

Addendum: Generalized Spencer Cohomology and filtered Deformations of \mathbb{Z} -graded Lie superalgebras

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In [K] all the possibilities for the non-positive part $\mathfrak{g}_{\leq 0} = \bigoplus_{j=-h}^0 \mathfrak{g}_j$ of the associated graded Lie superalgebra $\mathfrak{g} = \bigoplus_{j \geq -h} \mathfrak{g}_j$ of a simple linearly compact Lie superalgebra L , for a “good” choice of its filtration, were obtained. In [CK2] the transitive \mathbb{Z} -graded \mathfrak{g} with those $\mathfrak{g}_{\leq 0}$ were classified, and in [CK1] and [K], in order to reconstruct L from \mathfrak{g} , their filtered deformations were classified. However, some cases had been inadvertently omitted. In this note we take the opportunity to amend this.

The list for $\bigoplus_{j=-h}^0 \mathfrak{g}_j$ (written below as the $h+1$ -tuple $(\mathfrak{g}_{-h}, \mathfrak{g}_{-h+1}, \dots, \mathfrak{g}_{-1}, \mathfrak{g}_0)$) is as follows [K]:

Inconsistent gradations of depth 1:

- (I1) $(\mathbb{C}^{m|n}, gl(m, n))$.
- (I2) $(\mathbb{C}^{m|n}, sl(m, n))$.
- (I3) $(\mathbb{C}^{m|n}, spo(m, n))$.
- (I4) $(\mathbb{C}^{m|n}, cspo(m, n))$.
- (I5) $(\mathbb{C}^{m|0} \otimes \Lambda(1), sl(m) \otimes \Lambda(1) + \mathfrak{a})$.
- (I6) $(\mathbb{C}^{2n|0} \otimes \Lambda(1), sp(2n) \otimes \Lambda(1) + \mathfrak{a})$.
- (I7) $(\mathbb{C}^{m|m}, \tilde{P}(m))$.
- (I8) $(\mathbb{C}^{m|m}, c\tilde{P}(m))$.
- (I9) $(\mathbb{C}^{m|m}, P(m))$.
- (I10) $(\mathbb{C}^{m|m}, cP(m))$.
- (I11) $(\mathbb{C}^{4|4}, \hat{P}(4))$
- (I12) $(\mathbb{C}^{2|2}, spin_4^0 + \mathfrak{a})$.
- (I13) $(\mathbb{C}^{m|m}, Q(m))$.
- (I14) $(\mathbb{C}^{m|m}, cQ(m))$.
- (I15) $(\Pi(\Lambda(2)^\lambda), W(0, 2)), \lambda \neq 0, 1$.
- (I16) $(\Pi(\Lambda(2)^\lambda), cW(0, 2)), \lambda \neq 0, 1$.
- (I17) $(\Pi(\Lambda(2)), W(0, 2) + \Lambda(2))$.
- (I18) $(\Pi(\Lambda(2)), S(0, 2) + \Lambda(2))$.
- (I19) $(\Pi(\Lambda(2)), S(0, 2) + \mathbb{C}1 + \mathbb{C}\xi_1 + \mathbb{C}\xi_2)$.
- (I20) $(\Pi(\Lambda(3)^\lambda)/\mathbb{C}\xi_1\xi_2\xi_3, W(0, 3)), \lambda = 1$.

Inconsistent gradations of depth 2:

- (J1) $(\mathbb{C}^{1|0}, \mathbb{C}^{m|n}, spo(m, n))$.
- (J2) $(\mathbb{C}^{1|0}, \mathbb{C}^{m|n}, cspo(m, n))$.
- (J3) $(\mathbb{C}^{0|1}, \mathbb{C}^{m|m}, \tilde{P}(m))$.
- (J4) $(\mathbb{C}^{0|1}, \mathbb{C}^{m|m}, c\tilde{P}(m))$.
- (J5) $(\mathbb{C}^{0|1}, \mathbb{C}^{m|m}, P(m))$.
- (J6) $(\mathbb{C}^{0|1}, \mathbb{C}^{m|m}, cP(m))$.
- (J7) $(\mathbb{C}^{0|1}, \mathbb{C}^{m|m}, P(m) + \mathbb{C}(I + \beta\Phi))$.
- (J8) $(\mathbb{C}^{1|0} \otimes \xi, \mathbb{C}^{2n|0} \otimes \Lambda(1), sp(2n) \otimes \Lambda(1) + \mathbb{C}\xi \frac{\partial}{\partial \xi} + \mathbb{C} \frac{\partial}{\partial \xi})$.
- (J9) $(\mathbb{C}^{1|0} \otimes \Lambda(1), \mathbb{C}^{2n|0} \otimes \Lambda(1), csp(2n) \otimes \Lambda(1) + \mathfrak{a})$.

Consistent gradations:

- (C1) $(\mathbb{C}, \mathbb{C}^n, cso(n)), n \geq 1$ and $n \neq 2$.
- (C2) $(\mathbb{C}^{5*}, \Lambda^2(\mathbb{C}^5), sl(5))$.
- (C3) $(\mathbb{C}^{5*}, \Lambda^2(\mathbb{C}^5), gl(5))$.
- (C4) $(\mathbb{C}^{3*}, \mathbb{C}^3 \boxtimes \mathbb{C}^2, gl(3) \oplus sl(2))$.
- (C5) $(\mathbb{C}^{3*}, \mathbb{C}^3 \boxtimes \mathbb{C}^2, sl(3) \oplus sl(2))$.
- (C6) $(\mathbb{C}^2, \mathbb{C}^{3*}, \mathbb{C}^3 \boxtimes \mathbb{C}^2, gl(3) \oplus sl(2))$.
- (C7) $(\mathbb{C}^2, \mathbb{C}^{3*}, \mathbb{C}^3 \boxtimes \mathbb{C}^2, sl(3) \oplus sl(2))$.

Remark 0.1. Above $\Lambda(n)$ is the Grassmann superalgebra in the indeterminates ξ_1, \dots, ξ_n and \mathfrak{a} is a subalgebra of $\mathbb{C}1 + \mathbb{C}\xi + \mathbb{C}\xi \frac{\partial}{\partial \xi} + \mathbb{C} \frac{\partial}{\partial \xi}$ which projects non-trivially onto $\mathbb{C} \frac{\partial}{\partial \xi}$. For further explanation of the above notations see page 220–221 of [CK2]. We want to point out that (I18) and (I19) on page 220 of [CK2] contain typos and that (I20) was inadvertently omitted in [K]. Namely, to the (empty) list of Lemma 3.5 and to the list of Theorem 3.1 of [K] one should add the representation (I20).

In the case when \mathfrak{g}_0 contains a grading operator, it is well-known that the \mathbb{Z} -graded transitive Lie superalgebra \mathfrak{g} allows no non-trivial filtered deformations (c.f. Corollary 2.2 [CK1]). This takes care of all cases except for (I2), (I3), (I5), (I6), (I7), (I9), (I13), (I15), (I20), (J1), (J3), (J5), (J7), (J8), (C2), (C5) and (C7). By [CK2] we have the following possibilities for \mathfrak{g} with prescribed $\mathfrak{g}_{\leq 0}$ for these remaining cases (see [K] or [CK2] for notations and definitions):

- (I2) $S(m, n)$ and $S'(m, n)$ in principal gradation.
- (I3) $H(m, n)$ in principal gradation.
- (I5) $S(n, 0) \otimes \Lambda(1) + \mathfrak{a}$, $S(n, 1)$ and $S(n, 1) + \mathbb{C}E$ in subprincipal gradation.
- (I6) $H(n, 0) \otimes \Lambda(1) + \mathfrak{a}$ in subprincipal gradation.
- (I7) $SHO(n, n) + \mathbb{C}\Phi$, $SHO'(n, n) + \mathbb{C}\Phi$ and $HO(n, n)$ in principal gradation.
- (I9) $SHO(n, n)$ and $SHO'(n, n)$ in principal gradation.
- (I13) No infinite-dimensional prolongation by Section 2.7 of [CK2].
- (I15) $SKO(2, 3; 1 - \frac{1}{\lambda})$, $\lambda \neq 0, 1$, in subprincipal gradation.
- (I20) $SKO(3, 4; \frac{1}{3})$ in subprincipal gradation.
- (J1) $\widehat{H}(m, n)$ in principal gradation.
- (J3) $\widehat{HO}(n, n)$, $\widehat{SHO}(n, n) + \mathbb{C}\Phi$ and $\widehat{SHO}'(n, n) + \mathbb{C}\Phi$ in principal gradation.
- (J5) $\widehat{SHO}(n, n)$ and $\widehat{SHO}'(n, n)$ in principal gradation.
- (J7) $SKO(n, n + 1; \beta)$, $SKO'(n, n + 1; \beta)$, $\widehat{SHO}(n, n) + \mathbb{C}(\tau + \beta\Phi)$ and $\widehat{SHO}'(n, n) + \mathbb{C}(\tau + \beta\Phi)$ in principal gradation.
- (J8a) $H(2n, 2)$ in subprincipal gradation.
- (J8b) $\widehat{H}(2n, 0) \otimes \Lambda(1) + \mathbb{C}\xi \frac{\partial}{\partial \xi} + \mathbb{C} \frac{\partial}{\partial \xi} / \mathbb{C}1$.
- (C2) $E(5, 10)$.
- (C5) $SHO(3, 3) + sl_2$.
- (C7) $\mathbb{C}^2 + SHO(3, 3) + sl_2$.

Remark 0.2. One can show, arguing as in Section 2.6 of [CK2], that the full prolongation of $(\Pi(\Lambda(3)^1)/\mathbb{C}\xi_1\xi_2\xi_3, W(0, 3))$ is $SKO(3, 4; \frac{1}{3})$ in the sub-principal gradation.

We will now discuss the above cases one by one.

- (I2) No non-trivial filtered deformations by Lemma 6.4 of [K]. As the proof of Lemma 6.4 [K] contains a gap (which can be easily fixed) we will provide below an independent proof using Spencer cohomology.
- (I3) No non-trivial filtered deformations by Theorem 4.4 of [CK1].
- (I7) No non-trivial filtered deformations by Remark 4.3 and Theorem 4.2 of [CK1].
- (I9) $SHO(n, n)$ has no non-trivial filtered deformations by Propositions 4.1 and 4.2 of [CK1], while $SHO'(n, n)$ has a unique non-trivial filtered deformation by Theorem 5.1 (i) of [CK1].
- (J1) No non-trivial filtered deformations by Proposition 2.7 of [CK1].
- (J3) No non-trivial filtered deformations by Remarks 4.1 and 4.2 of [CK1].
- (J5) $\widehat{SHO}(n, n)$ has a unique non-trivial filtered deformation by Theorem 5.1 (ii) of [CK1], while $\widehat{SHO}'(n, n)$ has no non-trivial filtered deformations by Theorem 5.1 (iii) of [CK1].
- (J7) Only $SKO(n, n + 1; \frac{n+2}{n})$, for n odd, has a unique non-trivial filtered deformation by Theorem 5.2 of [CK1]. The remaining cases are taken care of by Proposition 2.7, Remarks 4.1, 4.3 and Theorem 4.3 of [CK1].
- (J8b) $\widehat{H}(2n, 0) \otimes \Lambda(1) + \mathbb{C}\xi \frac{\partial}{\partial \xi} + \mathbb{C} \frac{\partial}{\partial \xi} / \mathbb{C}1$ in (J8) has no filtered deformations, for which L_0 is a maximal subalgebra, by Proposition 2.7 of [CK1].
- (C2) No non-trivial filtered deformations by Lemma 6.3 of [K].

Therefore we are left to consider the following cases: (I2), (I5), (I6), (I15), (I20), (J8a), (C5) and (C7).

We follow the strategy of [CK1] for determining filtered deformations of a \mathbb{Z} -graded transitive Lie superalgebra \mathfrak{g} . We briefly summarize the idea here. A filtered deformation gives rise to a *defining sequence* $\mu_i, i \geq 1$, as defined in (2.2) of [CK1]. The first non-zero term is a 2-cocycle of \mathfrak{g} with coefficients in \mathfrak{g} , which, by Proposition 2.2 of [CK1], when restricted to $\mathfrak{g}_- = \bigoplus_{j < 0} \mathfrak{g}_j$ is

a \mathfrak{g}_0 -invariant (necessarily even) Spencer 2-cocycle, i.e. an even \mathfrak{g}_0 -invariant element in the second cohomology group $H^{*,2}(\mathfrak{g}_-, \mathfrak{g})$ of \mathfrak{g}_- with coefficients in \mathfrak{g} . Recall that the \mathbb{Z} -grading of \mathfrak{g} induces a \mathbb{Z}_+ -grading (referred to by the first superscript) of its Spencer cohomology.

If the subspace of \mathfrak{g}_0 -invariants in $H^{*,2}(\mathfrak{g}_-, \mathfrak{g})_{\bar{0}}$ is zero, then \mathfrak{g} has no filtered deformations, provided that \mathfrak{g} is a full or an almost full prolongation (Corollary 2.3 of [CK1]). Let \mathfrak{s} denote a reductive Lie subalgebra of $(\mathfrak{g}_0)_{\bar{0}}$. By complete reducibility it follows, in particular, that if $(\Lambda^2(\mathfrak{g}_-^* \otimes \mathfrak{g})_{\bar{0}}^{\mathfrak{s}} = 0$, then the \mathfrak{g} as above has no filtered deformations. Moreover, provided that again \mathfrak{g} is a full or an almost full prolongation, Corollary 2.5 of [CK1] implies that if the even \mathfrak{g}_0 -invariant part of the second Spencer cohomology group is one-dimensional, then a non-trivial filtered deformation is necessarily unique.

1 (I2) has no non-trivial filtered deformations

We recall that $W(m, n)$ is the Lie superalgebra of derivations of $\Lambda(m, n)$, which is generated by the even elements x_i , $i = 1, \dots, m$, and odd elements ξ_j , $j = 1, \dots, n$. We shall prove that for $m, n > 0$ any graded subalgebra \mathfrak{g} of $W(m, n)$, in its principal gradation, containing $S(m, n)_{-1}$ and $S(m, n)_0$, and thus in particular the element $D = n \sum_{i=1}^m x_i \frac{\partial}{\partial x_i} + m \sum_{j=1}^n \xi_j \frac{\partial}{\partial \xi_j}$, has no non-trivial \mathfrak{g}_0 -invariants in $H^2(\mathfrak{g}_-, \mathfrak{g})$. This in particular will show that the two algebras of (I2) have no non-trivial \mathfrak{g}_0 -invariants in $H^2(\mathfrak{g}_-, \mathfrak{g})$.

An elementary calculation, using the fact that $m, n > 0$, shows that the only $sl(m) \oplus sl(n) \oplus \mathbb{C}D = (S(m, n)_0)_{\bar{0}}$ -submodules of $\Lambda^2(\mathfrak{g}_{-1}^*) \otimes W(m, n)$ on which D acts trivially are the following:

- (a) $S^2(\mathbb{C}^n) \otimes (\Lambda^l(\mathbb{C}^n) \boxtimes \mathbb{C}^{m*})$, if there exists $l > 0$ such that $m(l+2) = n$,
- (b) $\Lambda^2(\mathbb{C}^m) \otimes (S^k(\mathbb{C}^m) \boxtimes \mathbb{C}^{n*})$, if there exists $k > 0$ such that $m = (k+2)n$.

But it is evident that these two modules contain no $sl(m) \oplus sl(n)$ -invariants. Now in the case when $S(m, n) = S'(m, n)$, it is the full prolongation of (I2). If $S(m, n) \subsetneq S'(m, n)$, then $S'(m, n)$ is the full prolongation and $S(m, n)$ is an almost full prolongation of (I2). Hence Corollary 2.3 of [CK1] is applicable and thus neither $S(m, n)$ nor $S'(m, n)$ can have non-trivial filtered deformations.

2 (I5) has no non-trivial filtered deformations

The following lemma can be verified directly (c.f. [K] Example 3.4).

Lemma 2.1. *The following are all possibilities for a subalgebra $\mathfrak{a} \subseteq gl(1|1) = \mathbb{C}1 + \mathbb{C}\xi + \mathbb{C}\frac{\partial}{\partial\xi} + \mathbb{C}\xi\frac{\partial}{\partial\xi}$ with a non-trivial projection onto $\mathbb{C}\frac{\partial}{\partial\xi}$:*

- (a) $gl(1|1)$,
- (b) $\mathbb{C}1 + \mathbb{C}\frac{\partial}{\partial\xi} + \mathbb{C}\xi\frac{\partial}{\partial\xi}$,
- (c) $\mathbb{C}(\alpha 1 + \beta\xi\frac{\partial}{\partial\xi}) + \mathbb{C}\frac{\partial}{\partial\xi}$, $\alpha, \beta \in \mathbb{C}$, and one of them is non-zero,
- (d) $\mathbb{C}\frac{\partial}{\partial\xi}$,
- (e) $\mathbb{C}1 + \mathbb{C}(\frac{\partial}{\partial\xi} + \xi)$,
- (f) $\mathbb{C}\frac{\partial}{\partial\xi} + \mathbb{C}\xi + \mathbb{C}1$.

Lemma 2.2. *Suppose the associated graded \mathfrak{g} of L is $S(n, 0) \otimes \Lambda(1) + \mathfrak{a}$, where \mathfrak{a} is listed in Lemma 2.1. Then $[L, L] \neq L$ and hence L is not simple.*

Proof. Let $\mathfrak{g} = \bigoplus_{j=-1}^{\infty} \mathfrak{g}_j$. We have $sl_n \subseteq (\mathfrak{g}_0)_{\bar{0}}$ and it is easy to write down explicitly the structure of \mathfrak{g}_j as an sl_n -module for each j . Now $\mathfrak{a}_{\bar{1}} \neq 0$ and sl_n acts trivially on it. Consider the sl_n -module decomposition of

$$L = \prod_{j \geq -1} \mathfrak{m}_j$$

of (6.1) in [K] so that $\mathfrak{m}_j \cong \mathfrak{g}_j$ as sl_n -modules. One verifies that the component isomorphic to $\mathfrak{a}_{\bar{1}}$ inside \mathfrak{m}_0 cannot be obtained from $[L, L]$. Indeed, it can only be obtained from $[(\mathfrak{m}_{-1})_{\bar{0}}, (\mathfrak{m}_{-1})_{\bar{1}}]$, $[(\mathfrak{m}_{-1})_{\bar{0}}, (\mathfrak{m}_1)_{\bar{1}}]$ or $[(\mathfrak{m}_1)_{\bar{0}}, (\mathfrak{m}_{-1})_{\bar{1}}]$. Now we have $(\mathfrak{m}_{-1})_{\epsilon} \cong R(\pi_{n-1})$ and $(\mathfrak{m}_1)_{\epsilon} \cong R(2\pi_1 + \pi_{n-1})$, $\epsilon = \bar{0}, \bar{1}$. Here as usual π_i denotes the i -th fundamental weight and $R(\pi_i)$ is the corresponding irreducible $sl(n)$ -module, etc. Since $\mathfrak{a}_{\bar{1}}$ is a trivial sl_n -module and neither of the three pairs of modules above are contragredient to each other, it follows that $\mathfrak{a}_{\bar{1}}$ cannot lie in $[L, L]$. \square

The remaining cases of (I5) are taken care of as follows. $S(n, 1) + \mathbb{C}E$ contains a grading operator E , while $S(n, 1)$ has no non-trivial filtered deformations by Lemma 6.5 of [K].

3 (I6) has a unique non-trivial filtered deformation (isomorphic to $H(2n, 1)$)

As in the proof of Lemma 2.2 one verifies that only when $\mathfrak{a} = \mathbb{C} \frac{\partial}{\partial \xi}$ can one possibly have a simple non-trivial filtered deformation for (I6).

Consider $H(2n, 1)$, which we identify with $\mathbb{C}[p_i, q_i, \xi]/\mathbb{C}1$, $i = 1, \dots, n$, equipped with the induced Poisson bracket. Let $C^{\geq j}$ be the span of homogeneous polynomials of degree $\geq j$ in p_i and q_i . Let $L_0 = C^{\geq 2} + C^{\geq 2}\xi + \mathbb{C}\xi$ and $L_j = C^{\geq j+2} + C^{\geq j+2}\xi$, for $j > 0$. This gives rise to a filtration on $H(2n, 1)$ such that the associated graded is isomorphic to $H(2n, 0) \otimes \Lambda(1) + \mathbb{C} \frac{\partial}{\partial \xi}$, with the \mathbb{Z} -gradation induced from the standard \mathbb{Z} -gradation of $H(2n, 0)$ by letting $\deg \xi = 0$ [CaK]. This is the \mathbb{Z} -graded Lie superalgebra of type (I6).

We will show that the above filtered deformation $H(2n, 1)$ of this \mathbb{Z} -graded Lie superalgebra is the unique non-trivial one.

Proposition 3.1. *Let $\mathfrak{g} = H(2n, 0) \otimes \Lambda(1) + \mathbb{C} \frac{\partial}{\partial \xi}$. Then we have*

$$\begin{aligned} H^{l,2}(\mathfrak{g}_{-1}, \mathfrak{g}_{\bar{0}})^{sp(2n)} &= 0, \quad \text{if } l \neq 2, \\ H^{2,2}(\mathfrak{g}_{-1}, \mathfrak{g}_{\bar{0}})^{sp(2n)} &= \mathbb{C}. \end{aligned}$$

Proof. We have $\mathfrak{g}_0 = sp(2n) \otimes \Lambda(1) + \mathbb{C} \frac{\partial}{\partial \xi}$. Write $\mathfrak{g} = \bigoplus_{j \geq -1} \mathfrak{g}_j$, then the $sp(2n)$ -module structure of each \mathfrak{g}_j is easily computed:

$$\begin{aligned} (\mathfrak{g}_{-1})_{\bar{0}} &= R(\pi_1), & (\mathfrak{g}_{-1})_{\bar{1}} &= R(\pi_1), \\ (\mathfrak{g}_0)_{\bar{0}} &= R(2\pi_1), & (\mathfrak{g}_0)_{\bar{1}} &= R(2\pi_1) \oplus R(0), \\ (\mathfrak{g}_j)_{\bar{0}} &= R((j+2)\pi_1), & (\mathfrak{g}_j)_{\bar{1}} &= R((j+2)\pi_1), \quad j \geq 1. \end{aligned}$$

As an $sp(2n)$ -module $\Lambda^2(\mathfrak{g}_{-1}^*)$ is as follows:

$$\Lambda^2(\mathfrak{g}_{-1}^*)_{\bar{0}} \cong \Lambda^2(\mathfrak{g}_{-1}^*)_{\bar{1}} \cong R(0) \oplus R(\pi_2) \oplus R(2\pi_1).$$

Now we consider the $sp(2n)$ -module $(\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g})_{\bar{0}}$. It is easy to see that the trivial $sp(2n)$ -module can only appear in $(\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_0)_{\bar{0}}$, from which it follows immediately that $H^{l,2}(\mathfrak{g}_{-1}; \mathfrak{g})_{\bar{0}}^{sp(2n)} = 0$, if $l \neq 2$.

The space of $sp(2n)$ -invariants in $(\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_0)_{\bar{0}}$ is three-dimensional. In order to write down a basis for it, we need some more notation. Let p_i, q_i , $i = 1, \dots, n$, be the standard basis of \mathbb{C}^{2n} , on which $sp(2n)$ acts naturally. For $f \in \mathbb{C}[p_i, q_i]$ we let $\tilde{f}_i = f \otimes \xi$. Choose the standard basis $\{p_i, q_i\}$ for

$(\mathfrak{g}_{-1})_{\bar{0}}$ and the standard basis $\{\tilde{p}_i, \tilde{q}_i\}$ for $(\mathfrak{g}_{-1})_{\bar{1}}$. Let p_i^* etc. denote the corresponding dual. Then $(\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_0)^{sp(2n)}_{\bar{0}}$ is spanned by:

$$\begin{aligned} c_1 &= \left(\sum_{i=1}^n p_i^* \otimes \tilde{q}_i^* - q_i^* \otimes \tilde{p}_i^* \right) \otimes \frac{\partial}{\partial \xi}, \\ c_2 &= \frac{1}{2} \sum_{i,j} \left(\tilde{p}_i^* \otimes \tilde{q}_j^* + \tilde{q}_j^* \otimes \tilde{p}_i^* \right) \otimes p_i q_j \\ &\quad + \frac{1}{2} \sum_{i \leq j} \left(\tilde{p}_i^* \otimes \tilde{p}_j^* + \tilde{p}_j^* \otimes \tilde{p}_i^* \right) \otimes p_i p_j + \left(\tilde{q}_i^* \otimes \tilde{q}_j^* + \tilde{q}_j^* \otimes \tilde{q}_i^* \right) \otimes q_i q_j, \\ c_3 &= \sum_{i,j} \left(p_i^* \otimes \tilde{q}_j^* + q_j^* \otimes \tilde{p}_i^* \right) \otimes \widetilde{p_i q_j} + \sum_{i \leq j} \left(p_i^* \otimes \tilde{p}_j^* + p_j^* \otimes \tilde{p}_i^* \right) \otimes \widetilde{p_i p_j} \\ &\quad + \left(q_i^* \otimes \tilde{q}_j^* + q_j^* \otimes \tilde{q}_i^* \right) \otimes \widetilde{q_i q_j}. \end{aligned}$$

It is straightforward to check that $c_1 + c_2$ is a 2-cocycle. On the other hand one computes

$$\begin{aligned} (dc_1)(q_1, \tilde{p}_1, \tilde{p}_1) &= -2p_1, & (dc_1)(p_1, q_1, \tilde{p}_1) &= 0, \\ (dc_2)(q_1, \tilde{p}_1, \tilde{p}_1) &= 2p_1, & (dc_2)(p_1, q_1, \tilde{p}_1) &= 0, \\ (dc_3)(q_1, \tilde{p}_1, \tilde{p}_1) &= 0, & (dc_3)(p_1, q_1, \tilde{p}_1) &= -5\tilde{p}_1, \end{aligned}$$

from which it follows that if a linear combination of the form $\lambda_1 c_1 + \lambda_2 c_2 + \lambda_3 c_3$ is a cocycle, then $\lambda_3 = 0$. Thus a cocycle is of the form $\lambda_1 c_1 + \lambda_2 c_2$, and the above calculation also shows that we must have $\lambda_1 = \lambda_2$. This shows that $H^{2,2}(\mathfrak{g}_{-1}, \mathfrak{g})^{sp(2n)}_{\bar{0}} = \mathbb{C}$. □

The filtered deformation corresponding to the non-trivial Spencer cocycle can be realized as follows. Consider the Lie superalgebra $H(2m, n + s)$, for $s \geq 1$, which we identify with $\Lambda(2m, n + s)/\mathbb{C}$, in the variables p_i, q_i, ξ_j , $i = 1, \dots, m, j = 1, \dots, n + s$, equipped with the Poisson bracket.

For $f, g \in \Lambda(2m, n)$ and $a, b \in \Lambda(s)$ the Lie bracket in $H(2m, n + s)$ can be written as

$$[f \otimes a, g \otimes b] = (-1)^{p(a)p(g)} \left([f, g] \otimes ab + fg \otimes [a, b] \right). \tag{3.1}$$

Let ϵ be a new (even) variable and f and g be homogeneous polynomials in the variables p_i, q_i, ξ_j , $i = 1, \dots, m$ and $j = 1, \dots, n$. Consider the following degeneration of (3.1):

$$[f \otimes a, g \otimes b]_{\text{deg}} = (-1)^{p(a)p(g)} \left([f, g] \otimes ab + \lim_{\epsilon \rightarrow 0} \frac{fg(\epsilon p_i, \epsilon q_i, \epsilon \xi_j)}{\epsilon^{\max\{\text{deg} f, \text{deg} g\}}} \otimes [a, b] \right).$$

One can verify directly that $[\cdot, \cdot]_{\text{deg}}$ is precisely the Lie bracket on $H(2m, n) \otimes \Lambda(s) + H(0, s)$. Hence for $s > 0$ $H(2m, n + s)$ is a filtered deformation of $H(2m, n) \otimes \Lambda(s) + H(0, s)$.

Finally by Proposition 2.4.4 of [CK2] $H(2n, 0) \otimes \Lambda(1) + \mathbb{C} \frac{\partial}{\partial \xi}$ is the full prolongation of (I6) with $\mathfrak{a} = \mathbb{C} \frac{\partial}{\partial \xi}$ and hence combining this fact with Proposition 3.1 we have by Corollary 2.5 of [CK1] that $H(2n, 1)$ in the above filtration is the unique non-trivial filtered deformation of $H(2n, 0) \otimes \Lambda(1) + \mathbb{C} \frac{\partial}{\partial \xi}$.

4 (I15) has no non-trivial filtered deformations

Consider the Lie superalgebra $\mathfrak{g} = SKO(2, 3; \beta) = \bigoplus_{j \geq -1} \mathfrak{g}_j$ in its subprincipal gradation. We regard \mathfrak{g} as a subalgebra of $KO(2, 3)$, which is identified with $\mathbb{C}[x_1, x_2, \xi_1, \xi_2, \tau]$ with reversed parity, equipped with the odd contact bracket. In \mathfrak{g}_0 we have a copy of $gl(2)$ spanned by $x_1\xi_2, x_2\xi_1, x_1\xi_1 - x_2\xi_2$ and $\tau + \beta\Phi$. The $sl(2)$ -module structure of \mathfrak{g}_j are as follows:

$$\begin{aligned} (\mathfrak{g}_{-1})_{\bar{0}} &= R(1), & (\mathfrak{g}_{-1})_{\bar{1}} &= 2R(0), \\ (\mathfrak{g}_0)_{\bar{0}} &= R(2) \oplus R(0), & (\mathfrak{g}_0)_{\bar{1}} &= 2R(1), \\ (\mathfrak{g}_j)_{\bar{0}} &= R(j+2) \oplus R(j), & (\mathfrak{g}_j)_{\bar{1}} &= 2R(j+1). \end{aligned}$$

Furthermore we have $\Lambda^2(\mathfrak{g}_{-1}^*)_{\bar{0}} = 4R(0)$, and $\Lambda^2(\mathfrak{g}_{-1}^*)_{\bar{1}} = 2R(1)$. From this it follows that $sl(2)$ -invariants of $\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}$ can only occur in $\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_j$, $j = -1, 0, 1$. But the $sl(2)$ -invariants in $\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_j$, $j = -1, 1$ are all odd, hence they cannot give rise to filtered deformations. Thus we are left to consider the even $sl(2)$ -invariants in $\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g}_0$. This is an 8-dimensional space, and it is easy to write down a linear basis for this space. We now use the action of $\tau + \beta\Phi$ on this space to determine the $gl(2)$ -invariants. A simple calculation using the fact that $\beta \neq 0$ shows that only in the case when $\beta = -1$ can we have $gl(2)$ -invariants. In this case the space of $gl(2)$ -invariants is two-dimensional and it is spanned by

$$c_1 = \xi_1^* \wedge \xi_2^* \otimes (\tau - \Phi), \quad c_2 = (1^* \otimes (\xi_1\xi_2)^*) \otimes (\tau - \Phi).$$

Now we compute

$$\begin{aligned} (dc_1)(\xi_1, \xi_2, 1) &= 2, & (dc_1)(1, 1, \xi_1\xi_2) &= 0, \\ (dc_2)(\xi_1, \xi_2, 1) &= 0, & (dc_2)(1, 1, \xi_1\xi_2) &= 4. \end{aligned}$$

Hence no non-zero linear combination of c_1 and c_2 can give rise to a 2-cocycle. Thus we have the following.

Proposition 4.1. *In the subprincipal gradation for $\beta \neq 0$ we have*

$$H^{l,2}(SKO(2, 3; \beta)_{-1}, SKO(2, 3; \beta))_{\bar{0}}^{gl(2)} = 0, \quad l \geq 0.$$

Since by Theorem 2.6.1 of [CK2] $SKO(2, 3; 1 - \frac{1}{\lambda})$, $\lambda \neq 0, 1$, is the full prolongation of (I15) we conclude from Proposition 4.1, using Corollary 2.3 of [CK1], that $SKO(2, 3; 1 - \frac{1}{\lambda})$ in the subprincipal gradation has no non-trivial filtered deformations.

5 (I20) has no non-trivial filtered deformations

As in (I15) one computes the $gl(3)$ -module structure of $SKO(3, 4; \frac{1}{3}) = \mathfrak{g} = \bigoplus_{j \geq -1} \mathfrak{g}_j$ in the subprincipal gradation. It is then straightforward to verify directly that the center of $gl(3)$, given by the element $\tau + \frac{1}{3}\Phi$, acts non-trivially on $(\Lambda^2(\mathfrak{g}_{-1}^*) \otimes \mathfrak{g})_{\bar{0}}$. Since the calculation is quite similar to that of (I15), we omit the details. From this we obtain the following.

Proposition 5.1. *In the subprincipal gradation we have*

$$H^{l,2} \left(SKO \left(3, 4; \frac{1}{3} \right)_{-1}, SKO \left(3, 4; \frac{1}{3} \right) \right)_{\bar{0}}^{gl(3)} = 0, \quad l \geq 0.$$

Since by Remark 0.2 $SKO(3, 4; \frac{1}{3})$ is the full prolongation of (I20), it follows that $SKO(3, 4; \frac{1}{3})$ has no non-trivial filtered deformations.

6 (J8a) has no non-trivial filtered deformations

Proposition 6.1. *In the subprincipal gradation we have*

$$H^{l,2}(H(2n, 2)_-, H(2n, 2))_{\bar{0}}^{\mathfrak{g}_0} = 0, \quad l \geq 0,$$

where $H(2n, 2)_- = H(2n, 2)_{-2} \oplus H(2n, 2)_{-1}$.

Proof. We identify $H(2n, 2)$ with $\mathbb{C}[p_i, q_i, \xi_1, \xi_2]/\mathbb{C}1$, $i = 1, \dots, n$. We have $\mathfrak{g} = \bigoplus_{j \geq -2} \mathfrak{g}_j$. \mathfrak{g}_0 is spanned by vectors of the form $p_i q_j$, $p_i q_j \xi_1$, $\xi_2 \xi_1$ and ξ_2 and hence $\mathfrak{g}_0 \cong sp(2n) \otimes \Lambda(1) + W(0, 1)$. Thus \mathfrak{g}_0 contains $csp(2n)$. As a \mathfrak{g}_0 -module the other graded components are as follows:

$$\begin{aligned} \mathfrak{g}_{-2} &= R(0), & \mathfrak{g}_{-1} &= R(\pi_1) \otimes \Lambda(1), \\ \mathfrak{g}_j &= (R((j+2)\pi_1) \otimes \Lambda(1)) + (R(j\pi_1) \otimes W(1)), \end{aligned}$$

Here as usual $R(\pi_1)$ denotes the standard module of $sp(2n)$ etc. Let $\mathfrak{g}_- = \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-1}$ and consider $\Lambda^2(\mathfrak{g}_-^*)$. The $sp(2n)$ -module structure of $\Lambda^2(\mathfrak{g}_-^*)$ is not hard to find, and from this one obtains that the even $csp(2n)$ -invariants of $\Lambda^2(\mathfrak{g}_{-2}^*) \otimes \mathfrak{g}$ form a 3-dimensional vector space spanned by the following basis vectors:

$$\begin{aligned} c_1 &= \left(\sum_{i=1}^n p_i^* \wedge q_i^* \right) \otimes \xi_1 \xi_2, \\ c_2 &= \sum_{i=1}^n (p_i^* \otimes \xi_1^*) \otimes \tilde{p}_i + (q_i^* \otimes \xi_1^*) \otimes \tilde{q}_i, \\ c_3 &= \sum_{i \leq j} (p_i^* \otimes \tilde{p}_j^* + p_j^* \otimes \tilde{p}_i^*) \otimes \widetilde{p_i p_j} + (q_i^* \otimes \tilde{q}_j^* + q_j^* \otimes \tilde{q}_i^*) \otimes \widetilde{q_i q_j} \\ &\quad + \sum_{i,j} (p_i^* \otimes \tilde{q}_j^* + q_j^* \otimes \tilde{p}_i^*) \otimes \widetilde{p_i q_j}. \end{aligned}$$

Here $\tilde{p}_i = p_i \otimes \xi_1$ etc. and $*$ denotes taking the dual as usual. Now we compute

$$\begin{aligned} (dc_1)(p_1, q_1, \xi_1) &= \xi_1, & (dc_1)(p_1, q_1, \tilde{p}_1) &= \tilde{p}_1, & (dc_1)(p_1, p_2, \tilde{q}_1) &= 0, \\ (dc_2)(p_1, q_1, \xi_1) &= -2\xi_1, & (dc_2)(p_1, q_1, \tilde{p}_1) &= 0, & (dc_2)(p_1, p_2, \tilde{q}_1) &= 0, \\ (dc_3)(p_1, q_1, \xi_1) &= 0, & (dc_3)(p_1, q_1, \tilde{p}_1) &= -5\tilde{p}_1, & (dc_3)(p_1, p_2, \tilde{q}_1) &= -\tilde{p}_2. \end{aligned}$$

It follows that no non-zero linear combination of c_1 , c_2 and c_3 can be a 2-cocycle. \square

Now by Lemma 3.3.2 of [CK2] $H(2n, 2)$ in its subprincipal gradation is the full prolongation of (J8a). Hence it follows from Corollary 2.3 of [CK1] that $H(2n, 2)$ in the subprincipal gradation has no non-trivial filtered deformations.

7 (C5) and (C7) have no non-trivial filtered deformations

Consider the case of (C5) so that the associated graded $\text{Gr}L = SHO(3, 3) + sl_2$. Consider the decomposition $L = \prod_{j=-2} \mathfrak{m}_j$ as an $sl_3 \oplus sl_2$ -module as in (6.1) of [K]. The module structure of each component \mathfrak{m}_j is easily written down explicitly. In particular we have $\mathfrak{m}_{-1} = \mathbb{C}^3 \boxtimes \mathbb{C}^2$. It can be verified that $[\mathfrak{m}_{-1}, \mathfrak{m}_{-1}] = \mathfrak{m}_{-2}$ and $[\mathfrak{m}_1, \mathfrak{m}_{-1}] \subseteq \mathfrak{m}_0$. We can now apply Lemma 6.2 of [K] to conclude that $L \cong SHO(3, 3) + sl_2$.

Now if $\text{Gr}L = \mathbb{C}^2 + SHO(3, 3) + sl_2$, and $L = \prod_{j=-3} \mathfrak{m}_j$ is the decomposition of $sl_3 \oplus sl_2$ -modules, then one finds that in addition to $[\mathfrak{m}_{-1}, \mathfrak{m}_{-1}] = \mathfrak{m}_{-2}$ and $[\mathfrak{m}_1, \mathfrak{m}_{-1}] \subseteq \mathfrak{m}_0$, one has also $[\mathfrak{m}_{-1}, \mathfrak{m}_{-2}] = \mathfrak{m}_{-3}$. So Lemma 6.2 of [K] is applicable and we conclude that $\mathbb{C}^2 + SHO(3, 3) + sl_2$ has no non-trivial filtered deformations.

Thus the only additional non-trivial filtered deformation of \mathbb{Z} -graded Lie superalgebras that occur in [CK2] and [K] (including the missing case (I20)) is $H(2n, 1)$. This produces no new simple linearly compact Lie superalgebras.

We are grateful to N. Cantarini for pointing out the missing case of Lemma 3.5 [K] and a gap in the proof of Lemma 6.4 of [K].

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