partial_x f \, dx \\ & \quad = 4 \int_{R^1} \Big\{ R(J(\partial_x f u_x), u_x, Ju_t, u_x) + R(Ju_x, \partial_x f u_x, Ju_t, u_x) \\ & \quad + R(Ju_x, u_x, Ju_t, \partial_x f u_x) \Big\} f \, dx - 8 \int_{R^1} R(u_t, u_x, Ju_t, u_x) f \, dx \\ & \quad - 8 \int_{R^1} R(Ju_x, u_x, Ju_t, u_x) f \, dx + 4 \int_{R^1} R(Ju_x, u_x, Ju_t, u_x) f \, dx . \end{split}$$

Combining (4.1) and (4.4), we obtain

$$(4.5) \qquad \frac{d}{dt} \int_{R^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} f^2 dx$$

$$= -2 \int_{R^1} \langle u_x, u_t \rangle (\partial_x^3 f) f dx - \int_{R^1} R(Ju_x, u_x, Ju_t, u_x) f \partial_x f dx.$$

Note that by the Hölder inequality, we have

(4.6)
$$\int_{\mathbb{R}^1} \langle u_x, J \nabla_x u_x \rangle (\partial_x^3 f) f^2 dx$$

$$\leq C(T, E(u_0), \inf_{x \in R^1} f, \|\partial_x f\|_{C^3(R^1)}) \left\{ \int_{R^1} |\tau(u)|^2 f^2 dx + 1 \right\}.$$

Also, by the interpolation inequality, the Kato inequality and (3.1), we have

(4.7)
$$\int_{R^1} |u_x|^6 dx \le C \left(\int_{R^1} |u_x|^2 dx \right)^2 \int_{R^1} |\nabla_x u_x|^2 dx$$

$$\le C(T, ||f||_{C^0}) (E(u_0))^2 \int_{R^1} |\tau(u)|^2 dx.$$

Let us look at the second term on the right hand side of (4.5). It follows from the Hölder inequality and (4.7) that, for $t \in [0, T]$,

$$\int_{R^{1}} |R(Ju_{x}, u_{x}, Ju_{t}, u_{x}) f \partial_{x} f| dx
\leq C(\|f\|_{C^{1}}) \int_{S^{1}(D)} |u_{x}|^{3} |\tau(u)| dx
\leq C(T, \|f\|_{C^{1}}) \left\{ \int_{R^{1}} |u_{x}|^{6} dx + \int_{R^{1}} |\tau(u)|^{2} dx \right\}
\leq C(T, \|f\|_{C^{1}}, E(u_{0})) \int_{R^{1}} |\tau(u)|^{2} dx.$$

Plugging (4.6) and (4.8) into (4.5), it follows that, for $t \in [0, T]$,

(4.9)
$$\frac{d}{dt} \int_{R^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} f^2 dx$$
$$\leq C(T, N, E(u_0), f) \left\{ \int_{R^1} |\tau(u)|^2 f^2 dx + 1 \right\}.$$

Considering the geometry of Hermitian locally symmetric spaces, we need to discuss the following two cases according to the sectional curvature K_N of N:

Case (i): Let $-B_1 < K_N \le 0$, where B_1 is a positive constant. Then (4.9) implies that on [0, T],

$$(4.10)$$

$$\frac{d}{dt} \int_{R^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} f^2 dx$$

$$\leq C(T, K_N, E(u_0), f) \left\{ \int_{R^1} \{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \} f^2 dx + 1 \right\}.$$

By the Gronwall inequality, we conclude that for any T > 0,

$$\sup_{t \in [0,T]} \int_{R^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} f^2 dx$$

$$\leq C(T, K_N, \|\nabla_x u_0\|_{H^{1,2}}, f),$$

where $C(T, K_N, \|\nabla_x u_0\|_{H^{1,2}}, f)$ is finite when $T < \infty$. This is the desired result.

Case (ii): Let $B_2 > K_N \ge 0$, where B_2 is a positive constant. (Thus N is compact.) In this case we need to modify the previous argument slightly. First we note that the interpolation inequality and the Kato inequality imply that

$$(4.11) \qquad \int_{R^{1}} |u_{x}|^{4} dx \leq C(S^{1}) \left(\int_{R^{1}} |u_{x}|^{2} dx \right)^{\frac{3}{2}} \left(\int_{R^{1}} |\nabla_{x}|u_{x}||^{2} dx \right)^{\frac{1}{2}}$$

$$\leq C \left(\int_{R^{1}} |u_{x}|^{2} dx \right)^{\frac{3}{2}} \left(\int_{R^{1}} |\tau(u)|^{2} dx \right)^{\frac{1}{2}}.$$

Since $\inf_{x \in \mathbb{R}^1} f > 0$ by assumption, it follows that on [0, T],

$$\int_{R^{1}} |u_{x}|^{4} f^{2} dx \leq C(T, f) \left\{ \left(\int_{R^{1}} |u_{x}|^{2} f^{2} dx \right)^{\frac{3}{2}} \left(\int_{R^{1}} |\tau(u)|^{2} f^{2} dx \right)^{\frac{1}{2}} \right\}$$

$$\leq C(T, f) \left\{ \left(\int_{R^{1}} |u_{x}|^{2} f^{2} dx \right)^{3} + \frac{1}{2} \int_{R^{1}} |\tau(u)|^{2} f^{2} dx \right\}.$$

By integrating both sides of the inequality (4.9), it follows that

$$(4.13) \qquad \int_{R^{1}} \left\{ |\tau(u)|^{2} - \frac{1}{4}R(u_{x}, Ju_{x}, u_{x}, Ju_{x}) \right\} f^{2} dx$$

$$\leq \int_{R^{1}} \left\{ |\tau(u_{0})|^{2} - \frac{1}{4}R(u_{0x}, Ju_{0x}, u_{0x}, Ju_{0x}) \right\} f^{2} dx$$

$$+ C(T, E(u_{0}), f) \int_{0}^{t} dt \int_{R^{1}} |\tau(u)|^{2} f^{2} dx + tC(T, E(u_{0}), f).$$

By applying again (4.12) to control the second term of the left hand side of the above inequality, it follows that, for $t \in [0, T]$,

$$\int_{R^1} |\tau(u)|^2 f^2 \, dx \le 2 \int_{R^1} \left\{ |\tau(u_0)|^2 - \frac{1}{4} R(u_{0x}, Ju_{0x}, u_{0x}, Ju_{0x}) \right\} f^2 \, dx$$

$$+ C(T, K_N, E(u_0), f) \int_0^t dt \int_{R^1} |\tau(u)|^2 f^2 dx$$

+ $tC(T, E(u_0), f) + C(T, K_N, E(u_0), f).$

Applying the Gronwall inequality, as in [20], we deduce from the last inequality that there exists a constant $C(T, K_N, \|\nabla_x u_0\|_{H^{1,2}}, \inf f, \|f\|_{C^3(R^1)})$, which is finite when $T < \infty$, such that

$$(4.14) \quad \sup_{t \in [0,T]} \int_{R^1} |\tau(u)|^2 f^2 dx \le C(T, K_N, \|\nabla_x u_0\|_{H^{1,2}}, \inf f, \|f\|_{C^3(R^1)}).$$

Immediately, the desired estimate follows. This completes the proof of the Lemma.

Proof of Theorem 1. It suffices to consider the following two cases:

Case I: Let N be an irreducible Hermitian symmetric space of noncompact type.

In this case, we first note that the holomorphic sectional curvature is bounded from below, i.e., there exists a positive constant K_0 such that

$$(4.15) -K_0|u'|^4 \le R(u', Ju', u', Ju') \le 0.$$

Now, invoking Theorem 3.1, let u be a maximal local smooth solution defined on $R^1 \times [0, T_{\text{max}})$ for the Cauchy problem (1.2) and consider the quantity

$$d_{\max} = \sup_{x \in R^1} \left\{ \int_0^{T_{\max}} |u_t| \, dt \right\}.$$

Noting the semi-conservation law in Lemma 4.1 and keeping $\nabla R \equiv 0$ and $\nabla J \equiv 0$ in mind, we can see from (3.7)-(3.13) that

$$(4.16) \quad \frac{d}{dt} \int_{R^{1}} |\nabla_{x} u_{t}|^{2} f \, dx = \frac{d}{dt} \int_{R^{1}} |\nabla_{x} \tau_{f}(u)|^{2} f \, dx$$

$$\leq C(N, \inf f, ||f||_{C^{3}}, ||\tau(u_{0})||_{2}, E(u_{0})) \left\{ \int_{R^{1}} |\nabla_{x} \tau_{f}(u)|^{2} f \, dx + 1 \right\}$$

$$= C(N, \inf f, ||f||_{C^{3}}, ||\tau(u_{0})||_{2}, E(u_{0})) \left\{ \int_{R^{1}} |\nabla_{x} u_{t}|^{2} \, dx + 1 \right\}.$$

By the Gronwall inequality,

(4.17)
$$\int_{\mathbb{R}^1} |\nabla_x u_t(t)|^2 ds \le (1 + ||\nabla \tau(u_0)||_2^2) \exp(Ct) - 1,$$

where $C = C(N, \inf f, ||f||_{C^3}, ||\tau(u_0)||_2, E(u_0))$ depends only on the Sobolev constant of R^1 , the upper bound K_0 of the absolute value of the holomorphic sectional curvature of N, f and u_0 . From (4.17), it follows that

$$(4.18) ||u_t||_{C^0(R^1)} \le C\{(1 + ||\nabla \tau(u_0)||_2^2) \exp(Ct) - 1\}.$$

for any $t \in [0, T_{\text{max}})$.

Now suppose $T_{\text{max}} < \infty$. Then, it follows from (4.16) and the assumption that $u_0(R^1)$ is contained in a compact set of N that $d_{\text{max}} < \infty$. This indicates that the image set of u is contained in some compact subset $\Omega \subset N$. In this case, for a small $\sigma > 0$, consider the Cauchy problem

(4.19)
$$\begin{cases} \partial_t v = J(v)\tau_f(v), \\ v(x,0) = u(x, T_{\text{max}} - \sigma). \end{cases}$$

By repeating the arguments in Theorem 3.1, we can show that there exists a positive real number T_0 , which depends on Ω but not on σ , such that (4.19) admits a local smooth solution v on $R^1 \times [0, T_0)$. Thus u can be extended to $R^1 \times [0, T_{\text{max}} + T_0 - \sigma)$. Since the uniqueness theorem given in [5, 20] is also valid for the case at hand, we know that $v(x, t) = u(x, T_{\text{max}} - \sigma + t)$ for any $t \in [0, T_0)$ so the extended u is still the solution for the Cauchy problem of the inhomogeneous Schrödinger flow. Choosing σ small enough so that

$$T_{\text{max}} + T_0 - \sigma > T_{\text{max}}$$

provides a contradiction to the fact that T_{max} is maximal. Thus T_{max} must be ∞ .

Case II: Let N be a compact Hermitian locally symmetric space.

With inequality (4.14) (Lemma 4.1) at hand, the proof proceeds similarly as above. The issue of uniqueness can also be addressed as in [5, 20]. This finishes the proof of Theorem 1.

The proof of Theorem 3 follows directly from Lemma 3.2 and Lemma 4.1 (see also [20]), so we shall omit it.

Remark 4.1. If $f(x) \equiv 1$ (the homogeneous case), then (4.5) implies the following conservation law:

(4.20)
$$\frac{d}{dt} \int_{S^1} \left\{ |\tau(u)|^2 - \frac{1}{4} R(u_x, Ju_x, u_x, Ju_x) \right\} dx \equiv 0.$$

We end this section with a comparison between the conservation laws for the Schrödinger flow from R^1 into a complex Grassmannian and MNLS (focusing case) on R^1 using the correspondence given in [18, 19].

First we note that MNLS is an infinite-dimensional Hamiltonian system [7] with Hamiltonian functional

(4.21)
$$H(B) = \int_{R^1} \{ \operatorname{tr}(B_x B_x^*) - \operatorname{tr}(B B^* B B^*) \} dx$$

on the space $S(R^1, \mathcal{M}_{k \times (n-k)})$ of smooth maps of Schwartz class from R^1 to $\mathcal{M}_{k \times (n-k)}$ with the symplectic form

(4.22)
$$\omega(B^1, B^2) = \int_{R^1} \langle -iB^1, B^2 \rangle \, dx$$

defined using the Hermitian inner product

$$\langle B^1, B^2 \rangle = \text{Re tr}(B^1 B^{2^*}), \qquad B^1, B^2 \in \mathcal{M}_{k \times (n-k)}.$$

Thus, MNLS has conservation laws provided by the L^2 -norm and the Hamiltonian along the solutions, namely, if B is a solution of (1.3), then

$$\frac{d}{dt} \int_{\mathbb{R}^1} |B|^2 \, dx \equiv 0$$

and

(4.24)
$$\frac{d}{dt} \int_{B^1} \{ \operatorname{tr}(B_x B_x^*) - \operatorname{tr}(B B^* B B^*) \} dx \equiv 0.$$

Now let us recall the correspondence of Terng-Uhlenbeck [18, 19]: Let $B \in C^{\infty}([0,\infty), \mathcal{S}(R^1,\mathcal{M}_{k\times(n-k)}))$ be a solution of (1.3). Let

$$(4.25) v = \begin{pmatrix} 0 & B \\ -B^* & 0 \end{pmatrix}, Q_2 = \begin{pmatrix} -iBB^* & iB_x \\ iB_x^* & iB^*B \end{pmatrix}$$

and

$$(4.26) a = \frac{1}{2} \begin{pmatrix} iI_k & 0 \\ 0 & -iI_{n-k} \end{pmatrix}.$$

Then there exists a gauge transformation $g \in C^{\infty}(\mathbb{R}^1 \times [0, \infty), U(n))$, satisfying

(4.27)
$$\begin{cases} g^{-1}g_x = v, \\ g^{-1}g_t = Q_2, \end{cases}$$

such that $u = gag^{-1}$ is a solution of (1.1). It is easy to see that $u \in C^{\infty}(R^1 \times [0,\infty), \operatorname{Gr}(k,C^n))$ and $u'(\cdot,t) \in \mathcal{S}(R^1,T\operatorname{Gr}(k,C^n))$, where $\operatorname{Gr}(k,C^n)$ denotes the complex Grassmannian manifold. As a Hermitian symmetric space,

$$Gr(k, C^n) \cong \frac{U(n)}{U(k) \times U(n-k)},$$

and has a canonical complex structure given by ad a where a is given by (4.26). It follows that the Schrödinger flow on $Gr(k, C^n)$ is given by

$$(4.28) u_t = [u, u_{xx}]$$

where $[\cdot, \cdot]$ denotes the Lie bracket. In terms of the above correspondence, the following proposition can be verified by direct calculation:

Proposition 4.2. Let B be a solution of the focusing MNLS on R^1 and let u be the corresponding Schrödinger flow on $Gr(k, C^n)$ defined on R^1 . Then, the conservation laws (4.23), (4.24) for MNLS correspond, respectively, to those for the energy functional E defined by (2.1) and (4.20) for the Schrödinger flow.

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DEPARTMENT OF MATHEMATICS
NATIONAL UNIVERSITY OF SINGAPORE
2 SCIENCE DRIVE 2, REPUBLIC OF SINGAPORE 117543
E-mail address: matpyh@nus.edu.sg

DEPARTMENT OF MATHEMATICS YANGZHOU UNIVERSITY, YANGZHOU, JIANGSU 225009 PEOPLE'S REPUBLIC OF CHINA E-mail address: hywang@yzu.edu.cn

INSTITUTE OF MATHEMATICS
ACADEMY OF MATHEMATICS AND SYSTEM SCIENCES
CHINESE ACADEMY OF SCIENCES
BEIJING, PEOPLE'S REPUBLIC OF CHINA
E-mail address: wyd@math03.math.ac.cn

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