

## LINES ON NON-DEGENERATE SURFACES\*

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**Abstract.** On an affine variety  $X$  defined by homogeneous polynomials, every line in the tangent cone of  $X$  is a subvariety of  $X$ . However there are many other germs of analytic varieties which are not of cone type but contain “lines” passing through the origin. In this paper, we give a method to determine the existence and the “number” of such lines on non-degenerate surface singularities.

**1. Introduction.** Let  $(X, O)$  be a germ of analytic varieties embedded in  $(\mathbb{C}^n, O)$  with a singularity at  $O$ . By abuse of language, we say that  $L$  is a *line* in  $(X, O)$  if  $(L, O)$  is a smooth curve germ in  $(X, O)$  and  $L \setminus \{0\}$  is contained in the regular part of  $X$ .

In [3, 5], lines on hypersurfaces with simple singularities are classified by using the classification machinery. All the hypersurfaces of dimension 2 and 3 with simple or simple elliptic singularities passing through  $x$ -axis are equivalent to (under the coordinate transformation preserving the  $x$ -axis) some surfaces defined by explicit equations. It turns out that the  $A, D, E$  singularities split in this classification. This says that different smooth curves on the same surface might have different properties.

Let  $\pi : \tilde{X} \rightarrow (X, O)$  be a resolution of a surface  $(X, O)$  with an isolated singularity at the origin  $O$  and let  $\{E_1, \dots, E_r\}$  be the exceptional divisors of  $\pi$ . For an exceptional divisor  $E_i$ , let  $\mathcal{L}_{E_i}$  denote the set of lines on  $(X, O)$  whose strict transform intersect  $E_i$  transversally. It is known that  $\mathcal{L}_{E_i}$  is non-empty if and only if there exist a function germ  $h$  in the maximal ideal  $\mathfrak{m}$  such that the multiplicity of  $\pi^*h$  along  $E_i$  is one and conversely any line in  $X$  is contained in some  $\mathcal{L}_{E_i}$  ([1, 2]). We call  $E_i$  a *normally smooth divisor* if  $\mathcal{L}_{E_i} \neq \emptyset$ . Geometrically this implies that  $d\pi(v) \neq 0$  for any tangent vector  $v \in T_P\tilde{X}$  as long as  $P \in E_i \setminus \bigcup_{j \neq i} E_j$  and  $v$  is not tangent to  $E_i$ . If  $E_i$  is normally smooth, any germ of a curve intersecting  $E_i \setminus \bigcup_{j \neq i} E_j$  transversely defines a line in  $X$ . Any two lines in the same  $\mathcal{L}_{E_i}$  can be connected by an analytic family of lines in  $(X, O)$ .

For a given resolution  $\pi : \tilde{X} \rightarrow X$ , we consider the integer  $\rho(\pi) := \#\{E_i; \mathcal{L}_{E_i} \neq \emptyset\}$ . This number depends on the resolution. Put  $\rho(X, O)$  to be the minimal value of  $\rho(\pi)$ . Obviously  $\rho(\pi) = \rho(X, O)$  if  $\pi : \tilde{X} \rightarrow X$  is a minimal resolution. We call  $\rho(\pi)$  the *line index of the resolution*  $\pi : \tilde{X} \rightarrow X$  and we call  $\rho(X, O)$  the *line index* of  $(X, O)$ .

In this paper, we study  $\rho(\pi)$  where  $\pi$  is a toric resolution of a non-degenerate surface singularity. Let  $(X, O) \subset (\mathbb{C}^3, 0)$  be a surface defined by  $f(z_1, z_2, z_3) = 0$  with isolated singularity at the origin. We assume that  $f$  is non-degenerate in the sense of the Newton boundary ([7]). Let  $\Sigma^*$  be a regular simplicial cone subdivision of the dual Newton diagram  $\Gamma^*(f)$  and let  $\pi : X_{\Sigma^*} \rightarrow (X, O)$  be the associated toric resolution. We denote  $\rho(\pi)$  by  $\rho(\Sigma^*)$  for simplicity. To each vertex  $P = {}^t(p_1, p_2, p_3)$  of  $\Sigma^*$ , there corresponds an exceptional divisor  $E(P)$  of  $\pi$ , which may have several components. The multiplicity of  $\pi^*z_i$  along  $E(P)$  is equal to  $p_i$  ([9]). Thus by the result of Gonzalez-Sprinberg and Lejeune-Jalabert ([1]),  $E(P)$  is normally smooth if

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and only if  $\min(p_1, p_2, p_3) = 1$ . We observe that  $\rho(\Sigma^*)$  is independent of the choice of  $\Sigma^*$  under certain conditions (see Proposition 6). This allows us to use the canonical toric resolution to determine  $\rho(\Sigma^*)$ . Note that a toric resolution is not necessarily minimal. So, in general,  $\rho(\Sigma^*)$  may be bigger than  $\rho(X, O)$  (see Example 28). However to have the equality  $\rho(\Sigma^*) = \rho(X, O)$ , it is enough that  $\pi : X_{\Sigma^*} \rightarrow X$  is line-equivalent to the minimal resolution (see § 2 for the definition). The purpose of this paper is to give a method to compute  $\rho(\Sigma^*)$ .

**2. Line-admissible blowing-ups.** Let  $(X, O)$  be a germ of a surface with an isolated singularity at  $O$ . Suppose that we have a good resolution  $\pi_1 : X_1 \rightarrow X$  and let  $E_1, \dots, E_r$  be the exceptional divisors of  $\pi_1$ . Take a divisor  $E_{i_0}$  and a point  $Q$  on  $E_{i_0}$  and let  $\pi_Q : \tilde{X}_1 \rightarrow X_1$  be the blowing-up at  $Q$  and let  $E_Q$  be the exceptional divisor of  $\pi_Q$ . The following statements are obvious.

**PROPOSITION 1.** *Take a function  $h \in \mathfrak{m}$  and let  $m_i$  be the multiplicity of  $\pi_1^*h$  along  $E_i$ . Then the multiplicity  $m_Q$  of the pull-back  $\pi_Q^*(\pi_1^*h)$  along  $E_Q$  is the sum of  $m_i$  for all  $i$  such that  $Q \in E_i$ . In particular,  $m_Q \geq 1$ , and  $m_Q = 1$  if and only if  $m_{i_0} = 1$  and  $Q \in E_{i_0} \setminus \bigcup_{i \neq i_0} E_i$ .*

**COROLLARY 2.** *Under the situation of Proposition 1,  $E_Q$  is a normally smooth divisor of the composition  $\pi_1 \circ \pi_Q : \tilde{X}_1 \rightarrow X$  if and only if  $E_{i_0}$  is a normally smooth divisor of  $\pi_1 : X_1 \rightarrow X$  and  $Q$  is contained in  $E_{i_0} \setminus \bigcup_{j \neq i_0} E_j$ .*

We call  $\pi_Q : \tilde{X}_1 \rightarrow X_1$  a *line-admissible* blowing-up if either the center  $Q$  is at the intersection of two exceptional divisor or the supporting divisor is not normally smooth. Suppose that we have another good resolution  $\pi_2 : X_2 \rightarrow X$ . We say that  $\pi_2 : X_2 \rightarrow X$  is *line-equivalent* to  $\pi_1 : X_1 \rightarrow X$  if there exist a finite chain of resolutions  $\pi'_i : Y_i \rightarrow X, i = 1, \dots, s$  such that (1)  $Y_1 = X_1$  and  $\pi'_1 = \pi_1$  and  $Y_s = X_2$  and  $\pi'_s = \pi_2$  and (2) any consecutive resolutions factor by either  $\sigma_i : Y_i \rightarrow Y_{i+1}$  or  $\sigma'_i : Y_{i+1} \rightarrow Y_i$ , where  $\sigma_i$  and  $\sigma'_i$  are line-admissible blowing-ups.

An immediate consequence of the definition and Corollary 2 is:

**COROLLARY 3.** *Assume that  $\pi_i : X_i \rightarrow X, i = 1, 2$  are line-equivalent. Then  $\rho(\pi_1) = \rho(\pi_2)$ .*

### 3. Toric resolution and the computation of $\rho(\Sigma^*)$ .

**3.1. Non-degenerate surfaces.** We begin with recalling the toric resolutions of surface singularities since this also helps us to fix some notations. We use the notations of [9]. Let  $(X, O)$  be the germ of a surface in  $(\mathbb{C}^3, O)$  defined by a function  $f : (\mathbb{C}^3, O) \rightarrow (\mathbb{C}, O)$ . Hereafter we always assume that  $X$  has an isolated singularity at  $O$ . Let  $\sum_{\nu} a_{\nu} z^{\nu}$  be the Taylor expansion of  $f$ . The *Newton polyhedron*  $\Gamma_+(f)$  is by definition the convex hull of  $\bigcup_{\{\nu; a_{\nu} \neq 0\}} \{\nu + \mathbb{R}^3\}$ . The *Newton boundary*  $\Gamma(f)$  is by definition the union of the compact faces of  $\Gamma_+(f)$ .

Let  $N := \text{Hom}_{\mathbb{Z}}(\mathbb{Z}^3, \mathbb{Z})$  be the set of covectors. We identify  $N$  with  $\mathbb{Z}^3$  and we denote the elements of  $N$  by column vectors. Let  $N_+$  be the set of covectors  $P = {}^t(p_1, p_2, p_3) \in N$  with  $p_i \geq 0, i = 1, 2, 3$ . Put  $E_1 := {}^t(1, 0, 0), E_2 := {}^t(0, 1, 0)$  and  $E_3 := {}^t(0, 0, 1)$ .  $P$  is called *strictly positive covector* if  $p_j > 0$  for all  $j$ . We denote the minimal value of the linear function  $P$  on  $\Gamma_+(f)$  by  $d(P; f)$ . Put  $\Delta(P; f) = \{z \in \Gamma_+(f) \mid P(z) = d(P; f)\}$ . The *face function* of  $f$  with respect to  $P$  is by definition  $f_P(z) = f_{\Delta(P; f)} := \sum_{\nu \in \Delta(P; f)} a_{\nu} z^{\nu}$ . Two covectors  $P, P' \in N_+$  are equivalent if

and only if  $\Delta(P; f) = \Delta(P'; f)$ . The *dual Newton diagram*  $\Gamma^*(f)$  of  $X$  is a conical polyhedral subdivision of  $N_+$  given by the above equivalent classes.

A surface  $X$  is called *non-degenerate* (with respect to the local coordinate  $z$ ) if for any strictly positive covector  $P \in N_+$ ,  $X^*(P) := \{z \in \mathbb{C}^{*3} \mid f_P(z) = 0\}$  is a reduced non-singular surface in the complex torus  $\mathbb{C}^{*3}$ . The notion of non-degeneracy can be extended to complete intersection varieties (cf. [6, 9]).

**3.2. Canonical subdivisions.** We assume that  $X$  is defined by  $f(z_1, z_2, z_3) = 0$  and  $f$  is non-degenerate. Let  $\Gamma^*(f)_2^+$  be the union of the two-dimensional cones  $\text{Cone}(P, Q)$  of  $\Gamma^*(f)$  such that the interior points are strictly positive. Let  $\Sigma^*$  be a regular simplicial subdivision of the dual Newton diagram  $\Gamma^*(f)$  and let  $\pi : X_{\Sigma^*} \rightarrow X$  be the associated toric modification. Let  $\mathcal{V}(\Sigma^*)$  be the set of strictly positive vertices  $P$ 's of  $\Sigma^*$  such that  $\dim \Delta(P; f) \geq 1$ . The exceptional divisors correspond bijectively to  $\mathcal{V}(\Sigma^*)$  and for each  $P \in \mathcal{V}(\Sigma^*)$  we denote the corresponding divisor by  $E(P)$ . Note that  $E(P)$  need not to be irreducible but it is a disjoint union of rational spheres if  $\dim \Delta(P; f) = 1$ . The number of connected components is given by  $r(P) + 1$ , where  $r(P)$  is the number of integral points on the interior of  $\Delta(P; f)$  ([9, III§6]). The structure of this resolution  $\pi : X_{\Sigma^*} \rightarrow X$  depends only on the restriction of  $\Sigma^*$  to  $\Gamma^*(f)_2^+$ . This follows from the following observation:

**PROPOSITION 4.** *Assume that  $\Sigma_1^*$  is a regular subdivision of  $\Sigma^*$  such that  $\mathcal{V}(\Sigma_1^*) = \mathcal{V}(\Sigma^*)$ . Then the canonical morphism  $\psi : X_{\Sigma_1^*} \rightarrow X_{\Sigma^*}$ , which is induced by the morphism of the ambient toric varieties, is an isomorphism.*

For any two dimensional cone  $\sigma = \text{Cone}(P, Q) \in \Gamma^*(f)$ , there exists a canonical regular subdivision of  $\sigma$  which is described as follows. Denote by  $d := \det(P, Q)$  the greatest common divisor of the absolute values of the  $2 \times 2$  minors of the matrix  $(P, Q)$ . If  $d > 1$ , there exists a unique integer  $d_1, 1 \leq d_1 < d$  such that  $Q_1 := (P + d_1 Q)/d$  is an integral covector. If  $d_1 > 1$ , repeat the process for  $\text{Cone}(P, Q_1)$ , until a regular subdivision of  $\text{Cone}(P, Q)$  is obtained. Let  $Q_1, \dots, Q_k$  be the covectors obtained in this way. Let  $d/d_1 = [m_1, \dots, m_\ell]$  be the continuous fraction expansion. Then  $\ell = k$  and the self-intersection number of each component of  $E(Q_i)$  is  $-m_i$  (cf. [9, III]). Note that  $\Delta(Q_i; f) = \Delta(P; f) \cap \Delta(Q; f)$ . This implies  $r(Q_i)$  is independent of  $i = 1, \dots, k$  and we denote this number by  $r(P, Q)$ . Recall that the continuous fraction is defined inductively by  $[m_1] = m_1$  and  $[m_1, m_2, \dots, m_k] = m_1 - 1/[m_2, \dots, m_k]$ .

A regular simplicial cone subdivision of  $\Gamma^*(f)$  is called a *canonical regular subdivision* if its restriction to each cone  $\sigma$  in  $\Gamma^*(f)_2^+$  is canonical in the above sense, and we denote it by  $\Sigma_{\text{can}}^*$ . The associated toric resolution is called the *canonical toric resolution* of  $X$ .

Let  $Q = {}^t(q_1, q_2, q_3)$  and  $P = {}^t(p_1, p_2, p_3)$ . Put  $Q_0 = Q$  and  $Q_{k+1} = P$  and let  $Q_j := {}^t(q_{1,j}, q_{2,j}, q_{3,j}), j = 0, \dots, k+1$ . The canonical subdivision enjoys the following property:

**LEMMA 5.** *Assume that  $\text{Cone}(P, Q) \in \Gamma^*(f)_2^+$ . Fix an  $\ell = 1, 2, 3$ .*

- 1) *If  $q_\ell \leq 1$ , then  $\{q_{\ell,j}\}_{j=0}^{k+1}$  is monotone increasing in  $j$  i.e.  $q_{\ell,j+1} \geq q_{\ell,j}$  for  $0 \leq j \leq k$ .*
- 2) *If  $q_\ell \geq 2$ , then either  $\{q_{\ell,j}\}$  is monotone increasing or monotone decreasing in  $j$  or there exists a  $j_0$  ( $1 \leq j_0 \leq k$ ) such that  $q_{\ell,j_0} \geq 1$  and*

$$p_\ell = q_{\ell,k+1} \geq \dots \geq q_{\ell,j_0+1} \geq q_{\ell,j_0} \leq q_{\ell,j_0-1} \leq \dots \leq q_{\ell,0} = q_\ell.$$

*Proof.* We prove the assertion 2). If the assertion does not hold, there exists an index  $j, 1 \leq j \leq k$  such that  $q_{\ell,j-1} \leq q_{\ell,j} > q_{\ell,j+1}$ . This implies that the self

intersection number of each component of  $E(Q_j)$  is  $-(q_{\ell,j-1} + q_{\ell,j+1})/q_{\ell,j} > -2$ , which is a contradiction (cf. [9, II(2.3) and III(6.3)]). The assertion 1) follows from 2) as  $Q_j, j = 1, \dots, k$  are strictly positive.  $\square$

Let  $\Sigma^*$  be any regular simplicial cone subdivision of  $\Gamma^*(f)$  and let  $\pi : \tilde{X} \rightarrow X$  be the corresponding toric modification. We denote the line index of  $\pi$  by  $\rho(\Sigma^*)$ . Take a two dimensional cone  $\sigma = \text{Cone}(P, Q) \in \Gamma^*(f)_2^+$ . Let  $Q_0 := Q, Q_1, \dots, Q_k, Q_{k+1} := P$  be the canonical subdivision of  $\sigma$  and let  $S_0 := Q, S_1, \dots, S_\eta, S_{\eta+1} := P$  be the vertices of  $\Sigma^*$  on this cone. By [9, II(2.3)],  $\{Q_0, \dots, Q_{k+1}\} \subset \{S_0, \dots, S_{\eta+1}\}$ . We consider the condition:

(#):  $\Sigma^*$  has no vertex in the interior of  $\text{Cone}(Q, Q_1)$ .

We say that  $\Sigma^*$  satisfies the (#)-condition if it satisfies (#)-condition for any  $\text{Cone}(P, Q)$  in  $\Gamma^*(f)_2^+$  such that  $Q$  is not strictly positive. The inclusion  $\mathcal{V}(\Sigma_{\text{can}}^*) \subset \mathcal{V}(\Sigma^*)$  implies that the following statements.

**THEOREM 6.** *There exists a canonical morphism  $\phi : X_{\Sigma^*} \rightarrow X_{\Sigma_{\text{can}}^*}$ . Furthermore  $\phi$  is a composition of line-admissible blowing-ups if  $\Sigma^*$  satisfies the (#)-condition. In particular, the line index  $\rho(\Sigma^*)$  does not depend on the choice of a toric resolution associated with any regular simplicial subdivision satisfying (#)-condition and  $\rho(\Sigma^*) = \rho(\Sigma_{\text{can}}^*)$ .*

*Proof.* Take a two dimensional cone  $\sigma = \text{Cone}(P, Q) \in \Gamma^*(f)_2^+$  and assume that  $P$  is strictly positive. Let  $Q_0 := Q, Q_1, \dots, Q_k, Q_{k+1} := P$  be the canonical subdivision of  $\sigma$  and let  $S_0 := Q, S_1, \dots, S_\eta, S_{\eta+1} := P$  be the vertices of  $\Sigma^*$  on this cone. Write  $S_i = {}^t(s_{1,j}, s_{2,j}, s_{3,j})$ . Assume that  $Q_{i_0} = S_\nu$  and  $Q_{i_0+1} = S_\mu$  and  $\mu - \nu > 1$ . Take  $S_j$  with  $\nu < j < \mu$  and put  $\alpha_j = \det(Q_{i_0}, S_j)$  and  $\beta_j = \det(S_j, Q_{i_0+1})$ . Then  $\alpha_j$  and  $\beta_j$  are positive integers and  $S_j = \alpha_j Q_{i_0+1} + \beta_j Q_{i_0}$ . This implies that  $s_{1,j} > s_{1,\nu} + s_{1,\mu}$ . Suppose that  $s_1^{\max} = \max\{s_{1,j}; \nu < j < \mu\}$  and put  $\gamma = \min\{\gamma; s_{1,\gamma} = s_1^{\max}\}$ . Then by [9, II(2.3)] the intersection number of (each component of)  $E(S_\gamma)$  is  $-(s_{1,\gamma-1} + s_{1,\gamma+1})/s_{1,\gamma} > -2$ . Then the negativity of the intersection number implies that  $s_{1,\gamma-1} + s_{1,\gamma+1} = s_{1,\gamma}$ . Thus each component of  $E(S_\gamma)$  is a rational sphere of the first kind. This implies also that  $S_\gamma = S_{\gamma-1} + S_{\gamma+1}$  and  $\det(S_{\gamma-1}, S_{\gamma+1}) = 1$ . Put  $\mathcal{V}' = \mathcal{V}(\Sigma^*) - \{S_\gamma\}$ . Then we can extend  $\mathcal{V}'$  to get a regular simplicial subdivision  $\Sigma'^*$  such that its restriction to  $\Gamma^*(f)_2^+$  is defined by the vertices  $\mathcal{V}'$ . Thus we get a toric resolution  $\pi' : X_{\Sigma'^*} \rightarrow X$ . Changing  $\Sigma^*$  outside of  $\Gamma^*(f)_2^+$  if necessary, we may assume by Proposition 4 that  $\Sigma^*$  is a subdivision of  $\Sigma'^*$ . Thus we get a canonical morphism  $\psi : X_{\Sigma^*} \rightarrow X_{\Sigma'^*}$  which factors  $\pi$  by  $\pi'$ . By the definition,  $\psi$  is the composition of blowing-up at  $r(S_\gamma) + 1$  intersection points of respective components of  $E(S_{\gamma-1})$  and  $E(S_{\gamma+1})$  in  $X_{\Sigma'^*}$ . Note that  $\psi$  is line-admissible unless  $Q$  is not strictly positive and  $S_\nu = Q_0$  and  $S_\mu = Q_1$ . This is the situation where  $\psi$  is the blowing up at the intersection of  $E(Q_1)$  and  $E(Q)$ . This does not occur if  $\Sigma^*$  satisfies (#)-condition. Now the assertion follows by the induction on the cardinality of  $\mathcal{V}(\Sigma^*) \setminus \mathcal{V}(\Sigma_{\text{can}}^*)$ .  $\square$

**3.3. Computation of  $\rho(\Sigma_{\text{can}}^*)$ .** Let  $\pi : X_{\Sigma^*} \rightarrow X$  be a toric resolution. We assume that  $\Sigma^*$  satisfies the (#)-condition. We define  $\mathcal{V}_{\text{ns}}(\Sigma^*) := \{P \in \mathcal{V}(\Sigma^*) \mid P \text{ has } 1 \text{ as a coordinate}\}$ . We know that  $E(P)$  is a normally smooth divisor if and only if  $P \in \mathcal{V}_{\text{ns}}(\Sigma^*)$ . Thus for each  $\text{Cone}(P, Q) \in \Gamma^*(f)_2^+$ , we define  $\rho_{PQ} := \#\mathcal{V}_{\text{ns}}(\Sigma^*) \cap \text{Cone}(P, Q)^\circ$ , where  $\text{Cone}(P, Q)^\circ$  is the interior of  $\text{Cone}(P, Q)$ . This number is independent of  $\Sigma^*$  by Theorem 6. Recall that  $r(P, Q)$  is the number of integral points in the interior of  $\Delta(P; f) \cap \Delta(Q; f)$ . By the definition we have

$$(3.1) \quad \rho(\Sigma^*) = \#\{P \in \mathcal{V}_{\text{ns}}(\Sigma^*); \dim \Delta(P; f) = 2\} + \sum_{\text{Cone}(P,Q) \in \Gamma^*(f)_2^+} (r(P, Q) + 1)\rho_{PQ}$$

Thus we need only to compute  $\rho_{PQ}$  for the calculation of  $\rho(\Sigma^*)$ . Take a cone  $\sigma = \text{Cone}(P, Q)$  in  $\Gamma^*(f)_2^+$ . The following gives a practical method to compute  $\rho_{PQ}$ .

**THEOREM 7.** *Let  $P = {}^t(p_1, p_2, p_3)$  be strictly positive and let  $Q = {}^t(q_1, q_2, q_3)$  and assume that  $d := \det(P, Q) > 1$ . Let  $Q_i = {}^t(q_{1,i}, q_{2,i}, q_{3,i}), i = 0, \dots, k + 1$  be the vertices defining the canonical subdivision from  $Q$  with  $Q_0 = Q$  and  $Q_{k+1} = P$ . Fix an  $\ell \in \{1, 2, 3\}$ . Then*

1. *For each  $1 \leq i \leq k$ , there exists positive integers  $0 < \alpha_i, \beta_i < d$  such that  $Q_i = (\beta_i P + \alpha_i Q)/d$ . Putting  $\alpha_0 = \beta_{k+1} = d, \alpha_{k+1} = \beta_0 = 0$ , they satisfy the inequality:*

$$\alpha_i > \alpha_{i+1}, \quad \beta_i < \beta_{i+1}, \quad i = 0, \dots, k$$

2. *Let  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q)$  be the set of integral covectors  $R$  expressed as  $R = (\beta P + \alpha Q)/d$  where  $\alpha, \beta$  are positive integers satisfying*

$$(3.2) \quad \begin{cases} \alpha q_\ell + \beta p_\ell = d, & 0 < \alpha, \beta < d \\ \alpha q_k + \beta p_k \equiv 0 \pmod{d} & (k \neq \ell) \end{cases}$$

*and let  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q; \Sigma_{\text{can}}^*)$  be the set of covectors  $Q_i, 1 \leq i \leq k$  such that  $q_{\ell,i} = 1$ . Then  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q) = \mathcal{V}_{\text{ns}}^{(\ell)}(P, Q; \Sigma_{\text{can}}^*)$ . Note that the inequality  $\alpha, \beta < d$  follows automatically from the positivity if both  $p_\ell$  and  $q_\ell$  are positive.*

*Proof.* The first assertion follows by an inductive argument. Write  $Q_i = (\beta_i P + \alpha_i Q)/d$  with positive rational numbers  $\alpha_i, \beta_i$ . As  $\det(P, Q_i) = \alpha_i$  and  $\det(Q_i, Q) = \beta_i$ ,  $\alpha_i, \beta_i$  are positive integers. By the definition of  $Q_1$ , we can write  $Q_1 = (P + \alpha_1 Q)/d$  for some  $0 < \alpha_1 < d$ . The assertion for  $Q_1$  holds and  $\det(P, Q_1) = \alpha_1$ . Assume that  $Q_j = (\beta_j P + \alpha_j Q)/d$  with  $0 < \alpha_j < d$ . As  $\det(P, Q_j) = \alpha_j$  and  $\{Q_j, \dots, Q_{k+1}\}$  is the vertices of the canonical subdivision of  $\text{Cone}(P, Q_j)$ , there exists  $\alpha', 0 < \alpha' < \alpha_i$ , such that

$$Q_{j+1} = \frac{1}{\alpha_j} P + \frac{\alpha'}{\alpha_j} Q_j = \frac{1}{\alpha_j} P + \frac{\alpha'}{\alpha_j} \frac{(\beta_j P + \alpha_j Q)}{d} = \left(\frac{1}{\alpha_j} + \frac{\alpha' \beta_j}{\alpha_j d}\right) P + \frac{\alpha'}{d} Q$$

Thus  $\alpha_{j+1} = \alpha' < \alpha_j < d$ . The inequality  $\beta_{j+1} > \beta_j$  can be proved similarly by using the fact that  $\{P, Q_k, \dots, Q_1, Q\}$  is the vertices of the canonical subdivision of the cone  $\text{Cone}(P, Q)$  from  $P$  (cf. [9, II(2.3)]). Now we show the second assertion. The inclusion  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q; \Sigma_{\text{can}}^*) \subset \mathcal{V}_{\text{ns}}^{(\ell)}(P, Q)$  is obvious. Suppose that  $R = (\beta P + \alpha Q)/d \in \mathcal{V}_{\text{ns}}^{(\ell)}(P, Q)$  is not contained in  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q; \Sigma_{\text{can}}^*)$ . Suppose that  $R \in \text{Cone}(Q_i, Q_{i+1})^\circ$ . Then we can write  $R = mQ_i + nQ_{i+1}$  for some positive integers  $m, n$ . If  $i \geq 1$ , this gives a contradiction by comparing the  $\ell$ -th coefficient:  $1 = m q_{\ell,i} + n q_{\ell,i+1} \geq m + n$ . Suppose that  $i = 0$ . Write  $Q_1 = (P + \alpha_1 Q)/d$  as above. Then  $R = mQ + (P + \alpha_1 Q)n/d = nP/d + (md + n\alpha_1)Q/d$ . Thus we get  $\alpha = md + n\alpha_1 \geq d$  which contradicts to the assumption.  $\square$

**REMARK 8.** The computation of  $\mathcal{V}_{\text{ns}}(P, Q)$  is most difficult for the case  $p_\ell, q_\ell > 1$ . Assume that  $p_\ell, q_\ell > 0$ . If we have a solution  $(\alpha_0, \beta_0)$ , the other solutions are reduce

to the following equation. Put  $\alpha = \alpha_0 + \alpha', \beta = \beta_0 + \beta'$ . Then

$$(3.3) \quad \begin{cases} \alpha' q_\ell + \beta' p_\ell = 0 \\ \alpha' q_k + \beta' p_k \equiv 0 \pmod{d} \quad (k \neq \ell) \end{cases}$$

Let  $\Delta := \Delta(P; f) \cap \Delta(Q; f)$ . Let  $T = {}^t(t_1, t_2, t_3)$  be a covector in  $\mathcal{V}_{\text{ns}}^{(\ell)}(P, Q)$  (thus  $t_\ell = 1$ ). Geometrically this implies that  $\Delta(T; f) = \Delta$ . In particular,  $\Gamma_+(f) \subset \{(\nu_1, \nu_2, \nu_3); t_1\nu_1 + t_2\nu_2 + t_3\nu_3 \geq d(T; f)\}$ . This gives a practical way to find such a  $T$ .

The case  $q_\ell = 0$  or 1, the computation is much easier. See Corollary 11.

The canonical subdivision of  $\text{Cone}(P, Q)$  takes sometimes a lot of computations (see Example 9). Theorem 7 gives us a criterion on the existence or non-existence of normally smooth divisors, without computing the whole subdivision  $Q_i, i = 1, \dots, k$ .

**EXAMPLE 9.** For simplicity, we write  $x = z_1, y = z_2, z = z_3$ . Let us consider  $f(x, y, z) = x^m + y^n + x^r y^r + z^2$ . We assume that  $m, n > 2r$ . Put  $n = n_1 r + n_0, m = m_1 r + m_0$  with  $0 \leq m_0, n_0 \leq r - 1$ . Then  $\Gamma(f)$  has two compact faces whose covectors are  $P = {}^t(2(n-r), 2r, nr)/\delta_1$  and  $Q = {}^t(2r, 2(m-r), mr)/\delta_2$  where  $\delta_1 = \gcd(2(n-r), 2r, nr)$  and  $\delta_2 = \gcd(2r, 2(m-r), mr)$  and the corresponding dual Newton diagram is as in Figure 3.1. Note that  $d := \det(P, Q)$  is given by  $d = 2(mn - mr - nr)/(\delta_1 \delta_2)$ . We consider  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q)$ . First we consider the covector  $T_0 = {}^t(1, 1, r)$ , which is a weight vector of  $x^r y^r + z^2$ . As  $m, n > 2r$ ,  $T_0$  must be on  $\text{Cone}(P, Q)$ . To proceed the further computation, let us assume that  $n, m, r$  are odd and  $\gcd(m, r) = \gcd(n, r) = 1$ . This implies  $\delta_1 = \delta_2 = 1$ . By Theorem 7, we have

$$\begin{cases} 2\beta(n-r) + 2\alpha r = d \\ 2\beta r + 2\alpha(m-r) \equiv 0 \pmod{d} \\ \beta nr + \alpha mr \equiv 0 \pmod{d} \end{cases}$$

First we have a canonical solution  $(\alpha_0, \beta_0) = (n-2r, m-2r)$  which corresponds to the covector  $T_0 = {}^t(1, 1, r)$ . Thus putting  $\alpha = \alpha_0 + a$  and  $\beta = \beta_0 + b$ , we can reduce the equation as

$$\begin{cases} 2b(n-r) + 2ar = 0 \\ 2br + 2a(m-r) \equiv 0 \pmod{d} \\ bnr + amr \equiv 0 \pmod{d} \end{cases}$$

Taking the positivity of  $\alpha, \beta$  into account, we have the solution

$$\{(\alpha, \beta)\} = \left\{ ((n-2r) + 2j(n-r), (m-2r) - 2jr); 0 \leq j \leq \left\lfloor \frac{m_1 - 2}{2} \right\rfloor \right\}$$

For example, consider the easiest case  $m = n$ . This has a unique solution  $(\alpha, \beta) = (n-2r, n-2r)$  and  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \{B\}$  where  $B = {}^t(1, 1, r)$ . By symmetry, we have  $\mathcal{V}_{\text{ns}}^{(2)} = \{B\}$ . Note  $r(P, Q) = 1$ . By writing down the equation described by Theorem 7, we can show  $\mathcal{V}_{\text{ns}}^{(3)}(P, Q) = \emptyset$ .

Now we look at  $\text{Cone}(P, E_1)$  and  $\text{Cone}(P, E_3)$ . Note that  $\det(P, E_1) = r$  and  $\det(P, E_3) = 2$ . It is easy to see that there are no normally smooth divisor on these cones. Observe that the computation of canonical subdivision of  $\text{Cone}(P, Q)$  is not so

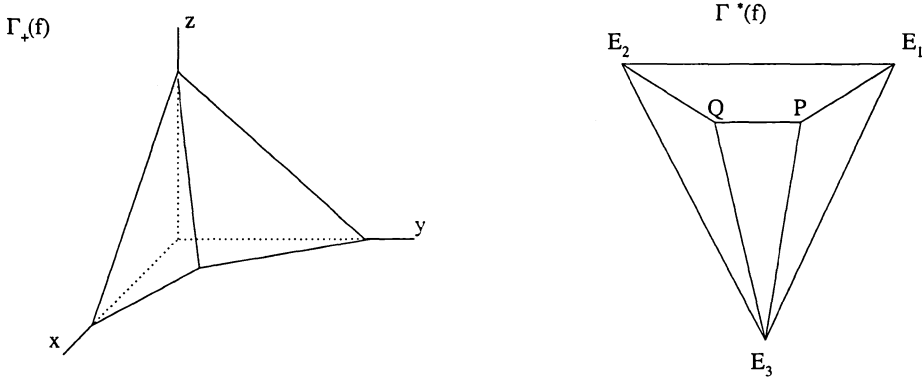


FIG. 3.1. The Newton polyhedron and the dual Newton diagram

easy. For example, if  $r = 15, n = 37$ , then  $B = {}^t(1, 1, 15)$  and first covector  $B_1$  (from  $Q$ ) is given by  $(P + 223Q)/518 = {}^t(13, 19, 240)$  and  $518/223 = [3, 2, 2, 12, 2, 2, 3]$  and it takes some computation to complete the subdivision.

The following lemma describes the covectors corresponding to the non-compact faces.

LEMMA 10. Assume that  $X = \{f(z_1, z_2, z_3) = 0\}$  and assume that  $f$  is non-degenerate and  $\Gamma(f)$  has at least one compact two dimensional face for simplicity. Suppose that  $z_2 = z_3 = 0$  is a line in  $X$ . (So  $f$  is not convenient.) Then there is a unique covector  $Q = {}^t(q_1, q_2, q_3) \in \text{Vertex}(\Gamma^*(f))$  such that  $q_1 = 0$ . Furthermore  $Q$  takes the form  ${}^t(0, 1, q_3)$  or  ${}^t(0, q_2, 1)$ .

There exists a unique covector  $P = {}^t(p_1, p_2, p_3)$  which corresponds to a compact divisor and adjacent to  $Q$  in  $\Gamma^*(f)_2^+$ . Then we have  $\det(P, Q) = p_1$ .

*Proof.* As  $X$  has an isolated singularity,  $f$  must contain a monomial of type  $z_1^a z_2$  or  $z_1^a z_3$ . Suppose that  $B := (a, 1, 0) \in \Gamma(f)$ . Let  $C = (b, 0, c)$  be the vertex of  $\Gamma(f) \cap \{z_2 = 0\}$  adjacent to  $B$  by an edge. It is clear that the non-compact face  $\Xi$  which has  $\overline{BC}$  as a face and is unbounded to the direction of the  $z_1$ -axis has covector  $Q = {}^t(0, c, 1)$ . One can see that there exists no other non-compact face which is unbounded to the  $z_1$ -axis direction and bounded to  $z_2, z_3$ -direction. Let  $\Delta$  be the compact face which has  $\overline{BC}$  as a boundary and let  $P = {}^t(p_1, p_2, p_3)$  be the corresponding covector. As  $\Delta(P; f)$  contains  $B, C$ , we need to have  $p_1 a + p_2 = b p_1 + c p_3$ . Now the last assertion follows from  $\det(P, Q) = \gcd(p_1, p_2 - c p_3) = \gcd(p_1, p_1(b - a)) = p_1$ .  $\square$

The following corollary describes explicitly  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q)$  in the case  $q_1 = 0$  or 1.

COROLLARY 11. With the assumptions of Theorem 7, we have the following.

- 1) Assume  $q_1 = 0$ . Then  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) \neq \emptyset$  if and only if  $d := \det(P, Q) > 1$  and  $d = p_1$ . In this cases,  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \{Q_1\}$ . If  $Q \neq E_2, E_3$ , then  $\{y = z = 0\} \subset X$  and  $d = \det(P, Q) = p_1$ .
- 2) Assume  $q_1 = 1$ . Then  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) \neq \emptyset$  if and only if  $d > p_1$ . In this case, we have  $Q_i = (iP + (d - i p_1)Q)/d$  for  $i = 1, \dots, [d/p_1]$  and  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \{Q_i; i = 1, \dots, [d/p_1]\}$ .

*Proof.* Assume that  $Q' = (\beta P + \alpha Q)/d \in \mathcal{V}_{\text{ns}}^{(1)}(P, Q)$  with  $0 < \alpha, \beta < d$ .

1) If  $q_1 = 0$ , we have  $\gcd(q_2, q_3) = 1$ . As  $d = \gcd(p_1q_2, p_1q_3, p_2q_3 - p_3q_2) = \gcd(p_1, p_2q_3 - p_3q_2)$ ,  $d$  divides  $p_1$ . Thus  $Q' \in \mathcal{V}_{\text{ns}}^{(1)}(P, Q)$  if and only if  $d = p_1$  and  $\beta = 1$ . In this case,  $Q' = Q_1$  and  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \{Q_1\}$ . Assume that  $Q \neq E_2, E_3$ . By the definition of  $\Gamma^*(f)_2^+$ ,  $\Delta(Q; f)$  is a non-compact face with dimension 2. In particular,  $\{y = z = 0\} \subset X$ . By Lemma 10, we have  $d = p_1$ .

2) Suppose that  $q_1 = 1$ . Then  $\beta p_1 + \alpha = d$ . This implies  $d > p_1$ . Put  $d = rp_1 + d'$  with  $0 \leq d' < p_1$  and  $r = [d/p_1]$ . Then by the above equality, we have  $(\alpha, \beta) = (d - jp_1, j)$ ,  $j = 1, \dots, [d/p_1]$ . Put  $Q'_j := (jP + (d - jp_1)Q)/d$ . By the definition,  $d$  divides the minors of  $(P, Q)$  which are  $p_1q_2 - p_2, p_1q_3 - p_3, p_2q_3 - p_3q_2$ . Thus  $\beta p_j + \alpha q_j = \beta p_j + (d - \beta p_1)q_j \equiv \beta(p_j - p_1q_j) \equiv 0 \pmod d$  for  $j = 2, 3$ . Thus  $Q'_j$  is an integral covector for  $\beta = 1, \dots, r$ . It is clear that  $Q'_1 = Q_1$ . Assume that  $Q'_r = Q_\iota$  for some  $\iota$ . By the monotonicity of the coefficients (Lemma 5), we have  $Q_j \in \mathcal{V}_{\text{ns}}^{(1)}(P, Q)$  for  $j \leq \iota$ . Thus  $\iota = r$  and  $Q'_j = Q_j$  for  $j \leq r$ .  $\square$

REMARK 12. In the case of non-convenient surface with  $q_1 = 0$ , the divisor  $E(Q_1)$  corresponds to the deformations of the line  $z_2 = z_3 = 0$ . In fact,  $E(Q)$  is a non-compact divisor which is the strict transform of  $z_1$ -axis and  $E(Q)$  intersects transversely with  $E(Q_1)$ .

For  $R \in \mathcal{V}_{\text{ns}}^{(\ell)}$ , write  $R = (\beta P + \alpha Q)/d$ . We call  $\beta/d$  the  $P$ -coefficient of  $R$ .

COROLLARY 13. *With the assumptions of Theorem 7, suppose that  $q_1 > 1$ . Let  $\bar{Q} = (\bar{\beta}P + \bar{\alpha}Q)/d \in \mathcal{V}_{\text{ns}}^{(\ell)}$  and  $\underline{Q} = (\underline{\beta}P + \underline{\alpha}Q)/d \in \mathcal{V}_{\text{ns}}^{(\ell)}$  be the covectors with maximal and minimal  $P$ -coefficients in  $\mathcal{V}_{\text{ns}}^{(\ell)}$ . Then*

$$(3.4) \quad \rho_{PQ}^{(\ell)} = 1 + |\det(\bar{Q}, \underline{Q})| = 1 + \frac{|\bar{\beta}\underline{\alpha} - \bar{\alpha}\underline{\beta}|}{d}$$

*Proof.* Denote by  $d' := |\det(\bar{Q}, \underline{Q})|$ . Suppose that  $\underline{Q} = Q_i$  and  $\bar{Q} = Q_{i+j}$ . Then  $\mathcal{V}_{\text{ns}}^{(\ell)} = \{Q_i, \dots, Q_{i+j}\}$  by Lemma 5 and  $\rho_{PQ}^{(\ell)} = j + 1$ . By the assumption, we have  $Q_{i+1} = (Q_{i+j} + (d' - 1)Q_i)/d'$ . As the continuous fraction  $d'/(d' - 1)$  is given by  $[2, \dots, 2]$  ( $(d' - 1)$  copies of 2), we get  $j - 1 = d' - 1$  and the assertion follows immediately.  $\square$

### 4. Applications.

**4.1. Weighted homogeneous surfaces.** In this section we study lines on weighted homogeneous surface singularities, which are classified as follows ([12, 9]):

- $X_I : h_I = x^a + y^b + z^c = 0,$
- $X_{II} : h_{II} = x^a y + y^b + z^c = 0,$
- $X_{III} : h_{III} = x^a y + x y^b + z^c = 0,$
- $X_{IV} : h_{IV} = x^a y + y^b z + z^c = 0,$
- $X_V : h_V = x^a y + y^b z + z^c x = 0,$
- $X_{VI} : h_{VI} = xy + z^c = 0,$
- $X_{VII} : h_{VII} = x^a z + y^b z + z^c + t x^{c_1} y^{c_2} = 0, \quad t \neq 0$
- $X_{VIII} : h_{VIII} = x^a y + x y^b + x z^c + t y^{c_1} z^{c_2} = 0, \quad t \neq 0.$

The surface  $X_I$  is called a Pham-Brieskorn surface. This type of surfaces have been studied in the previous paper [4]. The surface  $X_{VI}$  is an  $A_{c-1}$  type singularity. There are exact  $c - 1$  families of lines on this surface (see [1, 2, 4, 5]). On surface  $X_{VII}$  and  $X_{VIII}$ , the term  $y^{c_1} z^{c_2}$  must be on the supporting plane of the previous three



monomials. Thus  $a, b, c$  are not arbitrary. The Newton boundaries of the surfaces other than  $X_{VI}$ ,  $X_{VII}$  and  $X_{VIII}$  are triangles. Note that for a weighted homogeneous surface, the Newton boundary has only one compact 2-dimensional face. Let  $P = {}^t(p_1, p_2, p_3)$  be the corresponding covector. The formula (3.1) in §2 reduces to

$$(4.1) \quad \rho(\Sigma_{\text{can}}^*) = \varepsilon + \sum_{\text{Cone}(P, Q) \in \Gamma^*(f)_2^+} (r(P, Q) + 1) \rho_{PQ}(\Sigma_{\text{can}}^*).$$

where  $\varepsilon = 1$  if  $P \in \mathcal{V}_{\text{ns}}(\Sigma_{\text{can}}^*)$  and  $\varepsilon = 0$  otherwise.

For each type of surfaces, one can calculate  $\rho_{PQ}(\Sigma_{\text{can}}^*)$  for each  $\text{Cone}(P, Q)$  in the dual Newton diagram by using the method described in the previous sections.

LEMMA 14. Assume that  $\text{Cone}(P, E_i)$  be a cone in  $\Gamma^*(f)_2^+$ . Then  $\det(P, E_i)$  is given by  $\delta_i := \gcd(p_j, p_k)$  with  $\{i, j, k\} = \{1, 2, 3\}$ . Assume that  $\delta_i > 1$ .

- 1)  $\mathcal{V}_{\text{ns}}^{(i)}(P, E_i) \neq \emptyset$  if and only if  $\delta_i > p_i$  and  $\rho_{PE_i}^{(i)} = \left\lfloor \frac{\delta_i}{p_i} \right\rfloor$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(j)}(P, E_i) \neq \emptyset$  if and only if  $p_j | p_k$ . In this case,  $\rho_{PE_i}^{(j)} = 1$ .
- 3)

$$\rho_{PE_i} = \begin{cases} 0, & \text{if } \left\lfloor \frac{\delta_i}{p_i} \right\rfloor = 0 \text{ and } \delta_i < \min\{p_j, p_k\} \\ \max\{1, \left\lfloor \frac{\delta_i}{p_i} \right\rfloor\}, & \text{otherwise} \end{cases}$$

*Proof.* This follows from Corollary 11.  $\square$

LEMMA 15. Let  $\text{Cone}(P, Q)$  be a cone in  $\Gamma^*(f)_2^+$  with  $Q = {}^t(0, c, 1)$ . Suppose that  $\det(P, Q) = p_1 > 1$ . Then

$$\rho_{PQ} = \begin{cases} \max\{1, \left\lfloor \frac{p_1}{p_2} \right\rfloor, \left\lfloor \frac{p_1}{p_3} \right\rfloor\}, & c = 1 \\ \rho_{PQ}^{(2)} + \max\{1, \left\lfloor \frac{p_1}{p_3} \right\rfloor\} - \varepsilon, & c > 1 \end{cases}$$

where  $\varepsilon = 1$  if either  $Q_1 \in \mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  or  $Q_{j_1} \in \mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  with  $j_1 := \left\lfloor \frac{p_1}{p_3} \right\rfloor \geq 1$  and  $\varepsilon = 0$  otherwise.

*Proof.* Let  $Q_1, \dots, Q_k$  be the primitive covectors in  $\text{Cone}(P, Q)$  inserted by the canonical subdivision from  $Q$ . If  $c = 1$ , the assertion is immediate from Corollary 11, as  $q_{1,1} = 1$ . We assume that  $c > 1$ . If  $\left\lfloor \frac{p_1}{p_3} \right\rfloor = 0$ , the assertion is obvious. Assume that  $\left\lfloor \frac{p_1}{p_3} \right\rfloor \geq 1$ . By Corollary 11,  $Q_j$  is given by  $(jP + (p_1 - jp_3)Q)/p_1$  for  $1 \leq j \leq j_1$ . Thus  $q_{2,j} = c - j(cp_3 - p_2)/p_1$ . If  $cp_3 - p_2 < 0$ ,  $q_{2,j}$  is monotone increasing by Lemma 5 and we see that  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q) = \emptyset$  and the assertion follows immediately. Assume that  $cp_3 - p_2 \geq 0$ . Then  $q_{2,j}$  is monotone decreasing for  $0 \leq j \leq j_1$ . Thus  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q) \cap \mathcal{V}_{\text{ns}}^{(3)}(P, Q) \neq \emptyset$  if and only if  $q_{2,j_1} = 1$ . If this is the case,  $Q_{j_1}$  is the unique covector in common. Thus the assertion follows from these observations.  $\square$

**4.2. Normally smooth divisors on  $X_{II}$ .** By using Lemmas 14 and 15, we can compute the number  $\rho(\Sigma_{\text{can}}^*)$ . We show this by considering the surface  $X_{II}$ . One can do the same consideration for the other types of surfaces. Let  $X_{II} : h_{II}(x, y, z) = x^a y + y^b + z^c = 0$ . Put  $\hat{a} := \gcd(a, b - 1)$ ,  $e := \gcd(b, c)$  and  $d := \gcd(c(b - 1), ac, ab) = e \gcd(a, c(b - 1)/e)$ . The dual Newton diagram  $\Gamma^*(h_{II})_2^+$  consists of three cones:  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, E_1)$  and  $\text{Cone}(P, E_3)$  where  $P := {}^t(c(b - 1)/d, ac/d, ab/d)$  and  $Q := {}^t(0, c, 1)$ .

The following three propositions are special cases of Lemmas 14 and 15.

**PROPOSITION 16.** *Cone* $(P, E_1)$  *is regular if and only if*  $a$  *divides*  $c(b-1)/e$ . *Assume that*  $a \nmid (c(b-1)/e)$ . *Then*

- 1)  $\mathcal{V}_{\text{ns}}^{(1)}(P, E_1) \neq \emptyset$  *if and only if*  $ae > (b-1)c$ . *And in this case*  $\rho_{PE_1}^{(1)} = \left\lceil \frac{ae}{(b-1)c} \right\rceil$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(2)}(P, E_1) \neq \emptyset$  *if and only if*  $c|b$ .
- 3)  $\mathcal{V}_{\text{ns}}^{(3)}(P, E_1) \neq \emptyset$  *if and only if*  $b|c$ .
- 4)  $\rho_{PE_1} = \max\{\rho_{PE_1}^{(2)}, \rho_{PE_1}^{(3)}, \left\lceil \frac{ae}{(b-1)c} \right\rceil\}$ . □

**PROPOSITION 17.** *As*  $\det(P, E_3) = \hat{c}a/d$ , *Cone* $(P, E_3)$  *is regular if and only if*  $d = \hat{c}a$ . *Assume that*  $\hat{c}a > d$ . *Then*

- 1)  $\mathcal{V}_{\text{ns}}^{(1)}(P, E_3) \neq \emptyset$  *if and only if*  $(b-1)|a$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(2)}(P, E_3) \neq \emptyset$  *if and only if*  $a|(b-1)$ .
- 3)  $\mathcal{V}_{\text{ns}}^{(3)}(P, E_3) \neq \emptyset$  *if and only if*  $\hat{c}a > ab$  *and*  $\rho_{PE_3}^{(3)} = \left\lceil \frac{\hat{c}a}{ab} \right\rceil$ .
- 4)  $\rho_{PE_3} = \max\{\rho_{PE_3}^{(1)}, \rho_{PE_3}^{(2)}, \left\lceil \frac{\hat{c}a}{ab} \right\rceil\}$ .

Recall that  $\rho_{P, E_i}^{(j)} \leq 1$  for  $i = 1, 3$  and  $j \neq i$  by Lemma 5.

**PROPOSITION 18.** *Cone* $(P, Q)$  *is regular if and only if*  $(b-1)c$  *divides*  $ae$ , *or equivalently*  $(b-1)|a$  *and*  $c|b\frac{a}{b-1}$ . *Assume that* *Cone* $(P, Q)$  *is not regular. Then we have*

- 1)  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \{Q_1\}$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(3)}(P, Q) \neq \emptyset$  *if and only if*  $c(b-1) > ab$ . *And in this case*  $\rho_{PQ}^{(3)} = \left\lceil \frac{c(b-1)}{ab} \right\rceil$ .
- 3)  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q) \neq \emptyset$  *if and only if there exist positive integers*  $\alpha$  *and*  $\beta$  *such that*

$$(4.2) \quad a\beta + d\alpha = b - 1,$$

$$(4.3) \quad ab\beta + d\alpha \equiv 0 \pmod{c(b-1)}.$$

*The second condition can be replaced by*  $a\beta + 1 \equiv 0 \pmod{c}$ .

*Proof.* The last assertion follows from by (4.2) as  $ab\beta + d\alpha = (b-1)(a\beta + 1)$ . □

The non-trivial computation is required only for  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  which we will explain more in detail. Write  $b = eb_1$  and  $c = ec_1$ .

**COROLLARY 19. I.** *For*  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q) \neq \emptyset$ , *it is necessary that*

$$(4.4) \quad \gcd(a, c) = 1, \quad b > a, c$$

*In this case, we have*  $d = \hat{e}a$  *and*  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  *is the set of covectors*  $T = (\alpha Q + \beta P)/d$  *which satisfies*

$$(4.5) \quad a\beta + \hat{e}a\alpha = b - 1$$

$$(4.6) \quad 0 < \alpha, \beta$$

$$(4.7) \quad b - \hat{e}a\alpha \equiv 0 \pmod{c}$$

II. *Furthermore*  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  *is non-empty if*  $[b/c] \geq a + \hat{a}$ .

*Proof.* From the congruence  $a\beta + 1 \equiv 0 \pmod{c}$ , it is clear that  $\gcd(a, c) = 1$ . Thus  $d = e \gcd(a, c_1(b-1)) = \hat{e}a$ . The equality (4.7) results from

$$a\beta + 1 = b - d\alpha = e(b_1 - \hat{a}\alpha) \equiv 0 \pmod{c}$$

Thus  $b > a\beta \geq a$  and  $b > c$ . The last congruence equation is equivalent to  $b_1 - \hat{a}\alpha \equiv 0$  modulo  $c_1$ .

Assume that  $[b/c] - a - \hat{a} \geq 0$ . As  $\gcd(\hat{a}, b_1) = 1$ , there exists positive integer  $\alpha_0$ ,  $0 < \alpha_0 < c_1$ , such that  $b_1 - \hat{a}\alpha_0 \equiv 0$  modulo  $c_1$ . Put  $b_1 - \alpha_0\hat{a} = j_0c_1$ . We see that  $j_0 = b_1/c_1 - \alpha_0\hat{a}/c_1 > [b/c] - \hat{a}$ . Take  $\alpha$  which satisfies the congruence  $a\beta + 1 \equiv 0$  modulo  $c$ . Then  $\alpha$  takes the form  $\alpha = \alpha_0 + j c_1$  with  $j \in \mathbb{N}$  and thus  $b_1 - \hat{a}\alpha = (j_0 - j\hat{a})c_1$ . For the positivity of  $\beta$ , we need to have  $0 \leq j < j_0/\hat{a}$ . The integrity of  $T$  implies

$$e(b_1 - \hat{a}\alpha) - 1 = ec_1(j_0 - j\hat{a}) - 1 \equiv 0 \pmod{a}$$

As  $j$  can move  $0 \leq j < j_0/\hat{a}$  and  $j_0 > [b/c] - \hat{a} \geq a$  or  $j_0/\hat{a} > a/\hat{a}$ , this congruence equation has a positive solution  $j_1$ ,  $0 \leq j_1 \leq j_0/\hat{a}$ . Then put  $\beta = (ec_1(j_0 - j_1\hat{a}) - 1)/a$  for such a solution  $j_1$ . This gives a covector  $T = (\alpha Q + \beta P) \in \mathcal{V}_{\text{ns}}^{(2)}(P, Q)$ .  $\square$

EXAMPLE 20. Consider  $X_{\text{II}} : x^9y + y^b + z^8 = 0$  with  $b = 22 + 36k$ . Then  $e = 2, \hat{a} = 3$  and the equation is

$$9\beta + 6\alpha = 21 + 36k, \quad 9\beta + 1 \equiv 0 \pmod{8}$$

In this case,  $[b/c] - a - \hat{a} = (22+36k)/8 - 12 \geq 0$  if  $k \geq 37/18$ . For  $k \geq 3$  (in fact, for  $k \geq 2$ ), we have a solution  $(\alpha, \beta) = (6k - 7, 7)$ . In this case,  $P = {}^t(28+48k, 12, 33+54k)$  and  $Q = {}^t(0, 8, 1)$  and  $T := (\alpha Q + \beta P)/(28 + 48k) = {}^t(7, 1, 8)$ . We leave the computation of the other covectors in  $\mathcal{V}_{\text{ns}}^{(2)}(P, Q)$  to the reader.

**4.3. The minimality of the canonical toric resolutions.** We study when the canonical toric resolution of a weighted homogeneous surface is minimal. Though the canonical toric resolution is not always minimal (see Example 28), we can expect that the minimality hold for almost all classes of non-degenerate surfaces. By [9, III(6.3)], for each weighted homogeneous surface the resolution graph associated with the canonical toric resolution is star-shaped. Hence, when the resolution graph has at least three arms, the canonical resolution is minimal.

We have the following general statement which is very helpful to see if a given toric modification is minimal.

LEMMA 21. *Let  $X := f^{-1}(0)$  be a non-degenerate surface. Suppose that  $P \in \Gamma^*(f)$  is the strictly positive covector corresponding to a compact face  $\Delta$  of the Newton boundary  $\Gamma(f)$ .*

- 1) *Let  $\Delta_1, \dots, \Delta_\ell$  be the boundary edges of  $\Delta$ . The exceptional divisor  $E(P)$  is rational if and only if*

$$-\frac{6\text{Vol}(\text{Cone } \Delta)}{d(P; f)} + \sum_{i=1}^{\ell} (r(\Delta_i) + 1) = 2$$

*where Cone  $\Delta$  is the cone over  $\Delta$  with vertex  $O$  and  $r(\Delta_i)$  is the number of integral points in the interior of  $\Delta_i$ .*

- 2) *The canonical toric resolution  $\pi : \tilde{X} \rightarrow (X, 0)$  is not minimal if and only if there exists a compact face  $\Delta$  of  $\Gamma(f)$  such that  $E(P)$  is rational,  $E(P)^2 = -1$  and  $E(P)$  intersects at most two other exceptional divisors where  $P$  is the covector corresponding to  $\Delta$ .*

*Proof.* The first statement is a conclusion of [9, III(6.4)]. The assertion 2) follows from the Castelnuovo-Enriques criterion and [9, III §4(A) and §6].  $\square$

**THEOREM 22.** *Let  $X$  be one of the surfaces of type  $X_{\text{II}}, X_{\text{III}}, X_{\text{IV}}, X_{\text{V}}, X_{\text{VII}}$  or  $X_{\text{VIII}}$ . We assume that  $a, b, c > 1$  in 4.1. Then the canonical toric resolution of  $X$  is minimal. In particular,  $\rho(X, 0) = \rho(\Sigma_{\text{can}}^*)$ .*

*Proof.* We first check when the central exceptional divisor  $E(P)$  is rational by using Lemma 21 (see also [9, III(6.9)]). If this is the case, we compute the number of arms from  $E(P)$ . If this number is less than 3, we show that  $E(P)^2 \leq -2$ . Recall that the number of arms in the resolution graph is the sum of  $r(P, Q) + 1$  for non-regular cones  $\text{Cone}(P, Q) \in \Gamma^*(f)_2^+$ .

(II). Let  $X = X_{\text{II}} : x^a y + y^b + z^c = 0$ . Put  $e = \gcd(b, c)$ ,  $\hat{a} = \gcd(a, b - 1)$ . Then  $P = {}^t(c(b - 1), ac, ab)/d$  with  $d = e \gcd(a, c(b - 1)/e)$ . Note that  $r(P, Q) + 1 = 1$ ,  $r(P, E_1) + 1 = e$  and  $r(P, E_3) + 1 = \hat{a}$ . By loc. cit.  $E(P)$  is rational if and only if 1)  $e = \gcd(c, a/\hat{a}) = 1$  or 2)  $\hat{a} = \gcd(a, c/e) = 1$ . If 1) holds, then  $d = \hat{a}$ . We have  $\det(P, Q) = c(b - 1)/\hat{a} > 1$ ,  $\det(P, E_3) = c > 1$  and  $\det(P, E_1) = a/\hat{a}$ . If  $\hat{a} = a$ ,  $\text{Cone}(P, E_3)$  gives  $\hat{a} = a$  arms. Hence, in any case the resolution graph of  $X_{\text{II}}$  has at least three arms centered at  $E(P)$ .

In case 2), we have  $\det(P, Q) = c(b - 1)/e > 1$ ,  $\det(P, E_1) = a > 1$  and  $\det(P, E_3) = c/e$ . If  $e < c$ , we have at least three arms in the resolution graph. Suppose that  $e = c$ . Then the number of arms at  $E(P)$  is  $e + 1 \geq 3$ , unless  $b = 2$  and  $e = c = 2$ . In this case, the resolution graph has two similar arms and  $E(P)$  is normally smooth with  $E(P)^2 \leq -2$ .

Outline of other cases:

(III) Let  $X_{\text{III}} : x^a y + xy^b + z^c = 0$ . Then  $P = {}^t(c(b - 1), c(a - 1), ab - 1)/d$  with  $d = e \gcd(c, (ab - 1)/e)$  and  $e = \gcd(a - 1, b - 1)$ . The dual Newton diagram  $\Gamma^*(f)_2^+$  has 3 arms  $\text{Cone}(P, E_3)$ ,  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, R)$  where  $Q = {}^t(0, c, 1)$  and  $R = {}^t(c, 0, 1)$ . The central divisor  $E(P)$  is rational if and only if  $\gcd(c, (ab - 1)/e) = 1$ . If  $E(P)$  is rational, then  $d = e$  and  $\det(P, Q) = c(b - 1)/e > 1$ ,  $\det(P, R) = c(a - 1)/e > 1$ , and  $\det(P, E_3) = c > 1$ . Hence, the resolution graph has at least three arms.

(IV) Let  $X_{\text{IV}} : x^a y + y^b z + z^c = 0$ . Then  $P := {}^t(bc - c + 1, a(c - 1), ab)/d$  with  $d = e \gcd(a, (bc - c + 1)/e)$  and  $e := \gcd(b, c - 1)$ . The dual Newton diagram  $\Gamma^*(f)_2^+$  has 3 arms  $\text{Cone}(P, E_1)$ ,  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, S)$  where  $Q = {}^t(0, c, 1)$  and  $S = {}^t(1, 0, a)$ . The divisor  $E(P)$  is rational if and only if  $\gcd(a, (bc - c + 1)/e) = 1$  which is equivalent to  $d = e$ . We have  $\det(P, E_1) = a > 1$ ,  $\det(P, S) = a(c - 1)/e > 1$  and  $\det(P, Q) = (bc - c + 1)/e$ . As  $\text{Cone}(P, E_1)$  has  $e$ -copies of arms,  $E(P)$  has at least three arms.

(V) Let  $X_{\text{V}} : x^a y + y^b z + z^c x = 0$ . Then  $P := {}^t(bc - c + 1, ca - a + 1, ab - b + 1)/d$  with  $d = \gcd(bc - c + 1, ca - a + 1, ab - b + 1)$ . The dual Newton diagram  $\Gamma^*(f)_2^+$  has 3 arms  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, S)$ ,  $\text{Cone}(P, T)$  where  $Q = {}^t(0, c, 1)$ ,  $S = {}^t(1, 0, a)$  and  $T := {}^t(b, 1, 0)$ . The divisor  $E(P)$  is rational if and only if  $d = 1$ . In this case, we have  $\det(P, Q) = bc - c + 1 > 1$ ,  $\det(P, S) = ca - a + 1 > 1$  and  $\det(P, T) = ab - b + 1 > 1$ . Thus  $E(P)$  has three arms.

(VII) Let  $X_{\text{VII}} : x^a z + y^b z + z^c + tx^{c_1} y^{c_2} = 0$ . Then  $P = {}^t(b(c - 1), a(c - 1), ab)/\delta$  with  $\delta = \gcd(b(c - 1), a(c - 1), ab)$ . The dual Newton diagram  $\Gamma^*(f)_2^+$  has 4 arms  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, S)$ ,  $\text{Cone}(P, E_1)$ ,  $\text{Cone}(P, E_2)$  where  $Q = {}^t(0, 1, c_2)$  and  $S = {}^t(1, 0, c_1)$ . By the weighted homogeneity, we have the equality  $b(c - 1)c_1 + a(c - 1)c_2 = abc$  which implies that  $(c - 1) | ab$ . Hence  $\delta = (c - 1) \gcd(a, b, ab/(c - 1))$ . By loc. cit.,  $E(P)$  is rational if and only if either (i)  $\gcd(a, b) = \gcd(a, c - 1) = 1$ , or (ii)

$\gcd(a, b) = \gcd(b, c - 1) = 1$ . By symmetry, we may assume that the first case (i). Then  $\delta = c - 1$ ,  $\det(P, Q) = b > 1$ ,  $\det(P, S) = a > 1$ ,  $\det(P, E_1) = a > 1$ . Thus the resolution graph has at least three arms.

(VIII) Let  $X_{VIII} : x^a y + xy^b + xz^c + ty^{c_1} z^{c_2} = 0$ . Then  $P = {}^t(c(b-1), c(a-1), b(a-1))/\delta$  with  $\delta = \gcd(c(b-1), c(a-1), b(a-1))$ . By the weighted homogeneity, we must have  $c(a-1)c_1 + b(a-1)c_2 = c(ab-1)$  which implies that  $(a-1)|c(ab-1)$  and  $cc_1 + bc_2 = bc + c(b-1)/a - 1$ . Thus  $\delta = (a-1)\gcd(b, c, c(b-1)/(a-1))$ . The dual Newton diagram  $\Gamma^*(f)_2^+$  has 4 arms  $\text{Cone}(P, E_3)$ ,  $\text{Cone}(P, Q)$ ,  $\text{Cone}(P, S)$  and  $\text{Cone}(P, T)$  where  $Q = {}^t(0, c, 1)$ ,  $S = {}^t(c_2, 0, 1)$  and  $T = {}^t(c_1, 1, 0)$ . The divisor  $E(P)$  is rational if and only if  $(b-1) = k(a-1)$  for some  $k \in \mathbb{N}$  and  $\gcd(b, c) = 1$ . Then  $d = a - 1$  and  $\det(P, Q) = ck > 1$ ,  $\det(P, S) = c > 1$ ,  $\det(P, T) = b > 1$  and  $\det(P, E_3) = c$ . Thus the  $E(P)$  has at least 3 arms.  $\square$

**4.4. Normally smooth divisors on  $T_{p,q,r}$ -surfaces.** Let  $T_{p,q,r} : x^p + y^q + z^r + xyz = 0$  with  $1/p + 1/q + 1/r < 1$ .

(1) Suppose that  $p, q, r$  are pairwise coprime and  $p < q < r$ . The diagram  $\Gamma^*(f)_2^+$  has three strictly positive vertices  $P := {}^t(rq - r - q, r, q)$ ,  $Q := {}^t(r, pr - p - r, p)$ , and  $R := {}^t(q, p, pq - q - p)$ . The cones  $\text{Cone}(P, E_1)$ ,  $\text{Cone}(Q, E_2)$  and  $\text{Cone}(R, E_3)$  are regular. Put  $\delta := pqr - pr - qr - pq$ . Then  $\det(P, Q) = \det(Q, R) = \det(P, R) = \delta$ .

**PROPOSITION 23.** *Under the above assumption, we have*

$$\rho(X_{p,q,r}, O) = \rho_{QR}^{(1)} + \rho_{QR}^{(2)} + \rho_{QR}^{(3)} + \rho_{PR}^{(2)} + \rho_{PR}^{(3)} + \rho_{PQ}^{(3)} - 2 - \epsilon,$$

where  $\epsilon = 1$  if  $p = 3$ , and  $\epsilon = 0$  if  $p \neq 3$ .

*Proof.* This is a summary of the following three lemmas.  $\square$

**LEMMA 24.**

- 1)  $\mathcal{V}_{ns}^{(1)}(Q, R) = \{P_k = {}^t(1, k, p - k - 1) \mid p/q < k < (rp - r - p)/r\}$ .
- 2)  $\mathcal{V}_{ns}^{(2)}(Q, R) = \{P'_k = {}^t(k, 1, pk - k - 1) \mid r/(pr - p - r) < k < q/p\}$ .
- 3)  $\mathcal{V}_{ns}^{(3)}(Q, R) = \{P''_k = {}^t(k, pk - k - 1, 1) \mid q/(pq - p - q) < k < r/p\}$ .
- 4)  $\mathcal{V}_{ns}^{(1)}(Q, R) \cap \mathcal{V}_{ns}^{(2)}(Q, R) \cap \mathcal{V}_{ns}^{(3)}(Q, R) \neq \emptyset$  if and only if  $p = 3$ .
- 5)  $\rho_{QR} = \rho_{QR}^{(1)} + \rho_{QR}^{(2)} + \rho_{QR}^{(3)} - 1 - \epsilon$ , where  $\epsilon = 1$  if  $p = 3$ , and  $\epsilon = 0$  if  $p \neq 3$ .

*Proof.* We mainly use Theorem 7. Let  $P' := (\beta Q + \alpha R)/\delta = {}^t(p_1, p_2, p_3)$ . The equation is

$$\begin{cases} \beta r + \alpha q = p_1 \delta \\ \beta(pr - p - r) + \alpha p = p_2 \delta \\ \beta p + \alpha(pq - p - q) = p_3 \delta \end{cases} \quad \text{this implies} \quad \begin{cases} \alpha = (pr - p - r)p_1 - rp_2 \\ \beta = qp_2 - pp_1 \\ p_2 + p_3 = (p - 1)p_1 \end{cases}$$

Hence, we have the following conclusions.

1)  $p_1 = 1$  if and only if there exists an integer  $p_2 > 0$  such that  $\alpha > 0$  and  $\beta > 0$ . This is equivalent to  $p/q < p_2 < (pr - p - r)/r$ . And in this case  $P' = (1, p_2, p - 1 - p_2)$ .

2)  $p_2 = 1$  if and only if there exists an integer  $p_1 > 0$  such that  $r/(pr - p - r) < p_1 < q/p$ . And in this case  $P' = (p_1, 1, (p - 1)p_1 - 1)$ .

3)  $p_3 = 1$  if and only if there exists an integer  $p_1 > 0$  such that  $q/(pq - p - q) < p_1 < r/p$ . And in this case  $P' = {}^t(p_1, pp_1 - p_1 - 1, 1)$ .

4) is obvious now.

5) One can see this by comparing the three sets  $\mathcal{V}_{ns}^{(i)}(Q, R)$ . In case  $p = 2$ , we have  $\mathcal{V}_{ns}^{(1)}(Q, R) = \emptyset$  and  $\mathcal{V}_{ns}^{(2)}(Q, R) \cap \mathcal{V}_{ns}^{(3)}(Q, R) = \{{}^t(2, 1, 1)\}$ . Hence,  $\rho_{QR} = \rho_{QR}^{(2)} + \rho_{QR}^{(3)} - 1$ .

In case  $p = 3$ , we have  $\mathcal{V}_{\text{ns}}^{(i)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(j)}(Q, R) = \mathcal{V}_{\text{ns}}^{(1)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(2)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(3)}(Q, R) = \{^t(1, 1, 1)\}$  for  $i \neq j$ . Hence,  $\rho_{QP} = \rho_{QR}^{(1)} + \rho_{QR}^{(2)} + \rho_{QR}^{(3)} - 2$ .

In case  $p > 3$ , we have  $\mathcal{V}_{\text{ns}}^{(1)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(2)}(Q, R) = \{^t(1, 1, p-2)\}$  and  $\mathcal{V}_{\text{ns}}^{(1)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(3)}(Q, R) = \mathcal{V}_{\text{ns}}^{(2)}(Q, R) \cap \mathcal{V}_{\text{ns}}^{(3)}(Q, R) = \emptyset$ . Hence,  $\rho_{QP} = \rho_{QR}^{(1)} + \rho_{QR}^{(2)} + \rho_{QR}^{(3)} - 1$ .  $\square$

Similarly, one can prove the following two lemmas.

LEMMA 25.

- 1)  $\mathcal{V}_{\text{ns}}^{(1)}(P, R) = \emptyset$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(2)}(P, R) = \{Q'_\ell = {}^t(q - \ell - 1, 1, \ell) \mid q/r < \ell < (pq - p - q)/p\}$ .
- 3)  $\mathcal{V}_{\text{ns}}^{(3)}(P, R) = \{Q''_\ell = {}^t(q\ell - \ell - 1, \ell, 1) \mid p/(pq - p - q) < \ell < r/q\}$ .
- 4) Let  $Q' = {}^t(q_1, q_2, q_3) = (\beta P + \alpha R)/\delta$ . Then  $(q-1)q_2 = q_1 + q_3$ .
- 5)  $\rho_{PR} = \rho_{PR}^{(2)} + \rho_{PR}^{(3)} - 1$ .

LEMMA 26.

- 1)  $\mathcal{V}_{\text{ns}}^{(1)}(P, Q) = \mathcal{V}_{\text{ns}}^{(2)}(P, Q) = \emptyset$ .
- 2)  $\mathcal{V}_{\text{ns}}^{(3)}(P, Q) = \{R'_\ell = {}^t(r - \ell - 1, \ell, 1) \mid r/q < \ell < (pr - p - r)/p\}$  and  $\rho_{PQ} = \rho_{PQ}^{(3)}$ .

EXAMPLE 27. (1) Let  $p = 2, q = 3$  and  $r \geq 7$ . By the canonical subdivisions of the three cones, we see that  $\rho_{QR} = \lceil \frac{r-6}{2} \rceil \geq 1$ ,  $\rho_{PR} = \lceil \frac{r-6}{3} \rceil \geq 1$ , and  $\rho_{PQ} = \lceil \frac{r-3}{6} \rceil$ .

(2) Let  $p = 3, q = 4$  and  $r > 4$ . By the canonical subdivisions of the three cones, we see that  $\rho_{QR} = \lceil \frac{r}{3} \rceil \geq 1$ ,  $\rho_{PR} = \lceil \frac{r}{4} \rceil \geq 1$  and  $\rho_{PQ} = \lceil \frac{2r}{3} \rceil - \lceil \frac{r}{4} \rceil - 1$ .

(2) Another case. Let  $f(x, y, z) = x^n + y^n + z^n + xyz$  ( $n \geq 4$ ). The dual Newton diagram has three covectors  $P_i, i = 1, 2, 3$  corresponding to the three compact faces. They are given by  ${}^t(n-2, 1, 1), {}^t(1, n-2, 1), {}^t(1, 1, n-2)$ . And for  $i \neq j$ ,  $\det(P_i, P_j) = n-3$ . Let  $B_1, \dots, B_k$  be the vertices of the canonical subdivision of  $\text{Cone}(P_1, P_2)$  from  $P_1$ . Then  $B_1 = (P_2 + (n-4)P_1)/(n-3) = {}^t(n-3, 2, 1)$ . Thus  $(n-3)/(n-4) = [2, \dots, 2]$  with  $(n-4)$ -copies of 2. This implies  $k = n-4$  and  $B_j = {}^t(n-2-j, 1+j, 1), j = 1, \dots, n-4$ . In fact, by Lemma 5 the third coordinate of  $B_j$  is always 1 as both of  $P_1, P_2$  have 1 as the third coordinate. Hence  $\rho_{P_1 P_2} = n-4$ . The branch  $\text{Cone}(P_i, E_i)$  is regular. Thus  $\rho(V, O) = \rho(\Sigma_{\text{can}}^*) = 3n-9$  and every exceptional divisor is normally smooth.

## 5. Remarks.

**5.1. Example of the inequality  $\rho(\Sigma_{\text{can}}^*) > \rho(X, O)$ .** Let us consider  $A_{2c-1}$ -singularity,  $X = \{x^2 + y^2 + z^{2c} = 0\}$ . The resolution graph has two arms and the central divisor  $E(P)$  is a rational curve with  $E(P)^2 = -1$ . Thus we have to blow-down the central divisor once (Example (6.7.1) in [9, III]). However in this example, the central exceptional divisor is not normally smooth, i.e., the extra blowing-up is line-admissible. So  $\rho(\Sigma_{\text{can}}^*) = \rho(X, O)$ . The following gives an example of  $\rho(\Sigma_{\text{can}}^*) > \rho(X, O)$ .

EXAMPLE 28. Let  $X$  be defined by  $h = xy + y^{bc} + z^c$  with  $b, c \geq 2$ . This is an  $A_{c-1}$ -singularity and a special case of  $X_{\text{II}}$  with  $P := {}^t(bc-1, 1, b)$  and  $Q := {}^t(0, c, 1)$ .

Since  $\det(P, E_1) = \det(P, E_3) = 1$  and  $\det(P, Q) = bc-1$ , we make the canonical subdivision of  $\text{Cone}(P, Q)$ . The first covector  $T_1$  from  $P$  is given by

$$T_1 = (Q + (bc - c - 1)P)/(bc - 1) = {}^t(bc - c - 1, 1, b - 1)$$

We have the continuous fraction expansion  $(bc-1)/(bc-c-1) = [2, \dots, 2, 3, 2, \dots, 2]$  where the number of 2 in the first 2-series (respectively in the second 2-series) is

$(b-2)$  (resp.  $c-2$ ). Thus we have  $c+b-3$  covectors  $T_1, \dots, T_{b+c-3}$ . The exceptional divisor  $E(P)$  is rational with  $E(P)^2 = -1$  and  $E(T_j)$  with self intersection number  $E(T_j)^2 = -2$  for  $j \neq b-1$  and  $-3$  for  $j = b-1$  (see Theorem (6.3), Chapter III, [9]). In fact first  $b-2$  covectors are given by

$$Q_j = {}^t(cb - jc - 1, 1, b - j), \quad j = 1, \dots, b - 1$$

$$Q_{b-1+j} = {}^t(c - j - 1, j + 1, 1), \quad j = 1, \dots, c - 2$$

and we see that they are normally minimal. To get a minimal resolution, we need to blow down  $b-1$  divisors  $E(P), E(T_1), \dots, E(T_{b-2})$  in this order. Then the self-intersection number of  $E(T_{b-1})$  changes to  $-2$  and we get  $A_{c-1}$  graph. In this example, we have  $\rho(X, O) = c - 1$  and  $\rho(\Sigma_{\text{can}}^*) = b + c - 2$ .

**5.2. Parametrization of lines.** The normally smooth divisors on a surface  $X$  correspond to the lines on  $X$ . By using a toric resolution, one can give the exact parameterizations of the lines on  $X$ . This was done already for the Pham-Brieskorn surfaces in [4].

**PROPOSITION 29.** *Suppose that we have a line  $L$  in a non-degenerate surface  $X : f(x, y, z) = 0$  and assume that  $L$  is parametrized as*

$$x(t) = \alpha t^a + \alpha_1 t^{a+1} \dots, \quad y(t) = \beta t^b + \beta_1 t^{b+1} + \dots, \quad z(t) = \gamma t^c + \gamma_1 t^{c+1} + \dots$$

with  $\alpha, \beta, \gamma \neq 0$  and  $\min(a, b, c) = 1$ . Let  $P = {}^t(a, b, c)$ . Then the pull back of  $L$  intersects  $E(P)$  transversally and  $f_P(\alpha, \beta, \gamma) = 0$ . Conversely any curve in  $\mathcal{L}_{E(P)}$  has such a parametrization.

**EXAMPLE 30.** (1) Let  $X$  be defined by  $h = x^a y + y^b - z^b = 0$  with  $a = a_1(b-1)$  and  $a_1 > 1$ . This is a special case of  $X_{\text{II}}$ . We use the notations in §4.2. Note that  $P = {}^t(1, a_1, a_1)$ ,  $Q = {}^t(0, b, 1)$ ,  $\det(P, Q) = \det(P, E_3) = 1$  and  $\det(P, E_1) = a_1$ . By canonical subdivision of Cone  $(P, E_1)$  we have  $R_i := {}^t(1, i, i)$  with  $i = 0, 1, \dots, i_1 = a_1$ , where  $R_0 := E_1$  and  $R_{i_1} := P$ . Hence  $\rho_{PE_1} = a_1 - 1$ . Since  $r(P, E_1) + 1 = b$ , each  $E(R_i)$  has  $b$  components. By [9, III(6.3)],  $E(P)^2 = -b < -1$ . Hence  $\pi$  is minimal and  $\rho(X, 0) = b(a_1 - 1) + 1$ . The restriction of  $\pi$  on the toric chart associated with  $\sigma_i := \text{Cone}(R_i, R_{i-1}, E_2)$  is given by

$$\pi_{\sigma_i} : \quad x = uv, \quad y = u^i v^{i-1} w, \quad z = u^i v^{i-1}.$$

and the pull-back of  $h$  is given by

$$h \circ \pi_{\sigma_i} = u^{ib} v^{(i-1)b} \left( u^{(a_1-i)(b-1)} v^{(a_1-i+1)(b-1)} w + w^b - 1 \right)$$

The divisor  $E(R_i)$  is defined by  $u = 0$  and  $w^b - 1 = 0$ , hence  $E(R_i)$  has  $b$  components. On this toric chart, the resolution  $\tilde{X}$  of  $X$  is defined by

$$\tilde{h}_i(u, v, w) := u^{(a_1-i)(b-1)} v^{(a_1-i+1)(b-1)} w + w^b - 1 = 0$$

and in a neighborhood of  $q \in E(R_i)$  we take  $u, v$  to be the local coordinates of  $\tilde{X}$ . Let  $q = (0, s)$  in this coordinates. We consider the lines  $C_s$  defined by  $t \mapsto (t, s)$ . The image of  $C_s$  by  $\pi_{\sigma_i}$  is given by

$$\pi_{\sigma_i}(C_s) : \quad x = st, \quad y = s^{i-1} w_k(t, s)t, \quad z = s^{i-1} t^i,$$

where  $w_k(t, s)$  is the solution of  $\tilde{h}_i(t, s, w) = 0$  with  $w_k(0) = \exp(2\pi ki/b)$ . As a special case, take  $i = 1$ . Then  $C_s$  is a normal line on  $E(Q_1)$ . When we moves  $s \rightarrow 0$ , this line approaches to  $E(E_1)$  and  $w_k(t) \equiv \exp(2k\pi i/b)$  and the image is the obvious line  $t \rightarrow (x, y, z) = (0, w_k t, t)$ .

(2) Let  $X = T_{2,3,7} : x^2 + y^3 + z^7 + xyz = 0$ . We have three covectors

$$P = {}^t(11, 7, 3), \quad Q = {}^t(7, 5, 2), \quad R = {}^t(3, 2, 1)$$

and we do not need any other covector. Consider the toric chart  $\sigma := (Q, R, E_3)$  with coordinates  $(u, v, w)$ . Then the line  $u = 1, v = t$  produces a line parametrized as  $t \mapsto (t^3, t^2, -2t + 128t^2 + \dots)$ .

**5.3. Obvious lines on surfaces.** We consider a surface  $X = \{f(x, y, z) = 0\}$  where  $f$  has a non-degenerate Newton boundary. There are surfaces having obvious lines which can be read off from the polynomial defining the surface.

(1) Assume that  $f(x, y, z)$  is not convenient and assume for example  $\{y = z = 0\} \subset X$ . Then as we have seen in Lemma 10, there is a unique non-compact face, different from the coordinate planes, which has the covector of the type  $Q = {}^t(0, c, 1)$  or  ${}^t(0, 1, c)$  and a unique covector  $P$  such that  $\text{Cone}(P, Q)$  is in  $\Gamma^*(f)_2^+$  and  $P$  corresponds to a compact face. Let  $Q_1, \dots, Q_k$  be the covectors defining the canonical regular subdivision from  $Q$ . Then  $Q_1$  is a normally smooth divisor and  $\mathcal{L}_{Q_1}$  contains the canonical line  $\{y = z = 0\}$ .

(2) Assume that  $h(x, y) := f(x, y, 0)$  (the section of  $f$  with  $z = 0$ ) is a non-monomial homogeneous polynomial of degree  $d$ . Then we can factor  $h(x, y) = cx^a y^b \prod_{i=1}^k (y - \alpha_i x)$ . Thus  $X$  has the lines  $z = 0, y = \alpha_i x$  for  $i = 1, \dots, k$ . Combinatorially this says the following. There exists a compact face  $\Delta$  such that  $\Delta \supset \Delta(h)$ . The corresponding covector takes the form  $P = {}^t(p, p, r)$  with  $\gcd(p, r) = 1$ . Then the first covector  $Q_1$  from  $E_3$  in the canonical regular subdivision of  $\text{Cone}(P, E_3)$  takes the form  $Q_1 = {}^t(1, 1, s)$  with  $s = 1 + [r/p]$ . So we can see that  $Q_1 \in \mathcal{V}_{\text{ns}}(P, E_3)$ . A typical example is  $T_{n,n,n} : x^n + y^n + z^n - xyz = 0$ . Another example is (1) of Example 30.

(3) Assume that the monomial  $x^A$  in  $f$  such that  $(A, 0, 0) \in \Gamma(f)$ . We say that  $x^A$  is negligibly truncatable if  $f_t(x, y, z) = (f(x, y, z) - f(x, 0, 0)) + tf(x, 0, 0)$  defines a  $\mu$ -constant family for  $0 \leq t \leq 1$  (cf. [11]). Assume for example, the monomials  $x^a y$  and  $x^b z^c$  are on the non-compact face of  $\Gamma(f_0)$ . Let  $Q' := {}^t(c/d, c(A-a)/d, (A-b)/d)$  with  $d = \gcd(c, A-b)$ . The covector  $Q'$  corresponds to the negligible compact face of  $f_1$  containing  $(a, 1, 0), (b, 0, c)$  and  $(A, 0, 0)$ . Then there is a normally smooth divisor on  $\text{Cone}(Q, E_3)$ . In fact,  $\det(Q', E_3) = c/d$ . If  $c = d$ ,  $Q$  gives normally smooth divisor. If  $c > d$ , the first covector  $Q'_1$  of the canonical regular subdivision of  $\text{Cone}(Q', E_3)$  is normally smooth. An example is given by  $f(x, y, z) = x^2 y + y^2 + z^5 + x^5$ . Then  $x^5$  is negligibly truncatable.

(4) Assume that  $\Gamma(f)$  has a compact face whose covector  $P$  has 1 in its coefficients. Then  $E(P)$  is a normally smooth divisor. This is the case, for example, if  $P = {}^t(1, 1, 1)$  and  $f_P(x, y, z)$  has a two-dimensional support. We can see easily that  $E(P)$  is isomorphic to the projective curve  $f_P(x, y, z) = 0$  in  $\mathbb{P}^2$ . The tangent cone of  $X$  at  $O$  is given by the cone of  $f_P = 0$ .

**5.4. Normally smooth divisors on complete intersections.** In this paper we mainly considered normally smooth divisors on two dimensional hypersurface singularities. However every assertion can be generalized to non-degenerate complete intersections. We give an example. Consider the surface given by  $X = \{f_1(x, y, z, w) =$



$f_2(x, y, z, w) = 0$  where  $f_1$  and  $f_2$  has the same Newton boundary. Assume that  $f_1, f_2$  are Pham-Brieskorn polynomials of the same type, with generic coefficients:

$$f_i = a_i x^{p_1} + b_i y^{p_2} + c_i z^{p_3} + d_i w^{p_4}, i = 1, 2$$

We assume that  $p_1, \dots, p_4 \geq 2$  and mutually coprime. Then the dual Newton diagram  $\Gamma^*(f_1, f_2)$  is the same with  $\Gamma^*(f_i)$  and  $\Gamma^*(f)_2^+$  is star-shaped with the center  $P = {}^t(p_2 p_3 p_4, p_1 p_3 p_4, p_1 p_2 p_4, p_1 p_2 p_3)$  and four arms  $\text{Cone}(P, E_i), i = 1, \dots, 4$ . We consider the  $\text{Cone}(P, E_1)$ . First  $\det(P, E_1) = p_1$ . By Lemma 11,  $\mathcal{V}_{ns}^{(i)}(P, E_1) = \emptyset$  for  $2 \leq i \leq 4$ . As for  $\mathcal{V}_{ns}^{(1)}(P, E_1) \neq \emptyset$  if and only if  $p_2 p_3 p_4 < p_1$  and putting  $r = [p_1/p_2 p_3 p_4]$ ,  $\mathcal{V}_{ns}^{(1)}(P, E_1) = \{Q_j = (jP + (p_1 - j p_2 p_3 p_4)E_1)/p_1; j = 1, \dots, r\}$ .

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