

# JOHN-NIRENBERG RADIUS AND COLLAPSING IN CONFORMAL GEOMETRY\*

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**Abstract.** Given a positive function  $u \in W^{1,n}$ , we define its John-Nirenberg radius at point  $x$  to be the supreme of the radius such that  $\int_{B_t(x)} |\nabla \log u|^n < \epsilon_0^n$  when  $n > 2$ , and  $\int_{B_t(x)} |\nabla u|^2 < \epsilon_0^2$  when  $n = 2$ . We will show that for a collapsing sequence of metrics in a fixed conformal class under some curvature conditions, the radius is bounded below by a positive constant. As applications, we will study the convergence of a conformal metric sequence on a 4-manifold with bounded  $\|K\|_{W^{1,2}}$ , and prove a generalized Hélein's Convergence Theorem.

**Key words.** John-Nirenberg Radius, scalar curvature equation, Blow up analysis.

**Mathematics Subject Classification.** 53C21, 58J05.

**1. Introduction.** We say that a Riemannian manifold sequence collapses, if it converges to a low dimensional space in the Gromov-Hausdorff distance. When  $(M_k, g_k)$  collapses, a reasonable attempt is to blow up the sequence, i.e., to find  $c_k \rightarrow +\infty$ , such that  $(M_k, c_k g_k)$  converges to a manifold of the same dimension. This usually needs some monotone properties, such as volume comparison. Then some sectional or Ricci curvature conditions are usually assumed for a collapsing sequence.

Recently, in [11] the first author and the third author of this paper considered collapsing sequences in a fixed conformal class with bounded  $L^p$ -norm of scalar curvature, where  $p > \frac{n}{2}$ . Let  $B_1$  be the unit ball of  $\mathbb{R}^n$  centered at the origin and  $g$  be a smooth metric over  $\bar{B}_1$ , where  $n > 3$ . Consider a sequence of metric  $g_k = u_k^{\frac{4}{n-2}} g$  which satisfies

$$\int_{B_1} |R(g_k)|^p dV_{g_k} < \Lambda,$$

where  $R(g_k)$  is the scalar curvature of  $g_k$ . Our conclusion is the following: “when  $\text{vol}(g_k) \rightarrow 0$ , there exists a sequence  $\{c_k\}$  which tends to  $+\infty$ , such that  $c_k u_k$  converges to a positive function in  $W^{2,p}$  weakly”. The proof of the conclusion is rather analytic and the John-Nirenberg inequality plays an essential role in the procedure.

Recall that the John-Nirenberg inequality says that, given  $u \in W^{1,q}(B_1)$ , where  $q \in [1, n]$  and  $B_1$  is the unit ball of  $\mathbb{R}^n$ , if

$$\int_{B_r(x)} |\nabla u|^q < r^{n-q}, \quad \forall B_r(x) \subset B_1,$$

then there exists  $\alpha$  and  $\beta$ , such that

$$\int_{B_1} e^{\alpha u} \int_{B_1} e^{-\alpha u} < \beta.$$

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Inspired by the John-Nirenberg inequality we define the John-Nirenberg radius of  $u_k$  at  $x$  in [11] as follows:

$$\rho(x, u_k, \Omega, \epsilon_0) = \sup \left\{ r : t^{2-n} \int_{B_t(x) \cap \Omega} |\nabla \log u_k|^2 < \epsilon_0^2, \quad \forall t < r \right\}, \quad (1.1)$$

where  $u_k \in W^{1,2}(\Omega)$ . The key ingredient of the arguments in [11] is that, when  $\text{vol}(g_k)$  converges to 0, there must exist an  $a > 0$  which is independent of  $u_k$ , such that  $\inf_{B_{\frac{1}{2}}} \rho_k(x) > a$ . This means that

$$t^{2-n} \int_{B_t(x)} |\nabla \log u_k|^2 < \epsilon_0^2$$

for any  $t < a$  and  $x \in B_{\frac{1}{2}}$ , hence the John-Nirenberg inequality holds for  $\frac{\log c_k u_k}{\epsilon_0}$  on  $B_a(x)$ , where  $\int_{B_{\frac{1}{2}}} \log c_k u_k = 0$ . Then it follows the estimates of  $L^{\frac{\alpha}{\epsilon_0}}$ -norms of  $\frac{1}{c_k u_k}$  and  $c_k u_k$ .

The arguments and calculations of the first half of [11] were so complicated that it is not easy for one to pay attention to the John-Nirenberg radius, which was introduced and discussed in the last section of [11]. While we think this new technique is very interesting and believe that it might be applied to some other nonlinear equations, we write this paper to highlight on the John-Nirenberg radius and give a simple explanation of how the John-Nirenberg inequality works.

In general, we can replace  $t^{2-n} \int_{B_t(x)} |\nabla u|^2 < \epsilon_0^2$  in (1.1) by  $t^{q-n} \int_{B_t(x)} |\nabla u|^q < \epsilon_0^q$ , that is to say, define

$$\rho^q(x, u_k, \Omega, \epsilon_0) = \sup \left\{ r : t^{q-n} \int_{B_t(x) \cap \Omega} |\nabla \log u_k|^q < \epsilon_0^q, \quad \forall t < r \right\},$$

where  $q \in [1, n]$ . It is easy to check that the arguments in [11] still work. We discover that it is much more convenient to use  $q = n$  to define the John-Nirenberg radius. For this situation, the John-Nirenberg inequality can be deduced from Moser-Trudinger inequality, which also gives the optimal constant in the John-Nirenberg inequality in the case of  $q = n$ . So we start our discussion from Moser-Trudinger inequality in Section 2, and define the John-Nirenberg radius to be the supreme of the radius such that

$$\int_{B_t(x)} |\nabla \log u|^n dx < \epsilon_0^n.$$

Then we prove Theorem 2.7 which tells us when the John-Nirenberg radius is positive.

Some applications of the John-Nirenberg radius will be given. In Section 3, we will use the John-Nirenberg radius to prove a well-known result (for example, c.f. [13]): a positive harmonic function defined in a domain of a manifold with a point removed is either a Green function or smooth across the removed point.

In Section 4 and 5, we will apply John-Nirenberg radius to study a collapsing sequence of metrics in conformal geometry, i.e., we will show that, if  $g_k = u_k^{\frac{4}{n-2}} g$  collapses, then there exists  $c_k$  such that  $c_k u_k$  converges to a positive function. Furthermore, we show that the  $\epsilon$ -regularity in [11] can be also deduced by employing John-Nirenberg radius. In Section 5, we will use the John-Nirenberg radius to prove

that a sequence of metrics on a 4-dimensional manifold in a fixed conformal class with  $\|K\|_{W^{1,2}} < C$  and fixed volume is compact in  $C^{1,\alpha}$ . The idea is, if the sequence blows up, then the neck domains can be considered as collapsing sequences. Then, by multiplying a suitable constant, one of the neck sequences converges to a complete flat manifolds with at least two ends collared topologically by  $S^3 \times \mathbb{R}$ . Yet, this is impossible. Employing the same argument one can also give a new proof of the  $C^{0,\alpha}$ -compactness of a metric sequence, which is in a fixed conformal class and satisfies

$$\text{vol}(g_k) + \|K(g_k)\|_{L^p} < C,$$

where  $p > \frac{n}{2}$ . It is well-known that such a problem has been deeply studied by Chang-Yang [2, 3], and solved by Gursky [5].

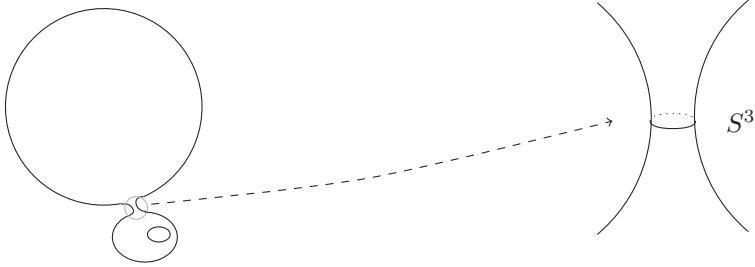


FIG. 1. After an appropriate rescaling, one of the neck sequences converges to a complete flat manifold, which has at least 2 ends collared topologically by  $S^3 \times \mathbb{R}$ .

In Section 6, we try to extend the definition of John-Nirenberg radius to the case of two dimensional manifolds. We will apply the John-Nirenberg radius to give a generalized Hélein's Convergence Theorem. However, it is worthy to point out that Lemma 4.1 does not hold true for the case of two dimensional manifolds.

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**2. John-Nirenberg radius.** First, we need to recall the following Moser's inequality on the ball  $B^n$  for functions with mean value zero, which was established in [8].

**THEOREM 2.1** ([8]). *Let  $B_1$  be the unit ball of  $\mathbb{R}^n$ , and  $\alpha_n = n(\frac{\omega_{n-1}}{2})^{\frac{1}{n-1}}$ , where  $\omega_{n-1}$  is the measure of unit sphere in  $\mathbb{R}^n$ . Then*

$$\sup_{u \in W^{1,n}(B_1), \int_{B_1} u dx = 0, \|\nabla u\|_{L^n(B_1)} \leq 1} \int_{B_1} e^{\alpha_n |u|^{\frac{n}{n-1}}} dx < +\infty.$$

From the Theorem above and the following inequality

$$|u| = \frac{|u|}{\|\nabla_g u\|_{L^n}} \|\nabla_g u\|_{L^n} \leq \frac{n-1}{n} \left( \frac{|u|}{\|\nabla u\|_{L^n}} \right)^{\frac{n}{n-1}} + \frac{1}{n} \|\nabla u\|_{L^n}^n,$$

we derive the following:

COROLLARY 2.2. Let  $B_1$  be the unit ball of  $\mathbb{R}^n$ , and  $u \in W^{1,n}(B_1)$  and  $\int_{B_1} u dx = 0$ . Then

$$\int_{B_1} e^{\beta_n |u|} dx < C e^{\frac{\alpha_n}{n-1} \int_{B_1} |\nabla u|^n dx},$$

where  $\beta_n = \frac{n}{n-1} \alpha_n$ .

We say  $u$  is essentially positive, if there exists  $\epsilon > 0$ , such that  $u > \epsilon$  almost everywhere. Given an essentially positive function  $u \in W^{1,n}(\Omega)$ , we define the John-Nirenberg radius as follows:

$$\rho(x, u, \Omega, \epsilon_0) = \sup \left\{ r : \int_{B_r(x) \cap \Omega} |\nabla \log u|^n dx < \epsilon_0^n \right\}.$$

Later,  $\rho(x, u, \Omega, \epsilon_0)$  will be used to study convergence of a sequence of positive functions. Obviously, if  $\Omega_1 \subset \subset \Omega$  and  $\rho(x, u_k, \Omega, \epsilon_0) > a > 0$  for any  $x \in \Omega_1$ , then

$$\int_{\Omega_1} |\nabla \log u|^n dx < C(a, \Omega_1, \Omega, \epsilon_0).$$

LEMMA 2.3. Let  $\Omega$  be a domain of  $\mathbb{R}^n$ ,  $u_k \in W^{1,n}(\Omega)$  be essentially positive. Let domain  $\Omega_0 \subset \subset \Omega_1 \subset \subset \Omega$ , and  $-\log c_k$  be the integral mean value of  $\log u_k$  over  $\Omega_0$ . Suppose  $\rho(x, u_k, \Omega, \epsilon_0) > a > 0$  for any  $x \in \Omega_1$ . Then,  $c_k u_k$  and  $\frac{1}{c_k u_k}$  are bounded in  $L^{\frac{\beta_n}{\epsilon_0}}(\Omega_1)$ . Moreover,  $\log c_k u_k$  converges weakly in  $W^{1,n}(\Omega_1)$ .

*Proof.* Choose  $a_1 < \frac{1}{2} \min\{d(\Omega_1, \partial\Omega), a\}$ , and define  $\Omega'_1 = \{x : d(x, \Omega_1) < a_1\}$ . By the assumptions, we have

$$\int_{\Omega'_1} |\nabla \log u_k|^n dx < C(\epsilon_0, \Omega'_1).$$

The Poincaré inequality tells us  $\log c_k u_k$  is bounded in  $W^{1,n}(\Omega'_1)$ . Hence, we may assume that  $\log c_k u_k$  converges in  $L^q(\Omega'_1)$  for any  $q$ .

Take  $x_1, \dots, x_m \in \overline{\Omega}_1$  such that  $\{B_{a_1}(x_i) : i = 1, \dots, m\}$  is an open cover of  $\Omega_1$ . Without loss of generality, we may assume  $\log u_k + \log c_k^i$  converges weakly in  $W^{1,n}(B_{a_1}(x_i))$  and strongly in  $L^1(B_{a_1}(x_i))$ . Here  $-\log c_k^i$  is the mean value of  $\log u_k$  over  $B_{a_1}(x_i)$ . Since

$$(\log u_k + \log c_k^i) - (\log u_k + \log c_k)$$

converges in  $L^1(B_{a_1}(x_i))$ , we may assume  $\log c_k^i - \log c_k$  converges.

By Corollary 2.2, we have

$$\int_{B_{a_1}(x_i)} e^{\frac{\beta_n}{\epsilon_0} |\log u_k + \log c_k^i|} dx < C(\epsilon_0, n),$$

and hence

$$\int_{B_{a_1}(x_i)} e^{\frac{\beta_n}{\epsilon_0} |\log u_k + \log c_k|} dx < C(\epsilon_0, n).$$

It turns out that both  $\|c_k u_k\|_{L^{\frac{\beta_n}{\epsilon_0}}(\Omega_1)}$  and  $\|\frac{1}{c_k u_k}\|_{L^{\frac{\beta_n}{\epsilon_0}}(\Omega_1)}$  are bounded.  $\square$

REMARK 2.4. *—*  $\log c_k$  in the above lemma can be chosen to be any constant which makes the Poincaré inequality hold. For example, we can set  $-\log c_k$  to be the mean value of  $\log u_k$  a compact  $(n-1)$ -dimensional submanifold (perhaps with boundary) embedded in  $\Omega_1$ .

We consider the operator

$$L(u) = a^{ij}u_{ij} + b^i u_i + cu,$$

where  $a^{ij} = a^{ji}$  and

$$\|a^{ij}\|_{C^{0,\alpha}} + \|b^i\|_{C^{0,\alpha}} + \|c\|_{C^0} < A_1, \quad 0 < A_2 < a^{ij}\xi^i\xi^j < A_3 \text{ for all } |\xi| = 1. \quad (2.1)$$

Later, we need to use the following:

COROLLARY 2.5. *Let  $(\frac{1}{p} + \frac{2\epsilon_0}{\beta_n}) < \frac{1}{2} + \frac{1}{n}$ . Let  $u_k \in W^{2,p}(B_2)$  be a sequence of positive functions, each of which solves the equation  $Lu_k = f_k u_k$  where  $\|f_k\|_{L^p(B_2)} < \Lambda$ . If*

$$\|u_k\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_2)} + \left\| \frac{1}{u_k} \right\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_2)} < \Lambda_2,$$

*then, after passing to a subsequence,  $u_k$  converges weakly in  $W^{2,q}(B_1)$  and  $\log u_k$  converges weakly in  $W^{2,q'}(B_1)$  for any*

$$q \in \left( \frac{1}{\frac{n+2}{2n} - \frac{\epsilon_0}{\beta_n}}, \frac{p}{1 + \frac{\epsilon_0}{\beta_n} p} \right) \cap (1, n) \quad \text{and} \quad q' \in \left( 1, \frac{1}{2(\frac{\epsilon_0}{\beta_n} + \frac{n-q}{nq})} \right) \cap (1, p).$$

*Proof.* Since  $\frac{p}{1 + \frac{\epsilon_0}{\beta_n} p} > q$ , we have  $\frac{pq}{p-q} < \frac{\beta_n}{\epsilon_0}$ . Noting

$$\int_{B_2} |f_k u_k|^q dx \leq \left( \int_{B_2} |f_k|^p dx \right)^{\frac{q}{p}} \left( \int_{B_2} |u_k|^{\frac{pq}{p-q}} dx \right)^{\frac{p-q}{p}},$$

by the standard elliptic theory we get the estimate of  $\|u_k\|_{W^{2,q}(B_{\frac{3}{2}})}$ . Then, it follows

$$\|\nabla u_k\|_{L^{\frac{nq}{n-q}}(B_{\frac{3}{2}})} < C.$$

It is easy to check that

$$2q' < \frac{nq}{n-q} \quad \text{and} \quad \frac{2nqq'}{nq - 2q'(n-q)} < \frac{\beta_n}{\epsilon_0}.$$

By Hölder inequality, we have

$$\begin{aligned} \int_{B_{\frac{3}{2}}} |\nabla \log u_k|^{2q'} dx &< \left( \int_{B_{\frac{3}{2}}} |\nabla u_k|^{\frac{nq}{n-q}} dx \right)^{\frac{2q'(n-q)}{nq}} \left( \int_{B_{\frac{3}{2}}} \left( \frac{1}{u_k} \right)^{\frac{2nqq'}{nq - 2q'(n-q)}} dx \right)^{\frac{nq - 2q'(n-q)}{nq}} \\ &< C_1. \end{aligned}$$

Define an operator  $L' = L - c$ . Obviously,  $\log u_k$  satisfies the following equation

$$L'(\log u_k) = -a^{ij}(\log u_k)_i(\log u_k)_j + f_k - c.$$

By  $L^p$  estimate, we know  $\|\log u_k\|_{W^{2,q'}} < C_2$ .  $\square$

REMARK 2.6. In Corollary 2.5, in order to guarantee that

$$\frac{p}{1 + \frac{\epsilon_0}{\beta_n} p} > \frac{1}{\frac{n+2}{2n} - \frac{\epsilon_0}{\beta_n}},$$

we only need to choose  $p$  such that  $\frac{1}{p} + \frac{2\epsilon_0}{\beta_n} < \frac{1}{2} + \frac{1}{n}$ . Hence, it follows that

$$\left( \frac{1}{\frac{n+2}{2n} - \frac{\epsilon_0}{\beta_n}}, \frac{p}{1 + \frac{\epsilon_0}{\beta_n} p} \right) \neq \emptyset \quad \text{and} \quad \left( 1, \frac{1}{2(\frac{\epsilon_0}{\beta_n} + \frac{n-q}{nq})} \right) \neq \emptyset.$$

The following theorem is the key point of this paper:

THEOREM 2.7. Let  $p > \frac{n}{2}$ , and  $\frac{1}{p} + \frac{2\epsilon_0}{\beta_n} < \frac{2}{n}$ . Let  $\{u_k\} \in W^{2,p}(B_3)$  be a sequence of positive functions which satisfy

$$Lu_k = f_k u_k.$$

If for any  $x_k \rightarrow x_0 \in \overline{B_2}$  and  $r_k < 2\rho(x_k, u_k, B_3, \epsilon_0)$  with  $r_k \rightarrow 0$ , a subsequence of  $r_k^2 f_k(r_k x + x_k)$  is bounded in  $L^p(B_{\frac{1}{4}})$ , and converges to 0 in the sense of distribution on  $B_{\frac{1}{4}}$ , then there exists  $a > 0$ , such that

$$\rho(x, u_k, B_3, \epsilon_0) > a, \quad \forall x \in B_1.$$

*Proof.* We argue by contradiction. Assume the conclusion is not true. Then we can find  $x_k \in B_1$ , s.t.  $\rho(x_k, u_k, B_3, \epsilon_0) \rightarrow 0$ . For simplicity, we denote  $\rho(x, u_k, B_3, \epsilon_0)$  by  $\rho_k(x)$ .

Since  $u_k \in W^{1,n}(B_3)$ , there exists  $a_k > 0$ , which depends on  $k$ , such that  $\int_{B_{a_k}(x)} |\nabla u_k|^n < \epsilon_0^n$  for any  $x \in \overline{B_2}$ . Thus  $\rho_k(x) > a_k$  for any  $x \in \overline{B_2}$ . Next, we show that  $\rho_k$  is lower semi-continuous on  $x \in \overline{B_2}$ . Let  $x_m \rightarrow x$ . Obviously,

$$\int_{B_{\rho_k(x)}(x)} |\nabla u_k|^n \leq \epsilon_0^n,$$

which yields that

$$\int_{B_{\rho_k(x)-|x_m-x|}(x_m)} |\nabla u_k|^n \leq \int_{B_{\rho_k(x)}(x)} |\nabla u_k|^n \leq \epsilon_0^n.$$

Then  $\rho_k(x_m) \geq \rho_k(x) - |x_m - x|$ , hence

$$\rho_k(x) \leq \liminf_{m \rightarrow +\infty} \rho_k(x_m).$$

By  $\rho_k(x) > a_k$  for any  $x \in \overline{B_2}$ ,

$$\lim_{x \rightarrow \partial B_2} \frac{\rho_k(x)}{2 - |x|} = +\infty.$$

Since  $\rho_k(x)$  is lower semi-continuous, we can find  $y_k \in B_2$ , such that

$$\frac{\rho_k(y_k)}{2 - |y_k|} = \inf_{x \in B_2} \frac{\rho_k(x)}{2 - |x|} := \lambda_k.$$

Noting that

$$\lambda_k \leq \frac{\rho_k(x_k)}{2 - |x_k|} \leq \rho_k(x_k) \rightarrow 0,$$

we have  $\rho_k(y_k) \rightarrow 0$ , and hence for any fixed  $R$

$$\frac{\rho_k(y_k)}{2 - |y_k|} \rightarrow 0, \quad B_{R\rho_k(y_k)}(y_k) \subset B_{2-|y_k|}(y_k) \subset B_2,$$

when  $k$  is sufficiently large. Then, for any  $y \in B_{R\rho_k(y_k)}(y_k)$  we have

$$\begin{aligned} \frac{\rho_k(y)}{\rho_k(y_k)} &\geq \frac{2 - |y|}{2 - |y_k|} \geq \frac{2 - |y_k| - |y - y_k|}{2 - |y_k|} \\ &\geq 1 - \frac{R\rho_k(y_k)}{2 - |y_k|} \\ &= 1 - R\lambda_k. \end{aligned}$$

Hence, as  $k$  is large enough, there holds

$$\frac{\rho_k(y)}{\rho_k(y_k)} > \frac{1}{2}.$$

Assume  $y_k \rightarrow y_0$ . Let  $v_k(x) = u_k(y_k + r_k x)$ , where  $r_k = \rho_k(y_k)$ . Then, there holds

$$\rho(x, v_k, B_R, x) \geq 1/2 \quad \text{on } B_{\frac{R}{2}}$$

and

$$\int_{B_1} |\nabla \log v_k|^n = \epsilon_0^n.$$

Moreover,  $v_k$  satisfies the following equation:

$$a^{ij}(y_k + r_k x)(v_k)_{ij} = -r_k b^i(y_k + r_k x)(v_k)_i - c r_k^2 v_k + r_k^2 f_k(y_k + r_k x)v_k.$$

By Lemma 2.3, we can find  $c_k$ , such that

$$\|c_k v_k\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{R}{2}})} + \|\frac{1}{c_k v_k}\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{R}{2}})} < C(R).$$

Noting that  $\{r_k^2 f_k(y_k + r_k x'_0 + r_k x)\}$  is bounded in  $L^p(B_{\frac{1}{4}})$  for any  $x'_0 \in B_{\frac{R}{2}}$ , by a covering argument we can see that the sequence  $\{r_k^2 f_k(y_k + r_k x)\}$  is bounded in  $L^p(B_{R/2})$  for any  $R$ . By the same arguments, we also know that in the sense of distribution on  $\mathbb{R}^n$

$$r_k^2 f_k(y_k + r_k x) \rightarrow 0.$$

By the assumptions, we have

$$2\left(\frac{\epsilon_0}{\beta_n} + \frac{n-q}{nq}\right) < \frac{2}{n}$$

when  $q = \frac{p}{1 + \frac{\epsilon_0}{\beta_n} p}$ . Noting that  $\frac{2}{n} < \frac{1}{2} + \frac{1}{n}$ , by Corollary 2.5, we can find  $q$  and  $q' > \frac{n}{2}$ , such that a subsequence of  $c_k v_k$  converges to a function  $v$  weakly in  $W^{2,q}(B_R)$ , and  $\log c_k v_k$  converges weakly to  $\log v$  in  $W^{2,q'}(B_R)$ . Then  $\log c_k v_k$  converges in  $C^0(R)$ , which implies that  $v > 0$ . Thus, by a standard diagonal argument, we obtain a positive function  $v$  which is defined on  $\mathbb{R}^4$  and satisfies the equation  $a^{ij}(y_0)v_{ij} = 0$ . Then  $v$  is a positive constant. However, by the Sobolev embedding theorem,  $|\nabla \log c_k v_k|$  converges in  $L^n$ . Then, it follows

$$\int_{B_1} |\nabla \log v|^n = \epsilon_0^n,$$

which is impossible since  $\log v$  is a constant. Thus we complete the proof.  $\square$

**COROLLARY 2.8.** *Let  $p, \epsilon_0$  be as in Theorem 2.7. Let  $u \in W^{2,p}(B_3)$  be a positive function which solves the equation*

$$Lu = fu.$$

*Then there exist positive numbers  $\epsilon$  and  $a$  which only depend on  $A_1, A_2, A_3, p$  and  $\epsilon_0$  such that, if*

$$\sup_{x \in B_2, r < 2\rho(x, u, B_3, \epsilon_0)} r^{2p-n} \int_{B_r(x)} |f|^p < \epsilon, \quad (2.2)$$

*then*

$$\rho(x, u, B_3, \epsilon_0) > a, \quad \forall x \in B_1.$$

*Proof.* We argue by contradiction. Assume the above conclusion is not true. Then there exists a sequence of  $u_k$  satisfying

$$Lu_k = f_k,$$

such that

$$\inf_{B_1} \rho(x, u_k, B_3, \epsilon_0) \rightarrow 0$$

and

$$\sup_{x \in B_2, r < 2\rho(x, u_k, B_3, \epsilon_0)} r^{2p-n} \int_{B_r(x)} |f_k|^p \rightarrow 0.$$

It is easy to check from the above that  $r_k^2 f_k(x_k + r_k x)$  converges to 0 in  $L^p(B_{\frac{1}{4}})$ . Thus we get the desired conclusion from Theorem 2.7.  $\square$

**3. Positive harmonic function with isolated singularity.** In this section, we will use the so-called John-Nirenberg radius or the John-Nirenberg inequality to study the positive harmonic functions with singularity on a manifold. We will give a proof of the following result, which is a special case of Theorem 1 of [13]:

**LEMMA 3.1.** *Let  $g = dr^2 + g(r, \theta)d\mathbb{S}^{n-1}$  be a smooth metric over  $B_1 \subset \mathbb{R}^n$ , where  $g(r, \theta) = r^2(1 + o(1))$ . Assume  $u$  is a positive harmonic function on  $B_1 \setminus \{0\}$ . Then  $u \in W^{1,q}$  for any  $q \in (1, \frac{n}{n-1})$  and satisfies the weak equation*

$$-\Delta_g u = c\delta_0, \quad c \geq 0.$$

*Proof.* Let

$$c = - \int_{\partial B_r} \frac{\partial u}{\partial r} dS_r.$$

First, we prove that

$$\frac{u(rx)}{r^{2-n}} \rightarrow \frac{c}{(n-2)\omega_{n-1}} \quad \text{and} \quad \frac{\nabla u}{r^{1-n}}(rx) \rightarrow -\frac{c}{\omega_{n-1}} \frac{\partial}{\partial r}$$

uniformly on  $S^{n-1}$ .

Assume this is not true. Then we can find  $x_k \in S^{n-1} \subset \mathbb{R}^n$  and  $r_k \rightarrow 0$ , such that

$$\left| \frac{u(r_k x_k)}{r_k^{2-n}} - \frac{c}{(n-2)\omega_{n-1}} \right| > \epsilon \quad \text{or} \quad \left| \frac{\nabla u}{r_k^{1-n}}(r_k x_k) + \frac{c}{\omega_{n-1}} \frac{\partial}{\partial r} \right| > \epsilon.$$

Let  $v_k = u(r_k x)$  and choose  $c_k$  such that

$$\int_{\partial B_1} \log c_k v_k dS^{n-1} = 0.$$

By the results in the above section, for any  $r > 0$  we can find  $a(r) > 0$  such that, for any  $x \in B_{\frac{1}{r}} \setminus B_r$ ,

$$\rho(x, v_k, B_{\frac{2}{r}} \setminus B_{\frac{r}{2}}, \epsilon_0) > a(r),$$

and hence both  $c_k v_k$  and  $\frac{1}{c_k v_k}$  are bounded in  $L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{1}{r}} \setminus B_r)$ .

Since  $c_k v_k$  is harmonic, after passing to a subsequence,  $c_k v_k$  converges in  $C_{loc}^\infty(\mathbb{R}^n)$  to a function  $v$  which is positive and harmonic on  $\mathbb{R}^n \setminus \{0\}$ . It is well-known that

$$v = ar^{2-n} + b,$$

where  $a$  and  $b$  are nonnegative real numbers with  $a^2 + b^2 > 0$  (c.f. [1, Corollary 3.14]).

Now, we need to discuss the following two cases.

Case 1:  $c \neq 0$ . In this case, from

$$\frac{c_k}{r_k^{n-2}} \int_{\partial B_{r_k}} \frac{\partial u}{\partial r} dS_r \rightarrow \int_{\partial B_1} \frac{\partial v}{\partial r} dS^{n-1} = a(2-n)\omega_{n-1}, \quad (3.1)$$

it follows that

$$\frac{c_k}{r_k^{n-2}} \rightarrow a \frac{(n-2)\omega_{n-1}}{c}. \quad (3.2)$$

Then we have

$$\frac{u_k(r_k x)}{r_k^{2-n}} = \frac{c_k v_k(x)}{c_k r_k^{2-n}} \rightarrow \frac{(a+b)c}{a(n-2)\omega_{n-1}},$$

and

$$r_k^{n-1} \nabla u(r_k x) \rightarrow -\frac{c}{\omega_{n-1}} \frac{\partial}{\partial r}.$$

To get a contradiction, we need to prove  $b = 0$ . Let  $G$  be the Green function which satisfies  $-\Delta_g G = \delta_0$  and  $G|_{\partial B_\delta} = 0$ . We have

$$\lim_{r \rightarrow 0} r^{n-2} G = \lim_{r \rightarrow 0} \frac{r^{n-1}}{2-n} \frac{\partial G}{\partial r} = \lambda \neq 0.$$

Then

$$\begin{aligned} c_k \int_{\partial B_t} (u \frac{\partial G}{\partial r} - \frac{\partial u}{\partial r} G) dS_g &= c_k \int_{\partial B_{r_k}} (u \frac{\partial G}{\partial r} - \frac{\partial u}{\partial r} G) dS_g \\ &= \int_{\partial B_1} (\lambda c_k v_k(x)(2-n)r_k^{1-n}(1+o(1)) - \lambda \frac{\partial c_k v_k}{\partial r} r_k^{1-n}) \\ &\quad \times r_k^{n-1}(1+o(1)) dS \\ &\rightarrow \int_{\partial B_1} (v(1)(2-n)\lambda - v'(1)\lambda) dS \\ &= -b(n-2)\omega_{n-1}\lambda. \end{aligned} \tag{3.3}$$

Obviously (3.2) implies  $c_k \rightarrow 0$ , hence from the above equality (3.3) we derive that  $b = 0$ .

Case 2:  $c = 0$ . If  $c_k r_k^{2-n} \rightarrow +\infty$ , it is easy to check that

$$r_k^{n-2} u(r_k x) = \frac{c_k v_k(x)}{c_k r_k^{2-n}} \rightarrow 0 \quad \text{and} \quad r_k^{n-1} \nabla u(r_k x) \rightarrow 0.$$

On the other hand, if  $c_k r_k^{2-n} < C$ , it follows that  $c_k \rightarrow 0$ . From (3.1) we have  $a = 0$ . From (3.3) we can see that  $b = 0$ . Thus, we get a contradiction.

Therefore, we conclude that  $u \in W^{1,q}(B)$  for any  $q \in (1, \frac{n}{n-1})$ . Given a smooth function  $\varphi$  whose support set is contained in  $B_1$ , we have

$$\begin{aligned} \int_{B_1} \nabla \varphi \nabla u dV_g &= \lim_{r \rightarrow 0} \int_{B_1 \setminus B_r} \nabla \varphi \nabla u dV_g \\ &= - \lim_{r \rightarrow 0} \int_{B_1 \setminus B_r} \Delta u \varphi dV_g + \lim_{r \rightarrow 0} \int_{\partial B_r} \frac{\partial u}{\partial \nu} \varphi dV_g \\ &= c\varphi(0). \end{aligned}$$

Thus, we get

$$-\Delta_g u = c\delta_0.$$

Thus we complete the proof of this lemma.  $\square$

**COROLLARY 3.2.** *Let  $(M, g)$  be a closed manifold with constant scalar curvature  $R(g)$ . Suppose  $p_1, \dots, p_m \in M$ , and  $g'$  is a metric on  $M \setminus \{p_1, \dots, p_m\}$ , which is conformal to  $g$ . If  $R(g') = 0$ , then  $(M, g')$  is complete near  $p_i$  or  $g'$  is smooth across  $p_i$ .*

*Proof.* We can find a metric  $g_0$  which is conformal to  $g$ , such that  $R(g_0) = 0$  in a neighborhood of  $p_i$ . Let  $g' = u^{\frac{4}{n-2}} g_0$ . Then  $u$  is harmonic in a neighborhood of  $p_i$ . Thus, either  $u$  can be extended smoothly to  $p_i$ , or  $u \sim c_i r^{2-n}$  for a positive  $c_i$ , which implies that  $g'$  is complete near  $p_i$ .  $\square$

**4. A collapsing sequence with bounded  $\|R\|_{L^p}$ .** In the previous paper [11], the authors use the  $\epsilon$ -regularity to study the bubble tree convergence of a metric sequence in a fixed conformal class with bounded volume and  $L^p(M)$ -norm of scalar curvature. Then, it has been shown that the John-Nirenberg radius is bounded below by a positive constant when the volume converges to 0. In this section, we will show that the  $\epsilon$ -regularity is also a corollary of John-Nirenberg inequality, which was deduced directly from  $L^p$  estimate in [11].

First, we show the positivity of the John-Nirenberg radius for a collapsing sequence.

LEMMA 4.1. *Let  $n > 3$  and  $\{\hat{g}_k\}$  be a sequence of metrics over  $B_2 \subset \mathbb{R}^n$  which converges to  $g$ . Let  $g_k = u_k^{\frac{4}{n-2}} \hat{g}_k$ , where  $u_k$  is smooth and positive. Suppose that  $\text{vol}(B_2, g_k) \rightarrow 0$  and  $\int_{B_2} |R(g_k)|^p d\mu_{g_k} < \Lambda$ , where  $p > \frac{n}{2}$ . Then for any sufficiently small  $\epsilon_0$ , there exists  $a_0 > 0$ , such that*

$$\rho(x, u_k, B_2, \epsilon_0) > a_0, \quad \forall x \in B_1.$$

*Proof.* We have the equation:

$$-\hat{g}_k^{ij} u_{k,ij} + \hat{g}_k^{ij} \Gamma_{ij}^m(\hat{g}_k) u_{k,m} + c(n) R(\hat{g}_k) u_k = f_k,$$

where

$$f_k = c(n) R(g_k) u_k^{\frac{4}{n-2}}.$$

Given  $x_k \rightarrow x_0$ ,  $r_k \rightarrow 0$ , such that

$$r_k < 2\rho(x_k, u_k, B_2, \epsilon_0).$$

Note that  $\hat{g}_k^{ij}$ ,  $\Gamma_{ij}^m(\hat{g}_k)$ ,  $R(\hat{g}_k)$  converges to  $g^{ij}$ ,  $\Gamma_{ij}^m(g)$ ,  $R(g)$  respectively. We let  $v_k(x) = r_k^{\frac{n-2}{2}} u_k(x_k + r_k x)$ . Obviously,  $\rho(0, v_k, B_2, \epsilon_0) \geq 1/2$  implies

$$\int_{B_{\frac{1}{3}}} |\nabla \log v_k|^n dx < \epsilon_0.$$

Then,

$$\rho(y, v_k, B_2(0), \epsilon_0) > \frac{1}{6}, \quad \forall y \in B_{\frac{1}{3}}(0).$$

By Lemma 2.3,

$$\|c_k v_k\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{1}{3}})} + \left\| \frac{1}{c_k v_k} \right\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{1}{3}})} \leq C.$$

Since  $\int |v_k|^{\frac{2n}{n-2}} \rightarrow 0$ , we get  $c_k \rightarrow +\infty$ , then  $\|v_k\|_{L^{\frac{\beta_n}{\epsilon_0}}(B_{\frac{1}{3}})} < C$ . Fix a  $p' \in (\frac{n}{2}, p)$ , we can choose  $\epsilon_0$  to be sufficiently small such that

$$\|R(\hat{g}_k)(x_k + r_k x) v_k^{\frac{4}{n-2}}\|_{L^{p'}(B_{\frac{1}{3}})} < C(p', p, \Lambda), \quad \text{and} \quad \frac{p'}{1 + \frac{\epsilon_0}{\beta_n} p'} > \frac{n}{2}.$$

Applying Corollary 2.5 to  $c_k v_k$ , we get  $c_k v_k$  converges to a positive function  $\phi$  in  $W^{2,q}(B_{\frac{1}{4}})$  for some  $q > \frac{n}{2}$ . Since  $\text{vol}(B_2, g_k) \rightarrow 0$ ,  $c_k \rightarrow \infty$ , and  $v_k \rightarrow 0$  in  $B_{\frac{1}{4}}$  uniformly, we get

$$\begin{aligned} \int_{B_{\frac{1}{4}}(0)} r_k^{2p} |R(\hat{g}_k)|^p u_k(x_k + r_k x)^{\frac{4p}{n-2}} dx &= \int_{B_{\frac{1}{4}}(0)} |R(\hat{g}_k)|^p v_k^{\frac{4p}{n-2}} dx \\ &\leq \|v_k\|_{L^\infty}^{\frac{4p-2n}{n-2}} \int_{B_{\frac{1}{4}}(0)} |R(\hat{g}_k)|^p v_k^{\frac{2n}{n-2}} dx \rightarrow 0 \end{aligned}$$

Applying Theorem 2.7, we obtain the required result and complete the proof.  $\square$

Next, we prove the  $\epsilon$ -regularity.

**LEMMA 4.2.** *Let  $B_r(x_0) \subset M$ . We assume  $\int_{B_r(x_0)} |R(g')|^p d\mu_{g'} < \Lambda$ , where  $p > \frac{n}{2}$ . Then, there exists  $\epsilon'_0$  which depends only on  $M$ ,  $r$  and  $\Lambda$ , such that if  $\text{vol}(B_r(x_0), g') < \epsilon'_0$ , then*

$$\|u\|_{W^{2,p}(B_{\frac{r}{2}}(x_0))} < C \text{vol}(B_r(x_0), g')^{\frac{n-2}{2n}}.$$

*Proof.* Assume the result is not true. Then we can find  $x_k \rightarrow x'_0$ ,  $g_k = u_k^{\frac{4}{n-2}} g$ , such that  $\text{vol}(B_r(x_k), g_k) \rightarrow 0$ ,  $\|R(g_k)\|_{L^p(B_r(x_k))} < \Lambda$  and

$$\|u_k\|_{W^{2,p}(B_{\frac{r}{2}}(x_0))} > k \text{vol}(B_r(x_0), g_k)^{\frac{n-2}{2n}}.$$

$g'_k = g|_{B_r(x_k)}$  can be regarded as a metric over  $B_r \subset \mathbb{R}^n$  which converges smoothly. It follows that

$$-\Delta_{g'_k} u_k = (-c(n)R(g'_k) + R(g_k)u_k^{\frac{4}{n-2}})u_k.$$

By the above lemma,  $\rho(x, u_k, B_r, \epsilon_0) > a > 0$  for any  $x \in B_{\frac{7r}{8}}$ . Choose  $\epsilon_0$  to be sufficiently small. By Corollary 2.5,  $c_k u_k$  converges in  $W^{2,q}(B_{\frac{3}{4}r})$  to a positive function for some  $q > \frac{n}{2}$ . Then,  $\|c_k u_k\|_{L^\infty(B_{\frac{3}{4}r})}$  is bounded above. Since  $\int_{B_r(x_0)} u_k^{\frac{2n}{n-2}} \rightarrow 0$ , we get  $c_k \rightarrow +\infty$ . Then  $u_k \rightarrow 0$  in  $B_{\frac{r}{2}}(x_0)$  uniformly and

$$\|c_k u_k\|_{W^{2,p}(B_{\frac{r}{2}}(x_0))} \geq k \text{vol}(B_{\frac{3}{4}r}(x_0), c_k^{\frac{4}{n-2}} g_k)^{\frac{n-2}{2n}} \rightarrow +\infty.$$

On the other hand, since

$$\int_{B_{\frac{3}{4}r}} (|R(g_k)| u_k^{\frac{4}{n-2}} c_k u_k)^p \leq \int_{B_{\frac{3}{4}r}} |R(g_k)|^p u_k^{\frac{2n}{n-2}} \|(c_k u_k)^{\frac{p(n+2)-2n}{n-2}}\|_{L^\infty(B_{\frac{3}{4}r})} c_k^{p - \frac{p(n+2)-2n}{n-2}} \rightarrow 0,$$

we derive

$$\begin{aligned} \|c_k u_k\|_{W^{2,p}(B_{\frac{r}{2}})} &\leq C(\|R(g_k)u_k^{\frac{4}{n-2}} c_k u_k\|_{L^p(B_{\frac{3}{4}r})} + \|c_k u_k\|_{L^p(B_{\frac{3}{4}r})}) \\ &\leq C(\|R(g_k)\|_{L^p(B_r, g_k)} + 1) \\ &< C. \end{aligned}$$

We get a contradiction and finish the proof.  $\square$

**5. 4-manifold in a conformal class with  $\|K\|_{W^{1,2}} < \Lambda$ .** In this section, we let  $\dim M = 4$ ,  $u_k \in W^{3,2}(M, g)$  and  $g_k = u_k^2 g$ . Assume that for every  $k$  there holds

$$\text{vol}(M, g_k) = 1 \quad \text{and} \quad \int (|\nabla_{g_k} K(g_k)|^2 + K^2(g_k)) d\mu_{g_k} < \Lambda, \quad (5.1)$$

where  $K(g_k)$  denotes the sectional curvature of  $g_k$ . We intend to study the convergence behavior of  $u_k$ .

First of all, we try to show that the John-Nirenberg inequality will imply the  $L^p$ -estimate of curvature. We want to prove the result under the assumption that

$$\|R(g_k)\|_{W^{1,2}(M, g_k)} < \Lambda.$$

**LEMMA 5.1.** *Let  $g = g_{ij} dx^i \otimes dx^j$  be a smooth metric on  $B_3 \subset \mathbb{R}^n$  with  $\|g_{ij}\|_{C^{2,\alpha}(B_3)} < \gamma_1$ . Suppose that  $g' = u^2 g$  satisfies  $\text{vol}(B_3, g') < \gamma_2$  and*

$$\int_{B_3} (|\nabla_{g'} R(g')|^2 + |R(g')|^2) dV_{g'} < \Lambda. \quad (5.2)$$

*Then, for any  $p < 4$ , there exists  $\hat{\epsilon}_0 = \hat{\epsilon}_0(p)$  such that, if  $\epsilon_0 < \hat{\epsilon}_0$  and  $\rho(x, u, B_3, \epsilon_0) \geq a > 0$ , there holds true*

$$\int_{B_1} |R(g') u^2|^p < C(p, \gamma_1, \gamma_2, \hat{\epsilon}_0, \Lambda, a).$$

*Proof.* For any  $q \in (\frac{4}{3}, 2)$ , we have

$$\begin{aligned} \int_{B_1} |\nabla_g (R u^2)|^q dV_g &\leq C(q) \left( \int_{B_1} (|\nabla_g R| u)^q u^q dV_g + \int_{B_1} (|R| u^2)^q |\nabla_g \log u|^q dV_g \right) \\ &\leq C(q, \Lambda) \left( \int_{B_1} u^{\frac{2q}{2-q}} dV_g \right)^{\frac{2-q}{2}} \\ &\quad + C(q) \left( \int_{B_1} (|R| u^2)^{\frac{4q}{4-q}} \right)^{\frac{4-q}{4}} \left( \int_{B_1} |\nabla_g \log u|^4 dV_g \right)^{\frac{q}{4}}. \end{aligned}$$

Choose  $\hat{\epsilon}_0$ , such that  $\frac{\beta_n}{\hat{\epsilon}_0} > \frac{2q}{2-q}$ . Let  $p = \frac{4q}{4-q}$  and  $-\log c$  be the mean value of  $\log u$  over  $B_1$ . By Corollary 2.2, we can find  $C = C(\epsilon_0, q, \gamma_1, a)$ , such that both  $\|cu\|_{L^{\frac{2q}{2-q}}(B_1)}$  and  $\|\frac{1}{cu}\|_{L^{\frac{2q}{2-q}}(B_1)}$  are bounded above by  $C$ . Then

$$|B_1|^2 \leq \int_{B_1} (cu)^4 dx \int_{B_1} (cu)^{-4} dx < C(\epsilon_0, q, \gamma_1, a) c^4 \int_{B_1} u^4,$$

which yields that  $c$  is bounded below by a positive constant  $C = C(\epsilon_0, q, \gamma_1, \gamma_2, a)$ . Then

$$\int_{B_1} |\nabla(R u^2)|^q dV_g \leq C(q, \epsilon_0, \gamma_1, \gamma_2, \Lambda, a) + C(q, \gamma_1, a) \epsilon_0^q \left( \int_{B_1} (|R| u^2)^{\frac{4q}{4-q}} dV_g \right)^{\frac{4-q}{4}}.$$

Let  $\epsilon = C(q, \gamma_1, a)^{\frac{1}{q}} \epsilon_0$ . We get

$$\|\nabla(R u^2)\|_{L^q(B_1, g)} < C(q, \epsilon_0, \gamma_1, \gamma_2, \Lambda, a) + \epsilon \|R u^2\|_{L^{\frac{4q}{4-q}}(B_1, g)}.$$

By Sobolev inequality,

$$\|Ru^2\|_{L^{\frac{4q}{4-q}}(B_1,g)} \leq C(q, \gamma_1)(\|\nabla(Ru^2)\|_{L^q(B_1,g)} + \|Ru^2\|_{L^q(B_1,g)}).$$

Put  $\epsilon C(q, \gamma_1) < \frac{1}{2}$ , we get

$$\|Ru^2\|_{L^{\frac{4q}{4-q}}(B_1)} \leq C(q, \epsilon_0, \gamma_1, \gamma_2, \Lambda, a).$$

□

Next, we show that small  $\|R\|_{L^2}$  implies the boundness of John-Nirenberg radius.

LEMMA 5.2. *Let  $g, u$  and  $g'$  be as in the above lemma. Then, there exist  $\tau > 0$  and  $a > 0$  such that, if  $\int_{B_3} R^2(g') dV_{g'} < \tau$ , then*

$$\inf_{x \in B_1} \rho(x, u, B_3, \epsilon_0) > a, \quad \forall x \in B_1.$$

*Proof.* We prove it by contradiction. Assume there exists  $g_k = u_k^2 g$  such that

$$\inf_{x \in B_1} \rho(x, u_k, B_3, \epsilon_0) \rightarrow 0.$$

Given  $y_k \rightarrow y_0 \in \overline{B_2}$ ,  $r_k < 2\rho(y_k, u_k, B_3, \epsilon_0)$ , we set  $v_k(x) = r_k u_k(y_k + r_k x)$  and

$$\hat{g}_k = v_k^2 g_{ij}(y_k + r_k x) dx^i \otimes dx^j.$$

Then, it is easy to see that  $\rho(0, v_k, B_{\frac{1}{2}}, \epsilon_0) > \frac{1}{2}$  and  $\rho(x, v_k, B_{\frac{1}{2}}, \epsilon_0) > \frac{1}{4}, \forall x \in B_{\frac{1}{4}}$ . Obviously,

$$\|R(\hat{g}_k)\|_{W^{1,2}(B_3, \hat{g}_k)} = \|R(g_k)\|_{W^{1,2}(B_{3r_k}(y_k), g_k)}.$$

By Lemma 5.1, for some  $p \in (2, 4)$  there holds

$$\int_{B_{\frac{1}{4}}} |R(\hat{g}_k)v_k^2|^p dx < C.$$

Since

$$\int_{B_{\frac{1}{4}}} |r_k^2 R(g_k)(r_k x + y_k) u_k^2(r_k x + y_k)|^p dx \leq C \int_{B_{\frac{1}{4}}} |R(\hat{g}_k)v_k^2|^p dx,$$

and

$$\int_{B_{\frac{1}{4}}} |r_k^2 R(r_k)(r_k x + y_k) u_k^2(y_k + r_k x)|^2 dx = \int_{B_{\frac{1}{4}}} |R(\hat{g}_k)v_k^2|^2 dx = \int_{B_{\frac{1}{4}r_k}(y_k)} |R(g_k)|^2 u_k^4 dx \rightarrow 0.$$

From Lemma 2.7, it follows that  $\rho(x, u_k, B_3, \epsilon_0) > a, \forall x \in B_1$ . Then, we get a contradiction. □

For convenience, given a subset  $A \subset \mathbb{S}^{n-1}$ , we set

$$A_r = \bigcup_{t \in (0, r]} tA, \quad C(A, r) = \bigcup_{t \in [\frac{r}{2}, r]} tA.$$

We need to establish the following lemma:

LEMMA 5.3. *Let  $g$  be a smooth metric over  $B_1 \subset \mathbb{R}^4$  and  $g' = u^2 g$ , where  $u \in W^{3,2}(B_1)$  is a positive function. Assume  $g = dr^2 + g(r, \theta)d\mathbb{S}^3$  with  $g(r, \theta) = r^2(1 + o(1))$ . If*

$$\text{vol}(B_1, g') + \int_{B_1} (|K(g')|^2 + |\nabla_{g'} K(g')|^2) dV_{g'} < +\infty,$$

*then, when  $r$  is small enough, there holds*

$$\text{vol}(C(A, r/2), g') < \frac{1}{2^3} \text{vol}(C(A, r), g').$$

*Proof.* We claim that: there exists  $r_0$ , such that if  $r < r_0$ , then

$$\text{vol}(C(A, r/4), g') < \frac{1}{2^3} \text{vol}(C(A, r/2), g') < \frac{1}{2^6} \text{vol}(C(A, r), g'), \quad (5.3)$$

or

$$\text{vol}(C(A, r), g') < \frac{1}{2^3} \text{vol}(C(A, r/2), g') < \frac{1}{2^6} \text{vol}(C(A, r/4), g'). \quad (5.4)$$

Assume there exists  $r_k \rightarrow 0$ , such that none of the above holds. Put  $u_k(x) = r_k u(r_k x)$  and  $g_k = u_k^2 g(r_k x)$ . For any fixed  $R$ , we have

$$\int_{B_R} (|K(g_k)|^2 + |\nabla_{g_k} K(g_k)|^2) dV_{g_k} = \int_{B_{Rr_k}} (|K(g')|^2 + |\nabla_{g'} K(g')|^2) dV_{g'} \rightarrow 0.$$

Then by Lemma 5.1-5.2, Lemma 2.5 and Lemma 2.3, we can find  $\tilde{c}_k$  such that  $\tilde{c}_k u_k$  converges to a positive function  $\varphi$ . Let  $\tilde{g}_k = \tilde{c}_k^2 g_k$  and  $\tilde{g} = \varphi^2 g_{\mathbb{R}^4}$ .

Since  $\text{vol}(g_k, B_{Rr_k} \setminus \{0\}) \rightarrow 0$ , we have  $\tilde{c}_k \rightarrow \infty$ . Then it is easy to check that

$$\int_{B_{\frac{1}{r}} \setminus B_r} (|\nabla_{\tilde{g}_k} K(\tilde{g}_k)|^2 + |K(\tilde{g}_k)|^2) dV_{\tilde{g}_k} \rightarrow 0.$$

By Lemma 5.2 again,  $\tilde{c}_k u_k$  converges weakly in  $W_{loc}^{3,2}(\mathbb{R}^4 \setminus \{0\})$  and  $K(\varphi) = 0$ , thus  $\varphi$  is a positive harmonic function.

Theorem 9.8 in [1] tells us that  $\varphi$  can be written as  $\varphi = ar^{-2} + b$ . Since  $K(\varphi) = 0$ , we get  $a = 0$  or  $b = 0$ . When  $a = 0$  and  $b \neq 0$ , we have

$$\frac{\text{vol}(C(A, 1), \tilde{g})}{\text{vol}(C(A, 1/2), \tilde{g})} = \frac{\text{vol}(C(A, 1/2), \tilde{g})}{\text{vol}(C(A, 1/4), \tilde{g})} = 2^4.$$

Since

$$\frac{\text{vol}(C(A, r_k), g')}{\text{vol}(C(A, r_k/2), g')} \rightarrow \frac{\text{vol}(C(A, 1), \tilde{g})}{\text{vol}(C(A, 1/2), \tilde{g})}$$

and

$$\frac{\text{vol}(C(A, r_k/2), g')}{\text{vol}(C(A, r_k/4), g')} \rightarrow \frac{\text{vol}(C(A, 1/2), \tilde{g})}{\text{vol}(C(A, 1/4), \tilde{g})},$$

we get (5.3) for  $r = r_k$ . A contradiction appears.

When  $b = 0$  and  $a \neq 0$ , we have

$$\frac{\text{vol}(C(A, 1), \tilde{g})}{\text{vol}(C(A, 1/2), \tilde{g})} = \frac{\text{vol}(C(A, 1/2), \tilde{g})}{\text{vol}(C(A, 1/4), \tilde{g})} = \frac{1}{2^4}.$$

We can get another contradiction by the same argument.

To prove the lemma, now we only need to show (5.4) does not hold. When (5.4) holds, we can pick  $r_0$  such that

$$\text{vol}(C(A, 2^{-k}r_0), g') > 2^3 \text{vol}(C(A, 2^{-k+1}r_0), g'),$$

which contradicts  $\text{vol}(B_1, g') < +\infty$ .  $\square$

Using the same method, or applying Klein transformation, we have the following:

LEMMA 5.4. *Let  $u \in W_{loc}^{3,2}(\mathbb{R}^4 \setminus B_R)$  and  $g' = u^2 g_{\mathbb{R}^4}$ . If*

$$\text{vol}(\mathbb{R}^4 \setminus B_R, g') + \int_{\mathbb{R}^4 \setminus B_R} (|K(g')|^2 + |\nabla_{g'} K(g')|^2) dV_{g'} < +\infty,$$

*then, when  $r$  is large enough, there holds true*

$$\text{vol}(C(A, r)) < \frac{1}{2^3} \text{vol}(C(A, r/2)).$$

Now, we are in the position to prove the main theorem of this section:

THEOREM 5.5. *Let  $(M, g)$  be a closed 4-dimensional Riemannian manifold with constant scalar curvature. Let  $u_k \in W^{3,2}(M, g)$  be a positive function and  $g_k = u_k^2 g$ . Assume*

$$\text{vol}(M, g_k) = a_0 \quad \text{and} \quad \int_M (|\nabla_{g_k} K(g_k)|^2 + |K(g_k)|^2) dV_{g_k} < \Lambda,$$

*where  $a_0 > 0$  and  $\Lambda > 0$ . Then,*

*1) as  $(M, g)$  is not conformal to  $\mathbb{S}^4$ ,  $u_k$  converges in  $W^{3,2}(M, g)$  to a positive function weakly.*

*2) as  $M = \mathbb{S}^4$ , there exist Möbius transformation  $\sigma_k$  such that  $\sigma_k^*(g_k)$  converges to  $W^{3,2}$ -metric weakly in  $W^{3,2}$ .*

*Proof.* After passing to a subsequence, we find a finite set  $\mathcal{S}$  such that

$$\lim_{r \rightarrow 0} \liminf_{k \rightarrow \infty} \int_{B_r(x)} R_k^2 u_k^4 > \frac{\tau}{2}, \quad x \in \mathcal{S}$$

and

$$\lim_{r \rightarrow 0} \limsup_{k \rightarrow \infty} \int_{B_r(x)} R_k^2 u_k^4 < \frac{\tau}{2}, \quad x \notin \mathcal{S}.$$

For more details we refer to Section 5 in [11].

By Lemma 5.1-5.2, and Corollary 2.5, we can find  $c_k > 0$  such that  $c_k u_k$  converges to a positive function  $\phi$  weakly in  $W_{loc}^{3,2}(M \setminus \mathcal{S})$ . When  $\mathcal{S} = \emptyset$ ,  $c_k u_k$  converges weakly in  $W^{3,2}(M, g)$ , then it follows from  $\text{vol}(M, g) = a_0$  that a subsequence of  $\{c_k\}$  converges to a positive constant. Hence  $\mathcal{S} = \emptyset$  implies that  $u_k$  converges weakly in  $W^{3,2}(M, g)$ .

Now, we assume  $\mathcal{S} \neq \emptyset$ . First, we consider the case  $M$  is not conformal to  $\mathbb{S}^4$ . For this case, we claim that

$$\int_{M \setminus \mathcal{S}} \phi^4 dV_g < \infty.$$

Assume this is not true. Since

$$\lim_{k \rightarrow \infty} \int_{M \setminus \mathcal{S}} (c_k u_k)^4 dV_g = \int_{M \setminus \mathcal{S}} \phi^4 dV_g,$$

it follows from  $\int_M u_k^4 = a_0$  that  $c_k \rightarrow \infty$ .

Let  $\hat{g}_k = c_k^2 g_k = c_k^2 u_k^2 g$ . We have

$$\int_M |K(\hat{g}_k)|^2 dV_{\hat{g}_k} = \int_M |K(g_k)|^2 dV_{g_k} \leq \Lambda,$$

and

$$\int_M |\nabla_{\hat{g}_k} K(\hat{g}_k)|^2 dV_{\hat{g}_k} = \frac{1}{c_k^2} \int_M |\nabla_{g_k} K(g_k)|^2 dV_{g_k} \rightarrow 0.$$

Then,  $K(\hat{g}_k)$  converges to a constant weakly in  $W_{loc}^{1,2}(M \setminus \mathcal{S})$ . Noting that

$$\int_M |K(\phi)|^2 \phi^4 dV_g \leq \Lambda,$$

we get  $K(\phi) = 0$ , which implies that  $R(\phi) = 0$ .

By Corollary 3.2, we know that  $(M, \phi^2 g)$  is complete. On the other hand, each end of  $M \setminus \mathcal{S}$  is collared topologically by  $S^3 \times \mathbb{R}$ . Therefore, we conclude that  $(M \setminus \mathcal{S}, \phi^2 g)$  is just  $\mathbb{R}^4$  (c.f. [4, Theorem 1]). This contradicts the assumption that  $M$  is not conformal to  $\mathbb{S}^4$ . Thus, we get the claim.

Choose a normal chart of a point  $p \in \mathcal{S}$ . By the definition of  $\mathcal{S}$ , we can get a sequence  $(x_k, r_k)$ , such that  $x_k \rightarrow 0$  and  $r_k \rightarrow 0$  and

$$\int_{B_{r_k}(x_k)} |R(g_k)|^2 dV_{g_k} = \frac{\tau}{2},$$

$$\int_{B_r(y)} |R(g_k)|^2 dV_{g_k} \leq \frac{\tau}{2}, \quad \forall y \in B_\delta(0), \quad r \leq r_k.$$

Let  $v_k(x) = r_k u_k(x_k + r_k x)$  and

$$g'_k = r_k^2 u_k^2 (x_k + r_k x) g(x_k + r_k x).$$

It is easy to check that

$$\|K(g'_k)\|_{W^{1,2}(B_R, g'_k)} < C(R), \quad \forall R,$$

$$\int_{B_1} |R(g'_k)|^2 dV_{g'_k} = \frac{\tau}{2}, \quad \text{and} \quad \int_{B_1(y)} |R(g'_k)|^2 dV_{g'_k} \leq \frac{\tau}{2}, \quad \forall y.$$

By Lemma 5.1-5.2, Lemma 2.5 and Lemma 2.3, there exists a sequence of positive numbers  $\{c'_k\}$  such that  $c'_k v_k$  converges weakly to a positive function  $\psi$  in  $W_{loc}^{3,2}(\mathbb{R}^4)$  weakly. Noting  $\int_{B_R} v_k^4 < a_0$ , we have  $\inf_k c'_k > 0$ .

We claim that

$$\int_{\mathbb{R}^4} \psi^4 dx = \text{vol}(\mathbb{R}^4, \psi^2 g_{\mathbb{R}^4}) < +\infty.$$

Assume this is not true. By a similar argument with the proof of  $\int_M \phi^4 < +\infty$ , we can get  $c_k \rightarrow +\infty$  and  $K(\psi) = 0$ . Noting that

$$\int_{B_1} |R(g'_k)|^2 dV_{g'_k} = \int_{B_1} |R(c'_k)^2 g'_k|^2 dV_{c'_k g'_k} = \frac{\tau}{2}$$

we get

$$\int_{B_1} |R(\psi)|^2 dV_{\psi^2 g_{\mathbb{R}^n}} = \frac{\tau}{2},$$

which is impossible. Therefore, the claim is true.

Let  $A'$  be an open ball in  $\mathbb{S}^{n-1}$  such that, after passing to a subsequence,

$$\int_{x_k + A'_\delta} |R(g_k)|^2 dV_{g_k} < \frac{\tau}{2}.$$

Let  $A \subset A'$  be a closed ball in  $\mathbb{S}^{n-1}$ , and  $\delta$  be sufficiently small. Take  $t_k \in [\frac{r_k}{\delta}, \delta]$ , such that

$$\text{vol}(C(A, t_k) + x_k, g_k) = \inf_{t \in [\frac{r_k}{\delta}, r]} \text{vol}(C(A, t) + x_k, g_k).$$

By Lemma 5.3, for any fixed sufficiently small  $r$ , we have

$$\frac{\text{vol}(C(A, r) + x_k, g_k)}{\text{vol}(C(A, r/2) + x_k, g_k)} \rightarrow \frac{\text{vol}(C(A, r), \phi^2 g)}{\text{vol}(C(A, r/2), \phi^2 g)} > 2^3.$$

Then,  $t_k \rightarrow 0$ . By the same argument, we deduced from Lemma 5.4 that  $\frac{t_k}{r_k} \rightarrow +\infty$ .

Set

$$\tilde{v}_k = t_k u_k(x_k + t_k x), \quad \tilde{g}_k = \tilde{v}_k g(x_k + t_k x).$$

Using the same method as we get  $\phi$ , we can find a finite set  $\tilde{\mathcal{S}}$  and a number  $\tilde{c}_k$ , such that  $\tilde{c}_k \tilde{v}_k$  converges to a positive function  $v$  weakly in  $W_{loc}^{3,2}(\mathbb{R}^4 \setminus (\{0\} \cup \mathcal{S}))$ . By the definition of  $A$ , we have

$$\mathcal{S} \cap \{tA : t > 0\} = \emptyset,$$

hence it follows

$$\text{vol}(C(A, 1), v^2 g_{\mathbb{R}^n}) = \inf_{t > 0} \text{vol}(C(A, t), v^2 g_{\mathbb{R}^n}). \quad (5.5)$$

Then, by the same arguments as we derive  $\int_M \phi^4 < +\infty$ , we also obtain that  $\tilde{c}_k \rightarrow +\infty$  and  $K(v) = 0$ . Then  $v$  is a positive harmonic function defined on  $\mathbb{R}^4 \setminus (\mathcal{S} \cup \{0\})$ . Furthermore, by Theorem 9.8 in [1], for any  $x_0 \in \mathcal{S} \cup \{0\}$  we have

$$v(x) \sim c(x_0) |x - x_0|^{-2},$$

where  $c(x_0)$  is a nonnegative constant.

Let

$$\mathcal{S}' = \{x \in \mathcal{S} \cup \{0\} : c(x) > 0\}.$$

If  $\mathcal{S}'$  is nonempty, then,  $(\mathbb{R}^4 \setminus \mathcal{S}', v^2 g_{\mathbb{R}^4})$  is a complete flat manifold, whose ends are collared topologically by  $S^3 \times \mathbb{R}$ . It is impossible. This means that  $\mathcal{S}' = \emptyset$ , hence  $v \in C^\infty(\mathbb{R}^4)$  which contradicts (5.5). Therefore, we finish the proof of 1).

Next, we consider the case  $(M, g)$  is conformal to  $\mathbb{S}^4$ . Let  $P$  be the stereographic projection from  $\mathbb{S}^4$  to  $\mathbb{R}^4$ , which sends  $x_0 \in \mathcal{S}$  to  $0 \in \mathbb{R}^4$ . Under the coordinate system defined by  $P$ , as before, we can find  $x_k \rightarrow 0$ ,  $r_k \rightarrow 0$ , and  $c'_k$ , such that  $c'_k r_k u_k(x_k + r_k x)$  converges to a positive function  $\psi$ , which satisfies

$$\int_{\mathbb{R}^4} \psi^4 dx < +\infty.$$

Let  $\sigma_k(y) = P^{-1}(r_k P(y) + x_k)$ . It is well-known that  $\sigma_k$  defines a Möbius transformation of  $\mathbb{S}^4$ . It is easy to check that for the new sequence  $g'_k = \sigma_k^*(g_k) = (u'_k)^2 g_{\mathbb{S}^4}$ , there exist  $c_k$  and a finite set  $\mathcal{S}'$ , such that  $c_k u_k$  converges weakly in  $W^{3,2}(M \setminus \mathcal{S}')$  to a positive function  $\phi$ , which satisfies  $\int \phi^4 < +\infty$ . Then, following the arguments taken in 1), we complete the proof easily.  $\square$

**6. Hélein's convergence Theorem.** The arguments in the previous sections seem useless to the Gauss equation in 2 dimensional case under Gauss curvature condition. However, we can apply them to study the convergence of a  $W^{2,2}$ -conformal immersion with bounded  $\|A\|_{L^2}$  to give a generalized Hélein's Convergence Theorem.

In [7], we defined the  $W^{2,2}$ -conformal immersion as follows:

**DEFINITION 6.1.** Let  $(\Sigma, g)$  be a Riemann surface. A map  $f \in W^{2,2}(\Sigma, g, \mathbb{R}^n)$  is called a conformal immersion, if the induced metric  $g_f = df \otimes df$  is given by

$$g_f = e^{2u} g \quad \text{where } u \in L^\infty(\Sigma).$$

For a Riemann surface  $\Sigma$  the set of all  $W^{2,2}$ -conformal immersions is denoted by  $W_{conf}^{2,2}(\Sigma, g, \mathbb{R}^n)$ . When  $f \in W_{loc}^{2,2}(\Sigma, g, \mathbb{R}^n)$  and  $u \in L_{loc}^\infty(\Sigma)$ , we say  $f \in W_{conf, loc}^{2,2}(\Sigma, g, \mathbb{R}^n)$ .

Hélein's Convergence Theorem was first proved by Hélein [6]. An optimal version of the theorem was stated in [7] as follows:

**THEOREM 6.2.** Let  $f_k \in W^{2,2}(D, \mathbb{R}^n)$  be a sequence of conformal immersions with induced metrics  $(g_{f_k})_{ij} = e^{2u_k} \delta_{ij}$  and satisfy

$$\int_D |A_{f_k}|^2 d\mu_{f_k} \leq \gamma < \gamma_n = \begin{cases} 8\pi & \text{for } n = 3, \\ 4\pi & \text{for } n \geq 4. \end{cases} \quad (6.1)$$

If  $\mu_{f_k}(D) \leq C$  and  $f_k(0) = 0$ , where  $\mu_{f_k}$  is the measure defined by  $f_k$ , then  $f_k$  is bounded in  $W_{loc}^{2,2}(D, \mathbb{R}^n)$ , and there is a subsequence such that one of the following two alternatives holds:

- (a)  $u_k$  is bounded and  $f_k$  converges weakly in  $W_{loc}^{2,2}(D, \mathbb{R}^n)$  to a conformal immersion  $f \in W_{loc}^{2,2}(D, \mathbb{R}^n)$ .
- (b)  $u_k \rightarrow -\infty$  and  $f_k \rightarrow 0$  locally uniformly on  $D$ .

Note that in case of (a),  $\|u_k\|_{W^{1,2}} < C$  follows from the boundness of  $\|u_k\|_{L^\infty}$  and  $\|f\|_{W^{2,2}}$ .

Hélein's convergence Theorem is a very powerful tool to study variational problem concerning Willmore functional [7, 12]. However, Theorem 6.2 can not get rid of a collapsing sequence. For this case, generally it is not true that  $f_k$  converges to a non-trivial map after rescaling. For example, if  $f_k = a_k e^{kz}$ , which is a sequence of conformal maps from  $D$  to  $\mathbb{C}$ , where  $a_k$  is chosen such that  $\mu_{f_k}(D) = 1$ , then  $f_k$  converges to a point, and for any  $c_k$ ,  $c_k f_k$  does not converge. However, in [9] (also see [10]) Y. Li showed that, if  $f_k(D)$  can be extended to a closed surface immersed in  $\mathbb{R}^n$  with  $\|A_k\|_{L^2} < C$ , then we can find  $c_k$ , such that  $c_k f_k$  converges weakly in  $W^{2,2}(D_r)$  for any  $r$  to a conformal immersion. The proof provided in [9] is based on the conformal invariant of Willmore functional and Simon's monotonicity formula.

In this section, we will use the John-Nirenberg inequality to give a new sufficient condition to guarantee the above assertion is still valid.

We define

$$\rho(u_k, x) = \sup \left\{ t : \int_{D_r(x) \cap D} |\nabla u_k|^2 < \epsilon_0^2 \right\}.$$

We first prove the following:

**LEMMA 6.3.** *Let  $f \in W_{conf}^{2,2}(D, \mathbb{R}^n)$  and  $df \otimes df = e^{2u}(dx^2 + dy^2)$ . Suppose that there exists a positive number  $\beta$  such that, for any  $y \in \mathbb{R}^n$  and  $r > 0$ ,*

$$\frac{\mu_f(f^{-1}(B_r(y)))}{\pi r^2} < \beta. \quad (6.2)$$

*Then there exists  $\epsilon > 0$  and  $a > 0$  such that, if  $\int_D |A|^2 < \epsilon$ , then*

$$\inf_{x \in D_{\frac{1}{4}}} \rho(u, x) > a.$$

*Proof.* If this is not true, then, we can find a sequence of  $f_k$ , such that  $\int_D |A_k|^2 \rightarrow 0$  and  $\inf_{D_{\frac{1}{4}}} \rho(u_k, x) \rightarrow 0$ . Take  $x_k \in D_{\frac{1}{4}}$ , such that  $\rho(u_k, x_k) \rightarrow 0$  and  $x_k \rightarrow x_0$ .

Put  $z_k \in D_{\frac{1}{2}}$  such that

$$\frac{\rho(u_k, z_k)}{1/2 - |z_k|} = \inf_{x \in D_{\frac{1}{2}}} \frac{\rho(u_k, x)}{1/2 - |x|} := \lambda_k.$$

As the proof of Corollary 2.7, we have  $\rho_k := \rho(u_k, z_k) \rightarrow 0$ ,  $D_{R\rho_k}(z_k) \subset D_{\frac{1}{2}}$  and

$$\frac{\rho(u_k, z)}{\rho(u_k, z_k)} > \frac{1}{2}, \quad \forall z \in D_{R\rho_k}(z_k),$$

when  $k$  is sufficiently large.

Assume  $z_k \rightarrow z_0$  and put  $f'_k(x) = c_k(f_k(z_k + \rho_k z) - f(z_k))$ , where  $c_k$  is chosen such that

$$\int_D u'_k = 0.$$

It is easy to see that  $f'_k$  also satisfies (6.2). Then, as the proof of Corollary 2.7, we have  $\int_{D_R} e^{2u'_k} < C(R)$  for any  $R$ . Since  $\int_D u'_k$  does not converge to  $-\infty$ , by Theorem

6.2, we know that  $f'_k$  converges weakly in  $W_{loc}^{2,2}(\mathbb{C}, \mathbb{R}^n)$  to an  $f' \in W_{loc}^{2,2}(\mathbb{C}, \mathbb{R}^n)$  with  $A_{f'} = 0$ . Since  $f'$  is conformal, it is a holomorphic immersion from  $\mathbb{C}$  to a plain  $L$  in  $\mathbb{R}^n$ .

Moreover, from

$$-\Delta u_k = K_{f_k} e^{2u_k}$$

we deduce that  $u'$  is a harmonic function on  $\mathbb{R}^2$  and hence  $\nabla u'$  is harmonic, since  $K_{f_k} e^{2u_k}$  converges to 0 in  $L^1$  and  $u'_k$  converges to  $u'$  weakly in  $W_{loc}^{1,2}(\mathbb{C})$ . Obviously, we also have that for any  $x \in \mathbb{R}^2$

$$\int_{D_{\frac{1}{2}}(x)} |\nabla u'|^2 dx \leq \epsilon_0^2,$$

which follows from that for any  $x$

$$\int_{D_{\frac{1}{2}}(x)} |\nabla u'_k|^2 dx \leq \epsilon_0^2.$$

By mean value theorem,  $\nabla u'$  is bounded. Therefore,  $\nabla u'$  is a constant vector. Choosing an appropriate coordinates of  $L$ , we may write  $f'$  as  $f' = az$  or  $e^{az+b}$ , where  $a \neq 0$ .

When  $f' = az$ ,  $u'$  is a constant. Note that i) of Theorem 6.2 implies that for any  $r$

$$\|u'_k\|_{W^{1,2}(D_r)} < C(r).$$

Without loss of generality, we assume  $u'_k$  converges to  $u'$  weakly in  $W_{loc}^{1,2}(\mathbb{C})$ . Given a positive cut-off function  $\eta$  which is 1 on  $D_1$ , we have

$$\begin{aligned} \epsilon_0^2 &\leq \int_{\mathbb{C}} \eta |\nabla u'_k|^2 = \int_{\mathbb{C}} \nabla(\eta u'_k) \nabla u'_k - u'_k \nabla \eta \nabla u'_k \\ &= \int_{\mathbb{C}} \eta u'_k K e^{2u'_k} - \int_{\mathbb{C}} (u'_k - u') \nabla \eta \nabla u'_k - u' \int_{\mathbb{C}} \nabla \eta \nabla u'_k \\ &\rightarrow 0. \end{aligned}$$

This is a contradiction.

When  $f'(z) = e^{az+b}$ , there exists  $P_0 \in L$ , such that  $f'^{-1}(\{P_0\})$  contains infinity many points. Let  $m > \beta + 1$ . Take  $z_1, \dots, z_m \in f'^{-1}(\{P_0\})$  and choose  $r > 0$  and  $r' > 0$  such that  $B_{r'}(P_0) \cap L \subset f(D_r(z_i))$  and  $f$  is injective on  $D_r(z_i)$ . Then we get

$$\frac{\mu_{f'}(f'^{-1}(B_{r'}(P_0)))}{\pi r'^2} = m,$$

and hence

$$\frac{\mu_{f'_k}(f'^{-1}(B_{r'}(P_0)))}{\pi r'^2} > m - 1 > \beta,$$

when  $k$  is sufficiently large. This contradicts (6.2).  $\square$

**THEOREM 6.4.** *Let  $f_k \in W_{conf}^{2,2}(D, \mathbb{R}^n)$  and satisfy (6.2). Then, there exists an  $\epsilon > 0$  such that, if  $\int_D |A_{f_k}|^2 < \epsilon$ , then there exist  $c_k$  such that  $c_k f_k$  converges weakly in  $W_{loc}^{2,2}(D_r)$  to an  $f \in W_{conf,loc}^{2,2}(D, \mathbb{R}^n)$  for any  $r < 1$ .*

*Proof.* We only need to prove that, there exists  $c_k$ , such that

$$\int_{D_r} e^{2|u_k + \log c_k|} < C(r)$$

for any  $r$ . The proof goes almost the same as in the proof of Lemma 2.3, we omit it.  $\square$

When  $f_k$  can be extended to a closed immersed surface with  $\|A_k\|_{L^2} < C$ , by (1.3) in [14], we know that (6.2) must hold true.

**COROLLARY 6.5.** *Let  $f_k \in W_{conf}^{2,2}(D, \mathbb{R}^n)$ , which satisfies (6.2). If  $f_k$  satisfies*

$$\int_D |A_{f_k}|^2 < \gamma_n - \tau,$$

*then there exist  $c_k$  such that  $\{c_k f_k\}$  converges weakly in  $W_{loc}^{2,2}(D_r)$  to an  $f \in W_{conf,loc}^{2,2}(D, \mathbb{R}^n)$  for any  $r < 1$ .*

*Proof.* Let  $\epsilon$  be the same as in the Theorem 6.4. Take  $m$  such that  $\frac{8\pi}{m} \cdot 5 < \epsilon$ . For convenience, we set  $r \in (\frac{3}{4}, 1)$  and  $l = \frac{1-r}{m}$ . After passing to a subsequence, there exists  $2 \leq i \leq m-2$  such that

$$\int_{D_{r+(i+2)l} \setminus D_{r+(i-2)l}} |A_{f_k}|^2 < \epsilon, \quad \forall k.$$

By Theorem 6.2, Theorem 6.4 and a covering argument, we know there exists  $c'_k$  such that  $c'_k f_k$  converges weakly in  $W_{loc}^{2,2}$  to a function

$$f_0 \in W_{conf,loc}^{2,2}(D_{r+(i+1)l} \setminus D_{r+(i-1)l}, \mathbb{R}^n),$$

and

$$\|u_k + \log c'_k\|_{L^\infty(D_{r+(i+1)l} \setminus D_{r+(i-1)l})} < C.$$

In particular, there holds true

$$\|u_k + \log c'_k\|_{L^\infty(\partial D_{r+i}l)} < C.$$

Since

$$\int_D |A_{f_k}|^2 < \gamma_n - \tau,$$

by Corollary 2.4 in [7], we know there exists a function  $v_k : \mathbb{C} \rightarrow \mathbb{R}$  solving the equation

$$-\Delta v_k = K_{c'_k f_k} e^{2(u_k + \log c'_k)}$$

in  $D$  and satisfying the following estimates:

$$\|v_k\|_{L^\infty(D)} \leq C.$$

The maximal principle yields

$$\|u_k + \log c'_k - v_k\|_{L^\infty(D_{r+il})} < C,$$

hence it follows

$$\|u_k + \log c'_k\|_{L^\infty(D_{r+il})} < C.$$

By the fact  $f_k$  satisfies the equation

$$\Delta c'_k f_k = e^{2(u_k + \log c'_k)} H_{c'_k f_k}$$

for every  $k$ , we obtain

$$\int_{D_{r+il}} |\Delta c'_k f_k|^2 dx \leq e^{2\|u_k + \log c'_k\|_{L^\infty(D_{r+il})}} \int_{D_{r+il}} |H_{c'_k f_k}|^2 d\mu_{c'_k f_k} \leq C.$$

This implies

$$\|c'_k f_k\|_{W^{2,2}(D_{r+il})} < C.$$

Thus, there exists a subsequence of  $\{c'_k f_k\}$  converges weakly to a  $W^{2,2}$  conformal immersion in  $D_r$ .

Applying Theorem 6.2 again, we get

$$\|\nabla(u_k + \log c'_k)\|_{L^2(D_r)} + \|u_k + \log c'_k\|_{L^\infty(D_r)} < C(r).$$

Let

$$\log c_k = -\frac{1}{|D_{\frac{1}{2}}|} \int_{D_{\frac{1}{2}}} u_k.$$

By Poincaré inequality, we have

$$\|u_k + \log c_k\|_{L^2(D_r)} < C.$$

Hence, it follows that

$$|\log c_k - \log c'_k| < C.$$

Thus, after passing to a subsequence,  $c_k f_k$  converges weakly in  $W^{2,2}(D_r)$  to a conformal map.  $\square$

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