WILLMORE HYPERSURFACES IN A SPHERE*

HAIZHONG Lit

Abstract. Let $x : M \to S^{n+1}$ be an *n*-dimensional hypersurface in S^{n+1} , $x : M \to S^{n+1}$ is called a Willmore hypersurface if it is an extremal hypersurface to the following Willmore functional:

$$
\int_M (S - nH^2)^{\frac{n}{2}} dv,
$$

where $S = \sum (h_{ij})^2$ is the square of the length of the second fundamental form, *H* is the mean $\boldsymbol{i},\boldsymbol{j}$ curvature of M . In this paper, through study of the Euler-Lagrange equation of the Willmore functional, we obtain an integral inequality of Simons' type for Willmore hypersurfaces in *S n+1* and give a characterization of *Willmore tori* by use of our integral formula. We also classify all and give a characterization of *withhore tort* isoparametric Willmore hypersurfaces in S^{n+1} .

1. Introduction. Let *M* be an n-dimensional compact hypersurface of the $(n + 1)$ -dimensional unit sphere S^{n+1} . If h_{ij} denotes the components of the second fundamental form of *M, S* denotes the square of the length of the second fundamental form and *H* denotes the mean curvature, then we have

$$
S = \sum_{i,j} (h_{ij})^2, \quad \mathbf{H} = \frac{1}{n} \sum_{k} h_{kk} e_{n+1}, \quad H = |\mathbf{H}|,
$$

where e_{n+1} is an unit normal vector field of *M* in S^{n+1} .

We define the following non-negative function on *M*

(1.1)
$$
\rho^2 = S - nH^2,
$$

which vanishes exactly at the umbilic points of *M.*

Willmore functional is the following non-negative functional (see [4], [20] or [22])

$$
\int_M \rho^n dv = \int_M (S - nH^2)^{\frac{n}{2}} dv.
$$

It was shown in [4] and [20] that this functional is an invariant under Moebius (or conformal) transformations of S^{n+1} . We use the term Willmore hypersurfaces to call its critical points, because when $n = 2$, the functional essentially coincides with the well-known Willmore functional and its critical points are the Willmore surfaces.

In this paper, we first prove the following theorem

THEOREM 1. Let M be an *n*-dimensional hypersurface in an $(n+1)$ -dimensional u *nit sphere* S^{n+1} . *Then M is a Willmore hypersurface if* and only *if*

(1.2)
\n
$$
-\rho^{n-2}(2HS - nH^3 - \sum_{i,j,k} h_{ij}h_{jk}h_{ki}) + (n-1)\Delta(\rho^{n-2}H) - \sum_{i,j} (\rho^{n-2})_{,ij}(nH\delta_{ij} - h_{ij}) = 0,
$$

^{}* Received May 3, 2000; accepted for publication May 13, 2000.

[†]Department of Mathematical Sciences, Tsinghua University, Beijing, 100084, People's Republic of China (hli@math.tsinghua.edu.cn) and Department of Mathematics, Harvard University, Cambridge MA, 02138, USA (hli@math.harvard.edu). The author is partially supported by the project No.19701017 of NSFC and US-China Cooperative Research NSF Grant INT-9906856.

where Δ *is the Laplacian,* $(.)_{ij}$ *is the covariant derivative relative to the induced metric.*

Remark 1.1. When $n = 2$, Theorem 1 was proved by R. Bryant [2] and J. Weiner [21], (1.2) reduces to the following well-known equation of Willmore surfaces (see [2,21])

(1.3)
$$
\Delta H + H(S - 2H^2) = 0.
$$

We note that Peter Li and S.-T. Yau [11] introduced a concept of conformal volume and obtained a partial solution of Willmore conjecture through estimating the eigenvalues of the Laplacian. We also note that Pinkall [14] constructed some compact non-minimal Willmore surfaces in S^3 .

Remark 1.2. We should note that for $n \geq 2$, C. P. Wang [20] got the Euler-Lagrange equation of Willmore functional for any n -dimensional submanifold in an $(n + p)$ -dimensional unit sphere S^{n+p} in terms of Moebius geometry.

In order to state our Theorem 3, we first give the following important example EXAMPLE (C.F.[6]). The tori

(1.4)
$$
W_{m,n-m} = S^m \left(\sqrt{\frac{n-m}{n}} \right) \times S^{n-m} \left(\sqrt{\frac{m}{n}} \right), \quad 1 \leq m \leq n-1
$$

are Willmore hypersurfaces. We call $W_{m,n-m}$, $1 \leq m \leq n-1$, *Willmore tori*. In fact, the principal curvatures k_1, \dots, k_n of $W_{m,n-m}$, are

(1.5)
$$
k_1 = \cdots = k_m = \sqrt{\frac{m}{n-m}}, \quad k_{m+1} = \cdots = k_n = -\sqrt{\frac{n-m}{m}}.
$$

We have from (1.5)

$$
H = \frac{1}{n} \left(m \sqrt{\frac{m}{n-m}} - (n-m) \sqrt{\frac{n-m}{m}} \right), \quad S = \frac{m^2}{n-m} + \frac{(n-m)^2}{m},
$$

$$
\sum_{i,j,k} h_{ij} h_{jk} h_{ki} = \sum_i k_i^3 = m \left(\frac{m}{n-m} \right)^{\frac{3}{2}} - (n-m) \left(\frac{n-m}{m} \right)^{\frac{3}{2}},
$$

thus we easily check that (1.2) holds, i.e., $W_{m,n-m}$ are Willmore hypersurfaces. In particular, We note that ρ^2 of $W_{m,n-m}$ for all $1 \le m \le n-1$ satisfy

$$
\rho^2 = n.
$$

We recall that well-known Clifford minimal tori are

$$
(1.7) \tC_{m,n-m} = S^m \left(\sqrt{\frac{m}{n}} \right) \times S^{n-m} \left(\sqrt{\frac{n-m}{n}} \right), \t1 \le m \le n-1.
$$

It is remarkable that Willmore tori coincide with Clifford minimal tori if and only if $n = 2m$ for some m.

Remark 1.3. When $n = 2$, we can see from (1.3) that all minimal surfaces are Willmore surfaces. When $n \geq 3$, minimal hypersurfaces are not Willmore hypersurfaces more surfaces. When $n \geq 3$, minimal hypersurfaces are not Willmore hypersurfaces
in general, for example, Clifford minimal tori $C_{m,n-m} = S^m \left(\sqrt{\frac{m}{n}}\right) \times S^{n-m} \left(\sqrt{\frac{n-m}{n}}\right)$ are not Willmore hypersurfaces when $n \neq 2m$.

In the theory of minimal hypersurfaces, the following Simons' integral inequality is well-known

THEOREM 2. (Simons [17], Lawson [8], Chern-Do Carmo-Kobayashi [5]) Let *M* be an *n*-dimensional ($n \geq 2$) compact minimal hypersurface in $(n + 1)$ -dimensional unit sphere S^{n+1} . Then we have

(1.8)
$$
\int_M S(n-S)dv \leq 0.
$$

In particular, if

$$
(1.9) \t\t 0 \le S \le n,
$$

then either $S = 0$ and M is totally geodesic, or $S = n$ and M is one of the Clifford tori $C_{m,n-m}$, which are defined by (1.7).

In this paper we prove the following integral inequality of Simons' type for Willmore hypersurfaces.

THEOREM 3. Let *M* be an *n*-dimensional $(n \geq 2)$ compact Willmore hypersurface in $(n + 1)$ -dimensional unit sphere S^{n+1} . Then we have

(1.10)
$$
\int_M \rho^n (n - \rho^2) dv \leq 0.
$$

In particular, if

$$
(1.11) \t\t 0 \le \rho^2 \le n,
$$

then either $\rho^2 = 0$ and *M* is totally umbilic, or $\rho^2 = n$ and *M* is one of the Willmore tori $W_{m,n-m}$, which are defined by (1.4).

2. Preliminaries. Let $x : M \to S^{n+1}$ be an *n*-dimensional hypersurface in an $(n+1)$ -dimensional unit sphere S^{n+1} . Let $\{e_1, \dots, e_n\}$ be a local orthonormal basis of *M* with respect to the induced metric, $\{\theta_1, \dots, \theta_n\}$ their dual form. Let e_{n+1} be the local unit normal vector field. In this paper we make the following convention on the range of indices:

$$
1\leq i,j,k\leq n.
$$

Then we have the structure equations

$$
(2.1) \t\t dx = \sum_{i} \theta_i e_i,
$$

(2.2)
$$
de_i = \sum_j \theta_{ij} e_j + \sum_j^i h_{ij} \theta_j e_{n+1} - \theta_i x,
$$

(2.3)
$$
de_{n+1} = -\sum_{i,j} h_{ij} \theta_j e_i.
$$

The Gauss equations are

(2.4)
$$
R_{ijkl} = (\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) + (h_{ik}h_{jl} - h_{il}h_{jk}),
$$

(2.5)
$$
h_{ijkl} = (v_{ik}v_{jl} - v_{il}v_{jk}) + (n_{ik}v_{jl} - n_{il}v_{jk})
$$

$$
R_{ik} = (n-1)\delta_{ik} + nHh_{ik} - \sum_j h_{ij}h_{jk},
$$

(2.6)
$$
n(n-1)R = n(n-1) + n^2H^2 - S,
$$

where *R* is the normalized scalar curvature of *M* and $S = \sum_{i,j} h_{ij}^2$ is the norm square of the second fundamental form and *H* is the mean curvature.

The Codazzi equations are

$$
(2.7) \t\t\t\t\t h_{ijk} = h_{ikj},
$$

where the covariant derivative of h_{ij} is defined by

(2.8)
$$
\sum_{k} h_{ijk} \theta_k = dh_{ij} + \sum_{k} h_{kj} \theta_{ki} + \sum_{k} h_{ik} \theta_{kj}.
$$

The second covariant derivative of h_{ij} is defined by

where the covariant derivative of
$$
h_{ij}
$$
 is defined by
\n(2.8)
$$
\sum_{k} h_{ijk} \theta_{k} = dh_{ij} + \sum_{k} h_{kj} \theta_{ki} + \sum_{k} h_{ik} \theta_{kj}.
$$
\nThe second covariant derivative of h_{ij} is defined by
\n(2.9)
$$
\sum_{l} h_{ijkl} \theta_{l} = dh_{ijk} + \sum_{l} h_{ljk} \theta_{li} + \sum_{l} h_{ilk} \theta_{lj} + \sum_{l} h_{ijl} \theta_{lk}.
$$
\nBy exterior differentiation of (2.8), we have the following Ricci iden

By exterior differentiation of (2.8), we have the following Ricci identities

(2.10)
$$
h_{ijkl} - h_{ijlk} = \sum_m h_{mj} R_{mikl} + \sum_m h_{im} R_{mjkl}.
$$

We define the following non-negative function on *M*

(2.11)
$$
\rho^2 = S - nH^2,
$$

which vanishes exactly at the umbilical points of *M.*

Willmore functional is the following functional (see [4] or [20])

(2.12)
$$
\int_M \rho^n dv = \int_M (S - nH^2)^{\frac{n}{2}} dv.
$$

By Gauss equation (2.6), this is equivalent to

$$
(2.12)'\qquad \qquad [n(n-1)]^{\frac{n}{2}}\int_M (H^2 - R + 1)^{\frac{n}{2}}dv.
$$

It was shown in [20] and [22] that this functional is an invariant under Moebius (or conformal) transformations of S^{n+1} . We use the term *Willmore hypersurfaces* to call its critical points. When $n = 2$, the functional essentially coincides with the well-known Willmore functional and its critical points are *Willmore surfaces.*

In order to prove Theorem 1, we need the following Reilly's result

LEMMA 2.1 (Theorem ^A of Reilly [15]). *Suppose that f is any smooth function of n variables.* \vec{x} : $M \rightarrow S^{n+1}$ *a compact hypersurface. Consider a one-parameter family* $x_t : M \to S^{n+1}$ *with* $x_0 = x$, $t \in (-\epsilon, \epsilon)$. Let $\xi = \frac{\partial x_t}{\partial t}|_{t=0}$, $\lambda = \zeta, e_{n+1} >$. *Then*

$$
\frac{d}{dt}\Big|_{t=0} \int_M f(S_1, ..., S_n) dv
$$
\n
$$
(2.13) = \int_M \lambda \{-S_1 f(S_1, ..., S_n) + \sum_{r=1}^n (S_r S_1 - (r+1)S_{r+1}) D_r f(S_1, ..., S_n) + \sum_{i,j,r=1}^n (D_r f(S_1, ..., S_n))_{,ij} T_{r-1}^{ij} + \sum_{r=1}^n D_r f(S_1, ..., S_n)(n-r+1) S_{r-1} \} dv,
$$

 w *here* $D_r f(S_1, \dots, S_n)$ *denotes the partial derivative of f with respect to variable S_r*, (.),*i*_j denotes the covariant derivative relative to the metric induced by *x*. $h =$ $(h_{ij}) = (k_i \delta_{ij})$ and S_r the r -th elementary symmetric function of the the eigenvalues k_1, \cdots, k_n *of h*, *i.e.*,

(2.14)
$$
S_0 = 1
$$
, $S_1 = k_1 + \cdots + k_n$, $S_n = k_1 \cdots k_n$.

The Newton transformation T^r is defined inductively by

(2.15)
$$
T_0^{ij} = \delta_{ij}, \quad T_{r+1}^{ij} = S_{r+1}\delta_{ij} - \sum_k T_r^{ik}h_{kj}, \quad r = 0, \cdots, n-1.
$$

LEMMA 2.2 (see [16], c.f. [9,10]). Let M be an *n*-dimensional $(n \geq 2)$ hypersurface $\sum_{n=1}^{\infty}$ *in* S^{n+1} *. Then we* have

$$
\frac{1}{2}\Delta\rho^2 = |\nabla h|^2 - n^2|\nabla H|^2 + \sum_{i,j,k} (h_{ij}h_{kki})_j
$$
\n
$$
(2.16)
$$
\n
$$
+ nS - S^2 - n^2H^2 + nH \sum_{i,j,k} h_{ij}h_{jk}h_{ki} - \frac{1}{2}\Delta(nH^2),
$$
\nwhere $|\nabla h|^2 = \sum_{i,j,k} h_{ijk}^2$ and $|\nabla H|^2 = \sum_i H_i^2$.
\n*Proof.* By the definition of Δ and ρ^2 , we have by use of (2.7) and (2.10)

where $|\nabla h|^2$ \sum_{ijk} *and* $|\nabla H|^2 = \sum_i H_i^2$.

Proof. By the definition of Δ and ρ^2 , we have by use of (2.7) and (2.10)

$$
\frac{1}{2}\Delta\rho^2
$$
\n
$$
=\frac{1}{2}\Delta(\sum_{i,j}h_{ij}^2)-\frac{1}{2}\Delta(nH^2)
$$
\n
$$
(2.17)
$$
\n
$$
=\sum_{i,j,k}h_{ijk}^2+\sum_{i,j,k}h_{ij}h_{kijk}-\frac{1}{2}\Delta(nH^2)
$$
\n
$$
=|\nabla h|^2-n^2|\nabla H|^2+\sum_{i,j,k}(h_{ij}h_{kki})_j+\sum h_{ij}(h_{lk}R_{lijk}+h_{il}R_{lj})-\frac{1}{2}\Delta(nH^2).
$$

We can easily obtain (2.16) by putting (2.4) and (2.5) into (2.17)

3. Proof of Theorem 1. Choosing in Lemma 2.1

(3.1)
$$
f(S_1, \cdots, S_n) = Q^n := [(n-1)S_1^2 - 2nS_2]^{\frac{n}{2}} = n^{\frac{n}{2}}(S - nH^2)^{\frac{n}{2}} = n^{\frac{n}{2}}\rho^n,
$$

and noting that

(3.2)
$$
D_1 f = n(n-1)Q^{n-2}S_1, \quad D_2 f = -n^2 Q^{n-2}, \quad D_r f = 0, r \ge 3.
$$

Putting (3.1) and (3.2) into (2.13) , we obtain by use of (2.14)

$$
(3.3) \qquad n^{\frac{n}{2}} \frac{d}{dt} \Big|_{t=0} \int_M (S - nH^2)^{\frac{n}{2}} dv = \frac{d}{dt} \Big|_{t=0} \int_M ((n-1)S_1^2 - 2nS_2)^{\frac{n}{2}} dv
$$
\n
$$
= \int_M \lambda [-S_1 Q^n + (S_1^2 - 2S_2)n(n-1)Q^{n-2}S_1 - n^2Q^{n-2}(S_2S_1 - 3S_3) + n(n-1)\Delta(Q^{n-2}S_1) - n^2 \sum_{i,j} (Q^{n-2})_{,ij} (S_1 \delta_{ij} - h_{ij})] dv.
$$

Thus the Euler-Lagrange equation of Willmore functional (2.12) is

$$
(3.4) \qquad \qquad -S_1 Q^n + (S_1^2 - 2S_2)n(n-1)Q^{n-2}S_1 - n^2 Q^{n-2}(S_2S_1 - 3S_3) + n(n-1)\Delta(Q^{n-2}S_1) - n^2 \sum_{i,j} (Q^{n-2})_{,ij}(S_1\delta_{ij} - h_{ij}) = 0.
$$

From $Q = n^{\frac{1}{2}} \rho$, we know that (3.4) is equivalent to

$$
(3.4)'\qquad \qquad -nS_1\rho^n + (S_1^2 - 2S_2)n(n-1)\rho^{n-2}S_1 - n^2\rho^{n-2}(S_2S_1 - 3S_3) + n(n-1)\Delta(\rho^{n-2}S_1) - n^2\sum_{i,j}(\rho^{n-2})_{,ij}(S_1\delta_{ij} - h_{ij}) = 0.
$$

Noting that

$$
S_1 = nH, S_2 = \frac{1}{2}(S_1^2 - S), S_3 = \frac{1}{3}(\sum_i k_i^3 - S_1S + S_2S_1),
$$

we know that (3.4)' is equivalent to (1.2). This completes the proof of Theorem **1.**

4. Lemmas and Proof of Theorem 3. We first prove the following lemma $(c.f. [7])$

LEMMA 4.1. Let *M* be an *n*-dimensional ($n \geq 2$) hypersurface in S^{n+1} , then we *have*

(4.1)
$$
|\nabla h|^2 \ge \frac{3n^2}{n+2} |\nabla H|^2,
$$

 $where \ |\nabla h|^2 = \sum_{i,j,k} h_{ijk}^2, \ |\nabla H|^2 = \sum_i H_i^2, \ H_i = \nabla_i H.$

Proof. We decompose the tensor ∇h :

$$
(4.2) \t\t\t\t\t h_{ijk} = E_{ijk} + F_{ijk},
$$

where

$$
E_{ijk} = \frac{n}{n+2}(H_i \delta_{jk} + H_j \delta_{ik} + H_k \delta_{ij}).
$$

Then we can easily compute that

$$
|E|^2 = \sum_{i,j,k} E_{ijk}^2 = \frac{3n^2}{n+2} |\nabla H|^2, \langle E_{ijk}, F_{ijk}\rangle = \langle E_{ijk}, h_{ijk} - E_{ijk}\rangle = 0,
$$

i.e., E and F are orthogonal components of ∇h . Then

$$
|\nabla h|^2 \ge |E|^2 = \frac{3n^2}{n+2} |\nabla H|^2,
$$

which proves the Lemma 4.1.

Define trace-free tensor

(4.3)
$$
\tilde{h}_{ij} = h_{ij} - H\delta_{ij}.
$$

We have by a direct calculation

(4.4)
$$
\sum_{k} \tilde{h}_{kk} = 0, \quad \sum_{i,j} \tilde{h}_{ij}^{2} = \rho^{2} = S - nH^{2},
$$

WILLMORE HYPERSURFACES IN A SPHERE 371

(4.5)
$$
\sum_{i,j,k} h_{ij} h_{jk} h_{ki} = \sum_{i,j,k} \tilde{h}_{ij} \tilde{h}_{jk} \tilde{h}_{ki} + 3H\rho^2 + nH^3.
$$

From (4.4), (4.5) and Theorem 1, we have

LEMMA 4.2. Let M be an *n*-dimensional hypersurface in the $(n + 1)$ -dimensional u *nit sphere* S^{n+1} . *Then M is a Willmore hypersurface if* and only *if*

$$
(4.6) (n-1)\Delta(\rho^{n-2}H) + \rho^{n-2}(H\rho^2 + \sum_{i,j,k} \tilde{h}_{ij}\tilde{h}_{jk}\tilde{h}_{ki}) - \sum_{i,j} (\rho^{n-2})_{,ij} (nH\delta_{ij} - h_{ij}) = 0,
$$

where Δ *is the Laplacian,* $(.)_{ij}$ *is the covariant derivative relative to the induced metric.*

The following lemma is a key step of the proof of Theorem 3

LEMMA 4.3. Let M be the n -dimensional hypersurface in the $(n + 1)$ -dimensional *unit sphere S n+1 , then we have*

$$
\frac{1}{2}\Delta(\rho^n)
$$
\n
$$
=\frac{1}{2}n(n-2)\rho^{n-2}|\nabla\rho|^2 + \frac{n}{2}\rho^{n-2}\{(|\nabla h|^2 - \frac{3n^2}{n+2}|\nabla H|^2) + (\frac{3n^2}{n+2} - n)|\nabla H|^2
$$
\n
$$
-n(n-1)|\nabla H|^2 + \sum_{i,j,k} (h_{ij}h_{kk})_j + \rho^2(n+nH^2 - \rho^2)
$$
\n(4.7)\n
$$
+ nH \sum_{i,j,k} \tilde{h}_{ij}\tilde{h}_{jk}\tilde{h}_{ki} - \frac{1}{2}\Delta(nH^2)\}
$$
\n
$$
\geq \frac{n}{2}\rho^{n-2}\{-n(n-1)|\nabla H|^2 + \sum_{i,j,k} (h_{ij}h_{kk})_j + \rho^2(n+nH^2 - \rho^2)
$$
\n
$$
+ nH \sum_{i,j,k} \tilde{h}_{ij}\tilde{h}_{jk}\tilde{h}_{ki} - \frac{1}{2}\Delta(nH^2)\}
$$

Proof. First it is easy to check the following identity

(4.8)
$$
\frac{1}{2}\Delta(\rho^n) = \frac{1}{2}n(n-2)\rho^{n-2}|\nabla\rho|^2 + \frac{n}{4}\rho^{n-2}\Delta(\rho^2).
$$

By use of (4.4) and (4.5) , (2.16) can be written as

(4.9)
\n
$$
\frac{1}{2}\Delta\rho^2 = |\nabla h|^2 - n^2|\nabla H|^2 + \sum_{i,j,k} (h_{ij}h_{kki})_j
$$
\n
$$
+ \rho^2(n + nH^2 - \rho^2) + nH \sum_{i,j,k} \tilde{h}_{ij}\tilde{h}_{jk}\tilde{h}_{ki} - \frac{1}{2}\Delta(nH^2).
$$

Putting (4.9) into (4.8) and noting $\frac{3n^2}{n+2} - n = \frac{2n(n-1)}{n+2} > 0$, we obtain (4.7) by use of Lemma 4.1.

LEMMA 4.4. *Let M be an n-dimensional compact Willmore hypersurface in the*

 $(n + 1)$ -dimensional unit sphere S^{n+1} , then we have (4.10)

$$
-n(n-1)\int_{M} \rho^{n-2} |\nabla H|^{2}
$$

=
$$
-n(n-1)\int_{M} \rho^{n-2} (HH_{i})_{i} + n \int_{M} H(\rho^{n-2})_{,ij} (nH\delta_{ij} - h_{ij}) - n(n-1)\int_{M} H^{2} \Delta(\rho^{n-2})
$$

$$
-2n(n-1)\int_{M} H \nabla(\rho^{n-2}) \cdot \nabla H - n \int_{M} H \rho^{n-2} (H \rho^{2} + \sum_{i,j,k} \tilde{h}_{ij} \tilde{h}_{jk} \tilde{h}_{ki}).
$$

Proof. We first note the following identity

$$
-n(n-1)\rho^{n-2}|\nabla H|^2
$$

(4.11) = -n(n-1)(\rho^{n-2}(HH_i)_i - \rho^{n-2}H\Delta H)
= -n(n-1)[\rho^{n-2}(HH_i)_i - H\Delta(\rho^{n-2}H) + H^2\Delta(\rho^{n-2}) + 2H\nabla(\rho^{n-2}) \cdot \nabla H].

Integrating (4.11) over M , we have (4.10) by use of (4.6) .

LEMMA 4.5. Let *M* be an *n*-dimensional compact hypersurface in the $(n + 1)$ *dimensional unit sphere S n+1 , then we have*

$$
(4.12)\quad \int_M \rho^{n-2} \sum_{i,j,k} (h_{ij} h_{kki})_j = n \int_M H \sum_{i,j} h_{ij} (\rho^{n-2})_{,ij} + n^2 \int_M H \nabla (\rho^{n-2}) \cdot \nabla H.
$$

Proof. We have the following calculation

$$
\int_{M} \rho^{n-2} \sum_{i,j,k} (h_{ij} h_{kki})_j = \int_{M} \sum_{i,j,k} (\rho^{n-2} h_{ij} h_{kki})_j - \int_{M} \sum_{i,j,k} (\rho^{n-2})_{,j} h_{ij} h_{kki}
$$

\n
$$
= - \int_{M} \sum_{i,j,k} (\rho^{n-2})_{,j} h_{ij} h_{kki}
$$

\n
$$
= - \int_{M} \sum_{i,j,k} ((\rho^{n-2})_{,j} h_{ij} h_{kki})_i + \int_{M} \sum_{i,j,k} (\rho^{n-2})_{,ji} h_{ij} h_{kk}
$$

\n
$$
+ n^2 \int_{M} \sum_{j} H(\rho^{n-2})_{,j} H_j
$$

\n
$$
= n \int_{M} H \sum_{i,j} h_{ij} (\rho^{n-2})_{,ij} + n^2 \int_{M} H \nabla(\rho^{n-2}) \cdot \nabla H.
$$

Proof of Theorem 3. Integrating (4.7) over *M,* we have

$$
(4.13) \qquad 0 \geq \frac{n}{2} \{-n(n-1)\int_M \rho^{n-2} |\nabla H|^2 + \int_M \rho^{n-2} \sum_{i,j,k} (h_{ij}h_{kki})_j + \int_M \rho^n (n+nH^2 - \rho^2) \\ + n \int_M H \rho^{n-2} \sum_{i,j,k} \tilde{h}_{ij} \tilde{h}_{jk} \tilde{h}_{ki} - \frac{1}{2} \int_M \rho^{n-2} \Delta(nH^2) \}.
$$

Putting (4.10) and (4.12) into (4.13), we get
\n(4.14)
\n
$$
0 \geq \frac{n}{2} \{[-n(n-1)\int_M \rho^{n-2}(HH_i)_i + n \int_M H \sum_{i,j} (\rho^{n-2})_{,ij} (nH\delta_{ij} - h_{ij})
$$
\n
$$
-n(n-1)\int_M H^2 \Delta(\rho^{n-2}) - 2n(n-1)\int_M H \nabla(\rho^{n-2}) \cdot \nabla H - n \int_M H \rho^{n-2}(H\rho^2)
$$
\n
$$
+ \sum_{i,j,k} \tilde{h}_{ij} \tilde{h}_{jk} \tilde{h}_{kl}]\} + [n \int_M H \sum_{i,j} h_{ij} (\rho^{n-2})_{,ij} + n^2 \int_M H \nabla(\rho^{n-2}) \cdot \nabla H]
$$
\n
$$
+ \int_M \rho^n (n + nH^2 - \rho^2) + n \int_M H \rho^{n-2} \sum_{i,j,k} \tilde{h}_{ij} \tilde{h}_{jk} \tilde{h}_{ki} - \frac{1}{2} \int_M \rho^{n-2} \Delta(nH^2) \}
$$
\n
$$
= \frac{n}{2} \{-n(n-1)\int_M \rho^{n-2}(HH_i)_i + n^2 \int_M H^2 \Delta(\rho^{n-2}) - n(n-1)\int_M H^2 \Delta(\rho^{n-2})
$$
\n
$$
-2n(n-1)\int_M H \nabla(\rho^{n-2}) \cdot \nabla H + n^2 \int_M H \nabla(\rho^{n-2}) \cdot \nabla H + \int_M \rho^n (n - \rho^2)
$$
\n
$$
- \frac{1}{2} \int_M \rho^{n-2} \Delta(nH^2) \}
$$
\n
$$
= \frac{n}{2} \int_M \rho^n (n - \rho^2).
$$

Thus we reach the following integral inequality of Simons' type

(4.15)
$$
\int_M \rho^n (n - \rho^2) \leq 0.
$$

Therefore we have proved the integral inequality (1.10) in Theorem 3.

If (1.11) holds, then we conclude from (4.15) that either $\rho^2 \equiv 0$, or $\rho^2 \equiv n$. In the first case, we know that $S \equiv nH^2$, i.e. *M* is totally umbilic; in the latter case, i.e., $\rho^2 \equiv n$, we have from (4.7)

$$
\int_M \rho^{n-2} \left(\frac{3n^2}{n+2} - n\right) |\nabla H|^2 = 0,
$$

we have $H = constant$, thus we have again from (4.7)

$$
\nabla h=0.
$$

It easily follows that *M* is an isoparametric hypersurface with two distinct constant principal curvatures, *M* is one of the Willmore tori (see Theorem 5.1), that is, $M =$ $W_{m,n-m}$ for some m with $1 \leq m \leq n-1$. We complete the proof of Theroem 3.

5. Isoparametric Willmore hypersurfaces. In this section, we give the classification of isoparametric hypersurfaces in S^{n+1} . We need the following result

LEMMA 5.1 (see [1,3,12,18,19]). *Let M be an n-dimensional compact isoparametric hypersurface (i.e. hypersurface with constant principal curvatures) in S n+1 . Let* $k_1 > k_2 > \cdots > k_g$ *be the distinct principal curvatures with multiplicities* m_1, \cdots, m_g $(s \circ th \circ th \circ m = m_1 + m_2 + \cdots + m_g).$ *Then*

(a) *g is either* 1,2,3,4, or 6.

(b) If $g = 1$, M is totally umbilic.

(c) If $g = 2$, $M = S^m(r_1) \times S^{n-m}(r_2)$, $r_1^2 + r_2^2 = 1$.

(d) If $g = 3$, $m_1 = m_2 = m_3 = 2^k$, $(k = 0, 1, 2, 3)$.

(e) If $g = 4$, $m_1 = m_3$ and $m_2 = m_4$. Moreover, $(m_1, m_2) = (2, 2)$ or $(4, 5)$, or $m_1 + m_2 + 1$ is a multiple of $2^{\phi(m_1-1)}$. Here $\phi(l)$ is the number of integers *s* with $1 \leq s \leq l$ *and* $s \equiv 0, 1, 2, 4 \mod 8$.

(*f*) *If* $g = 6$, $m_1 = m_2 = \cdots = m_6 = 1$ *or* 2.

(g) There exists an angle θ , $0 < \theta < \frac{\pi}{a}$, such that

(5.1)
$$
k_{\alpha} = \cot(\theta + \frac{\alpha - 1}{g}\pi), \quad \alpha = 1, \cdots, g.
$$

In the isoparametric case, ρ^2 = constant, $H = \text{constant}$, we get from Theorem 1 LEMMA 5.2. *Let M be an n-dimensional isoparametric Willmore hypersurface in S n+1 . Then*

(5.2)
$$
2HS - nH^3 - \sum_i k_i^3 = 0,
$$

where $h_{ij} = k_i \delta_{ij}$.

THEOREM 5.1. *Let M be an n-dimensional compact isoparametric Willmore* $hypersurface$ *in* S^{n+1} *. Then*

(1) If $g = 1$, M is a totally umbilic hypersphere, satisfying $\rho^2 = 0$.

(2) If $g = 2$, M is one of the Willmore tori $W_{m,n-m}$, which are defined by (1.4), $satisfying \rho^2 = n.$

(3) If $q = 3$, $k_1 = \sqrt{3}$, $k_2 = 0$, $k_3 = -\sqrt{3}$. $n = 3, 6, 12$ or 24. *M* are *Cartan* $minimal\ hypersurfaces, \ satisfying \ \rho^2=2n.$

(4) If $q = 4$,

(5.3)
$$
k_1 = \lambda, \quad k_2 = \frac{\lambda - 1}{\lambda + 1}, \quad k_3 = -\frac{1}{\lambda}, \quad k_4 = -\frac{\lambda + 1}{\lambda - 1},
$$

where $A = (\lambda - \frac{1}{\lambda})^2$ is the positive solution of the following algebraic equation

(5.4)
$$
m_1(m_1+2m_2)^2x^2+4m_1m_2(m_2-m_1)x-16m_2(m_2+2m_1)^2=0,
$$

and $(m_1, m_2) = (2, 2)$ or $(4, 5)$, or $m_1 + m_2 + 1$ is a multiple of $2^{\phi(m_1 - 1)}$ θ *Here* ϕ *(l) is* the number of integers *s* with $1 \leq s \leq l$ and $s \equiv 0, 1, 2, 4 \mod 8$.

Among these isoparametric Willmore hypersurfaces, the only isoparametric minimal hypersurfaces are case with $m_1 = m_2 = m_3 = m_4 = 2$, *principal curvatures are*

$$
k_1 = 1 + \sqrt{2}
$$
, $k_2 = \sqrt{2} - 1$, $k_3 = 1 - \sqrt{2}$, $k_2 = -(1 + \sqrt{2})$.

(5) *If* $q = 6$, *then*

 $k_1 = 2+\sqrt{3}$, $k_2 = 1$, $k_3 = 2-\sqrt{3}$, $k_4 = -(2-\sqrt{3})$, $k_5 = -1$, $k_6 = -(2+\sqrt{3})$.

In this case, $n = 6$ or 12. These Willmore hypersurfaces are minimal and satisfying $\rho^2 = 5n$.

Proof. (1) Case $q = 1$ is trivial.

(2) If $q = 2$, let distinct principal curvatures are k_1 (multiplicity m) and k_2 (multiplicity $n - m$). Then by (c) of Lemma 5.1 and (5.2), we have

$$
(5.5) \t\t 1 + k_1 k_2 = 0,
$$

(5.6)
\n
$$
\frac{2(mk_1 + (n-m)k_2)}{n} (mk_1^2 + (n-m)k_2^2) - \frac{1}{n^2} (mk_1 + (n-m)k_2)^3 - (mk_1^3 + (n-m)k_2^3) = 0.
$$

Putting (5.5) into (5.6) , we have

(5.7)
$$
(n-m)k_1^6 + (2n-3m)k_1^4 + (n-3m)k_1^2 - m = 0,
$$

that is,

(5.7)'
$$
((n-m)k_1^2 - m)(k_1^2 + 1)^2 = 0.
$$

Thus

$$
k_1^2 = \frac{m}{n-m}, \quad M = W_{m,n-m} = S^m \left(\sqrt{\frac{n-m}{n}} \right) \times S^{n-m} \left(\sqrt{\frac{m}{n}} \right), \quad 1 \le m \le n-1.
$$

(3) If $g = 3$, by (d) of Lemma 5.1, $m_1 = m_2 = m_3 := m$, $n = 3m$. From (g) of Lemma 5.1, we have

(5.8)
$$
k_1 = \cot \theta
$$
, $k_2 = \cot(\theta + \frac{\pi}{3}) = \frac{k_1 - \sqrt{3}}{1 + \sqrt{3}k_1}$, $k_3 = \cot(\theta + \frac{2}{3}\pi) = \frac{k_1 + \sqrt{3}}{1 - \sqrt{3}k_1}$.

Putting (5.8) into (5.2) and noting $n = 3m$, we obtain

(5.9)
$$
k_1(k_1^2 - 3)(k_1^2 + 1)^3 = 0.
$$

Thus we have $k_1 = \sqrt{3}, k_2 = 0, k_3 = -\sqrt{3}.$ (4) If $g = 4$, in this case

$$
m_1=m_3,\qquad m_2=m_4.
$$

By (g) of Lemma 5.1,

(5.10)
$$
k_1 = \cot \theta := \lambda, \quad k_2 = \cot(\theta + \frac{\pi}{4}) = \frac{\lambda - 1}{\lambda + 1},
$$

$$
k_3 = \cot(\theta + \frac{2\pi}{4}) = -\frac{1}{\lambda}, \quad k_4 = \cot(\theta + \frac{3\pi}{4}) = -\frac{\lambda + 1}{\lambda - 1}.
$$

Write

(5.11)
$$
A = \lambda - \frac{1}{\lambda}, \qquad B = k_2 - \frac{1}{k_2}.
$$

Noting $nH = m_1A + m_2B$ and

$$
(5.12) S = m_1 A^2 + m_2 B^2 + 2(m_1 + m_2), \quad \sum_i k_i^3 = m_1 A^3 + m_2 B^3 + 3(m_1 A + m_2 B),
$$

we have by putting (5.12) into (5.2)

(5.13)
$$
\frac{2}{n}(m_1A + m_2B)(m_1A^2 + m_2B^2 + 2m_1 + 2m_2) - \frac{1}{n^2}(m_1A + m_2B)^3 - (m_1A^3 + m_2B^3 + 3m_1A + 3m_2B) = 0.
$$

376 H. LI

Putting $n = 2(m_1 + m_2)$ into (5.13) and noting $AB = -4$, we get that

(5.14)
$$
m_1(m_1 + 2m_2)^2 A^6 + 4m_1(m_1^2 + 3m_1m_2 + 5m_2^2)A^4 - 16m_2(m_2^2 + 3m_1m_2 + 5m_1^2) - 64m_2(m_2 + 2m_1)^2 = 0,
$$

which can be written as

$$
(5.15)\ \ (A^2+4)[m_1(m_1+2m_2)^2A^4+4m_1m_2(m_2-m_1)A^2-16m_2(m_2+2m_1)^2]=0,
$$

that is equivalent to

(5.16)
$$
m_1(m_1 + 2m_2)^2 A^4 + 4m_1 m_2 (m_2 - m_1) A^2 - 16m_2 (m_2 + 2m_1)^2 = 0.
$$

(5) If $g = 6$, in this case, by (f) of Lemma 5.1 we have

$$
m_1 = m_2 = m_3 = m_4 = m_5 = m_6 = 1
$$
, or 2.

By (g) of Lemma 5.1,

(5.17)
$$
k_1 = \cot \theta, \quad k_2 = \frac{\sqrt{3}k_1 - 1}{k_1 + \sqrt{3}}, \quad k_3 = \frac{k_1 - \sqrt{3}}{1 + \sqrt{3}k_1},
$$

$$
k_4 = -\frac{1}{k_1}, \quad k_5 = \frac{k_1 + \sqrt{3}}{1 - \sqrt{3}k_1}, k_6 = \frac{1 + \sqrt{3}k_1}{\sqrt{3} - k_1}.
$$

Putting (5.17) into (5.2), we can get by a direct calculation

$$
(5.18) \ \ (k_1^2-1)(k_1^4-14k_1^2+1)[(k_1^2-1)^2(k_1^4-14k_1^2+1)^2+4k_1^2(3k_1^4-10k_1^2+3)^2]=0.
$$

Thus we obtain

$$
k_1 = 2 + \sqrt{3}
$$
, $k_2 = 1$, $k_3 = 2 - \sqrt{3}$, $k_4 = -(2 - \sqrt{3})$, $k_5 = -1$, $k_6 = -(2 + \sqrt{3})$.

We complete the proof of Theorem 5.1.

ACKNOWLEDGEMENTS. The author has done this research works during his stay in department of mathematics of Harvard university as a visitor in academic year of 1999-2000. He would like to express his thanks to Prof. S.-T. Yau for his encouragements and help. He also would like to express his thanks to Prof. C. L. Terng for her help and useful comments.

REFERENCES

- [1] U. ABRESCH, *Isoparametric hypersurfaces with four or six distinct principal curvatures,* Math. Ann., 264 (1983), pp. 283-302.
- [2] R. BRYANT, *A duality theorem for Willmore surfaces,* J. Differential Geom., 20 (1984), pp. 23-53.
- [3] E. CARTAN, *Sur des families remarquables d'hypersurfaces isoparametriques dans les espace spheriques,* Math. Z., 45 (1939), pp. 335-337.
- [4] B. Y. CHEN, *Some conformal invariants of submanifolds and their applications,* Boll. Un. Mat. Ital., 10 (1974), pp. 380-385.
- [5] S. S. CHERN, M. DO CARMO, AND S. KOBAYASHI, *Minimal submanifolds of a sphere with second fundamental form of constant length,* in Functional Analysis and Related Fields, F. Brower, ed., Springer-Verlag,Berlin, 1970, pp. 59-75.
- [6] Z. Guo, H. Li, AND C. P. WANG, *The second variation formula for Willmore submanifolds in S n ,* Preprint, 1999.

- [7] G. HUISKEN, *Flow by mean curvature of convex surf dees into spheres,* J. Differential Geom., 20 (1984), pp. 237-266.
- [8] H. B. LAWSON, *Local rigidity theorems for minimal hypersurf aces,* Ann. of Math., 89 (1969), pp. 187-197.
- [9] H. Li, *Hypersurfaces with constant scalar curvature in space forms,* Math. Ann., 305 (1996), pp. 665-672.
- [10] H. Li, *Global rigidity theorems of hypersurfaces,* Ark. Mat., 35 (1997), pp. 327-351.
- [11] PETER LI AND S. T. YAU, *A new conformal invariant and its applications to the Willmore conjecture and the first eigenvalue of compact surfaces,* Invent. Math., 69 (1982), pp. 269- 291.
- [12] H. F. MUNZNER, *Isoparametrische hyperflachen in sharen I,* Math. Ann., 251 (1980), pp. 57-71.
- [13] K. NOMIZU AND B. SMYTH, *A formula of Simon's type and hypersurfaces,* J. Differential Geom., 3 (1969), pp. 367-377.
- [14] U. PINKALL, $Hopf\ tori\ in\ S^3$, Invent. Math., 81 (1985), pp. 379–386.
- [15] R. C. REILLY, *Variational properties of functions of the mean curvatures for hypersurfaces in space forms,* J. Differential Geom., 8 (1973), pp. 465-477.
- [16] R. SCHOEN, L. SIMON, AND S. T. YAU, *Curvature estimates for minimal hypersurfaces,* Acta Math., 134 (1975), pp. 275-288.
- [17] J. SIMONS, *Minimal varieties in Riemannian manifolds,* Ann. of Math., 88 (1968), pp. 62-105.
- [18] S. STOLZ, *Multiplicities of Dupin hypersurfaces,* Invent. Math., 138 (1999), pp. 253-279.
- [19] Z. Z. TANG, *Isoparametric hypersurfaces with four distinct principal curvatures,* Chinese Sci. Bull., 36 (1991), pp. 1237-1240.
- [20] C. P. WANG, *Moebius geometry of submanifolds in S n ,* Manuscripta Math., ⁹⁶ (1998), pp. 517-534.
- [21] J. WEINER, *On ^a problem of Chen, Willmore, et,* Indiana Univ. Math. J., 27 (1978), pp. 19-35.
- [22] T. J. WILLMORE, *Total Curvature in Riemannian Geometry,* Ellis Horwood Limited, 1982.