

A NEW PINCHING THEOREM FOR CLOSED HYPERSURFACES WITH CONSTANT MEAN CURVATURE IN S^{n+1} *

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Abstract. We investigate the generalized Chern conjecture, and prove that if M is a closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature, then there exists an explicit positive constant $C(n)$ depending only on n such that if $|H| < C(n)$ and $S > \beta(n, H)$, then $S > \beta(n, H) + \frac{3n}{7}$, where $\beta(n, H) = n + \frac{n^3 H^2}{2(n-1)} + \frac{n(n-2)}{2(n-1)} \sqrt{n^2 H^4 + 4(n-1)H^2}$.

Key words. Closed hypersurface, Pinching phenomenon, Mean curvature, Scalar curvature, Second fundamental form.

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1. Introduction. Let M^n be an n -dimensional closed hypersurface in the unit sphere S^{n+1} with constant scalar curvature and constant mean curvature. Denote by H and S the mean curvature and the squared norm of the second fundamental form of M , respectively. It follows from the Gauss equation that the scalar curvature of M is given by $R = n(n-1) + n^2 H^2 - S$.

A famous rigidity theorem due to Simons [15], Lawson [10] and Chern-do Carmo-Kobayashi [8] says that if M is a closed minimal hypersurface in S^{n+1} satisfying $S \leq n$, then $S \equiv 0$ and M is the great sphere S^n , or $S \equiv n$ and M is the Clifford torus $S^k(\sqrt{\frac{k}{n}}) \times S^{n-k}(\sqrt{\frac{n-k}{n}})$. Afterward, Li-Li [11] improved Simons' pinching constant for n -dimensional closed minimal submanifolds in S^{n+p} to $\max\{\frac{n}{2-1/p}, \frac{2}{3}n\}$. Peng-Terng [13] proved that there exists a positive constant $\delta(n)$ depending only on n such that if $n \leq S \leq n + \delta(n)$, then $S = n$. Later Yang-Cheng [25] improved the pinching constant $\delta(n)$ to $\frac{n}{3}$. In 1993, S. P. Chang [2, 7] solved Chern's conjecture in dimension 3. For closed minimal hypersurfaces in S^{n+1} , the scalar curvature pinching phenomenon without the assumption of constant scalar curvature was also investigated by several authors [5, 9, 14, 17, 23] etc.

More generally, we would like to propose the following

THE GENERALIZED CHERN CONJECTURE. *For closed hypersurfaces in the unit sphere S^{n+1} with constant scalar curvature and constant mean curvature, the values S of the squared norm of the second fundamental forms must be discrete.*

Set $\alpha(n, H) = n + \frac{n^3}{2(n-1)} H^2 - \frac{n(n-2)}{2(n-1)} \sqrt{n^2 H^4 + 4(n-1)H^2}$. In 1990, the first author [18] proved the generalized Simons-Lawson-Chern-do Carmo-Kobayashi theorem for compact submanifolds with parallel mean curvature in a sphere.

THEOREM A. *Let M be an n -dimensional oriented compact submanifold with parallel mean curvature in an $(n+p)$ -dimensional unit sphere S^{n+p} . If $S \leq C_1(n, p, H)$, then M is either a totally umbilic sphere $S^n(\frac{1}{\sqrt{1+H^2}})$, a Clifford hypersurface in an*

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$(n + 1)$ -sphere, or the Veronese surface in $S^4(\frac{1}{\sqrt{1+H^2}})$. Here the constant $C_1(n, p, H)$ is defined by

$$C_1(n, p, H) = \begin{cases} \alpha(n, H), & p = 1, \text{ or } p = 2 \text{ and } H \neq 0, \\ \frac{n}{2-\frac{1}{p}}, & p \geq 2 \text{ and } H = 0, \\ \min \left\{ \alpha(n, H), \frac{n+nH^2}{2-\frac{1}{p-1}} + nH^2 \right\}, & p \geq 3 \text{ and } H \neq 0. \end{cases}$$

Consequently, we have the following

COROLLARY A (also see [1, 6]). *Let M be an n -dimensional closed hypersurface with constant mean curvature ($H \neq 0$) in S^{n+1} . If $S \leq \alpha(n, H)$, then M is either a totally umbilical sphere $S^n(\frac{1}{\sqrt{1+H^2}})$, or a Clifford hypersurface $S^{n-1}(\frac{1}{\sqrt{1+\lambda^2}}) \times S^1(\frac{\lambda}{\sqrt{1+\lambda^2}})$. Here $\lambda = \frac{1}{2(n-1)}[n|H| + \sqrt{n^2H^2 + 4(n-1)}]$.*

In [19], H. W. Xu improved the pinching constant $C_1(n, p, H)$ in Theorem A to

$$C_2(n, p, H) = \begin{cases} \alpha(n, H), & p = 1, \text{ or } p = 2 \text{ and } H \neq 0, \\ \min \left\{ \alpha(n, H), \frac{1}{3}(2n + 5nH^2) \right\}, & \text{otherwise.} \end{cases}$$

In [3], S. P. Chang showed that any closed hypersurface in S^4 with constant scalar curvature and constant mean curvature must be an isoparametric hypersurface. Recently, Suh and Yang [16] improved Yang and Cheng’s pinching theorem for closed minimal hypersurfaces, and proved the following

THEOREM B. *Let M^n ($n \geq 4$) be an n -dimensional closed minimal hypersurface with constant scalar curvature in S^{n+1} . If $S > n$, then $S > n + \frac{3}{7}n$.*

In this paper, we extend the result of Suh and Yang to the case where M is a closed hypersurface with constant scalar curvature and small constant mean curvature. Putting $\beta(n, H) = n + \frac{n^3H^2}{2(n-1)} + \frac{n(n-2)}{2(n-1)}\sqrt{n^2H^2 + 4(n-1)H^2}$, we prove the following

MAIN THEOREM. *Let M^n ($n \geq 4$) be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature. Then there exists an explicit positive constant $C(n)$ depending only on n , such that if $|H| < C(n)$ and $S > \beta(n, H)$, then $S > \beta(n, H) + \frac{3n}{7}$.*

We have the following corollary immediately.

COROLLARY. *Let M^n ($n \geq 4$) be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature ($H \neq 0$). If $|H| < C(n)$ and $\beta(n, H) \leq S \leq \beta(n, H) + \frac{3n}{7}$, then $S = \beta(n, H)$ and M is an isoparametric hypersurface $S^1(\frac{1}{\sqrt{1+\mu^2}}) \times S^{n-1}(\frac{\mu}{\sqrt{1+\mu^2}})$, where $\mu = \frac{1}{2}[n|H| + \sqrt{n^2H^2 + 4(n-1)}]$.*

The following problem seems very interesting.

OPEN PROBLEM. *For an n -dimensional closed hypersurface M in S^{n+1} with constant scalar curvature R and constant mean curvature H ($H \neq 0$), set $\mu_k = \frac{n|H| + \sqrt{n^2H^2 + 4k(n-k)}}{2k}$. Suppose that $\alpha(n, H) \leq S \leq \beta(n, H)$. Can one prove that M must be the isoparametric hypersurface $S^k(\frac{1}{\sqrt{1+\mu_k^2}}) \times S^{n-k}(\frac{\mu_k}{\sqrt{1+\mu_k^2}})$, $k = 1, 2, \dots, n-1$?*

It should be mentioned that the scalar curvature pinching phenomenon for closed constant mean curvature hypersurfaces in S^{n+1} has been investigated in [4, 21, 22] etc.

2. Fundamental formulas. Throughout this paper let M^n be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature. We shall make use of the following convention on the range of indices:

$$1 \leq A, B, C, \dots \leq n + 1, \quad 1 \leq i, j, k, \dots \leq n.$$

Choose a local orthonormal frame field $\{e_A\}$ in S^{n+1} such that, restricted to M , $\{e_i\}$ are tangent to M . Let $\{\omega_A\}$ and $\{\omega_{AB}\}$ be the dual frame field and the connection 1-forms of S^{n+1} , respectively. Restricting these forms to M , we have

$$\omega_{n+1} = 0, \quad \omega_{n+1i} = \sum_{j=1}^n h_{ij}\omega_j, \quad h_{ij} = h_{ji}.$$

The second fundamental form of M can be written as $h = \sum_{i,j=1}^n h_{ij}\omega_i \otimes \omega_j \otimes e_{n+1}$. Denote by H and S the mean curvature of M and the squared norm of h , respectively. Then

$$S = \sum_{i,j=1}^n h_{ij}^2, \quad H = \frac{1}{n} \sum_{i=1}^n h_{ii}.$$

For an arbitrary fixed point $p \in M$, choose $\{e_A\}$ such that

$$H \geq 0, \quad h_{ij} = \lambda_i \delta_{ij}, \quad S = \sum_{i=1}^n \lambda_i^2.$$

Set

$$f_k := \sum_i \lambda_i^k,$$

$$h_{ijk} := \nabla_k h_{ij}, \quad h_{ijkl} := \nabla_l \nabla_k h_{ij}, \quad h_{ijkl} := \nabla_s \nabla_l \nabla_k h_{ij}.$$

The Gauss equation, Codazzi equation and Ricci formula can be written as

$$R_{ijkl} = \delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk} + h_{ik}h_{jl} - h_{il}h_{jk},$$

$$h_{ijk} = h_{ikj},$$

$$h_{ijkl} - h_{ijlk} = \sum_m (h_{mj}R_{mikl} + h_{im}R_{mjkl}),$$

$$h_{ijkl} - h_{ijk}l = \sum_m (h_{mj}R_{mils} + h_{im}R_{mjls} + h_{ijm}R_{mkl}),$$

where R_{ijkl} is the curvature tensor of M . So the scalar curvature of M is given by $R = n(n - 1) + n^2H^2 - S$.

When H and S are constants, by a similar computation as in [13], we get

$$(2.1) \quad \sum_{i,j,k} h_{ijk}^2 = S(S - n) + n^2H^2 - nHf_3,$$

$$(2.2) \quad \sum_k h_{ijkk} = (n-S)h_{ij} + \left(\sum_m h_{im}h_{mj} - \delta_{ij}\right)nH,$$

$$(2.3) \quad h_{ijij} - h_{jiji} = (\lambda_i - \lambda_j)(1 + \lambda_i\lambda_j).$$

Following [20], we have

$$(2.4) \quad \frac{1}{3}\Delta f_3 = (n-S)f_3 + nH(f_4 - S) + 2\sum_{i,j,k} \lambda_i h_{ijk}^2,$$

$$(2.5) \quad \frac{1}{4}\Delta f_4 = (n-S)f_4 + nH(f_5 - f_3) + (2A + B),$$

$$(2.6) \quad \begin{aligned} \frac{1}{6}\Delta f_3^2 &= (n-S)f_3^2 + nHf_3(f_4 - S) + 2f_3\sum_{i,j,k} \lambda_i h_{ijk}^2 \\ &+ 3\sum_j \left(\sum_i \lambda_i^2 h_{iij}\right)^2, \end{aligned}$$

where

$$A = \sum_{i,j,k} \lambda_i^2 h_{ijk}^2, \quad B = \sum_{i,j,k} \lambda_i \lambda_j h_{ijk}^2.$$

By (2.1), (2.4) and the Laplacian of $\sum h_{ijk}^2$, we obtain the following

$$(2.7) \quad \begin{aligned} \sum_{i,j,k,l} h_{ijkl}^2 &= (S - 2n - 3)(S^2 - nS + n^2H^2 - nHf_3) \\ &- \frac{3nH}{2}[(n-S)f_3 - nSH + nHf_4] \\ &+ 3(A - 2B) - 6nH\sum_{i,j,k} \lambda_i h_{ijk}^2. \end{aligned}$$

On the other hand, it follows from (2.3) that

$$(2.8) \quad \begin{aligned} \sum_{i,j,k,l} h_{ijkl}^2 &\geq \sum_{i,j,k,l} u_{ijkl}^2 + \frac{3}{4}\sum_{i \neq j} (h_{ijij} - h_{jiji})^2 \\ &= \sum_{i,j,k,l} u_{ijkl}^2 + \frac{3}{2}(Sf_4 - f_3^2 - 2S^2 + nS - n^2H^2 + 2nHf_3), \end{aligned}$$

where

$$u_{ijkl} := \frac{1}{4}(h_{ijkl} + h_{jkli} + h_{klji} + h_{lijk}).$$

3. Several lemmas. To prove our main result, we need several lemmas. From now on, we take $\tilde{S} = S - nH^2$, $\sum_{i,j,k} h_{ijk}^2 = t\tilde{S}^2$. Because of (2.1), it is easy to see that

$$(3.1) \quad t = \frac{\tilde{S} - n}{\tilde{S}} + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2}.$$

Put

$$(3.2) \quad \begin{aligned} f &= \sum_i \left(\lambda_i^2 - \frac{f_3 - HS}{\tilde{S}} \lambda_i - \frac{S^2 - nHf_3}{n\tilde{S}} \right)^2 \\ &= f_4 - \frac{(f_3 - HS)^2}{\tilde{S}} - \frac{S^2}{n}, \end{aligned}$$

and $\tilde{\lambda}_i = \lambda_i - H$, $i = 1, \dots, n$. At any point $p \in M$, let $\lambda_1 = \max_i \{\lambda_i\}$, $\lambda_2 = \min_i \{\lambda_i\}$.

LEMMA 1. *Let M^n be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature. Then at any point $p \in M$, we have*

$$(3.3) \quad f \geq \frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{\tilde{\lambda}_1^2 + \tilde{\lambda}_2^2} \left(\tilde{\lambda}_1 \tilde{\lambda}_2 + \frac{\tilde{S}}{n} \right)^2,$$

$$(3.4) \quad A - B \leq \frac{1 - \alpha}{3} (\tilde{\lambda}_1 - \tilde{\lambda}_2)^2 t \tilde{S}^2,$$

$$(3.5) \quad \sum_j \left(\sum_i \lambda_i^2 h_{iij} \right)^2 \leq \frac{1 + 2\alpha}{3} t \tilde{S}^2 f,$$

$$(3.6) \quad \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 \leq \left\{ \frac{1}{3} (A + 2B) - \frac{4}{3} \sum_k \frac{(n+2)(\sum_i \lambda_i^2 h_{iik})^2}{(n+2)\tilde{S} + 2n\tilde{\lambda}_k^2} \right\} t \tilde{S}^2,$$

where $\alpha \sum_{i,j,k} h_{ijk}^2 = \sum_i h_{iii}^2$ and α satisfies $0 \leq \alpha \leq 1$.

Proof. (3.3), (3.4) and (3.5) can be proved by using the same method as in [24]. We only need to prove (3.6). Consider equations

$$\begin{cases} \sum_{i,j} P_{ijk} h_{ij} = 0 \\ \sum_{i,j} P_{ijk} \delta_{ij} = 0, \end{cases}$$

where $P_{ijk} := (\lambda_i + \lambda_j + \lambda_k)h_{ijk} - (h_{ij}y^k + h_{ik}y^j + h_{jk}y^i) - (\delta_{ij}x^k + \delta_{ik}x^j + \delta_{jk}x^i)$. This together with the fact that H and S are constants implies

$$\begin{cases} 2 \sum_i \lambda_i^2 h_{iik} - (2\lambda_k^2 + S)y^k - (2\lambda_k + nH)x^k = 0 \\ (2\lambda_k + nH)y^k + (2 + n)x^k = 0. \end{cases}$$

We then have the following solution.

$$x^k = -\frac{2(2\lambda_k + nH) \sum_i \lambda_i^2 h_{iik}}{2n(\lambda_k - H)^2 + (n+2)\tilde{S}}, y^k = \frac{2(n+2) \sum_i \lambda_i^2 h_{iik}}{2n(\lambda_k - H)^2 + (n+2)\tilde{S}}, k = 1, \dots, n.$$

By a similar argument as in [24], we get

$$\begin{aligned} & \left(\sum_{i,j,k} \lambda_i h_{ijk}^2\right)^2 = \frac{1}{9} \left(\sum_{i,j,k} P_{ijk} h_{ijk}\right)^2 \\ & \leq \frac{1}{9} \sum_{i,j,k} P_{ijk}^2 \sum_{i,j,k} h_{ijk}^2 \\ & = \left\{ \frac{1}{3}(A + 2B) - \frac{4}{3} \sum_k \frac{(n+2)(\sum_i \lambda_i^2 h_{iik})^2}{(n+2)\tilde{S} + 2n\tilde{\lambda}_k^2} \right\} t\tilde{S}^2. \end{aligned}$$

LEMMA 2. *Let M^n be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature. Then*

$$(3.7) \quad f \leq \frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{4} \tilde{S} - \frac{\tilde{S}^2}{n}.$$

Proof. Put $\tilde{f}_3 = \sum_i \tilde{\lambda}_i^3$, $\tilde{f}_4 = \sum_i \tilde{\lambda}_i^4$. It follows from (3.2) that

$$f = \sum_i \left(\tilde{\lambda}_i^2 - \frac{\tilde{f}_3}{\tilde{S}} \tilde{\lambda}_i - \frac{\tilde{S}}{n} \right)^2 = \tilde{f}_4 - \frac{\tilde{f}_3^2}{\tilde{S}} - \frac{\tilde{S}^2}{n}.$$

By the definitions of λ_1 and λ_2 , we have

$$\sum_i \tilde{\lambda}_i^2 (\tilde{\lambda}_i - \tilde{\lambda}_1) (\tilde{\lambda}_i - \tilde{\lambda}_2) \leq 0.$$

Then

$$\tilde{f}_4 \leq (\tilde{\lambda}_1 + \tilde{\lambda}_2) \tilde{f}_3 - \tilde{\lambda}_1 \tilde{\lambda}_2 \tilde{S}.$$

Thus

$$\begin{aligned} f & \leq (\tilde{\lambda}_1 + \tilde{\lambda}_2) \tilde{f}_3 - \frac{\tilde{f}_3^2}{\tilde{S}} - \tilde{\lambda}_1 \tilde{\lambda}_2 \tilde{S} - \frac{\tilde{S}^2}{n} \\ & \leq \frac{(\tilde{\lambda}_1 + \tilde{\lambda}_2)^2}{4} \tilde{S} - \tilde{\lambda}_1 \tilde{\lambda}_2 \tilde{S} - \frac{\tilde{S}^2}{n} = \frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{4} \tilde{S} - \frac{\tilde{S}^2}{n}. \end{aligned}$$

LEMMA 3. *Let M^n be an n -dimensional closed hypersurface in S^{n+1} with constant scalar curvature and constant mean curvature. Then*

$$(3.8) \quad \int_{M^n} (A - 2B) dv = \int_{M^n} \left(\tilde{S} f + \frac{t\tilde{S}^3}{n} + g_1 \right) dv,$$

where $g_1 = H^2(nf + t\tilde{S}^2) - Ht\tilde{S}(f_3 - HS)$.

Proof. Note that

$$\begin{aligned}
& \sum_{i,j,k,l,m} h_{lmik} h_{ij} h_{jm} h_{lk} \\
&= \sum_{i,j,k,l,m} (h_{lmi} h_{ij} h_{jm} h_{lk})_k - \sum_{i,j,k,l,m} h_{lmi} h_{ijk} h_{jm} h_{lk} \\
&\quad - \sum_{i,j,k,l,m} h_{lmi} h_{ij} h_{jmk} h_{lk} \\
(3.9) \quad &= \frac{1}{3} \sum_{k,l} ((f_3)_l h_{lk})_k - 2B.
\end{aligned}$$

Since H and S are constants, we have

$$\begin{aligned}
& \sum_{i,j,k,l,m} h_{lmik} h_{ij} h_{jm} h_{lk} \\
&= \sum_{i,j,k,l,m} (h_{lmki} + \sum_r h_{rm} R_{rlik} + \sum_r h_{lr} R_{rmik}) h_{ij} h_{jm} h_{lk} \\
&= \sum_{i,j,k,l,m} (h_{lmk} h_{ij} h_{jm} h_{lk})_i - \sum_{i,j,k,l,m} h_{lmk} h_{iji} h_{jm} h_{lk} \\
&\quad - \sum_{i,j,k,l,m} h_{lmk} h_{ij} h_{jmi} h_{lk} - \sum_{i,j,k,l,m} h_{lmk} h_{ij} h_{jm} h_{lki} \\
&\quad + nH f_3 + S f_4 - S^2 - f_3^2 \\
(3.10) \quad &= -A + nH f_3 + S f_4 - S^2 - f_3^2.
\end{aligned}$$

Putting $X = \sum_k (\sum_l (f_3)_l h_{lk}) e_k$, we get $\operatorname{div}(X) = \sum_{k,l} ((f_3)_l h_{lk})_k$. By (3.9), (3.10) and the divergence theorem, we obtain

$$\int_{M^n} (A - 2B) dv = \int_{M^n} (S f_4 - f_3^2 + nH f_3 - S^2) dv.$$

This together with (3.1) and the definitions of f and \tilde{S} implies (3.8).

4. Curvature estimates. The crucial point of the proof of Main Theorem is to give a proper estimate of the RHS of (2.8).

We begin with an estimate of $\sum_{i,j,k,l} u_{ijkl}^2$. Define

$$(4.1) \quad f_{ij} = \sum_k h_{ik} h_{kj} - \frac{f_3 - HS}{\tilde{S}} h_{ij} - \frac{S^2 - nH f_3}{n\tilde{S}} \delta_{ij}, \quad i, j = 1, \dots, n,$$

which satisfy

$$\sum_{i,j} h_{ij} f_{ij} = 0, \quad \sum_{i,j} \delta_{ij} f_{ij} = 0, \quad \sum_{i,j} f_{ij}^2 = f.$$

Putting

$$F := -A + \frac{1}{2} S f + \frac{f_3 - HS}{\tilde{S}} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - t\tilde{S}^2 H \right) + \frac{t\tilde{S}^2 S}{n},$$

we have

$$(4.2) \quad \sum_{i,j,k,l} u_{ijkl} h_{ij} f_{kl} = F,$$

$$(4.3) \quad \sum_{i,j,k} u_{ijkl} h_{ijk} = -\frac{3}{2} \sum_i \lambda_i^2 h_{iil} \lambda_l - \frac{3nH}{2} \sum_i \lambda_i^2 h_{iil} = -\frac{1}{2} (f_3)_l (\lambda_l + nH),$$

$$(4.4) \quad \sum_{i,j,k,l} u_{ijkl} h_{ij} \delta_{kl} = -\frac{1}{2} t \tilde{S}^2, \quad \sum_{i,j,k} h_{ijk} f_{ij} h_{kl} = \sum_i \lambda_i^2 h_{iil} \lambda_l = \frac{1}{3} (f_3)_l \lambda_l.$$

Set

$$(4.5) \quad \begin{aligned} U_{ijkl} := & u_{ijkl} - \alpha_1 (h_{ij} f_{kl} + f_{ij} h_{kl}) + (\beta_i h_{jkl} + \beta_j h_{ikl} + \beta_k h_{lij} + \beta_l h_{ijk}) \\ & + \gamma h_{ij} h_{kl} + \delta (h_{ij} \delta_{kl} + \delta_{ij} h_{kl}) + \zeta \delta_{ij} \delta_{kl}. \end{aligned}$$

For any $\alpha_1, \beta_i, \gamma, \delta, \zeta \in \mathbf{R}$, $\sum_{i,j,k,l} U_{ijkl}^2 \geq 0$. Choose

$$\begin{aligned} \alpha_1 &= \frac{1}{Sf - \frac{1}{18tS^2} \sum_j (f_3)_j^2 \lambda_j^2} \left[F + \frac{1}{12t\tilde{S}^2} \sum_j (f_3)_j^2 (\lambda_j^2 + nH\lambda_j) \right], \\ \beta_i &= \frac{1}{8t\tilde{S}^2} (f_3)_i \left(\frac{2\alpha_1 + 3}{3} \lambda_i + nH \right), \\ \gamma &= -tH + \frac{\sum_{i,j,k} \lambda_i h_{ijk}^2}{\tilde{S}^2}, \\ \delta &= -\frac{\sum_{i,j,k} \lambda_i h_{ijk}^2}{\tilde{S}^2} H + \frac{t(S + nH^2)}{2n}, \\ \zeta &= \frac{\sum_{i,j,k} \lambda_i h_{ijk}^2}{\tilde{S}^2} H^2 - \frac{tS}{n} H, \end{aligned}$$

respectively. By the symmetry of $U_{ijkl} = U_{klij}$, $U_{ijkl} = U_{jikl}$ and (4.2)-(4.5), substituting these values into the inequality $\sum_{i,j,k,l} U_{ijkl}^2 \geq 0$, we obtain

$$\begin{aligned} \sum_{i,j,k,l} u_{ijkl}^2 &\geq \frac{1}{2Sf - \frac{1}{9t\tilde{S}^2} \sum_j (f_3)_j^2 \lambda_j^2} \left[2F + \frac{1}{6t\tilde{S}^2} \sum_j (f_3)_j^2 (\lambda_j^2 + nH\lambda_j) \right]^2 \\ &\quad + \frac{1}{4t\tilde{S}^2} \sum_j (f_3)_j^2 (\lambda_j + nH)^2 \\ &\quad + \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right)^2 + \frac{t^2 \tilde{S}^3}{2n}. \end{aligned}$$

Noting the definition of F , we have

$$\begin{aligned}
 \sum_{i,j,k,l} u_{ijkl}^2 &\geq \frac{1}{2\left[Sf - \frac{1}{18t\tilde{S}^2} \sum_j (f_3)_j^2 \lambda_j^2\right]} \left\{ \left[Sf - \frac{1}{18t\tilde{S}^2} \sum_j (f_3)_j^2 \lambda_j^2 \right] \right. \\
 &\quad + \left[-2A + \frac{2(f_3 - HS)}{\tilde{S}} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right) + \frac{2t\tilde{S}^2 S}{n} \right. \\
 &\quad \left. \left. + \frac{2}{9t\tilde{S}^2} \sum_j (f_3)_j^2 \lambda_j^2 + \frac{nH}{6t\tilde{S}^2} \sum_j (f_3)_j^2 \lambda_j \right] \right\}^2 \\
 &\quad + \frac{1}{4t\tilde{S}^2} \sum_j (f_3)_j^2 (\lambda_j + nH)^2 \\
 &\quad + \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right)^2 + \frac{t^2 \tilde{S}^3}{2n} \\
 &\geq -2A + \frac{1}{2}Sf + \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right)^2 \\
 &\quad + \frac{2(f_3 - HS)}{\tilde{S}} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right) + \frac{t\tilde{S}^2}{n} \left(2S + \frac{t\tilde{S}}{2} \right) \\
 &\quad + \frac{1}{36t\tilde{S}^2} \sum_j (f_3)_j^2 [7\lambda_j^2 + 9(\lambda_j + nH)^2 + 6nH\lambda_j] \\
 &= -2A + \frac{1}{2}Sf + \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right)^2 \\
 &\quad + \frac{2(f_3 - HS)}{\tilde{S}} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 - tH\tilde{S}^2 \right) + \frac{t\tilde{S}^2}{n} \left(2S + \frac{t\tilde{S}}{2} \right) \\
 (4.6) \quad &\quad + \frac{1}{36t\tilde{S}^2} \sum_j (f_3)_j^2 (4\lambda_j + 3nH)^2.
 \end{aligned}$$

It follows from (2.7), (2.8), (4.6) and $S = \tilde{S} + nH^2$ that

$$\begin{aligned}
 &\frac{t\tilde{S}^2}{2} \left\{ 5\tilde{S} - 7n - 6 - \frac{(4 + 3n)t\tilde{S}}{n} - \frac{4\tilde{S}}{n} \right\} + (5A - 6B) \\
 &\geq 2\tilde{S}f + \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 + \frac{2(f_3 - HS)}{\tilde{S}} \sum_{i,j,k} \lambda_i h_{ijk}^2 \\
 (4.7) \quad &\quad + \frac{1}{36t\tilde{S}^2} \sum_j (f_3)_j^2 (4\lambda_j + 3nH)^2 + \tilde{g}_2,
 \end{aligned}$$

where

$$\begin{aligned}
 \tilde{g}_2 &= \left((6n - 2t) \sum_{i,j,k} \lambda_i h_{ijk}^2 - 2t\tilde{S}(f_3 - HS) \right) H \\
 &\quad + \left(2nf + \frac{3n^2 f}{2} + t^2 \tilde{S}^2 - \frac{5n - 4}{2} t\tilde{S}^2 \right) H^2.
 \end{aligned}$$

From (3.1) and (4.7), we have

$$-6 = -\frac{6n}{n} = -\frac{6}{n} \left\{ (1-t)\tilde{S} + \frac{nH(H\tilde{S} - (f_3 - HS))}{\tilde{S}} \right\}$$

and

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - (5-t)\frac{\tilde{S}}{n} \right\} + (5A - 6B) - 2\tilde{S}f \\ & \geq \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 + \frac{2(f_3 - HS)}{\tilde{S}} \sum_{i,j,k} \lambda_i h_{ijk}^2 \\ (4.8) \quad & + \frac{1}{36t\tilde{S}^2} \sum_j (f_3)_j^2 (4\lambda_j + 3nH)^2 + g_2, \end{aligned}$$

where

$$\begin{aligned} g_2 = & \left(2(3n-t) \sum_{i,j,k} \lambda_i h_{ijk}^2 - \frac{10+3n}{2} t\tilde{S}(f_3 - HS) \right) H \\ & + \left(\frac{4+3n}{2} nf + (5-n+t)t\tilde{S}^2 \right) H^2. \end{aligned}$$

Now we estimate the right hand side of (4.8). We first consider the following integral equality.

$$\begin{aligned} 0 = & \int_{M^n} \left\{ \frac{1}{4} \Delta f_4 - \frac{1+c_0}{6\tilde{S}} \Delta f_3^2 + \frac{(1+c_0)(1+c_2)H}{3} \Delta f_3 \right\} \\ & - \int_{M^n} c_1 \left\{ (A-2B) - \left(\tilde{S}f + \frac{t\tilde{S}^3}{n} + g_1 \right) \right\}. \end{aligned}$$

Using (2.4), (2.5), (2.6) and (3.8), we see that there is a point $p_0 \in M$ such that at p_0 , for any $c_0 \geq 0$ and $u > 0$,

$$\begin{aligned} & (1-c_1)u\frac{t\tilde{S}^3}{n} - (2-c_1)uA - (1+2c_1)uB + (t-c_1)u\tilde{S}f \\ & = -\frac{2u(c_0+1)}{\tilde{S}} (f_3 - HS) \sum_{i,j,k} \lambda_i h_{ijk}^2 + c_0 u t (f_3 - HS)^2 \\ (4.9) \quad & - \frac{u(c_0+1)}{3\tilde{S}} \sum_j (f_3)_j^2 + g_3, \end{aligned}$$

where

$$\begin{aligned} g_3 = & -\frac{uH}{\tilde{S}^2} \left\{ \tilde{S}^2 H [(\tilde{S} + nH^2)^2 - t\tilde{S}^2(c_1 - 1)] + nH\tilde{S}f\xi \right. \\ & + \tilde{S} [-\tilde{S}[-2(\tilde{S} + nH^2) + t(\tilde{S} + \xi)] + (2+c_0)nf] (f_3 - HS) \\ & - nH\tilde{S}(f_3 - HS)^2 + 2\tilde{S}(1+c_0)(nH^2 - c_2\tilde{S}) \sum_{i,j,k} \lambda_i h_{ijk}^2 \\ & \left. - n(\tilde{S}^2 f_5 - (f_3 - HS)^3) \right\}, \\ & \xi = nH^2(1+c_0) - \tilde{S}(c_1 + (1+c_0)c_2). \end{aligned}$$

Adding (4.9) to (4.8), we obtain

$$\begin{aligned}
 & t\tilde{S}^2 \left\{ \tilde{S} - 2n - [5 - t - (1 - c_1)u] \frac{\tilde{S}}{n} \right\} + [5 - (2 - c_1)u]A \\
 & - [6 + (1 + 2c_1)u]B - [2 - (t - c_1)u]\tilde{S}f \\
 \geq & \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 + \frac{2(f_3 - HS)}{\tilde{S}} \sum_{i,j,k} \lambda_i h_{ijk}^2 [1 - (c_0 + 1)u] + c_0 ut (f_3 - HS)^2 \\
 & + \sum_j (f_3)_j^2 \left\{ \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_3 \\
 = & \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 \left\{ 1 - \frac{[1 - (c_0 + 1)u]^2}{c_0 ut} \right\} \\
 & + c_0 ut \left\{ (f_3 - HS) + \frac{1 - (c_0 + 1)u}{c_0 ut \tilde{S}} \sum_{i,j,k} \lambda_i h_{ijk}^2 \right\}^2 \\
 & + \sum_j (f_3)_j^2 \left\{ \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_3 \\
 \geq & \frac{1}{\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 \left\{ 1 - \frac{[u(c_0 + 1) - 1]^2}{c_0 ut} \right\} \\
 & + \sum_j (f_3)_j^2 \left\{ \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_3.
 \end{aligned}$$

Setting $\beta = \frac{\{(c_0+1)u-1\}^2}{c_0 u} - t$, we choose c_0, u such that $\beta \geq 0$. By (3.6), the inequality above becomes

$$\begin{aligned}
 & t\tilde{S}^2 \left\{ \tilde{S} - 2n - [5 - t - (1 - c_1)u] \frac{\tilde{S}}{n} \right\} + [5 - (2 - c_1)u]A \\
 & - [6 + (1 + 2c_1)u]B - [2 - (t - c_1)u]\tilde{S}f \\
 \geq & - \frac{\beta}{t\tilde{S}^2} \left(\sum_{i,j,k} \lambda_i h_{ijk}^2 \right)^2 + \sum_j (f_3)_j^2 \left\{ \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_3 \\
 \geq & - \beta \left\{ \frac{1}{3}(A + 2B) - \frac{4}{27} \sum_j \frac{(n + 2)(f_3)_j^2}{(n + 2)\tilde{S} + 2n\tilde{\lambda}_j^2} \right\} \\
 & + \sum_j (f_3)_j^2 \left\{ \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_3.
 \end{aligned}$$

Hence we have

$$\begin{aligned}
 & t\tilde{S}^2 \left\{ \tilde{S} - 2n - [5 - t - (1 - c_1)u] \frac{\tilde{S}}{n} \right\} + \left\{ 5 - (2 - c_1)u + \frac{\beta}{3} \right\} A \\
 & - \left\{ 6 + (1 + 2c_1)u - \frac{2\beta}{3} \right\} B - [2 - (t - c_1)u] \tilde{S} f \\
 \geq & \sum_j (f_3)_j^2 \left\{ \frac{4}{27} \cdot \frac{(n+2)\beta}{(n+2)\tilde{S} + 2n\tilde{\lambda}_j^2} + \frac{(4\lambda_j + 3nH)^2}{36t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} \\
 & + g_2 + g_3 \\
 = & \sum_j (f_3)_j^2 \left\{ \frac{4}{27} \cdot \frac{(n+2)\beta}{(n+2)\tilde{S} + 2n\tilde{\lambda}_j^2} + \frac{4\tilde{\lambda}_j^2}{9t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} \\
 (4.10) \quad & + g_2 + g_4
 \end{aligned}$$

$$(4.11) \quad \geq \frac{1}{9\tilde{S}} \sum_j (f_3)_j^2 \left\{ 4\sqrt{\frac{2(n+2)\beta}{3nt}} - \frac{2(n+2)}{nt} - 3u(c_0 + 1) \right\} + g_2 + g_4,$$

where

$$g_4 = g_3 + \frac{4 + 3n}{9t\tilde{S}^2} \sum_j (f_3)_j^2 \left(2\lambda_j + \left(\frac{3}{4}n - 1\right)H \right) H.$$

5. Proof of the main theorem. Making use of the lemmas and estimates above, we shall prove our main theorem. We first show $t \geq \frac{1}{4}$. Suppose that $t \in [\frac{1}{9}, \frac{1}{4}]$. From (4.10) and $\frac{(n+2)\beta}{(n+2)\tilde{S} + 2n\tilde{\lambda}_j^2} \geq \frac{\beta}{\tilde{S} + 2\tilde{\lambda}_j^2}$, we obtain

$$\begin{aligned}
 & t\tilde{S}^2 \left\{ \tilde{S} - 2n - [5 - t - (1 - c_1)u] \frac{\tilde{S}}{n} \right\} + \left\{ 5 - (2 - c_1)u + \frac{\beta}{3} \right\} A \\
 & - \left\{ 6 + (1 + 2c_1)u - \frac{2\beta}{3} \right\} B - [2 - (t - c_1)u] \tilde{S} f \\
 \geq & \sum_j (f_3)_j^2 \left\{ \frac{4}{27} \cdot \frac{\beta}{\tilde{S} + 2\tilde{\lambda}_j^2} + \frac{4\tilde{\lambda}_j^2}{9t\tilde{S}^2} - \frac{u(c_0 + 1)}{3\tilde{S}} \right\} + g_2 + g_4 \\
 \geq & \frac{1}{9\tilde{S}} \sum_j (f_3)_j^2 \left\{ 8\sqrt{\frac{\beta}{6t}} - \frac{2}{t} - 3u(c_0 + 1) \right\} + g_2 + g_4.
 \end{aligned}$$

Taking $u = \frac{13}{3}$ and $\beta = 14$ in the inequality above, we get $14 = \frac{\{\frac{13}{3}(c_0+1)-1\}^2}{\frac{13}{3}c_0} - t$ and

$$\begin{aligned}
 & t\tilde{S}^2 \left\{ \tilde{S} - 2n - [5 - t - \frac{13}{3}(1 - c_1)] \frac{\tilde{S}}{n} \right\} + \left\{ 5 - \frac{13}{3}(2 - c_1) + \frac{14}{3} \right\} A \\
 & - \left\{ 6 + \frac{13}{3}(1 + 2c_1) - \frac{28}{3} \right\} B - \left\{ 2 - \frac{13}{3}(t - c_1) \right\} \tilde{S} f \\
 \geq & \frac{1}{\tilde{S}} \sum_j \left(\sum_i \lambda_i^2 h_{ij} \right)^2 \left\{ 8\sqrt{\frac{7}{3t}} - \frac{2}{t} - 13(c_0 + 1) \right\} + g_2 + g_4.
 \end{aligned}$$

Set

$$\frac{13}{3}\theta_1(t) = 8\sqrt{\frac{7}{3t}} - \frac{2}{t} - 13(c_0 + 1).$$

Because $\frac{d\theta_1(t)}{dt} < 0$ for $\frac{1}{9} < t < \frac{1}{4}$, $\theta_1(t) \geq \theta_1(\frac{1}{4}) \geq -\frac{3}{5}$. It follows from (3.5) and $t < \frac{1}{4}$ that

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{13}{3}c_1 - \frac{2}{3} \right) \frac{\tilde{S}}{n} \right\} \\ & \geq - \left(1 + \frac{13}{3}c_1 \right) A + \left(1 + \frac{26}{3}c_1 \right) B + \left(2 + \frac{13}{3}c_1 - 4t \frac{13}{10} \left(1 + \frac{\alpha}{3} \right) \right) \tilde{S}f + g_2 + g_4 \\ & \geq - \left(1 + \frac{13}{3}c_1 \right) A + \left(1 + \frac{26}{3}c_1 \right) B + \left(2 + \frac{13}{3}c_1 - \frac{13}{10} \left(1 + \frac{\alpha}{3} \right) \right) \tilde{S}f + g_2 + g_4 \\ & = - \left(1 + \frac{13}{3}c_1 \right) A + \left(1 + \frac{26}{3}c_1 \right) B + \left(\frac{7}{10} + \frac{13}{3} \left(c_1 - \frac{\alpha}{10} \right) \right) \tilde{S}f + g_2 + g_4. \end{aligned}$$

Taking $c_1 = \frac{\alpha}{10}$ in above inequality, we see $c_1 \in [0, \frac{1}{10}]$ from the definition of α . By (3.3), (3.4) and $A + 2B = \frac{1}{3} \sum_{i,j,k} (\lambda_i + \lambda_j + \lambda_k)^2 h_{ijk}^2 \geq 0$, we have

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{2}{3} - \frac{13}{30}\alpha \right) \frac{\tilde{S}}{n} \right\} \\ & \geq - \left(1 + \frac{13}{30}\alpha \right) A + \left(1 + \frac{26}{30}\alpha \right) B + \frac{7}{10} \tilde{S}f + g_2 + g_4 \\ & = - \left(1 + \frac{13}{30}\alpha \cdot \frac{4}{3} \right) (A - B) + \frac{13}{30}\alpha \cdot \frac{1}{3} (A + 2B) + \frac{7}{10} \tilde{S}f + g_2 + g_4 \\ & \geq - \left(1 + \frac{26}{45}\alpha \right) (A - B) + \frac{7}{10} \tilde{S}f + g_2 + g_4 \\ & \geq - \left(1 + \frac{26}{45}\alpha \right) \frac{1-\alpha}{3} (\tilde{\lambda}_1 - \tilde{\lambda}_2)^2 t\tilde{S}^2 + \frac{7}{10} \tilde{S} \frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{\tilde{\lambda}_1^2 + \tilde{\lambda}_2^2} \left(\tilde{\lambda}_1 \tilde{\lambda}_2 + \frac{\tilde{S}}{n} \right)^2 + g_2 + g_4. \end{aligned}$$

By $\sum_i \tilde{\lambda}_i = 0$ and $\sum_i \tilde{\lambda}_i^2 = \tilde{S}$, we get $\tilde{\lambda}_1 \tilde{\lambda}_2 \leq 0$ and $\frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{\tilde{\lambda}_1^2 + \tilde{\lambda}_2^2} \geq 1$. Since $-(1 + \frac{26}{45}\alpha)(1 - \alpha) = \frac{26}{45}(\alpha - 1)(\alpha + \frac{45}{26}) \geq -1$ ($0 \leq \alpha \leq 1$), we have

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{2}{3} - \frac{13}{30}\alpha \right) \frac{\tilde{S}}{n} \right\} \\ & \geq - \frac{1}{3} (\tilde{\lambda}_1^2 + \tilde{\lambda}_2^2 - 2\tilde{\lambda}_1 \tilde{\lambda}_2) t\tilde{S}^2 + \frac{7}{10} \tilde{S} \left(\tilde{\lambda}_1 \tilde{\lambda}_2 + \frac{\tilde{S}}{n} \right)^2 + g_2 + g_4 \\ & \geq - \frac{1}{3} (\tilde{S} + 2x\tilde{S}) t\tilde{S}^2 + \frac{2}{3} \tilde{S}^3 \left(\frac{1}{n} - x \right)^2 + g_2 + g_4 \quad \left(x := -\frac{\tilde{\lambda}_1 \tilde{\lambda}_2}{\tilde{S}} \right) \\ & = - \frac{1}{3} t\tilde{S}^3 \left(1 + \frac{2}{n} \right) + \frac{2}{3} \tilde{S}^3 \left[\left(x - \frac{1}{n} \right)^2 - \left(x - \frac{1}{n} \right) t \right] + g_2 + g_4 \\ (5.1) \quad & \geq - \frac{1}{3} t\tilde{S}^3 \left(1 + \frac{2}{n} \right) - \frac{1}{6} t^2 \tilde{S}^3 + g_2 + g_4. \end{aligned}$$

On the other hand,

$$t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{2}{3} - \frac{13}{30}\alpha \right) \frac{\tilde{S}}{n} \right\} \leq t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{2}{3} \right) \frac{\tilde{S}}{n} \right\}.$$

This implies

$$t\tilde{S}^2 \left\{ \tilde{S} - 2n + \left(t - \frac{2}{3} \right) \frac{\tilde{S}}{n} + \frac{1}{3} \left(1 + \frac{2}{n} \right) \tilde{S} + \frac{1}{6} t\tilde{S} \right\} \geq g_2 + g_4.$$

It follows from (3.1) that

$$(5.2) \quad n = \tilde{S}(1-t) + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}}.$$

Hence

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} \left[1 - 2(1-t) + \left(t - \frac{2}{3}\right) \frac{1}{n} + \frac{1}{3} \left(1 + \frac{2}{n}\right) + \frac{1}{6}t \right] \right. \\ & \quad \left. - 2 \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}} \right\} \\ = & t\tilde{S}^2 \left\{ \tilde{S} \left[\left(\frac{13}{6} + \frac{1}{n}\right)t - \frac{2}{3} \right] - 2 \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}} \right\} \geq g_2 + g_4, \end{aligned}$$

i.e.,

$$\left(\frac{13}{6} + \frac{1}{n}\right)t - \frac{2}{3} \geq \frac{g_2 + g_4}{t\tilde{S}^3} + 2 \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2}.$$

Using $\left(\frac{13}{6} + \frac{1}{n}\right)t - \frac{2}{3} \leq \left(\frac{13}{6} + \frac{1}{4}\right)t - \frac{2}{3} = \frac{29}{12} \left(t - \frac{8}{29}\right)$, we obtain

$$t \geq \frac{8}{29} + \frac{12}{29} \left\{ \frac{g_2 + g_4}{t\tilde{S}^3} + 2 \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2} \right\}.$$

Therefore, there exists a positive constant $C_1(n)$ depending only on n such that if $|H| < C_1(n)$, then

$$\left| \frac{g_2 + g_4}{t\tilde{S}^3} + 2 \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2} \right| \leq \frac{1}{16}$$

and $t \geq \frac{1}{4}$. This contradicts with $t \in [\frac{1}{9}, \frac{1}{4})$. So we have $t \geq \frac{1}{4}$ when $|H| < C_1(n)$.

Secondly we prove that $t \geq \frac{3}{10} + \varepsilon(n)$, where

$$\varepsilon(n) = \begin{cases} 0.0026, & n > 6; \\ 0.0008, & n = 6; \\ 0.0016, & n = 5; \\ 0.0023, & n = 4. \end{cases}$$

Suppose that $t \in [\frac{1}{4}, \frac{3}{10} + \varepsilon(n)]$. In (4.11), take $u = \frac{17}{5}$, $c_0 = \frac{6}{17}$ and let

$$5 - 2u + c_1u + \frac{\beta}{3} = 6 + u + 2c_1u - \frac{2\beta}{3}.$$

This together with the definition of β gives $\beta = \frac{54}{5} - t$ and $c_1 = -\frac{2}{17} - \frac{5}{17}t$.

Thus (4.11) can be written as

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \right\} \\ \geq & -\left(\frac{7}{5} - \frac{4t}{3}\right)(A - B) + \left(\frac{8}{5} - \frac{22t}{5}\right)\tilde{S}f + \frac{\theta_2(t)}{\tilde{S}} \sum_j \left(\sum_i \lambda_i^2 h_{ij}\right)^2 + g_2 + g_4, \end{aligned}$$

where

$$\theta_2(t) = 4\sqrt{\frac{2(n+2)}{3nt}\left(\frac{54}{5} - t\right)} - \frac{2(n+2)}{nt} - \frac{69}{5}.$$

It is easy to see that $\frac{d\theta_2(t)}{dt} < 0$, for $\frac{1}{4} \leq t \leq 0.3026$. Hence $\theta_2(t)$ is decreasing for $t \in [\frac{1}{4}, 0.3026]$. We consider the following cases.

Case(a) $n > 6$. Since $\theta_2(t) \leq \theta_2(\frac{1}{4}) < 0$ holds for $n > 6$, by (3.5), we have

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \right\} \\ & \geq -\left(\frac{7}{5} - \frac{4t}{3}\right)(A - B) + (a_2(t) - b_2(t)\alpha)t\tilde{S}f + g_2 + g_4, \end{aligned}$$

where

$$a_2(t) = \frac{8 - 22t}{5t} + \frac{1}{3}\theta_2(t), \quad b_2(t) = -\frac{2}{3}\theta_2(t).$$

When $a_2(0.3026) - b_2(0.3026)\alpha > 0$, from the monotonicity of $\theta_2(t)$ for $t \in [\frac{1}{4}, 0.3026]$, we see that $a_2(t) - b_2(t)\alpha \geq a_2(0.3026) - b_2(0.3026)\alpha > 0$. Using (3.3), (3.4) and $x = -\frac{\tilde{\lambda}_1\tilde{\lambda}_2}{\tilde{S}} \in [0, \frac{1}{2}]$ as in (5.1), we have

$$\begin{aligned} & \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \\ & \geq -\left(\frac{7}{5} - \frac{4t}{3}\right) \frac{1 - \alpha}{3} (\tilde{\lambda}_1 - \tilde{\lambda}_2)^2 + (a_2(t) - b_2(t)\alpha) \frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{\tilde{\lambda}_1^2 + \tilde{\lambda}_2^2} \left(\frac{\tilde{\lambda}_1\tilde{\lambda}_2}{\tilde{S}} + \frac{1}{n}\right)^2 \tilde{S} \\ & \quad + \frac{g_2 + g_4}{t\tilde{S}^2} \\ & \geq -\left(\frac{7}{15} - \frac{4t}{9}\right)(1 - \alpha)(1 + 2x)\tilde{S} + (a_2(t) - b_2(t)\alpha)(1 + 2x)\left(\frac{1}{n} - x\right)^2 \tilde{S} \\ & \quad + \frac{g_2 + g_4}{t\tilde{S}^2} \\ & = (\rho(x, n) + \delta(x, n)\alpha)\tilde{S} + \frac{g_2 + g_4}{t\tilde{S}^2}, \end{aligned}$$

where

$$\rho(x, n) = -\left(\frac{7}{15} - \frac{4t}{9}\right)(1 + 2x) + a_2(t)(1 + 2x)\left(\frac{1}{n} - x\right)^2,$$

$$\delta(x, n) = \left(\frac{7}{15} - \frac{4t}{9}\right)(1 + 2x) - b_2(t)(1 + 2x)\left(\frac{1}{n} - x\right)^2.$$

By the monotonicity of $\rho(x, n)$ and $\delta(x, n)$ (see [16]), we get

$$\delta(x, n) \geq \min \left\{ \delta(0, n), \delta\left(\frac{1}{2}, n\right) \right\} > 0,$$

$$\rho(x, n) + \left(\frac{6}{5} - 2t\right) \frac{1}{n} \geq \min \left\{ \rho(x, n) + \left(\frac{6}{5} - 2t\right) \frac{1}{n} \right\} \Big|_{t=0.3026} = -0.3943.$$

So

$$\tilde{S} - 2n \geq -0.3943\tilde{S} + \frac{g_2 + g_4}{t\tilde{S}^2}.$$

It follows from (5.2) that

$$(5.3) \quad t \geq 0.3028 + \frac{g_2 + g_4}{2t\tilde{S}^3} + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2}.$$

When $a_2(0.3026) - b_2(0.3026)\alpha \leq 0$, i.e., $\frac{a_2(0.3026)}{b_2(0.3026)} \leq \alpha \leq 1$, it follows from (3.4), (3.7) and $a_2(t) - b_2(t)\alpha \geq a_2(0.3026) - b_2(0.3026)\alpha$ that

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \right\} \\ & \geq -\left(\frac{7}{15} - \frac{4t}{9}\right)(1 - \alpha)(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2 t\tilde{S}^2 \\ & \quad + (a_2(0.3026) - b_2(0.3026)\alpha) \left[\frac{(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2}{4} - \frac{\tilde{S}}{n} \right] t\tilde{S}^2 + g_2 + g_4. \end{aligned}$$

Noting that $(\tilde{\lambda}_1 - \tilde{\lambda}_2)^2 \leq 2\tilde{S}$, we get

$$\begin{aligned} & \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \\ & \geq \left\{ -2\left(\frac{7}{15} - \frac{4t}{9}\right) + \frac{n-2}{2n}a_2(0.3026) + \left[2\left(\frac{7}{15} - \frac{4t}{9}\right) - \frac{n-2}{2n}b_2(0.3026)\right]\alpha \right\} \tilde{S} \\ & \quad + \frac{g_2 + g_4}{t\tilde{S}^2}. \end{aligned}$$

Since $b_2(0.3026) < 0.7821$ and the assumption of $t < 0.3026$, we have $2\left(\frac{7}{15} - \frac{4t}{9}\right) - \frac{n-2}{2n}b_2(0.3026) > 0$. Using $\alpha \geq \frac{a_2(0.3026)}{b_2(0.3026)}$, we obtain

$$\tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} \geq -2\left(\frac{7}{15} - \frac{4t}{9}\right) \left[1 - \frac{a_2(0.3026)}{b_2(0.3026)}\right] \tilde{S} + \frac{g_2 + g_4}{t\tilde{S}^2}.$$

By a direct computation, one see that $\frac{a_2(0.3026)}{b_2(0.3026)} \geq 0.6348$. Then

$$(5.4) \quad \begin{aligned} \tilde{S} - 2n - \left(\frac{6}{5} - 2t\right) \frac{\tilde{S}}{n} & \geq -2\left(\frac{7}{15} - \frac{4t}{9}\right) 0.3652\tilde{S} + \frac{g_2 + g_4}{t\tilde{S}^2} \\ & = -\frac{0.7303}{45}\tilde{S} - \frac{2.9215}{9}n + g_5, \end{aligned}$$

where

$$g_5 = \frac{g_2 + g_4}{t\tilde{S}^2} + \frac{2.9215nH[H\tilde{S} - (f_3 - HS)]}{9\tilde{S}}.$$

For $t \in [\frac{1}{4}, 0.3026]$, we have $(\frac{6}{5} - 2t)\frac{\tilde{S}}{n} > 0$. It is seen from (5.4) that

$$\tilde{S} - 2n > -\frac{0.7303}{45}\tilde{S} - \frac{2.9215}{9}n + g_5.$$

Therefore, using (5.2), we get

$$(5.5) \quad t > 0.3934 + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2} + \frac{0.5968g_5}{\tilde{S}}.$$

From (5.3) and (5.5), we see that there is a positive constant $C_2(n)$ ($n > 6$) such that if $|H| < C_2(n)$, then

$$\left| \frac{g_2 + g_4}{2t\tilde{S}^3} + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2} \right| < 0.0002,$$

and

$$\left| \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2} + \frac{0.5968g_5}{\tilde{S}} \right| < 0.0908,$$

which imply $t > 0.3026$. This makes a contradiction. Hence we have $t > 0.3026$ when $|H| < C_2(n)$ ($n > 6$).

Case(b) $4 \leq n \leq 6$. We take $u = \frac{7}{2}$, $c_0 = \frac{61 - \sqrt{1221}}{70}$ in (4.11), and get

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - \left(\frac{11}{10} - 2t \right) \frac{\tilde{S}}{n} \right\} \\ & \geq - \left(\frac{13}{10} - \frac{4t}{3} \right) (A - B) + \left(\frac{8}{5} - \frac{9t}{2} \right) \tilde{S}f + \frac{\theta_3(t)}{\tilde{S}} \sum_j \left(\sum_i \lambda_i^2 h_{ij} \right)^2 + g_2 + g_4, \end{aligned}$$

where

$$\theta_3(t) = 4\sqrt{\frac{2(n+2)}{3nt} \left(\frac{111}{10} - t \right)} - \frac{2(n+2)}{nt} - \frac{393 - 3\sqrt{1221}}{20}.$$

One can see that $\theta_3(t)$ is decreasing for $t \in [\frac{1}{4}, 0.3023]$ and $\theta_3(t) \leq \theta_3(\frac{1}{4}) < 0$ holds for $4 \leq n \leq 6$. It follows from (3.5) that

$$\begin{aligned} & t\tilde{S}^2 \left\{ \tilde{S} - 2n - \left(\frac{11}{10} - 2t \right) \frac{\tilde{S}}{n} \right\} \\ & \geq - \left(\frac{13}{10} - \frac{4t}{3} \right) (A - B) + (a_3(t) - b_3(t)\alpha)t\tilde{S}f + g_2 + g_4, \end{aligned}$$

where

$$a_3(t) = \frac{8}{5t} - \frac{9}{2} + \frac{1}{3}\theta_3(t), \quad b_3(t) = -\frac{2}{3}\theta_3(t).$$

For $4 \leq n \leq 6$ and $\frac{1}{4} \leq t \leq 0.3061$, we have $a_3(t) > b_3(t) > 0$. Thus $a_3(t) - b_3(t)\alpha \geq a_3(t) - b_3(t) > 0$. By (3.3) and (3.4), we repeat the computational procedure in Case(a) for $n \in \{4, 5, 6\}$, respectively. From the assumption of $t \leq \frac{3}{10} + \varepsilon(n)$, we obtain

$$\tilde{S} - 2n > - \left(\frac{4}{10} - 2\varepsilon'(n) \right) \tilde{S} + \frac{g_2 + g_4}{t\tilde{S}^2},$$

where

$$\varepsilon'(n) = \begin{cases} 0.0009, & n=6; \\ 0.0017, & n=5; \\ 0.0025, & n=4. \end{cases}$$

It follows from (5.2) that

$$t > \frac{3}{10} + \varepsilon'(n) + \frac{g_2 + g_4}{2t\tilde{S}^3} + \frac{nH[H\tilde{S} - (f_3 - HS)]}{\tilde{S}^2}.$$

Then there exists a positive constant $C_2(n)$ ($n=4,5,6$) such that $t > \frac{3}{10} + \varepsilon(n)$ when $|H| < C_2(n)$. This is a contradiction. Hence we have $t > \frac{3}{10} + \varepsilon(n)$ for $n = 4, 5, 6$.

It is known in [12] that

$$\tilde{S} + \frac{n(n-2)H}{\sqrt{n(n-1)}}\sqrt{\tilde{S}} - n - nH^2 \geq t\tilde{S}.$$

So

$$\begin{aligned} \tilde{S} &\geq n\sigma + \frac{nH^2}{2(n-1)}\sigma((n-2)^2\sigma + 2(n-1)) \\ &\quad - \frac{n(n-2)H}{2(n-1)}\sigma^2\sqrt{(n-2)^2H^2 + \frac{4(H^2+1)(n-1)}{\sigma}} \\ &\geq n\sigma + nH^2\left(\frac{n^2}{2(n-1)} - 1\right) - \frac{n(n-2)H}{n-1}\sqrt{n^2H^2 + 4(n-1)}, \end{aligned}$$

where $\sigma = \frac{1}{1-t}$, and $1 < \sigma < 2$ for $0 < t < \frac{1}{2}$. Then

$$\begin{aligned} S - \beta(n, H) &> nH^2 - \beta(n, H) + n\sigma + nH^2\left(\frac{n^2}{2(n-1)} - 1\right) \\ &\quad - \frac{n(n-2)H}{n-1}\sqrt{n^2H^2 + 4(n-1)} \\ &= nH^2 - n - \frac{n^3H^2}{2(n-1)} + n\sigma + nH^2\left(\frac{n^2}{2(n-1)} - 1\right) \\ &\quad - \frac{3n(n-2)H}{2(n-1)}\sqrt{n^2H^2 + 4(n-1)} \\ &= n(\sigma - 1) - \frac{3n(n-2)H}{2(n-1)}\sqrt{n^2H^2 + 4(n-1)}. \end{aligned}$$

If $H \leq \frac{2}{n}$ and $t > \frac{3}{10} + \varepsilon(n)$, we have

$$S - \beta(n, H) > \frac{nt}{1-t} - \frac{3(n-2)n^{\frac{3}{2}}}{n-1}H > \frac{3n}{7} + \frac{100n}{49}\varepsilon(n) - \frac{3(n-2)n^{\frac{3}{2}}}{n-1}H.$$

Set $C(n) = \min\{C_1(n), C_2(n), \frac{2}{n}, \frac{100(n-1)}{147(n-2)\sqrt{n}}\varepsilon(n)\}$ and assume $|H| < C(n)$. We conclude that if $S > \beta(n, H)$, then $S > \beta(n, H) + \frac{3}{7}n$. This completes the proof of our main theorem.

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